

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) DRAKE, JR., WALTER H.	2. Court or Organization U. S. BANKRUPTCY COURT, NORTHERN DISTRICT OF GEORGIA	3. Date of Report 6/27/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address UNITED STATES COURTHOUSE POST OFFICE BOX 1408 NEWNAN, GEORGIA 30264-1408		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Advisor		Southeastern Bankruptcy Law Institute, Atlanta, Georgia
2. Member, Board of Trustees		Mercer University, Macon, Georgia
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. Southeastern Bankruptcy Law
Institute 2015

Atlanta, Georgia

Seminar

Food - Attend annual seminar of the
SBLI in Atlanta, GA and to participate in
program, March 26-27, 2015

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AT&T	E	Dividend			Merged (with line 17)	5/5/15	K		See Part VIII
2. Verizon Communications	C	Dividend			Merged (with line 47)	5/5/15	M		See Part VIII
3. Vodafone Group PLC	A	Dividend			Merged (with line 48)	5/5/15	K		See Part VIII
4. Duke Energy Corp. (formerly Duke Power)	C	Dividend			Merged (with line 15)	5/5/15	L		See Part VIII
5. Bank of Coweta Account	A	Interest	M	T					
6. Bank of Coweta Account	A	Interest			Closed	5/22/15	K		
7. Exxon Mobil Corp. (f/k/a Exxon Corp.)	D	Dividend	N	T	Donated (part)	6/5/15	J		
8. Bristol Myers Squibb Co.	C	Dividend	M	T					
9. Altria Group, Inc., f/k/a Philip Morris Cos. Inc.	C	Dividend	M	T					
10. Coca Cola Co.	E	Dividend	O	T					
11. BB&T Corporation	C	Dividend	M	T					
12. Home Depot, Inc.	D	Dividend	O	T					
13. Altria Group, Inc., f/k/a Philip Morris Cos. Inc.	A	Dividend			Merged (with line 9)	5/5/15	K		See Part VIII
14. Coca Cola Co.	D	Dividend			Merged (with line 10)	5/5/15	M		See Part VIII
15. Duke Energy Corp	C	Dividend	L	T	Donated (part)	6/2/15	L		
16. Home Depot	D	Dividend			Merged (with line 12)	5/5/15	N		See Part VIII
17. AT&T, Inc.	E	Dividend	O	T	Buy (add'l)	7/15/15	L		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,001 - \$50,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Kraft Foods, Inc.	C	Dividend	M	T					
19. Mondelez.	A	Dividend			Merged (with line 21)	5/15/15	L		See Part VIII
20. Kraft Foods, Inc.	A	Dividend			Merged (with line 18)	5/5/15	K		See Part VIII
21. Mondelez	A	Dividend	L	T	Donated (part)	6/2/15	M		
22. Spectra Energy Corp.	B	Dividend	K	T	Donated (part)	6/2/15	K		
23. Spectra Energy Corp.	B	Dividend			Merged (with line 22)	5/5/15	L		See Part VIII
24. Philip Morris International	B	Dividend			Merged (with line 25)	5/5/15	K		See Part VIII
25. Philip Morris International	D	Dividend	M	T					
26. Charitable Remainder Unitrust #1	A	Int./Div.	L	T					
27. IRA #1	D	Int./Div.	N	T	Donated (part)	3/2/15	J		
28. -Blackrock Global					Donated (part)	12/29/15	L		
29. -Bank of America, NA RASP									
30. Series I U.S. Savings Bonds	C	Interest	M						
31. Series I U.S. Savings Bonds	B	Interest		T	Redeemed	7/8/15	K		
32.					Redeemed	9/29/15	K		
33. Charitable Remainder Annuity Trust #1		None	K	T					See Part VIII
34. Charitable Remainder Annuity Trust #2		None	J	T					See Part VIII

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Charitable Remainder Unitrust #2		None	K	T					See Part VIII
36. Qualified Charitable Gift Annuity No. 1	B	Int./Div.	L	T					
37. Johnson and Johnson	C	Dividend	M	T					
38. Procter & Gamble Co.	D	Dividend	M	T					
39. Southern Company	B	Dividend			Donated				
40. McDonald's Corp.	C	Dividend			Merged (with line 50)	5/5/15	L		See Part VIII
41. Walmart Store, Inc.	B	Dividend			Merged (with line 51)	5/5/15	L		See Part VIII
42. Ivy Asset Strategy Fund	A	Dividend			Merged (with line 52)	5/5/15	K		See Part VIII
43. MFS Global Total Return Fund Classic	A	Dividend			Merged (with line 53)	5/5/15	L		See Part VIII
44. Blackrock Global Allocation Fund	C	Dividend			Merged (with line 54)	5/5/15	M		See Part VIII
45. Janus Balanced	A	Dividend			Merged (with line 55)	5/5/15	K		See Part VIII
46. Johnson & Johnson	B	Dividend			Merged (with line 37)	5/5/15	L		See Part VIII
47. Verizon Communications	C	Dividend	M	T	Open	5/5/15	M		
48. Vodafone Group	A	Dividend	K	T	Open	5/5/15	K		
49. Spectra Energy Corp.	B	Dividend	K	T	Open	5/5/15	L		
50. McDonald's Corp.	C	Dividend	M	T	Open	5/5/15	L		
51. Walmart Stores, Inc.	B	Dividend	L	T	Open	5/5/15	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. Ivy Asset Strategy	A	Dividend			Sold	12/14/15	K		
53. MFS Global Total Return Fund CL	A	Dividend	L	T	Open	5/5/15	L		
54. Blackrock Global Allocatiin Fund	B	Dividend	M	T	Open	5/5/15	M		
55. Janus Balanced	A	Dividend	K	T	Open	5/5/15	K		
56. Nuveen Santa Barbara	A	Dividend	K	T	Buy	12/12/15	K		
57. CharterBank	A	Interest	M	T	Open	7/10/15	M		

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII. INVESTMENTS AND TRUSTS - Lines 1-4; 13-14; 16, 19-20, 23-24 -

Judge Drake's wife, Ruth B. Drake, died on May 5, 2015. Consequently, Judge Drake inherited all of her stock

Lines 33-35 - No dividends received for the entire year.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ WALTER H. DRAKE, JR.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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