

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) TRAXLER, Jr., William B.	2. Court or Organization U.S. Court of Appeals, Fourth Circuit	3. Date of Report 05/11/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Court Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 300 E. Washington Street, Suite 222 Greenville, SC 29601		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Visiting professor	Charleston School of Law
2. Trustee	Furman University
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 05/00/92	State of S.C. Circuit Judge Retirement benefit (see III.A.1)
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

TRAXLER, Jr., William B.

Date of Report

05/11/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	State of S.C. Circuit Judge Retirement benefit	\$70,939.44
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	State of S.C. Retirement benefit
2. 2014	Greenville TEC
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. University of South Carolina School of Law	5/8/14 - 5/10/14	Columbia, South Carolina	Commencement Speaker	Lodging, meals, gift
2. Arizona State University College of Law	11/14/14 - 11/15/14	Phoenix, Arizona	Attend awards banquet	Lodging, meals, transportation
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

TRAXLER, Jr., William B.

Date of Report

05/11/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

TRAXLER, Jr., William B.

Date of Report

05/11/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Greenville County, S.C.		None	M	W					
2. (2) Leon County, Fla.		None	M	W					
3. (3) Leon County, Fla., 1/5 interest		None	K	W					
4. Sonoco stock (common)	A	Dividend	J	T					
5. Palmetto Bank - various accounts	A	Interest	K	T					
6. Washington Mutual Investors Fund IRA	A	Dividend	K	T					
7. Motorola Solutions stock (common)		None	J	T					
8. McDonalds stock (common)	A	Dividend	J	T					
9. Kellogg's stock (common)	A	Dividend	J	T					
10. RPM stock (common)	A	Dividend	J	T					
11. Sun Trust stock (common)	A	Dividend	J	T					
12. Trust #1	A	Rent	M	W					
13. --Wells Fargo National Bank checking account									
14. --Greenville County, SC									
15. TD Bank - checking account	A	Interest	J	T					
16. Vanguard Growth & Income Portfolio	A	Dividend	J	T					
17. Growth Fund of America IRA	A	Dividend	K	T					

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q ~Appraisal	R ~Cost (Real Estate Only)	S ~Assessment	T ~Cash Market	
(See Column C2)	U ~Book Value	V ~Other	W ~Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

TRAXLER, Jr., William B.

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05/11/2015

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18. New Perspective Fund IRA	A	Dividend	K	T					
19. First Citizens Bank-various accounts	A	Interest	M	T					
20. Ameritrade IRA	A	Dividend	J	T					
21. --MIPS Technologies stock (common)									
22. --Honeywell stock (common)									
23. --Applied Materials stock (common)									
24. --Texas Instrument stock (common)									
25. BB&T stock (common)	A	Dividend	J	T					
26. Home Depot stock (common)	A	Dividend	J	T					
27. Pfizer stock (common)	A	Dividend	J	T					
28. Athene -- whole life insurance policies	A	Interest	J	T					
29. Protective Life -- whole life insurance policy	A	Interest	J	T					
30. Jefferson National Life -- whole life insurance policy	A	Interest	J	T					
31. Conagra stock (common)	A	Dividend	J	T					
32. Piedmont Natural Gas stock (common)	A	Dividend	J	T	Buy (add'l)	03/05/14	J		
33. Regions Financial Corp. stock (common)	A	Dividend	J	T					
34. Jefferson County, Florida 1/4 interest	D	Rent	M	W					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

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TRAXLER, Jr., William B.

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05/11/2015

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35. South State Bank - savings account	A	Interest	M	T					
36. Independence Bank-money market account	A	Interest	L	T					
37. Monsanto stock (common)	A	Dividend	J	T					
38. Pfizer stock (common)	A	Dividend	J	T					
39. Federated Govt. Cash Series	A	Interest	J	T					
40. Putnam Tax Free Mutual Fund	A	Interest	J	T					
41. SCANA stock (common)	A	Dividend	J	T					
42. South State Bank - money market account	A	Interest	J	T					
43. First Community Bank - checking account	A	Interest	M	T					
44. SPY Fund	A	Dividend	J	T					
45. First South Bank-CD account	A	Interest	K	T					
46. Antigonish County, Nova Scotia, Canada		None	N	T					
47. RBC Bank-savings account-Canada		None	J	T					
48. NBSC Bank-money market account	A	Interest	M	T					
49. TD Canada Trust-checking account-Canada		None	K	T					
50. State Credit Union-money market account (Y)									
51. Gilead Sciences stock (common)		None			Sold	04/15/14	J	C	

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FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

TRAXLER, Jr., William B.

Date of Report

05/11/2015

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52. Southern First Bank-CD	B	Interest	M	T					
53. Bank of NC - CD	A	Interest	L	T	Open	03/13/14	L		
54. United Community Bank - checking account	A	Interest	J	T	Open	06/05/14	J		
55. Anderson SC Wtr and Sewer Bond	A	Interest	K	T	Buy	01/06/14	K		
56. Fort Mill SC Sch. Facs Corp. Install Bond	A	Interest	J	T	Buy	01/06/14	J		
57. SC Jobs Econ Dev. Dev. Rev. Bon Secours Hlth Sys.Bond	A	Interest	J	T	Buy	01/06/14	J		
58. SC Jobs EDA Hosp Rev RFDG Georgetown Hosp Bond	A	Interest	J	T	Buy	01/07/14	J		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

TRAXLER, Jr., William B.

Date of Report

05/11/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In Parts I and III.A -- I did not teach during 2014 and hence had no income to report as a visiting professor from the Charleston School of Law. I resigned this position on August 1, 2014.

In Parts II and III.A.1 -- I am eligible for a lifetime monthly retirement benefit of approximately 18/24 of 71.3% of the salary of a Circuit Judge of South Carolina. Payment began when I turned 55 years of age on May 1, 2003.

In Part VII, #6, #17, and #18 --The company or fund family that sponsors these funds is American Funds.

In Part VII, #12 -- No distribution was made from the trust to me in 2014. The ranges provided represent aggregate totals for the trust.

In Part VII, #16 -- This is a mutual fund. The fund family is The Vanguard Group.

In Part VII, #34 -- Income is from rent and agricultural program payments.

In Part VII, #35 -- SCB&T merged into South State Bank.

In Part VII, #39 -- This line-item is a money market mutual fund at Hilliard Lyons into which my dividends are placed that are received directly by my broker. The fund family is Federated Investor Funds. I changed the broker holding these funds from Hilliard Lyons to Sandlapper Securities on August 12, 2014.

In Part VII, #40 -- The company or fund family is Putnam Investments.

In Part VII, #42 -- First Federal merged into South State Bank.

In Part VII, #43 -- Savannah River Bank merged into First Community Bank.

In Part VII, #44 -- This is an exchange traded fund. The fund family is SPDR Trust Series 1.

In Part VII, #47 -- This account was originally set up as a savings account. Because it is in Canada, I asked that no interest be paid.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

TRAXLER, Jr., William B.

Date of Report

05/11/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ William B. TRAXLER, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544