

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Newman, Jon O.	2. Court or Organization U.S. Court of Appeals for the Second Circuit	3. Date of Report 03/12/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge senior status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 40 Foley Square New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Corporator	Hartford Hospital
2.	Corporator	Institute of Living
3.	Elector	Hartford Atheneum
4.	Life Regent	University of Hartford
5.	Trustee	No. 1 [REDACTED] Trust (assets listed in Part VII, lines 106-133)

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2. Yale University

Sept. 25-28, 2013

New Haven, CT

attend Global
Constitutional Seminar

breakfasts and parking three days

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Yale University	three nights lodging, lunches, and dinners at Global Constitutional sminar	\$900.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank accounts and cash (H):									
2. Bank of America -- Sarasota, FL -- Checking Acct.	A	Interest	M	T					
3.  People's Bank	A	Interest			Closed	9/1/14	J		
4.  Wells Fargo Bank	A	Interest	L	T					
5. Morgan Stanley account (H):									
6. Morgan Stanley Active Assets Tax Free Trust	A	Interest	N	T					
7. stocks (H):									
8. Air Products	C	Dividend	M	T					
9. Cisco	B	Dividend	L	T					
10. Cummins	C	Dividend	M	T					
11. Devon Energy	B	Dividend	L	T					
12. Express Scripts		None	M	T					
13. Johnson and Johnson	C	Dividend	M	T					
14. Johnson Controls	C	Dividend	N	T					
15. Merck	D	Dividend	M	T					
16. Microsoft	B	Dividend	L	T					
17. Minnesota Mining and Manufacturing	C	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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18. Royal Dutch Shell	D	Dividend	M	T					
19. Total Fina	D	Dividend	M	T					
20. mutual funds (H):									
21. Calamos Fund	D	Distribution	M	T					
22. Dreyfus Premier Technology Fund	A	Distribution	K	T					
23. Fed Max Cap Fund	B	Distribution	K	T					
24. Henderson Int'l Op. Fund	A	Dividend	K	T					
25. Invesco Balanced Risk Alloc. C Fund	B	Distribution	L	T					
26. Mainstay Large Cap Fund	B	Dividend	K	T					
27. Oppenheimer SR Floating Rate Fund	A	Dividend	L	T	Sold (part)	5/29/14	L	B	
28. Prudential Jennison Small Cap Cl C Fund	C	Distribution	K	T					
29. Revenueshares Mid Cap ETF	A	Dividend	L	T	Buy	9/26/14	L		
30. Revenueshares Small Cap ETF	A	Dividend	L	T	Buy	9/26/14	L		
31. Schwab 1000 Fund	B	Distribution	L	T					
32. SPDR Barcap Short Term Hi Yield Fund	A	Dividend	K	T					
33. bonds (H):									
34. Bay County FL. School Board '19 4.25	C	Interest	M	T					

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35. Brookfield Ct '14 3.5	B	Interest			Matured	12/15/14	K		
36. Clark Cty Nev	B	Interest	L	T	Buy	2/25/14	K		
37. CT. G.O. '14 5.0	B	Interest			Matured	6/2/14	L		
38. CT G.O. Ser. C '16 4.0	B	Interest	L	T					
39. CT G.O. Ser. C '16 5.0	B	Interest	L	T					
40. CT G.O. Ser. E '16 5.0	B	Interest	L	T					
41. CT Health & Ed. '15 3.4	A	Interest	L	T					
42. CT Health & Ed. '19 5.0		None	L	T	Buy	9/22/14	L		
43. CT Health '16 5.0	C	Interest	M	T					
44. CT Spl. Tax '15 2.75	B	Interest	M	T					
45. Danbury CT. '19 2.5	B	Interest	L	T					
46. Darien CT '14 2.0	A	Interest			Matured	1/15/14	L		
47. Duncanville TX '18 0		None	K	T	Buy	9/22/14	K		
48. Erlanger-Elsmere KY. sch. '17 3.5	A	Interest	L	T					
49. Fairfield CT '15 4.25	B	Interest	L	T					
50. Florida Hurricane '16 5.0	B	Interest	L	T					
51. Florida St. Turnpike '14 5.0	B	Interest			Matured	7/1/14	K		

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52. Fremont CA '15 3.0	B	Interest	L	T					
53. Hawaii G.O. '18 4.0	A	Interest	L	T					
54. Hartford CT '15 5.25	C	Interest	L	T					
55. Jackson County '18 4.0	B	Interest	L	T					
56. Lakewood County CTFS '15 4.0	A	Interest	L	T					
57. Lee County School Bd. '17 4.0	B	Interest	L	T					
58. Northern Mun. Pwr Agy Minn '17 5.0	A	Interest	L	T					
59. N.Y. Dorm. Auth. '14 4.0	B	Interest			Matured	2/18/14	L		
60. N.Y. Housing Develop. '18 2.25	A	Interest	L	T					
61. Oxford Mass G.O. '19 2.0		None	L	T	Buy	10/7/14	L		
62. Palm Beach Cty FL '27 4.375	B	Interest	L	T	Buy	9/25/14	L		
63. Penn Pub. School '18 5.0	B	Interest	L	T	Buy	9/22/14	L		
64. Puerto Rico Power '14 5.25	B	Interest			Matured	7/1/14	K		
65. Rensselaer Co. N.Y. '15 4.2	B	Interest	L	T					
66. Shelton CT '17 2.125	A	Interest	K	T					
67. Spokane Wash. '20 5.0	B	Interest	L	T	Buy	6/11/14	L		
68. St. Lucie Sch. Bd. '25 5/0	C	Interest			Sold	7/1/14	L		

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69. Tampa Bay FL. Water '18 5.0	B	Interest	L	T					
70. Tulsa County OK Indian Auth. '14 4.0	B	Interest			Matured	9/2/14	K		
71. Univ. CT '16 5.0	B	Interest	L	T					
72. Weber County Utah Mun. Bldg. '13 3.25	A	Interest	L	T					
73. Wells Fargo Account (H):									
74. Wells Fargo Advisors, Sarasota FL Money Market Acct	A	Interest	J	T					
75. stocks (H):									
76. Aflac	A	Dividend	J	T					
77. AT&T	A	Dividend	J	T					
78. Amgen	A	Dividend	J	T					
79. Apple	A	Dividend	J	T	Buy (add'l)	10/21/14	J		
80. Automatic Data Processing	A	Dividend	J	T					
81. Berkshire Hathaway		None	J	T					
82. Blackstone group	A	Distribution			Buy	2/24/14	J		
83.					Sold	5/7/14	J		
84. Chevron	A	Dividend	J	T	Buy (add'l)	10/21/14	J		
85. CDK Global		None			Spinoff (from line 80)	7/15/14	J		

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86.					Sold	10/2/14	J		
87. Coca-cola	A	Dividend			Sold	10/21/14	J		
88. Colgate-Palmolive	A	Dividend	J	T					
89. Conoco Phillips	A	Dividend	J	T	Buy (add'l)	10/15/14	J		
90. Dow Chemical	A	Dividend	J	T					
91. DuPont	A	Dividend	J	T					
92. General Electric	A	Dividend	J	T					
93. General Mills	A	Dividend	J	T					
94. Halyard Health		None	J	T	Spinoff (from line 99)	11/3/14	J		
95. Illinois Tool Works	A	Dividend	J	T					
96. Intel	A	Dividend	J	T					
97. Johnson & Johnson	A	Dividend	J	T					
98. JP Morgan Chase	A	Dividend	J	T					
99. Kimberly-Clark	A	Dividend	J	T					
100. McDonalds	A	Dividend			Sold	8/5/14	J		
101. Merck	A	Dividend	J	T					
102. Nextera Energy	A	Dividend	J	T					

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103. Pfizer	A	Dividend	J	T					
104. Procter & Gamble	A	Dividend	J	T					
105. Qualcomm	A	Dividend	J	T	Buy	5/7/14	J		
106. UGI Corp.	A	Dividend	J	T					
107. US Bancorp	A	Dividend	J	T					
108. Merrill Edge Account (H)									
109. Merrill Lynch direct deposit program		None	J	T	Buy	5/23/14	J		
110. Ishares core S&P small cap etf fund	A	Dividend	K	T	Buy	5/23/14	K		
111. Ishares S&P small cap 600 growth	A	Dividend	K	T	Buy	5/23/14	K		
112. Powershares QQQ Trust Units Ser. 1 Fund	A	Dividend	K	T	Buy	5/23/14	K		
113. Vanguard Mid-Cap Value Index Funs	A	Dividend	K	T	Buy	5/23/14	K		
114. Other (H):									
115. 1/2 Int. W. Htfd. CT., Condo purchased 8/9/88 \$115,000		None	L	R					
116. Thames & Hudson Royalty Contract	A	Royalty	J	W					
117. AIG Annuity (IRA)	B	Interest	K	T					
118. AIG Annuity (IRA)	A	Interest	J	T					
119. Trust No. 1:	E	Int./Div.	P1	T					

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - Morgan Stanley Active Assets Tax Free Trust									
121. - stocks (H):									
122. - Corning									
123. - Covidien									
124. - Exxon Mobil									
125. - Honeywell									
126. - Insteel									
127. - Koninklijke Phillips					Buy (add'l)	6/4/14	J		
128. - Mallinckrodt									
129. - M & T Bank									
130. - Norfolk Southern									
131. - Pfizer									
132. - The ADT Corp.									
133. - Tyco Int'l									
134. - mutual funds (H):									
135. - Federated Floating Rate Strategic Income Fund					Sold	5/29/14	N		
136. - Federated Municipal High Yield Advantage A Fund					Buy	05/29/14	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - bonds (H):									
138. - Acalanes CA High Sch. '24 5.0									
139. - Bridgeport, CT '19 5.5									
140. - CT St Spl Tax '20 3.9									
141. - Lee County FL '19 4.75					Redeemed	2/3/2014	K		
142. - Miami-Dade County FL Sch. Bd. '17 4.0									
143. - Newtown CT '15 3.5					Redeemed	06/16/14	K		
144. - New York NY Prior Issues '17 5.0									
145. - Niskayuna NY School Dist. '17 5.0									
146. - Penn. ST Higher ed. '18 5.0									
147. - Washington State mtr fuel '20 5.0									
148. - Trust No. 2:	G	Int./Div.	P2	T					
149. - brokerage account No. 1 (H):									
150. - Morgan Stanley Active Assets Tax Free Trust									
151. - stocks (H):									
152. - 3M									
153. - Adobe Systems									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Agilent									
155. - American Express									
156. - Automatic Data Processing									
157. - Baxter Int'l									
158. - Becton Dickinson									
159. - Cigna									
160. - Dominion Resources									
161. - Edwards Life Resources									
162. - Emerson Electric									
163. - Express Scripts									
164. - General Mills									
165. - Hillshire Brands					Sold	9/3/14	K	E	
166. - Home Depot									
167. - Intel									
168. - IBM									
169. - McDonalds									
170. - Microsoft									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - Plum Creek Timber									
172. - Procter & Gamble									
173. - RPM Int'l									
174. - Travelers									
175. - T Rowe Price									
176. - bonds (H):									
177. - Bethel CT '17 3.0									
178. - Clark County Nev '17 3.0									
179. - College of Charleston SC									
180. - Cromwell CT '20 4.0									
181. - CT '14 3.3					Matured	11/3/14	K		
182. - CT G.O. '14 4.0					Matured	11/3/14	K		
183. - CT Health & Ed '15 3.5									
184. - CT Health & Ed '17 5.0									
185. - CT Mun. Elec. '16 4.0									
186. - CT Series D '15 3.5									
187. - CT State '14 4.0					Matured	5/1/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - Glastonbury CT '14 3.75					Matured	8/1/14	K		
189. - Groton CT '15 3.75					Matured	10/1/14	K		
190. - Guilford CT '18 3.0									
191. - Harrison NJ '20 3.0									
192. - Hartford County '18 3.5									
193. - Indiana St Fin '19 5.0					Buy	12/19/14	L		
194. - Morgan Stanley Active AssetsTax Free Trust									
195. - Newtown CT '16 2.25									
196. - New York City Trust for Cultural Reserve '21 5.0					Buy	5/29/14	K		
197. - New York ST Urban Dev '19 5.50					Buy	5/30/14	L		
198. - N.Y. Dorm. Auth. '17 5.0									
199. - Plymouth CT '17 3.0					Redeemed	7/15/14	K		
200. - Tampa FL '18 4.0					Buy	7/9/14	K		
201. - South Central CT water '15 4.0									
202. - Southington CT '18 3.5									
203. - UConn '14 3.7					Matured	2/18/14	K		
204. - Univ. Hawaii '16 4.0									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. - Washington Health '23 5.25									
206. - brokerage account No. 2 (H):									
207. - Mongan Stanley, New Haven, CT Money Market Acct.									
208. - stocks (H):									
209. - Abbott Labs									
210. - Abbvie									
211. - Ace Ltd.					Buy (add'l)	9/9/14	J		
212. - Air Products									
213. - American Express					Buy (add'l)	9/9/14	J		
214. - Anheuser Busch					Buy	6/12/14	J		
215. - Apple									
216. - ASML Holding					Buy (add'l)	5/22/14	J		
217. - Automatic Data Processing									
218. - Blackrock									
219. - Caterpillar									
220. - CDN Pacific RY									
221. - Celgene					Buy	10/21/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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222. - Chevron									
223. - Coca-cola									
224. - Comcast									
225. - Conoco									
226. - Diageo									
227. - EOG Resources									
228. - Estee Lauder									
229. - Exxon Mobil									
230. - Franklin Resources									
231. - Freeport McMoran					Sold	10/21/14	J		
232. - General Electric					Sold (part)	2/11/14	J	A	
233. - HSBC					Sold	9/9/14	J	A	
234. - IBM									
235. - Intel									
236. - Intuitive Surgical					Sold	1/29/14	J	A	
237. - Johnson & Johnson									
238. - JP Morgan Chase									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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239. - Kraft Food Group									
240. - McDonalds					Sold (part)	9/9/14	J	A	
241. - Merck									
242. - Mondelez									
243. - Nestle									
244. - News Corp.									
245. - Novartis									
246. - Novo-Nordisk									
247. - Occidental Petroleum									
248. - Oracle									
249. - Pepsico									
250. - Phillip Morris									
251. - Phillips 66									
252. - Praxair									
253. - Procter & Gamble					Buy (add'l)	2/14/12	J		
254. - Qualcomm									
255. - Roche Holding									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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256. - Royal Dutch					Sold (part)	4/4/14	J	A	
257. - Sabmiller									
258. - State St. Corp.					Buy (add'l)	9/9/14	J		
259. - Target					Buy (add'l)	5/22/14	J		
260.					Sold (part)	6/12/14	J		
261. - Texas Instruments									
262. - Time Warner									
263. - Total									
264. - Twenty-first Century Fox									
265. - Union Pacific					Buy	1/29/14	J		
266. - United Technologies									
267. - Walgreen									
268. - Wal-Mart									
269. - Walt Disney									
270. - Wells Fargo									
271. - Xilinx									
272. - exchange traded and closed end funds (H):									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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273. - Alerian MLP Exchange Traded Fund					Buy	5/22/14	K		
274. - Powershares Fundamental Pure Mid Growth Fund									
275. - Powershares Fundamental Pure Mid Value Fund									
276. - SPDR Dow Jones Wilshire Int'l Real Est. Fund									
277. - SPDR Nuveen Barclays Fund									
278. - Vanguard TTL World Stk Index Fund									
279. - mutual funds (H):									
280. - Artisan Mid Cap Fund									
281. - Brandes Emerging Markets I Fund					Buy	6/6/14	K		
282. - Calamos Market Neutral Fund					Sold	5/22/14	K	B	
283. - Cohen & Steers Realty Fund									
284. - Davis NY Venture Fund									
285. - Franklin Conv. Sec. Adv. Fund					Buy (add'l)	5/22/14	J		
286. - Invesco Premier Tx Exempt Inst. Fund									
287. - Ivy Global Nat. Res. I Fund					Sold	5/22/14	J	A	
288. - JP Morgan Dynamic Sm Cp Gr Sel Fund									
289. - Legg Mason WA Emerg. Mkt. Debt I Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Newman, Jon O.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. - Lord Abbett Bond Deb. F Fund					Sold	5/22/14	K	A	
291. - Mainstay Large Cap Grw I Fund									
292. - Mainstay Marketfield I Fund					Buy	5/22/14	K		
293. - Nuveen limited term muni bond I Fund									
294. - Nuveen Tradewinds Emerg. Mkts. I Fund					Buy (add'l)	1/14/14	J		
295.					Sold	6/6/14	K		
296. - Oppenheier Int'l Bond Y Fund									
297. - Prudential Jennison Mid Cap GW Z Fund									
298. - Royce Premier Inv Fund									
299. - Trust No. 3:	F	Int./Div.	P1	T					
300. - brokerage account No. 1 (H):									
301. - Morgan Stanley Active Assets Tax Free Trust									
302. - stocks (H):									
303. - Air Products									
304. - Apache									
305. - Apple									
306. - Danaher									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

307. - Johnson & Johnson									
308. - bonds (H):									
309. - Bay County FL School '18 3.5									
310. - CT Higher Ed. '17 3.85					Redeemed (part)	4/11/14	J		
311. - CT ST '14 3.0					Matured	2/3/14	K		
312. - Greece NY '15 5.0									
313. - Glastonbury '14 3.75					Matured	8/1/14	K		
314. - Griswold '18 3.0									
315. - Henderson Nev. '15 5.0									
316. - Marlborough CT '16 4.0									
317. - N.J. Transp. '17 5.0									
318. - San Buenaventura CA water '17 4.0									
319. - Southington CT '18 3.5									
320. - UConn '14 3.7					Matured	2/18/14	K		
321. - brokerage account No. 2 (H):									
322. - Morgan Stanley Active Assets Tax Free Trust									
323. - stocks (H):									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

324. - AIA Group					Buy (add'l)	10/16/14	J		
325. - Air Liquide									
326. - Allianz									
327. - American Express					Sold (part)	2/27/14	J	B	
328.					Sold (part)	3/7/14	J	A	
329.					Buy (add'l)	4/11/14	J		
330. - Anheuser Busch									
331. - Annaly Capital Mgmt					Buy	1/2/14	J		
332. - Arm Holdings									
333. - Atlas Copco									
334. - Baidu					Sold (part)	1/14/14	J	B	
335. - Banco Bilbao									
336. - Barrick Gold									
337. - Baxter Int'l									
338. - Bayerische Motore Werke									
339. - Becton					Sold (part)	2/27/14	J	A	
340.					Sold	10/22/14	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000 J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000 K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000 L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000 M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341. - Bed, Bath & Beyond					Buy	3/7/14	J		
342. - BG Group					Buy (add'l)	8/6/14	J		
343. - Bunge					Sold (part)	1/14/14	J	A	
344. - Canadian National Ry.									
345. - Charles Schwab					Sold	8/25/14	J	C	
346. - Chevron					Buy (add'l)	6/11/14	J		
347. - Chubb									
348. - Cisco									
349. - Cochlear					Sold	9/24/14	J		
350. - Conoco									
351. - CSL Ltd.									
352. - CVS									
353. - Dassault Systems									
354. - DBS Group									
355. - Devon					Sold (part)	6/11/14	J	B	
356.					Sold	10/22/14	J	A	
357. - Diamond Offshore Drilling					Buy	12/10/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

358. - Dr. Pepper					Sold (part)	8/26/14	J	B	
359. - Ebay					Buy	5/14/14	J		
360. - Enn Energy					Buy	1/17/14	J		
361.					Sold	11/21/14	J		
362. - Exelon					Sold (part)	4/28/14	J		
363.					Sold (part)	5/1/14	J		
364. - Express Scripts					Buy (add'l)	6/19/14	J		
365. - Exxon Mobil									
366. - Fanuc Ltd.									
367. - Fresenius Medical Care									
368. - Fuchs Petrolub					Buy	9/24/14	J		
369. - Gazprom					Sold	3/7/14	J		
370. - Glaxo Smith Kline									
371. - Honda					Buy	11/28/14	J		
372. - Hong Kong Exchanges & Clearing									
373. - HSBC					Sold	6/6/14	J	A	
374. - Hoya					Sold	6/6/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. - Icici Bank									
376. - Imperial Oil					Buy (add'l)	3/14/14	J		
377. - Itau Unibanco					Buy (add'l)	6/12/14	J		
378.					Sold (part)	10/10/14	J		
379. - JGC									
380. - Johnson & Johnson					Sold (part)	3/7/14	J		
381. - Linde AG					Buy	10/15/14	J		
382. - L'Oreal									
383. - LVMH Moet									
384. - Mack Cali Realty									
385. - Medtronic					Sold (part)	2/27/14	J	A	
386.					Sold (part)	11/7/14	J	A	
387. - Microsoft					Sold (part)	2/25/14	J	A	
388.					Sold (part)	11/7/14	J	A	
389. - Mitsubishi									
390. - Molson					Sold (part)	1/20/14	J	B	
391. - Monotaro									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. - MTN Group					Buy (add'l)	3/7/14	J		
393.					Buy (add'l)	5/16/14	J		
394. - Nestle									
395. - Newmont									
396. - Nokian Tyres					Sold	3/17/14	J		
397. - Northrop					Sold	8/26/14	J	C	
398. - Novo Nordisk					Buy (add'l)	3/3/14	J		
399.					Buy (add'l)	8/29/14	J		
400. - Pepsico									
401. - Petroleo ADS					Sold	1/14/14	J	B	
402. - PNC Financial									
403. - Procter & Gamble									
404. - Roche									
405. - Sands China Ltd.					Buy	2/17/14	J		
406.					Buy (add'l)	5/16/14	J		
407. - SAP Ag					Sold (part)	5/15/14	J	A	
408.					Buy (add'l)	8/29/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. - Sasol									
410. - Schlumberger									
411. - Schneider									
412. - Shire PLC					Buy	10/17/14	J		
413. - Sigma-Aldrich					Sold	2/21/14	J	B	
414. - Sonova									
415. - Southern Co.					Buy	1/2/14	J		
416.					Sold	4/28/14	J	A	
417. - Southwestern Energy					Buy	10/22/14	J		
418. - Suntrust									
419. - Svenska									
420. - Swatch									
421. - Symrise					Buy	6/9/14	J		
422.					Buy (add'l)	6/10/14	J		
423. - Sysmex									
424. - Taiwan Semiconductor					Buy (add'l)	7/16/14	J		
425. - Target					Sold (part)	11/25/14	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. - Tesco					Sold	8/7/14	J		
427. - Torchmark									
428. - T Rowe Price					Buy	11/6/14	J		
429. - Travelers									
430. - Türkiye Garanti Bankası									
431. - US Bancorp									
432. - Unicharm									
433. - Unilever NV NY									
434. - Wal-Mart					Buy (add'l)	5/19/14	J		
435. - Wells Fargo					Sold (part)	2/27/14	J	A	
436. - WPP PLC									
437. - Xinyi Glass					Buy (add'l)	1/7/14	J		
438.					Sold (part)	1/16/14	J	A	
439. - Exchange Traded & Closed End Funds (H):									
440. - iShares MSCI Small Cap Fund									
441. - Mutual Funds (H):									
442. - CRM Small Cap Value Inst. Fund									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443. - Franklin Fed. Intm. Trm. Tx. Fr. Adv. Fund									
444. - Gabelli Equity Fund									
445. - Invesco Premier Fund									
446. - Lord Abbett Conv. Fund									
447. - Lord Abbett Emerg. Mkts. Curr.Fund									
448. - Nuveen Ltd. Term Mun. Bond Fund									
449. - Oakmark Int'l Fund									
450. - Oppenheimer Int'l Bond Fund									
451. - Pimco Real Return Fnd									
452. - Thornburg Int'l Fund									
453. -Tweedy Browne Fund									
454. - Trust No. 4:	E	Int./Div.	P1	T					
455. - brokerage account No. 1 (H):									
456. - Morgan Stanley Active Assets Tax Free Trust									
457. - stocks (H):									
458. - Apple									
459. - Automatic Data Processing									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460. - Danaher									
461. - Ecolab									
462. - Exxon Mobil									
463. - Nike Class B									
464. - United Technologies									
465. - bonds (H):									
466. - Glastonbury CT '14 3.75					Matured	8/1/14	K		
467. - Kentucky St Prop '19 4.0									
468. - N.J. St Transp. '17 5.75									
469. - NYC Transition Fin. '16 5.0									
470. - Philadelphia PA Sch. '14 5.0					Matured	8/1/14	K		
471. - Phoenix AZ Civic Impt. '15 4.0									
472. - Southington CT '18 35									
473. - UConn '14 3.7					Matured	2/18/14	K		
474. - U.S. Treasury '18 9.0									
475. - brokerage account No. 2 (H):									
476. - Morgan Stanley Active Assets Tax Free Trust									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477. - stocks (H):									
478. - Advance Auto Parts					Sold (part)	2/26/14	J	A	
479.					Sold	5/6/14	J	A	
480. - AIA Group					Buy (add'l)	10/16/14	J		
481. - Air Liquide									
482. - Allianz									
483. - AMC									
484. - Anadarko Petroleum									
485. - Anheuser-Busch									
486. - Anasys									
487. - Arm Holdings									
488. - Atlas Copco									
489. - Autodesk									
490. - Baidu					Sold (part)	1/14/14	J	A	
491. - Banco Bilbao									
492. - Bank of Hawaii					Buy	3/27/14	J		
493. - Bayerische Motoren Werke									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

494. - BG Group					Buy (add'l)	8/11/14	J		
495.					Buy (add'l)	12/5/14	J		
496. - Biogen									
497. - Broadcom									
498. - Bunge Ltd.					Sold (part)	7/14/14	J		
499. - Cablevision					Buy (add'l)	1/11/14	J		
500. - Canadian Nat. Ry.									
501. - Carbo Ceramics					Buy	8/19/14	J		
502.					Sold	10/8/14	J		
503. -CDW					Buy	12/4/14	J		
504. - Church & Dwight									
505. - Citrix									
506. - Clarcor									
507. - Cochlear					Sold	9/24/14	J		
508. - Cohen & Steers					Sold (part)	8/21/14	J	A	
509. - Comcast									
510. - Copart					Sold (part)	1/24/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

511. - Core Labs					Buy (add'l)	6/10/14	J		
512. - Covidien									
513. - Cree									
514. - CSL									
515. - Dassault									
516. - DBS									
517. - Directv									
518. - Dolby									
519. - Donaldson									
520. - Dresser-Rand									
521. - Eaton Vance					Sold	11/10/14	J	B	
522. - Enn Energy					Buy	1/17/14	J		
523.					Sold	11/21/14	J		
524. - Equifax					Buy (add'l)	6/6/14	J		
525. - Expeditors Int'l									
526. - Factset Research					Sold (part)	12/4/14	J	A	
527. - Fanuc									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

528. - Fluor									
529. - Forest Labs					Sold	7/1/14	J	C	
530. - Freeport McMoran									
531. - Fresenius									
532. - Fuchs Petrolub					Buy	9/5/14	J		
533. - Gazprom					Sold	3/7/14	J		
534. - Helco					Sold	2/26/14	J	A	
535. - Hittite Microwave					Sold	6/10/14	J	B	
536. - Hong Kong Exchanges & Clearing									
537. - Icici									
538. - Immunogen					Buy (add'l)	6/6/14	J		
539. - Imperial Oil					Buy (add'l)	3/14/14	J		
540. - Isis Pharmaceuticals									
541. - Itau Unibanco					Sold (part)	10/14/14	J		
542. - Jack Henry					Sold (part)	2/11/14	J	A	
543. - JGC									
544. - L'Oreal									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

545. - L3 Comm.									
546. - Landstar					Sold (part)	8/19/14	J	A	
547. - Liberty Interactive Co. Inter A					Sold (part)	10/20/14	J	A	
548. - Liberty Media									
549. - Linde AG					Buy	10/15/14	J		
550. - LVMH Moet									
551. - Micro Systems					Sold	6/24/14	J	B	
552. - Mitsubishi									
553. - Monotaro					Buy (add'l)	1/06/14	J		
554. - Monotype									
555. - MSC Industries					Sold (part)	8/20/14	J	A	
556. - MSCI Inc Com					Buy (add'l)	3/20/14	J		
557. - MTN Group					Buy (add'l)	3/7/14	J		
558. - National Oilwell					Buy (add'l)	6/6/14	J		
559. - Nestle									
560. - Nokian Tyres					Sold	3/17/14	J		
561. - Nordson									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

562. - Novo Nordisk					Buy (add'l)	3/3/14	J		
563. - NOW Inc.					Buy	6/6/14	J		
564.					Buy (add'l)	11/19/14	J		
565. - Nuance Comm.					Buy	3/14/14	J		
566.					Buy (add'l)	3/24/14	J		
567.					Buy (add'l)	10/6/14	J		
568. - Nucor									
569. - Pall									
570. - Pentair									
571. - Petroleo SA ADS					Sold	1/14/14	J		
572. - RBC Bearings					Buy (add'l)	8/25/14	J		
573. - Roche									
574. - Ross Stores					Buy (add'l)	8/6/14	J		
575.					Sold (part)	12/4/14	J	A	
576. - Sandisk									
577. - Sands China					Buy	2/27/14	J		
578.					Buy (add'l)	5/16/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
579. - SAP AG					Sold (part)	5/15/14	J	A	
580.					Buy (add'l)	8/29/14	J		
581. - Sasol									
582. - Schlumberger									
583. - Schneider									
584. - Seagate Tech.									
585. - Shire PLC					Buy	10/17/14	J		
586. - Signature Bank					Buy	11/10/14	J		
587. - Sirona					Buy (add'l)	3/20/14	J		
588. - Snap-on									
589. - Sonova									
590. - Starz Liberty Cap Ser. A									
591. - Svenska									
592. - Swatch									
593. - Symrise					Buy	6/9/14	J		
594.					Buy (add'l)	6/10/14	J		
595. - Sysmex									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
596. - Taiwan Semiconductor									
597. - TE Connectivity									
598. - Techne					Sold	1/24/14	J	A	
599. - Tesco					Sold	8/7/14	J		
600. - The ADT Corp.									
601. - Towers Watson					Buy	8/1/14	J		
602. - Turkiye Garanti									
603. - Tyco Int'l									
604. - Unicharm									
605. - Unilever									
606. - United Health									
607. - Vertex									
608. - Wabco Holdings									
609. - Weatherford Int'l									
610. - Winmark					Buy	9/25/14	J		
611. - Wolverine					Buy (add'l)	7/24/14	J		
612. - World Fuel					Sold	2/20/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
613. - WPP									
614. - Xinyi Glass					Buy (add'l)	1/6/14	J		
615. - Exchange Traded Closed End Funds (H):									
616. - Ishares MSCI Fund									
617. - Ishares Russell 3000 Fund									
618. - Mutual funds (H):									
619. - Alliance Bernstein Global Fund									
620. - Brandes Emerging Markets I Fund					Buy	6/6/14	K		
621. - Columbia Acorn Fund									
622. - Franklin Tax Free Fund									
623. - Invesco Premier Tax Exempt									
624. - Lateef Fund									
625. - Lord Abbett Conv. Fund									
626. - Lord Abbett Emer. Mkts. Fund									
627. - Nuveen Tradewinds Fund					Sold	6/6/14	K		
628. - Pimco Real Return Fund									
629. - TCW Total Return Bond Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
630. - (S) IRA consisting of Stocks and Corporate Bonds:	E	Int./Div.	P1	T					
631. - brokerage account No. 1 (H):									
632. - Citibank Bank Deposit									
633. - corporate bonds (H):									
634. - Bank of America '16 5.750									
635. - Bell South '16 5.2					Redeemed	7/15/14	K	C	
636. - Caterpillar '15 4.750									
637. - GE Capital '14 4.875					Matured	6/9/14	K		
638. - Lowes '15 5.0									
639. - municipal bonds (H):									
640. - Ill G.O. '15 4.421									
641. - brokerage account No. 2 (H):									
642. - Morgan Stanley, New Haven, CT liquid asset fund									
643. - stocks (H):									
644. - Aflac					Sold	6/12/14	J	A	
645. - AIA Group					Buy (add'l)	10/21/14	J		
646. - Air Liquide									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
647. - Allianz									
648. - Allstate									
649. - American Airlines Group					Buy	5/27/14	J		
650.					Sold	6/12/14	J		
651. - American Electric Power					Buy	6/12/14	J		
652. - Ameriprise Financial					Buy	6/12/14	J		
653. - Anadarko Petroleum					Sold	6/12/14	J	A	
654. - Anheuser Busch									
655. - Anthem									
656. - Apple					Sold	6/12/14	J	A	
657. - Arm Holding									
658. - AstraZeneca					Sold	6/12/14	J	A	
659. - Atlas Copco									
660. - AT&T					Buy	3/11/14	J		
661.					Buy (add'l)	6/12/14	J		
662. - BB&T					Buy	1/7/14	J		
663.					Sold	6/12/14	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

664. - Baidu					Sold (part)	1/14/14	J	A	
665. - Banco Bilbao									
666. - Bank of America					Sold	6/12/14	J	A	
667. - Bank of NY Mellon					Sold	6/12/14	J	A	
668. - Barrick Gold					Buy	6/12/14	J		
669.					Sold (part)	12/26/14	J		
670.					Buy (add'l)	12/16/14	J		
671. - Bayerische Motoren Werke									
672. - Baxter Int'l					Buy	6/12/14	J		
673.					Buy (add'l)	11/5/14	J		
674. - BG Group					Buy (add'l)	12/5/14	J		
675. - BHP Billiton					Sold (part)	6/12/14	J		
676. - Blackrock					Sold	1/7/14	J	A	
677. - BP PLC					Sold	5/21/14	J	A	
678. - Broadcom					Sold	6/12/14	J	A	
679. - Bunge									
680. - CA Inc.					Buy (add'l)	6/12/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
681. - Canadian National Ry.									
682. - Caterpillar					Sold	6/12/14	J	A	
683. - Chesapeake Energy					Sold	6/12/14	J	A	
684. - Chevron					Buy	6/12/14	J		
685.					Buy (add'l)	12/16/14	J		
686. - Cisco					Sold (part)	6/12/14	J	A	
687. - Cochlear					Sold	9/24/14	J	A	
688. - Conoco Phillips					Buy (add'l)	6/12/14	J		
689.					Buy (add'l)	12/16/14	J		
690. - Corning					Sold	6/12/14	J	A	
691. - CSL									
692. - Dassault Systems									
693. - DBS Group									
694. - Devon Energy					Sold	6/12/14	J		
695. - Dupont					Buy	6/12/14	J		
696. - Eaton					Sold	3/11/14	J	A	
697. - Enn Energy Holdings					Buy	1/15/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
698.					Buy (add'l)	6/12/14	J		
699.					Sold	11/21/14	J		
700. - Fanuc									
701. - FedEx					Sold	6/12/14	J	A	
702. - Fifth Third Bank					Sold (part)	6/12/14	J	A	
703. - Ford Motor					Buy (add'l)	6/12/14	J		
704.					Buy (add'l)	12/16/14	J		
705. - Freeport-McMoran					Buy	12/29/14	J		
706. - Fresenius									
707. - Fuchs Petrolub					Buy	3/19/14	J		
708.					Buy (add'l)	9/4/14	J		
709.					Buy (add'l)	12/16/14	J		
710. - Gazprom					Sold	3/7/14	J		
711. - General Electric					Sold (part)	6/12/14	J	A	
712. - GlaxoSmithKline					Buy	6/12/14	J		
713.					Buy (add'l)	12/16/14	J		
714. - Goldman Sachs					Sold	6/12/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
715. - Halliburton					Sold (part)	5/8/14	J	A	
716.					Sold	6/12/14	J	A	
717. - Harris Corp. Delaware									
718. - Hartford Fin. Serv.					Sold	6/12/14	J	A	
719. - Hewlett Packard					Sold	6/12/14	J	A	
720. - Hong Kong Exchanges & Clearing									
721. - IBM					Sold	6/12/14	J		
722. - Icici Bank									
723. - Imperial Oil					Buy (add'l)	3/14/14	J		
724.					Buy (add'l)	12/16/14	J		
725. - Intel					Buy	6/12/14	J		
726.					Sold (part)	8/27/14	J	A	
727. - International Game Tech.					Sold	5/27/14	J		
728. - International Paper					Buy	6/12/14	J		
729. - Itau					Sold (part)	10/14/14	J		
730. - JGC									
731. - Johnson & Johnson					Buy	6/12/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
732. - JP Morgan Chase					Buy (add'l)	6/12/14	J		
733. - Keycorp					Sold	6/12/14	J	A	
734. - Kohls					Sold	6/12/14	J	A	
735. - Lockheed					Buy	6/12/14	J		
736.					Sold	11/5/14	J	A	
737. - L'Oreal									
738. - L-3 Communications					Sold	6/12/14	J	A	
739. - Linde AG					Buy	10/15/14	J		
740. - LVMH Moet									
741. - Macy's					Buy	9/2/14	J		
742. - Mattel					Buy	12/8/14	J		
743.					Buy (add'l)	12/23/14	J		
744. - Marathon Oil					Buy	6/12/14	J		
745.					Sold	12/18/14	J		
746. - Merck					Buy	6/12/14	J		
747. - Metlife					Buy (add'l)	6/12/14	J		
748. - Microsoft					Sold (part)	6/12/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
749.					Sold	10/27/14	J	A	
750. - Mitsubishi									
751. - Molson Coors					Buy	6/12/14	J		
752.					Sold	9/15/14	J	A	
753. - Monotaro									
754. - MTN Group					Buy (add'l)	3/7/14	J		
755.					Buy (add'l)	5/16/14	J		
756. - Navient					Buy	6/12/14	J		
757.					Buy (add'l)	8/5/14	J		
758. - Nestle									
759. - Newmont Mining					Sold	6/12/14	J		
760. - Nokian Tyres					Sold	3/17/14	J		
761. - Norfolk Southern					Buy	6/12/14	J		
762. - Northrop Grumman					Buy	6/12/14	J		
763. - Novo Nordisk					Buy (add'l)	3/3/14	J		
764.					Buy (add'l)	8/29/14	J		
765. - Occidental Petroleum					Buy	6/12/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
766.					Sold (part)	12/24/14	J		
767. - Petroleo SA ADS					Sold	1/14/14	J		
768. - Pfizer					Buy	6/12/14	J		
769. - PNC Financial									
770. - Public Service Enterprise					Buy	9/15/14	J		
771. - Quest Diagnostics					Sold	6/12/14	J	A	
772. - Roche									
773. - Royal Dutch Shell					Buy	6/12/14	J		
774.					Buy (add'l)	12/16/14	J		
775. - Sands China Ltd					Buy	2/27/14	J		
776.					Buy (add'l)	5/16/14	J		
777. - SAP					Sold (part)	5/15/14	J	A	
778.					Buy (add'l)	8/29/14	J		
779. - Sasol					Buy (add'l)	12/26/14	J		
780. - Schlumberger									
781. - Schneider Elec.									
782. - Seadrill Ltd.					Buy	5/21/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
783.					Sold	6/12/14	J	A	
784. - Shire PLC					Buy	10/17/14	J		
785. - SLM Corp/					Buy	6/12/14	J		
786.					Sold	8/5/14	J		
787. - Sonova									
788. - St. Jude					Sold	6/12/14	J	A	
789. - Staples					Sold	6/12/14	J		
790. - Suntrust					Sold	6/12/14	J	A	
791. - Svenska									
792. - Swatch									
793. - Symantec					Buy	10/24/14	J		
794.					Buy (add'l)	11/5/14	J		
795. - Symrise					Buy	6/10/14	J		
796. - Sysmex									
797. - Taiwan Semiconductors					Buy (add'l)	1/14/14	J		
798. - Tesco					Sold	8/7/14	J		
799. - Teva Pharmaceuticals					Sold	6/12/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
800. - Thermo-Fisher					Sold	6/12/14	J	A	
801. - Total					Buy	6/12/14	J		
802.					Buy (add'l)	12/16/14	J		
803. - Travelers					Buy	6/12/14	J		
804. - Turkiye									
805. - Unicharm									
806. - Unilever PLC									
807. - Valero Energy					Sold	6/12/14	J	A	
808. - Verizon					Buy	6/12/14	J		
809. - Walmart					Buy	6/12/14	J		
810. - Wellpoint					Buy	6/12/14	J		
811. - Wells Fargo					Buy (add'l)	6/12/14	J		
812. - WPP									
813. - Xerox					Buy	6/12/14	J		
814. - Xinyi Glass					Sold (part)	1/16/14	J	A	
815.					Buy (add'l)	6/12/14	J		
816. - Yamana					Sold	6/12/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
817. - Exchange Traded and Closed End Funds (H):									
818. - Ishares Barclays 7-10 Year Treasury Fund									
819. - Ishares MSCI EAFE Index Fund									
820. - Ishares Russell 1000 Index Fund									
821. - Ishares Russell 1000 Value Fund									
822. - Ishares Russell 3000 Fund									
823. - Ishares TIPS Bond Fund					Buy (add'l)	5/22/14	K		
824. - SPDR DJ Wilshire Int'l Real Estate					Buy	5/21/14	K		
825. - Vanguard Utilities Fund									
826. - Mutual Funds (H):									
827. - Cohen & Steers Pref. Sec. & Inc. I					Buy	5/21/14	K		
828. - Eaton Vance Floating Rate Fund					Sold	5/21/14	L	A	
829. - Invesco AIM ATST Premier									
830. - Invesco Mid Cap Core Equity Fund					Buy (add'l)	12/16/14	J		
831. - JP Morgan Highbridge Statistical Market Neutral Fund					Sold	5/21/14	K		
832. - Legg Mason Western Asset Emerging Markets Fund					Buy (add'l)	12/16/14	J		
833. - Mainstay Marketfield I					Buy	5/21/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
834. - Oppenheimer Int'l Fund					Buy (add'l)	12/16/14	J		
835. - Pimco Real Return Fund									
836. - Pimco Total Return Fund					Sold (part)	5/22/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII:

global: all entries have been listed alphabetically within separately numbered brokerage accounts and, within each account, grouped within categories labeled "stocks," "exchange traded and closed end funds," "mutual funds," and "bonds" as permitted according to advice received from committee staff.

Line 253: This stock, Procter & Gamble, was inadvertently listed on the 2013 report as sold; it was in fact sold in part. Therefore it is listed on this report.

line 437: This stock, Xinyi Glass, was inadvertently listed on the 2013 report as sold; it was in fact sold in part. Therefore it is listed on this report.

Line 614:: This stock, Xinyi Glass, was inadvertently listed on the 2013 report as sold; it was in fact sold in part. Therefore it is listed on this report.

Line 655: This listing for Anthem resulted from a change of name by Wellpoint, which is listed on line 810.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Jon O. Newman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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