

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Niemeyer, Paul V.	2. Court or Organization Court of Appeals - Fourth Circuit	3. Date of Report 05/08/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 910 United States Courthouse 101 West Lombard Street Baltimore, Maryland 21201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Partner with L. A. Noonberg	Ownership of vacation home for personal use
2. Visiting Instructor - Fall Semester	Duke University Law School, Durham, North Carolina
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	See Part VIII (1-2)
2.	
3.	
4.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. Entire Yr.	LexisNexis Matthew Bender, Albany, New York	\$5,805.58
2. Fall	Duke University, Durham, North Carolina	\$4,500.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Northwestern Univ. Law School	3/8-3/9	Chicago, Illinois	Moot Court	Transportation, food, and lodging
2. Duke University Law School	11/18-11/19	Durham, North Carolina	Teaching	Some food and lodging
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. T. Rowe Price Prime Reserve (money market account)	A	Interest	J	T					See Part VIII (3)
2. Ray Road Assoc. Ltd. Partnership	E	Dividend			Sold	05/18/13	K	F	See Part VIII (4, 5)
3. P&M Investors Partnership	C	Dividend	J	W					See Part VIII (5)
4. T. Rowe Price Spectrum Growth	D	Dividend	M	T					
5. Timken Co. stock	A	Dividend	J	T					
6. Northwestern Mutual policy '877	C	Dividend	L	T					
7. Northwestern Mutual policy '939	C	Dividend	K	T					
8. Mass. Mutual whole life policy	B	Dividend	K	T					
9. T. Rowe Price Capital Apprec.	E	Dividend	M	T					
10. Vanguard Wellesley	B	Dividend	L	T					
11. Vanguard Wellington	C	Dividend	M	T					
12. Vanguard Total Stock Index	B	Dividend	M	T					
13. Vanguard Growth and Income	B	Dividend	M	T					
14. Vanguard Mid-Cap Index	A	Dividend	L	T					
15. T. Rowe Price Latin America	A	Dividend			Sold	02/15/13	K	B	
16. T. Rowe Price Media & Tel.	C	Dividend	K	T					
17. T. Rowe Price New Horizons	B	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. T. Rowe Price Real Estate	B	Dividend	L	T					
19. T. Rowe Price New Asia	A	Dividend	K	T					
20. Vanguard REIT Index	A	Dividend	J	T	Sold (part)	10/16/13	J		
21. Apple, Inc. stock	A	Dividend	J	T					
22. KKR Financial Holdings	A	Dividend			Sold	08/19/13	J	A	
23. Kinder Morgan Management	A	Dividend			Sold	08/19/13	J	A	
24. Southern Co.	A	Dividend			Sold	08/19/13	J		
25. M&T Bank Account (Y)									
26. T. Rowe Price Global Allocat. (X)	A	Dividend	J	T	Buy	06/15/13	J		
27. T. Rowe Price Health Sciences (X)	A	Dividend	J	T	Buy	05/25/13	J		
28. Medivation, Inc. stock (X)		None	J	T	Buy	04/26/13	J		

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Part II. 1984, now with LexisNexis Matthew Bender, Albany, New York -- Royalty agreement with co-author Linda M. Schuett and with Joyce Smithey on sale of book.
2. Part II. Duke University, Durham, North Carolina -- Paid to teach appellate advocacy course in the fall semester.
3. This account is used as my bank account for proceeds of investment sales and purchases.
4. My deficit capital position resulted in recapture and imputed income of this amount.
5. Part VII, Nos. 2 and 3 -- These tax shelters, involving multiple properties, are believed to have economic value, but I have a negative capital position in them, and their value cannot be known until the properties are sold.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Paul V. Niemeyer**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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