

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Davison, Paul E.	2. Court or Organization US District Court, SDNY	3. Date of Report 4/20/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (full time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 300 Quarropas Street White Plains, New York 10601		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust #1
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2014

- Wages

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		Tuition and Fees	None
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. JP Morgan Chase Bank Account		None	J	T					
2. Citibank Bank Accounts		None	J	T					
3. Aberdeen Asia Pac Income (FAX)	B	Int./Div.	K	T	Buy (add'l)	08/18/14	K		
4.					Buy (add'l)	11/25/14	K		
5. Allergan Inc (AGN)	A	Dividend	K	T					
6. Black Rock A Intl Index (MDIIX)	A	Int./Div.	L	T					
7. Columbia A Small Cap Index (NMSAX)	C	Int./Div.	L	T					
8. Consolidated Edison (ED)	A	Dividend	K	T					
9. DFA Emerging Markets Core Equity (DFCEX)	A	Int./Div.	K	T					
10. DFA Global Real Estate Sec (DFGEX)	A	Int./Div.	K	T					
11. Disney (DIS)	A	Dividend	L	T					
12. DoubleLine I Total Return Bond (DBLTX)	C	Int./Div.	K	T	Buy (add'l)	01/08/14	K		
13.					Buy (add'l)	04/24/14	K		
14.					Sold (part)	10/16/14	K		
15. Dreyfus S&P 500 Index (PEOPX)	D	Int./Div.	M	T	Buy	02/27/14	K		
16.					Buy (add'l)	04/02/14	K		
17.					Buy (add'l)	05/21/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$250,001 - \$500,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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18.					Buy (add'l)	06/30/14	K		
19.					Buy (add'l)	07/17/14	J		
20.					Buy (add'l)	08/18/14	J		
21.					Buy (add'l)	12/26/14	K		
22. Fidelity Adv A Freedom Income (FAFAX)	C	Int./Div.			Sold (part)	04/02/14	K		
23.					Sold (part)	05/21/14	J		
24.					Sold (part)	06/30/14	K		
25.					Buy (add'l)	07/17/14	J		
26.					Sold	11/25/14	L		
27. Fidelity Cash Reserve (Qual) (FDRXX)	A	Int./Div.	K	T					
28. Fidelity Equity Income (FEQIX)	A	Int./Div.	J	T	Sold (part)	12/02/14	J		
29. Fidelity New York Municipal Money Market (FNYXX)	A	Int./Div.	J	T					
30. Fidelity Retirement Money Market (FRTXX)	A	Int./Div.	L	T					
31. Fidelity Spartan Emerging Markets Index Advantage (FPMAX)	A	Int./Div.	K	T	Buy	02/27/14	J		
32.					Buy (add'l)	08/18/14	J		
33.					Buy (add'l)	10/16/14	K		
34. Franklin High Income A (FHAIX)	A	Int./Div.	K	T	Sold (part)	01/08/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35.					Buy (add'l)	11/25/14	K		
36. Glaxosmithkline PLC ADR (GSK)	A	Dividend	J	T					
37. iShares Barclays 7-10 Yr Treasury Bond (IEF)	A	Int./Div.			Sold	05/21/14	K		
38. iShares Core MSCI Emerging Markets (IEMG)	A	Int./Div.	K	T	Buy (add'l)	01/08/14	J		
39.					Buy (add'l)	04/02/14	J		
40.					Sold (part)	10/16/14	J		
41. iShares iBoxx High Yield Corp Bd (HYG)	A	Int./Div.			Sold	04/24/14	J		
42. iShares MSCI EAFE Index (EFA)	A	Int./Div.			Sold	12/12/14	J		
43. iShares MSCI EAFE Small Cap Index (SCZ)	A	Int./Div.	J	T	Buy (add'l)	01/08/14	J		
44.					Buy (add'l)	10/16/14	J		
45.					Sold (part)	12/02/14	J		
46. iShares MSCI Emerging Markets Index (EEM)	A	Int./Div.			Sold	10/16/14	J		
47. iShares S&P 500 Index (IVV)	A	Int./Div.			Buy	04/02/14	J		
48.					Sold	10/16/14	J		
49. iShares S&P Global Timber & Forestry Index (WOOD)	A	Int./Div.	K	T					
50. Johnson & Johnson (JNJ)	C	Int./Div.	M	T					
51. Nuveen Build Amer Bd Fd (NBB)	B	Int./Div.	L	T	Buy	01/08/14	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52.					Buy (add'l)	09/26/14	K		
53.					Buy (add'l)	11/25/14	K		
54. Nuveen Build America (NBD)	C	Int./Div.	L	T	Buy (add'l)	05/21/14	K		
55.					Buy (add'l)	11/19/14	K		
56. PIMCO Build America Bond Strategy (BABZ)	A	Int./Div.			Buy	02/27/14	K		
57.					Sold	08/20/14	L		
58. PIMCO Total Return Instl (PTTRX)	A	Int./Div.			Sold	05/21/14	K		
59. Powershares Build America Bond (BAB)	C	Int./Div.	L	T	Buy (add'l)	04/02/14	J		
60.					Sold (part)	10/16/14	J		
61. SPDR Barclays Capital Short Term High Yield Bond (SJNK)	A	Int./Div.			Sold	04/02/14	J		
62. SPDR Barclays Municipal (TFI)	B	Int./Div.	K	T					
63. SPDR Blackstone GSO Sr Loan (SRLN)	A	Int./Div.			Buy	01/08/14	K		
64.					Sold	04/24/14	K		
65. SPDR S&P Emerging Markets Small Cap (EWX)	A	Int./Div.	J	T					
66. SPDR Series Trust (BABS)	A	Int./Div.	K	T					
67. T Rowe Price Income R (RRTIX)	A	Int./Div.	J	T	Buy	12/26/14	J		
68. TCW I Total Return Bond (TGLMX)	B	Int./Div.	L	T	Buy (add'l)	06/30/14	K		

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69. TCW N Total Return Bond (TGMNX)		None			Sold	01/08/14	J		
70. Vanguard High Yield Corporate- Admiral (VWEAX)	C	Int./Div.			Sold (part)	02/27/14	L		
71.					Sold (part)	08/18/14	K		
72.					Sold	11/25/14	K		
73. WisdomTree Dreyfus New Zealand Dollar (AUNZ)	A	Int./Div.			Sold	06/30/14	K		
74. Zimmer Holdings Inc (ZMH)	A	Dividend	L	T					
75. New York 529 Inflation Protected Securities Portfolio		None	K	T	Sold (part)	06/14/14	J		
76.					Sold (part)	07/14/14	J		
77.					Buy	11/14/14	J		
78.					Sold (part)	12/14/14	J		
79. TRUST #1									
80. -Aberdeen Asia Pac Income (FAX)	C	Int./Div.	K	T	Buy (add'l)	10/16/14	K		
81.					Sold (part)	12/31/14	K		
82. -DoubleLine I Total Return Bond (DBLTX)	B	Int./Div.	L	T					
83. -Fidelity New York Municipal Income (FTFMX)	B	Int./Div.	L	T					
84. -Fidelity New York Municipal Money Market (FNYXX)	A	Int./Div.	L	T					
85. -Fidelity Spartan 500 Index Advantage (FUSVX)	B	Int./Div.	M	T					

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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86. -Fidelity Spartan Extended Market Index Adv (FSEVX)	A	Int./Div.	K	T					
87. -Fidelity Spartan Tax-Free Bond (FTABX)	B	Int./Div.	K	T					
88. -Fidelity Spartan Total Market Index - Adv (FSTVX)	C	Int./Div.	M	T					
89. -iShares MSCI EAFE Index (EFA)	D	Int./Div.	M	T					
90. -iShares MSCI Emerging Markets Index (EEM)	C	Int./Div.	M	T					
91. -iShares Russell 1000 Index (IWB)	A	Int./Div.	K	T					
92. -iShares S&P 500 Index (IVV)	A	Int./Div.	L	T	Buy (add'l)	10/16/14	K		
93. -iShares S&P Global Timber & Forestry Index (WOOD)	A	Int./Div.	L	T					
94. -JP Morgan Tax Aware Real Return - Instl (TXRIX)	B	Int./Div.	L	T					
95. -Nuveen Energy MLP Total Return (JMF)	C	Int./Div.	L	T					
96. -Nuveen NY Municipal Dividend Advantage (NAN)	D	Int./Div.	M	T					
97. -Payden High Income (PYHRX)	B	Int./Div.	K	T					
98. -PIMCO 7-15 Year US Treasury Index (TENZ)	A	Int./Div.			Buy	04/22/14	K		
99.					Sold	10/16/14	K		
100. -Plum Creek Timber Co (PCL)	A	Dividend	J	T					
101. -Potlatch Corp (PCH)	A	Dividend	K	T					
102. -Puerto Rico Comwlth Pub Impt-Ser A 5.25% 7/1/2024 (7451455A6)	A	Interest	J	T					

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103. -Puerto Rico Pub Bldgs AuthSer P 6.25% 7/1/2026 (745235L58)	A	Interest	J	T					
104. -S&P Dep Receipts (SPY)	A	Int./Div.	K	T					
105. -SPDR Barclays Municipal (TFI)	B	Int./Div.	L	T					
106. -SPDR Dow Jones Industrial Average (DIA)	A	Int./Div.	K	T					
107. -TCW Total Return Bond I (TGLMX)	B	Int./Div.	L	T	Buy	01/08/14	L		
108. -US Treasury Nts 1.625% 8/15/2022 (912828TJ9)	A	Interest			Sold	08/08/14	J		
109. -Vanguard FTSE (VSS)	A	Int./Div.	K	T					
110. -Vanguard Short Term Corp Bond (VCSH)	B	Int./Div.	L	T					
111. -WisdomTree Emerging Mkts Small Cap Div (DGS)	B	Int./Div.	K	T					
112.									
113.									
114.									
115.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Davison, Paul E.

Date of Report

4/20/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Davison, Paul E.

Date of Report

4/20/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Paul E. Davison

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544