

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                          |                                                                |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Stone, Jr., William F.                                                                                                                                      | <b>2. Court or Organization</b><br><br>Western District of Virginia                                                                                                                                                                                                                      | <b>3. Date of Report</b><br><br>05/8/2012                      |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>Bankruptcy Judge                                                                                     | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>1/1/2011<br>to<br>12/31/2011 |
| <b>7. Chambers or Office Address</b><br><br>Commonwealth of Va. Building<br>210 Church Ave., SW, Room 209<br>Roanoke, VA 24011                                                                                                   |                                                                                                                                                                                                                                                                                          |                                                                |
| <p><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the <b>NONE</b> box for each part where you have no reportable information. Insert signature on last page.</p> |                                                                                                                                                                                                                                                                                          |                                                                |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

|    | <u>POSITION</u>                                                            | <u>NAME OF ORGANIZATION/ENTITY</u>      |
|----|----------------------------------------------------------------------------|-----------------------------------------|
| 1. | Co-Trustee                                                                 | Trust #1                                |
| 2. | Trustee                                                                    | Trust #2                                |
| 3. | Executor                                                                   | The Estate of [REDACTED] (see note # 4) |
| 4. | Inactive Trustee of various deeds of trust as a result of prior law pract. | Misc.                                   |
| 5. |                                                                            |                                         |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|-------------|--------------------------|
| 1.          |                          |
| 2.          |                          |
| 3.          |                          |

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Name of Person Reporting

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME  
(yours, not spouse's)

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2011

Virginia Retirement System - Virginia teacher's pension monthly benefit

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

5. \_\_\_\_\_

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

|    | <u>SOURCE</u>                     | <u>DESCRIPTION</u>                                                       | <u>VALUE</u> |
|----|-----------------------------------|--------------------------------------------------------------------------|--------------|
| 1. | Southern Bankruptcy Law Institute | waiver of seminar registration fee (April 2011 conference) (see note #2) | \$750.00     |
| 2. |                                   |                                                                          |              |
| 3. |                                   |                                                                          |              |
| 4. |                                   |                                                                          |              |
| 5. |                                   |                                                                          |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

|    | <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|----|-----------------|--------------------|-------------------|
| 1. |                 |                    |                   |
| 2. |                 |                    |                   |
| 3. |                 |                    |                   |
| 4. |                 |                    |                   |
| 5. |                 |                    |                   |

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Baxter Int'l, Inc.                                                                                                       | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. BP PLC                                                                                                                   | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 09/22/11                | K                               |                                | market                                                            |
| 3. Cardinal Health, Inc.                                                                                                    | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 4. Carefusion Corp.                                                                                                         |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 5. Chevron Texaco Corp. (see note #1)                                                                                       | E                                       | Dividend                                      | O                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 6. Coca Cola (see note #1)                                                                                                  | D                                       | Dividend                                      | N                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 7. BB&T Corporation                                                                                                         | D                                       | Dividend                                      | N                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 8. BB&T Financial, FSB (Insured Bank<br>Deposit Program)                                                                    | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 9. Colgate Palmolive Co.                                                                                                    | B                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 10. Conagra Foods, Inc.                                                                                                     | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 11. E.I. duPont                                                                                                             | D                                       | Dividend                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 12. Eaton Corporation                                                                                                       | B                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 13. Edwards Lifesciences Corp.                                                                                              |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 14. Eastman Kodak                                                                                                           |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 15. Exxon Mobil Corp. (see note #1)                                                                                         | E                                       | Dividend                                      | P1                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 16. Freeport McMoran Copper & Gold                                                                                          | B                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 17. General Electric Corp.                                                                                                  | C                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                       |                                                               |                                                                                   |                                                            |                                                              |                         |
|-----------------------|---------------------------------------------------------------|-----------------------------------------------------------------------------------|------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes: | A = \$1,000 or less<br>(See Columns B1 and D4)                | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000                              | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000    | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes        | F = \$50,001 - \$100,000<br>(See Columns C1 and D3)           | J = \$15,001 - \$50,000<br>K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes | N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | Q = Appraisal<br>(See Column C2)                                                  | R = Cost (Real Estate Only)<br>V = Other                   | S = Assessment<br>W = Estimated                              | T = Cash Market         |

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☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                                  |   |          |   |   |                    |          |   |   |        |
|------------------------------------------------------------------|---|----------|---|---|--------------------|----------|---|---|--------|
| 18. Eagle - JP Morgan Prime Money Market Fund (see notes #1 & 5) | A | Dividend | L | T | Distributed (part) | 04/13/11 | L |   |        |
| 19. Raymond James Bank Deposit Program (see note # 5)            | A | Interest | L | T |                    |          |   |   |        |
| 20. IBM                                                          | A | Dividend | L | T |                    |          |   |   |        |
| 21. Ingles Markets, Inc.                                         | A | Dividend | K | T | Buy (add'l)        | 08/10/11 | J |   | market |
| 22.                                                              |   |          |   |   | Buy (add'l)        | 09/12/11 | J |   | market |
| 23. International Paper                                          | B | Dividend | L | T |                    |          |   |   |        |
| 24. NCR Corp (X)                                                 |   | None     | J | T |                    |          |   |   |        |
| 25. Norfolk Southern Corp.                                       | D | Dividend | N | T | Sold (part)        | 07/01/11 | K | E | market |
| 26.                                                              |   |          |   |   | Sold (part)        | 12/22/11 | K | E | market |
| 27. Northwestern Mutual Life Ins. Co. (see note #1)              | E | Dividend | N | T |                    |          |   |   |        |
| 28. SPX Corporation                                              | A | Dividend | K | T |                    |          |   |   |        |
| 29. Schlumberger Ltd.                                            | A | Dividend | K | T |                    |          |   |   |        |
| 30. Sealed Air Corp. (common)                                    | A | Dividend | J | T |                    |          |   |   |        |
| 31. Sprint Nextel Corp. (see note #1)                            |   | None     | J | T |                    |          |   |   |        |
| 32. Ultralife Batteries, Inc.                                    |   | None     | J | T |                    |          |   |   |        |
| 33. W.R. Grace & Co.                                             |   | None     | K | T |                    |          |   |   |        |
| 34. YUM Brands, Inc. (see note #1)                               | B | Dividend | M | T |                    |          |   |   |        |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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|                                                                     |   |          |   |   |                |          |   |  |        |
|---------------------------------------------------------------------|---|----------|---|---|----------------|----------|---|--|--------|
| 35. Fresenius Medical Care, AG                                      | A | Dividend | K | T |                |          |   |  |        |
| 36. Pepsico, Inc. (see note #1)                                     | D | Dividend | O | T |                |          |   |  |        |
| 37. AT&T (see note #1)                                              | A | Dividend | K | T |                |          |   |  |        |
| 38. Branch Banking & Trust Co. of VA Accts<br>(see note #1)         | A | Interest | M | T |                |          |   |  |        |
| 39. Stifel Nicolaus Insured Bank Deposit<br>Program                 | A | Interest | K | T |                |          |   |  |        |
| 40. Frontier Communications                                         | D | Dividend | L | T | Buy<br>(add'l) | 08/08/11 | J |  | market |
| 41.                                                                 |   |          |   |   | Buy<br>(add'l) | 09/22/11 | J |  | market |
| 42. Republic Services, Inc.                                         | B | Dividend | K | T |                |          |   |  |        |
| 43. Eagle Growth & Income Fund Class A M/F<br>(see note #1)         | E | Dividend | M | T | Buy<br>(add'l) | 01/31/11 | J |  | market |
| 44.                                                                 |   |          |   |   | Buy<br>(add'l) | 04/01/11 | J |  | market |
| 45. Federated VA Muni Service (formerly VA<br>Municipal Cash Trust) | A | Interest | L | T |                |          |   |  |        |
| 46. Archer Daniels Midland Co.                                      | A | Dividend | K | T |                |          |   |  |        |
| 47. Bristol Meyers Squibb Co.                                       | B | Dividend | K | T |                |          |   |  |        |
| 48. Eastman Chemical Co.                                            | A | Dividend | J | T |                |          |   |  |        |
| 49. Glaxo Smith Kline PLC (see note # 1)                            | D | Dividend | M | T |                |          |   |  |        |
| 50. Honeywell Int'l Inc.                                            | A | Dividend | J | T |                |          |   |  |        |
| 51. Intel Corp. (see note #1)                                       | A | Dividend | K | T | Buy            | 04/19/11 | J |  | market |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 08/08/11                | J                               |                                | market                                                            |
| 53.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 08/19/11                | K                               |                                | market                                                            |
| 54. Kroger Co.                                                                                                              | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 55. Whirlpool Corp.                                                                                                         | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 56. Microsoft Corp.                                                                                                         | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 57. Johnson & Johnson (see note #1)                                                                                         | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 58. Texas Instruments Inc.                                                                                                  | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 59. Xerox Corporation                                                                                                       | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 60. Zimmer Holdings                                                                                                         |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 61. Federated Strategic Value Div Fund Class A M/F (X)                                                                      | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 62. Pimco Total Return Fund Class A M/F (X)                                                                                 | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 63. Thornburg Investment Income Builder Fund Class I N/L (X)                                                                | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 64. VanGuard Short Term Bond Index Fund Investor Class N/L (X)                                                              | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 65. SPDR Index SHS Funds S&P INTL Div ETF (X)                                                                               | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 66. SPDR Series Trust S&P Div ETF                                                                                           | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 08/19/11                | K                               |                                | market                                                            |
| 67. Sector SPDR TR SBI INT-UTILS                                                                                            | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 08/19/11                | K                               |                                | makret                                                            |
| 68. Duke Energy Corp New (X)                                                                                                | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 08/24/11                | J                               | A                              | market                                                            |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

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☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69. Piedmont Nat Gas Inc. (X)                                                                                               | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 08/24/11                | J                               |                                | market                                                            |
| 70. Spectra Energy Corp. (X)                                                                                                | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 08/24/11                | J                               |                                | market                                                            |
| 71. General Mls Inc.                                                                                                        | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 08/24/11                | K                               |                                | market                                                            |
| 72. IRA #2                                                                                                                  |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 73. -- Verizon Comm. (GTE) Debenture                                                                                        | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 74. -- Eagle Capital Appreciation Trust Fund                                                                                |                                         | None                                          | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 75. -- Eagle Growth & Income Trust Fund                                                                                     | C                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 76. -- Eagle Mid Cap Stock Fund                                                                                             |                                         | None                                          | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 77. -- Eagle International Equity Fund                                                                                      |                                         | None                                          | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 78. -- Raymond James Bank Deposit Program<br>(cash balance)                                                                 | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 79. IRA #3                                                                                                                  |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 80. -- Eagle Int'l Equity Fund Class A                                                                                      |                                         | None                                          | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 81. -- Eagle Mid Cap Stock Fund Class A                                                                                     |                                         | None                                          | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 82. -- Eagle Growth & Income Trust Fund                                                                                     | D                                       | Dividend                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 83. Trust #1 (1/3 beneficiary) (see note # 3)                                                                               |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 84. -- Abbott Labs                                                                                                          | C                                       | Dividend                                      | L                                               | T                                         | Buy<br>(add'l)                                  | 06/27/11                | J                               |                                | market                                                            |
| 85.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 08/09/11                | J                               |                                | market                                                            |

|                                                  |                                                                                      |                                                      |                                                                                       |                                                            |                        |
|--------------------------------------------------|--------------------------------------------------------------------------------------|------------------------------------------------------|---------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A ~\$1,000 or less<br>F ~\$50,001 - \$100,000                                        | B ~\$1,001 - \$2,500<br>G ~\$100,001 - \$1,000,000   | C ~\$2,501 - \$5,000<br>H1 ~\$1,000,001 - \$5,000,000                                 | D ~\$5,001 - \$15,000<br>H2 ~More than \$5,000,000         | E ~\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J ~\$15,000 or less<br>N ~\$250,001 - \$500,000<br>P3 ~\$250,000,001 - \$500,000,000 | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | L ~\$50,001 - \$100,000<br>P1 ~\$1,000,001 - \$5,000,000<br>P4 ~More than \$5,000,000 | M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q ~Appraisal<br>U ~Book Value                                                        | R ~Cost (Real Estate Only)<br>V ~Other               | S ~Assessment<br>W ~Estimated                                                         | T ~Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Stone, Jr., William F.

Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                                 |   |          |   |   |                |          |   |   |        |
|-----------------------------------------------------------------|---|----------|---|---|----------------|----------|---|---|--------|
| 86. -- Aston/River Road Div All Cap Val Fund                    | B | Dividend | L | T | Buy            | 06/23/11 | L |   | market |
| 87. -- BB&T Corp.                                               | D | Dividend | O | T |                |          |   |   |        |
| 88. -- Chevron Texaco Corp.                                     | C | Dividend | M | T |                |          |   |   |        |
| 89. -- General Electric Co. Com                                 | A | Dividend | K | T | Buy<br>(add'l) | 08/09/11 | J |   | market |
| 90. -- Illinois Tool Works, Inc.                                | A | Dividend | K | T |                |          |   |   |        |
| 91. -- Intel Corp.                                              | A | Dividend | K | T | Buy<br>(add'l) | 06/27/11 | J |   | market |
| 92.                                                             |   |          |   |   | Buy<br>(add'l) | 08/09/11 | J |   | market |
| 93. --Lowes Companies, Inc.                                     | C | Dividend | M | T |                |          |   |   |        |
| 94. -- Merk Hard Currency Fund                                  | A | Dividend | K | T | Buy            | 06/23/11 | K |   | market |
| 95. -- Minnesota Mining & Manuf.                                | B | Dividend | L | T | Buy<br>(add'l) | 08/09/11 | J |   | market |
| 96. -- Norfolk Southern Corp.                                   | B | Dividend | K | T |                |          |   |   |        |
| 97. -- Oracle Corp.                                             | A | Dividend | K | T |                |          |   |   |        |
| 98. -- Pfizer Inc.                                              | A | Dividend |   |   | Sold           | 06/27/11 | J | B | market |
| 99. -- Raymond James Money Market Account<br>(cash balance) (Y) |   |          |   |   |                |          |   |   |        |
| 100. -- Unilever Plc ADR                                        | A | Dividend | J | T |                |          |   |   |        |
| 101. -- United Tech Corp. Com                                   | A | Dividend | K | T |                |          |   |   |        |
| 102. -- Verizon Communications                                  | B | Dividend | K | T |                |          |   |   |        |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 103. -- TD Ameritrade Money Market Acct. (Y)                                                                                |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 104. --Northwestern Mutual Life Insurance Co.                                                                               | C                                       | Dividend                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 105. -- Ishares TR Index MSCI EAFE                                                                                          | C                                       | Dividend                                      | L                                               | T                                         | Sold<br>(part)                                  | 06/22/11                | K                               | A                              | market                                                            |
| 106.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/27/11                | K                               |                                | market                                                            |
| 107. -- Sector SPDR TR SBI                                                                                                  | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 108. -- Frontier Communications Corp.                                                                                       | B                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 08/12/11                | J                               |                                | market                                                            |
| 109. -- Powershares ETF Intl Divd Achievers                                                                                 | B                                       | Dividend                                      | L                                               | T                                         | Buy                                             | 06/27/11                | K                               |                                | market                                                            |
| 110. -- SPDR Series Trust S& P Divid ETF                                                                                    | C                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 111. -- Vanguard Short Term Bond Stock Index Fund                                                                           | B                                       | Dividend                                      | K                                               | T                                         | Sold<br>(part)                                  | 06/22/11                | L                               | A                              | market                                                            |
| 112.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/23/11                | J                               | A                              | market                                                            |
| 113.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/29/11                | J                               | A                              | market                                                            |
| 114. Trust #2                                                                                                               |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 115. -- BB&T checking account                                                                                               | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 116. CVS Caremark RX Inc.                                                                                                   | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 117. Centurylink (see note # 1)                                                                                             | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 118. Windstream Corp. (common) (see note #1)                                                                                | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 119. Pfizer Inc.                                                                                                            | B                                       | Dividend                                      | K                                               | T                                         | Sold<br>(part)                                  | 07/01/11                | K                               |                                | market                                                            |

|                                                  |                                                                                    |                                                      |                                                                                        |                                                            |                        |
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| 3. Value Method Codes<br>(See Column C2)         | Q ~Appraisal<br>U ~Book Value                                                      | R ~Cost (Real Estate Only)<br>V ~Other               | S ~Assessment<br>W ~Estimated                                                          | T ~Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 120. Fundamental Investors 529 Plan                                                                                         | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 121. Washington Mutual Investors Fund 529 Plan                                                                              | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 122. AMCAP Fund 529 Plan                                                                                                    | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 123. SMALLCAP World Fund 529 Plan                                                                                           | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 124. Investment Company of America 529 Plan                                                                                 | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 125. Unilever N.V. NY SHS New                                                                                               | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 126. Redwood TR Inc. REIT                                                                                                   | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 127. Rental Property, Myrtle Beach, SC (see note #9)                                                                        | E                                       | Rent                                          | N                                               | R                                         |                                                 |                         |                                 |                                |                                                                   |
| 128. Trust #3                                                                                                               |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 129. -- Raymond James Bank Deposit Program (see note #7)                                                                    | A                                       | Interest                                      |                                                 |                                           | Closed                                          | 03/28/11                | J                               |                                |                                                                   |
| 130. -- Raymond James Cash/Client Interest Program                                                                          |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 131. -- SPDR S&P Midcap (see note #8)                                                                                       | A                                       | Dividend                                      |                                                 |                                           | Distributed (part)                              | 03/16/11                | J                               |                                | trust beneficiary                                                 |
| 132.                                                                                                                        |                                         |                                               |                                                 |                                           | Distributed (part)                              | 03/17/11                | J                               |                                | trust beneficiary                                                 |
| 133.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 08/24/11                | J                               | B                              | market                                                            |
| 134. -- Federated Strategic Value Fund Class A M/F                                                                          | A                                       | Dividend                                      |                                                 |                                           | Distributed (part)                              | 03/16/11                | J                               |                                | trust beneficiary                                                 |
| 135.                                                                                                                        |                                         |                                               |                                                 |                                           | Distributed (part)                              | 03/18/11                | J                               |                                | trust beneficiary                                                 |
| 136.                                                                                                                        |                                         |                                               |                                                 |                                           | Distributed                                     | 04/13/11                | J                               |                                | trust beneficiary                                                 |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 137. -- Pimco Total Return Fund Class A M/F                                                                                 | A                                       | Dividend                                      |                                                 |                                           | Distributed<br>(part)                           | 03/16/11                | J                               |                                | trust beneficiary                                                 |
| 138.                                                                                                                        |                                         |                                               |                                                 |                                           | Distributed<br>(part)                           | 03/18/11                | J                               |                                | trust beneficiary                                                 |
| 139.                                                                                                                        |                                         |                                               |                                                 |                                           | Distributed                                     | 03/24/11                | J                               |                                | trust beneficiary                                                 |
| 140. -- Thornburg Investment Income Builder<br>Fund Class 1 N/L                                                             |                                         | None                                          |                                                 |                                           | Distributed<br>(part)                           | 03/16/11                | J                               |                                | trust beneficiary                                                 |
| 141.                                                                                                                        |                                         |                                               |                                                 |                                           | Distributed<br>(part)                           | 03/18/11                | J                               |                                | trust beneficiary                                                 |
| 142.                                                                                                                        |                                         |                                               |                                                 |                                           | Distributed                                     | 03/24/11                | J                               |                                | trust beneficiary                                                 |
| 143. -- iShares TR Index Barclays (see note #8)                                                                             | A                                       | Dividend                                      |                                                 |                                           | Distributed<br>(part)                           | 03/16/11                | J                               |                                | trust beneficiary                                                 |
| 144.                                                                                                                        |                                         |                                               |                                                 |                                           | Distributed<br>(part)                           | 03/17/11                | J                               |                                | trust beneficiary                                                 |
| 145.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 08/24/11                | J                               | A                              | market                                                            |
| 146. -- iShares TR Index Russell 1000GRW (see<br>note #8)                                                                   | A                                       | Dividend                                      |                                                 |                                           | Distributed<br>(part)                           | 03/16/11                | J                               |                                | trust beneficiary                                                 |
| 147.                                                                                                                        |                                         |                                               |                                                 |                                           | Distributed<br>(part)                           | 03/17/11                | J                               |                                | trust beneficiary                                                 |
| 148.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 08/24/11                | J                               | B                              | market                                                            |
| 149. -- iShares TR Index Russell 2000 (see note<br>#8)                                                                      | A                                       | Dividend                                      |                                                 |                                           | Distributed<br>(part)                           | 03/16/11                | J                               |                                | trust beneficiary                                                 |
| 150.                                                                                                                        |                                         |                                               |                                                 |                                           | Distributed<br>(part)                           | 03/17/11                | J                               |                                | trust beneficiary                                                 |
| 151.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 08/24/11                | J                               | B                              | market                                                            |
| 152. -- SPDR Index SHS Funds S&P Int'l                                                                                      |                                         | None                                          |                                                 |                                           | Distributed<br>(part)                           | 03/16/11                | J                               |                                | trust beneficiary                                                 |
| 153.                                                                                                                        |                                         |                                               |                                                 |                                           | Distributed<br>(part)                           | 03/17/11                | J                               |                                | trust beneficiary                                                 |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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Name of Person Reporting

Stone, Jr., William F.

Date of Report

05/8/2012

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 154.                                                                                                                        |                                         |                                               |                                                 |                                           | Distributed                                     | 03/22/11                | J                               |                                | trust beneficiary                                                 |
| 155. -- Ishares TR US PFD STK IDX (see note #8)                                                                             | A                                       | Dividend                                      |                                                 |                                           | Distributed (part)                              | 03/16/11                | J                               |                                | trust beneficiary                                                 |
| 156.                                                                                                                        |                                         |                                               |                                                 |                                           | Distributed (part)                              | 03/17/11                | J                               |                                | trust beneficiary                                                 |
| 157.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 08/24/11                | J                               |                                | market                                                            |
| 158. -- Vanguard Short Term Bond Index Fund                                                                                 | A                                       | Dividend                                      |                                                 |                                           | Distributed (part)                              | 03/16/11                | J                               |                                | trust beneficiary                                                 |
| 159.                                                                                                                        |                                         |                                               |                                                 |                                           | Distributed (part)                              | 03/23/11                | J                               |                                | trust beneficiary                                                 |
| 160.                                                                                                                        |                                         |                                               |                                                 |                                           | Distributed                                     | 03/28/11                | J                               |                                | trust beneficiary                                                 |
| 161. Trust #4                                                                                                               |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 162. --Eagle - JP Morgan Tax Free Money Market Fund (see note #7)                                                           | A                                       | Int./Div.                                     |                                                 |                                           | Closed                                          | 03/25/11                | L                               |                                |                                                                   |
| 163. -- Raymond James Cash/Client Interest Program                                                                          |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 164. -- Dominion Res. Inc. Va New                                                                                           | A                                       | Dividend                                      |                                                 |                                           | Distributed (part)                              | 03/29/11                | J                               |                                | trust beneficiary                                                 |
| 165.                                                                                                                        |                                         |                                               |                                                 |                                           | Distributed (part)                              | 04/05/11                | J                               |                                | trust beneficiary                                                 |
| 166.                                                                                                                        |                                         |                                               |                                                 |                                           | Distributed (part)                              | 04/08/11                | J                               |                                | trust beneficiary                                                 |
| 167.                                                                                                                        |                                         |                                               |                                                 |                                           | Distributed                                     | 06/06/11                | J                               |                                | trust beneficiary                                                 |
| 168. -- Exxon Mobil Corp.                                                                                                   | A                                       | Dividend                                      |                                                 |                                           | Distributed (part)                              | 03/29/11                | K                               |                                | trust beneficiary                                                 |
| 169.                                                                                                                        |                                         |                                               |                                                 |                                           | Distributed (part)                              | 04/05/11                | J                               |                                | trust beneficiary                                                 |
| 170.                                                                                                                        |                                         |                                               |                                                 |                                           | Distributed (part)                              | 04/08/11                | J                               |                                | trust beneficiary                                                 |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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Name of Person Reporting

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Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 171.                                                                                                                        |                                         |                                               |                                                 |                                           | Distributed                                     | 06/06/11                | J                               |                                | trust beneficiary                                                 |
| 172. -- Frontier Communications Inc.                                                                                        |                                         | None                                          |                                                 |                                           | Sold                                            | 03/04/11                | J                               | A                              | market                                                            |
| 173. -- Verizon Communications Inc.                                                                                         | A                                       | Dividend                                      |                                                 |                                           | Distributed<br>(part)                           | 03/29/11                | J                               |                                | trust beneficiary                                                 |
| 174.                                                                                                                        |                                         |                                               |                                                 |                                           | Distributed<br>(part)                           | 04/05/11                | J                               |                                | trust beneficiary                                                 |
| 175.                                                                                                                        |                                         |                                               |                                                 |                                           | Distributed<br>(part)                           | 04/08/11                | J                               |                                | trust beneficiary                                                 |
| 176.                                                                                                                        |                                         |                                               |                                                 |                                           | Distributed                                     | 06/06/11                | J                               |                                | trust beneficiary                                                 |
| 177. -- Piedmont Mun. Pwr Agy                                                                                               | A                                       | Interest                                      |                                                 |                                           | Redeemed                                        | 01/03/11                | J                               |                                |                                                                   |
| 178. --Chevron Corp New                                                                                                     | A                                       | Dividend                                      |                                                 |                                           | Distributed<br>(part)                           | 03/29/11                | J                               |                                | trust beneficiary                                                 |
| 179.                                                                                                                        |                                         |                                               |                                                 |                                           | Distributed<br>(part)                           | 04/05/11                | J                               |                                | trust beneficiary                                                 |
| 180.                                                                                                                        |                                         |                                               |                                                 |                                           | Distributed<br>(part)                           | 04/08/11                | J                               |                                | trust beneficiary                                                 |
| 181.                                                                                                                        |                                         |                                               |                                                 |                                           | Distributed                                     | 06/06/11                | J                               |                                | trust beneficiary                                                 |
| 182. -- Ishares TR US PFD STK IDX                                                                                           | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 03/04/11                | K                               |                                | market                                                            |
| 183. -- Sector SPDR TR                                                                                                      |                                         | None                                          |                                                 |                                           | Sold                                            | 03/04/11                | K                               | A                              | market                                                            |
| 184. -- Vanguard Short Term Bond Index Fund                                                                                 | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 03/04/11                | K                               |                                | market                                                            |
| 185. Trust #5 (see note #6)                                                                                                 |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 186. -- BP PLC                                                                                                              | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 187. -- Citigroup Inc.                                                                                                      |                                         | None                                          |                                                 |                                           | Sold                                            | 04/28/11                | J                               |                                | market                                                            |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

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Name of Person Reporting

Stone, Jr., William F.

Date of Report

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## VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
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|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 188. -- Duke Energy Corp. New                                                                                               | B                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 189. -- Frontier Communications Corp.                                                                                       | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 190. -- Piedmont Nat Gas                                                                                                    | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 191. -- Progress Energy Inc.                                                                                                | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 192. -- Southern Company                                                                                                    | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 193. -- Spectra Energy Corp.                                                                                                | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 194. -- Travelers Companies Inc.                                                                                            | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 195. -- Verizon Communications Inc.                                                                                         | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 196. -- Raymond James Bank Deposit Program                                                                                  | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Investments in same companies of reporting person and [REDACTED] have been combined for this report.
2. The waiver of the seminar registration fee is a courtesy provided to all bankruptcy judges by the SBLI. Part V, line 1.
3. 1/3 of total trust asset values and income is reported. Part VII, line 83.
4. The only undistributed asset held in The Estate of [REDACTED] is unsold item(s) of personalty. Part I, line 3.
5. On April 13, 2011, some of the cash held in the Eagle- JP Morgan Prime Money Market Fund was transferred to the Raymond James Bank Deposit Program sweep account. Part VII, lines 18 and 19.
6. This trust was funded on March 9, 2011. All assets disclosed in this section of the report were transferred from [REDACTED] estate on March 9, 2011 into this trust. [REDACTED] is the trustee of this Trust. Part VII, line 185.
7. Funds in this cash sweep account were transferred to the Raymond James Cash/Client Interest Program listed on the following line of the report. Part VII, lines 129 and 162.
8. After the two distributions to trust beneficiaries were made, a final distribution of the footnoted asset to [REDACTED] was made on March 22, 2011. This asset was then sold by [REDACTED] on date reported.
9. The purchase price of the real estate, in 2010, was \$405,000, \$20,000 of which was allocated to furnishings in the property. Part VII, line 127.

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Stone, Jr., William F.

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ William F. Stone, Jr.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544