

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                     |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Otazo-Reyes, Alicia M.                                                                                                               | <b>2. Court or Organization</b><br><br>U.S. District Court, Southern District of Florida                                                                                                                                                                                                            | <b>3. Date of Report</b><br><br>08/22/2014                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>Magistrate Judge - Full time                                                  | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input checked="" type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2013<br>to<br>12/31/2013 |
| <b>7. Chambers or Office Address</b><br><br>301 N. Miami Avenue, 10th Floor<br>Miami, Florida 33128                                                                                                       |                                                                                                                                                                                                                                                                                                     |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                                     |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

|    |  |  |
|----|--|--|
| 1. |  |  |
| 2. |  |  |
| 3. |  |  |
| 4. |  |  |
| 5. |  |  |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

|         |                                                                                                                             |
|---------|-----------------------------------------------------------------------------------------------------------------------------|
| 1. 2005 | Former law firm Legon Ponce & Fodiman P.A. 401(K) Profit Sharing Plan (Funds transferred to Merrill Lynch IRA on 9/17/2013) |
| 2.      |                                                                                                                             |
| 3.      |                                                                                                                             |

**FINANCIAL DISCLOSURE REPORT**

Page 2 of 10

Name of Person Reporting

Otazo-Reyes, Alicia M.

Date of Report

08/22/2014

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME  
(yours, not spouse's)

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

**IV. REIMBURSEMENTS** *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

5. \_\_\_\_\_

**FINANCIAL DISCLOSURE REPORT**

Page 3 of 10

Name of Person Reporting

Otazo-Reyes, Alicia M.

Date of Report

08/22/2014

**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

# FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Otazo-Reyes, Alicia M.

Date of Report

08/22/2014

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Citibank Accounts                                                                                                        | A                                       | Interest                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. Capital One 360 Account f/k/a Ing Direct Account                                                                         | A                                       | Interest                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. Merrill Lynch IRA                                                                                                        | E                                       | Int./Div.                                     | O                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 4. - Accelerated Return Note indexed to R2000; issuer Deutsche Bank                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 09/26/13                | K                               |                                |                                                                   |
| 5. - Accelerated Return Note indexed to SP500; issuer Bank of America                                                       |                                         |                                               |                                                 |                                           | Buy                                             | 09/26/13                | K                               |                                |                                                                   |
| 6. - Accelerated Return Note indexed to SX5E; issuer Credit Suisse                                                          |                                         |                                               |                                                 |                                           | Buy                                             | 09/26/13                | K                               |                                |                                                                   |
| 7. - Allianz NFJ Int'l MF n/k/a Allianzgi NFJ Int'l Value MF                                                                |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 8. - American Fundamental MF                                                                                                |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 9. - Intech Risk Managed MF n/k/a Janus Intech US Value MF                                                                  |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 10. - Loomis Sayles Investment MF                                                                                           |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 11. - Loomis Sayles Strategic MF                                                                                            |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 12. - American Century MF                                                                                                   |                                         |                                               |                                                 |                                           | Sold (part)                                     | 02/15/13                | J                               |                                |                                                                   |
| 13.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 09/06/13                | K                               |                                |                                                                   |
| 14. -Blackrock Eqyy Divident MF                                                                                             |                                         |                                               |                                                 |                                           | Buy                                             | 09/06/13                | K                               |                                |                                                                   |
| 15.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy (add'l)                                     | 09/24/13                | J                               |                                |                                                                   |
| 16. - Dreyfus Appreciation FD MF                                                                                            |                                         |                                               |                                                 |                                           | Sold (part)                                     | 02/15/13                | J                               |                                |                                                                   |
| 17.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy (add'l)                                     | 09/06/13                | J                               |                                |                                                                   |

|                                                  |                                                                             |                                                      |                                                                                        |                                                            |                                                   |
|--------------------------------------------------|-----------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|---------------------------------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A ~\$1,000 or less<br>F ~\$50,001 - \$100,000                               | B ~\$1,001 - \$2,500<br>G ~\$100,001 - \$1,000,000   | C ~\$2,501 - \$5,000<br>H ~\$1,000,001 - \$5,000,000                                   | D ~\$5,001 - \$15,000<br>I ~\$15,001 - \$50,000            | E ~\$15,001 - \$50,000<br>F ~\$50,001 - \$100,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J ~\$15,000 or less<br>N ~\$250,001 - \$500,000<br>P ~\$250,001 - \$500,000 | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | L ~\$50,001 - \$100,000<br>P1 ~\$1,000,001 - \$5,000,000<br>P4 ~More than \$50,000,000 | M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 | T ~Cash Market                                    |
| 3. Value Method Codes<br>(See Column C2)         | Q ~Appraisal<br>U ~Book Value                                               | R ~Cost (Real Estate Only)<br>V ~Other               | S ~Assessment<br>W ~Estimated                                                          |                                                            |                                                   |

# FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Otazo-Reyes, Alicia M.

Date of Report

08/22/2014

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code I<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/18/13                | J                               |                                |                                                                   |
| 19.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/24/13                | J                               |                                |                                                                   |
| 20. - Eaton Vance Floating MF                                                                                               |                                         |                                               |                                                 |                                           | Buy                                             | 02/15/13                | J                               |                                |                                                                   |
| 21.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/24/13                | J                               |                                |                                                                   |
| 22. - Federated Strategic MF                                                                                                |                                         |                                               |                                                 |                                           | Sold                                            | 02/15/13                | J                               | B                              |                                                                   |
| 23. - Ivy Asset Strategy MF                                                                                                 |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 02/15/13                | J                               |                                |                                                                   |
| 24.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/18/13                | J                               |                                |                                                                   |
| 25.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/24/13                | J                               |                                |                                                                   |
| 26. - John Hancock Classic MF                                                                                               |                                         |                                               |                                                 |                                           | Buy                                             | 09/06/13                | J                               |                                |                                                                   |
| 27.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/24/13                | J                               |                                |                                                                   |
| 28. - JP Morgan Mortgage MF                                                                                                 |                                         |                                               |                                                 |                                           | Buy                                             | 09/06/13                | K                               |                                |                                                                   |
| 29.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 09/18/13                | K                               |                                |                                                                   |
| 30. - JP Morgan Strategic MF                                                                                                |                                         |                                               |                                                 |                                           | Buy                                             | 09/18/13                | J                               |                                |                                                                   |
| 31.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/24/13                | J                               |                                |                                                                   |
| 32. - Mainstay Large Cap MF                                                                                                 |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 02/15/13                | J                               |                                |                                                                   |
| 33.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/06/13                | K                               |                                |                                                                   |
| 34.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/18/13                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Otazo-Reyes, Alicia M.

Date of Report

08/22/2014

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 35.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/24/13                | K                               |                                |                                                                   |
| 36. - Mainstay Marketfield MF                                                                                               |                                         |                                               |                                                 |                                           | Buy                                             | 09/18/13                | J                               |                                |                                                                   |
| 37.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/24/13                | J                               |                                |                                                                   |
| 38. - MFS Value FD CL I MF                                                                                                  |                                         |                                               |                                                 |                                           | Buy                                             | 02/15/13                | K                               |                                |                                                                   |
| 39.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/06/13                | J                               |                                |                                                                   |
| 40.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/18/13                | J                               |                                |                                                                   |
| 41.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/24/13                | K                               |                                |                                                                   |
| 42. - Permanent Portfolio Fund MF                                                                                           |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/18/13                | J                               |                                |                                                                   |
| 43.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/24/13                | J                               |                                |                                                                   |
| 44. - PIMCO Real Return MF                                                                                                  |                                         |                                               |                                                 |                                           | Buy                                             | 09/06/13                | J                               |                                |                                                                   |
| 45.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 09/18/13                | J                               |                                |                                                                   |
| 46. - Pioneer Strategic MF                                                                                                  |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 02/15/13                | J                               |                                |                                                                   |
| 47.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/06/13                | J                               |                                |                                                                   |
| 48.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/18/13                | J                               |                                |                                                                   |
| 49.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/24/13                | K                               |                                |                                                                   |
| 50. - Prudential Short Term MF                                                                                              |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 02/15/13                | J                               |                                |                                                                   |
| 51.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/06/13                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Otazo-Reyes, Alicia M.

Date of Report

08/22/2014

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/18/13                | J                               |                                |                                                                   |
| 53.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/24/13                | J                               |                                |                                                                   |
| 54. - The Oakmark Select Fund MF                                                                                            |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 02/15/13                | J                               |                                |                                                                   |
| 55.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 09/06/13                | K                               | D                              |                                                                   |
| 56. - TCW Total Return MF                                                                                                   |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 02/15/13                | J                               |                                |                                                                   |
| 57.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 09/06/13                | K                               |                                |                                                                   |
| 58. - Wellsfargo Adv Small Cap MF                                                                                           |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 02/15/13                | J                               |                                |                                                                   |
| 59.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/18/13                | J                               |                                |                                                                   |
| 60.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/24/13                | J                               |                                |                                                                   |
| 61. John Hancock 401K                                                                                                       |                                         | None                                          |                                                 |                                           | Sold                                            | 05/24/13                | M                               | E                              |                                                                   |
| 62. - John Hancock Stable Val. MF                                                                                           |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 63. - PIMCO Total Return MF                                                                                                 |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 64. - T. Rowe Price Spectrum Inc. MF                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 65. - American Balanced Fund MF                                                                                             |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 66. - Washington Mutual Investors MF                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 67. - Davis New York Venture MF                                                                                             |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 68. - The Growth Fund of America MF                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

Otazo-Reyes, Alicia M.

Date of Report

08/22/2014

## VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69. Transamerica 401K                                                                                                       |                                         | None                                          |                                                 |                                           | Buy                                             | 05/24/13                | M                               |                                |                                                                   |
| 70.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 09/17/13                | M                               | C                              |                                                                   |
| 71. - Transamerica Stable Value Focus Account                                                                               |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 72. - Prudential Total Return Bond Ret Acct                                                                                 |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 73. - Blackrock Global Allocation Ret Acct                                                                                  |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 74. - INVESCO Diversified Dividend Ret Acct                                                                                 |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 75. - MFS Massachusetts Investors Ret Acct                                                                                  |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 76. - Ridgworth Large Cap Growth Ret Acct                                                                                   |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 77.                                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 78.                                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 79.                                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Otazo-Reyes, Alicia M.

Date of Report

08/22/2014

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII - Investments and Trusts

Line 61 - John Hancock 401K

Column B - Income During Reporting Period

Plan did not track income.

Part VII - Investments and Trusts

Lines 69-70 - Transamerica 401K

Column B - Income During Reporting Period

Plan did not track income.

Part VII - Investments and Trusts

Lines 4-6 - Accelerated Return Notes

Column D(1)-D(3) Transactions during Reporting Period

Acquisitions inadvertently omitted from filed 2013 Report.

# FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Otazo-Reyes, Alicia M.

Date of Report

08/22/2014

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Alicia M. Otazo-Reyes

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544