

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Moore, Kimberly A.	2. Court or Organization Federal Circuit	3. Date of Report 5/13/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address U.S.C.A. Federal Circuit 717 Madison Place, NW Washington, DC 20439		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	West-Thomson, Book Royalties	\$2,130.90
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	attorney, self-employed, partner
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. USC Gould School of Law	3/15/2014 - 3/18/2014	Beverly Hills, CA	Educational activities exclusive of judicial education	Transportation, meals and lodging
2. New York Intellectual Property Law Association	3/28/2014 - 3/29/2014	New York City, NY	Activity of professional association	Transportation, meals and lodging
3. Intellectual Property Section of the State Bar of Georgia	9/18/2014 - 9/21/2014	Amelia Island, GA	Educational activities exclusive of judicial education / participant in IP Conference	Transportation, meals and lodging
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Citibank	Mortgage on rental property	None
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Property (2008 \$2,211,554.00)	G	Rent	P1	S					
2. Capital One Bank Accounts	A	Interest	O	T					
3. Citibank Account	A	Interest	O	T					
4. Northwestern Mutual Life Insurance	B	Dividend	J	T					
5. Northwestern Mutual Life Insurance	B	Dividend	J	T					
6. Berkshire Life Insurance	A	Dividend	J	T					
7.									
8. Charles Schwab Accounts A (H)									
9. -United Health Bonds	B	Interest			Closed	10/27/14	K		
10. -Anheuser Busch Bonds	C	Interest			Closed	10/27/14	L		
11. -Intersoll-RND	C	Interest			Closed	10/27/14	L		
12. -XTO Energy Inc	B	Interest			Redeemed	02/03/14	K		
13. -Vanguard High Yield	D	Dividend			Buy (add'l)	02/05/14	K		
14.					Sold	10/21/14	M	A	
15. -Pimco Dynamic Income	D	Dividend			Buy (add'l)	02/05/14	J		
16.					Buy (add'l)	02/05/14	J		
17.					Buy (add'l)	02/05/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.					Buy (add'l)	02/05/14	K		
19.					Buy (add'l)	05/05/14	K		
20.					Buy (add'l)	06/09/14	J		
21.					Sold (part)	10/27/14	J	A	
22.					Sold (part)	10/27/14	M	A	
23.					Sold	10/27/14	M	A	
24. -Pimco Exch Traded Fund	B	Dividend			Sold (part)	04/21/14	K	A	
25.					Sold (part)	04/21/14	K	A	
26.					Sold (part)	04/21/14	J	A	
27.					Sold	04/21/14	K	A	
28. -Intercept Pharma Inc.		None			Buy	05/05/14	L		
29.					Sold	10/24/14	L		
30. Charles Schwab Accounts B (H)									
31. -Vanguard Developed Markets	A	Dividend	J	T					
32. -Vanguard Total Stock Market	C	Dividend	N	T	Buy (add'l)	06/23/14	J		
33.					Buy (add'l)	09/23/14	J		
34.					Buy (add'l)	12/19/14	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -Morley Stable Value Fund Net 20	A	Dividend	M	T					
36. -T. Rowe Price Mid-Cap Growth	E	Dividend	N	T	Buy (add'l)	12/12/14	K		
37. -Vanguard Institutional Index I	C	Dividend	M	T	Buy (add'l)	12/19/14	J		
38. -Vanguard Total Bond	D	Int./Div.	N	T					
39.									
40. VA 529 Plans (H)									
41. -pre Paid		None	M	T					
42. -in Vest Southside	C	Int./Div.	M	T	Buy (add'l)	02/07/14	J		
43. -in Vest Piedmont	A	Int./Div.	K	T	Buy (add'l)	02/07/14	J		
44. -in Vest Chesapeake	A	Int./Div.	K	T	Buy (add'l)	02/07/14	K		
45.									
46. Vanguard Accounts (H)									
47. -Prime Money Market Fund	A	Dividend			Buy	02/04/14	N		
48.					Sold	10/22/14	M		
49. -500 Index Fund	D	Dividend			Buy	02/04/14	N		
50.					Sold	10/22/14	N		
51. -Bank of America Corp	A	Dividend			Buy	08/27/14	M		

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52.					Sold	10/24/14	M		
53.									
54. Wells Fargo Account A (H)									
55. -Boston Beer	B	Dividend	L	T	Buy	12/10/14	L		
56. -Cracker Barrel Old Ctry Store	C	Dividend	L	T	Buy	12/10/14	L		
57. -HanesBrands Inc	A	Dividend	L	T	Buy	12/10/14	L		
58. -J&J Snack Foods Corp	A	Dividend	L	T	Buy	12/10/14	L		
59. -MWI Veterinary Supply	B	Dividend	L	T	Buy	12/10/14	L		
60. -Pall Corp	C	Dividend	L	T	Buy	12/10/14	L		
61. -Pepsico Incorporated	E	Dividend	L	T	Buy	12/10/14	L		
62. Wells Fargo Account B (H)									
63. -Church & Dwight	A	Dividend	L	T	Buy	12/10/14	L		
64. -CSX Corp.	B	Dividend	L	T	Buy	12/10/14	L		
65. -Deluxe Corp.	C	Dividend	L	T	Buy	12/10/14	L		
66. -Gilead Science		None	L	T	Buy	12/10/14	L		
67. -Intuitive Surgical	C	Dividend	L	T	Buy	12/10/14	L		
68. -JM Smuckers		None	L	T	Buy	12/10/14	L		

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69. -Stericycle Inc		None	L	T	Buy	12/10/14	L		
70. -Tompkins Financial	D	Dividend	K	T	Buy	12/10/14	L		
71.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Kimberly A. Moore**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544