

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Murtha, J. Garvan	2. Court or Organization U.S. District Court - Vermont	3. Date of Report 04/23/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address United States District Court P.O. Box 760 Brattleboro, VT 05302-0760		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Beneficiary	Trust #1
2.	Beneficiary	Trust #3
3.	Trustee	Trust #4
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Accenture PLC Stock	A	Dividend			Sold	08/07/14	J	B	
2. Alibaba Group LT Stock	A	Dividend	J	T	Buy	11/04/14	J		
3. AT&T Stock	A	Dividend			Sold	04/03/14	J	A	
4. Amazon Stock	A	Dividend	J	T	Buy	11/04/14	J		
5. Atmos Energy Stock	A	Dividend			Sold	08/07/14	J	A	
6. Bank of the Islands Account	A	Interest	J	T					
7. BSL Money Mkt Fund	A	Interest			Sold	08/07/14	J		
8. CME Group Stock	A	Dividend			Sold	04/03/14	J	A	
9. Columbia Crest Gold Corp Stock		None			Sold	12/09/14	J		
10. Consumer Discretionary SPDR Fund	A	Dividend	K	T					
11. Covidien Stock	A	Dividend			Sold	04/03/14	J	A	
12. DWA Tech Leaders Fund	A	Dividend	K	T					
13. Ecolab Common Stock	A	Dividend			Sold	08/07/14	J	B	
14. Emerson Electric Stock	A	Dividend			Sold	08/07/14	J	B	
15. Exxon-Mobil Common Stock	A	Dividend			Sold	08/07/14	J	D	
16. Federal Home Loan Bond	A	Interest			Sold	08/07/14	J	A	
17. First TR SR FL II Fund	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. First Trust Consumer Fund	A	Dividend	J	T					
19. First Trust Exchange Fund	A	Interest			Sold	07/10/14	J	A	
20. Franklin Strategic Fund	A	Dividend	K	T					
21. Guggenheim China Fund	A	Dividend			Sold	05/09/14	J		
22. Guggenheim S&P 500 Fund	A	Dividend	K	T					
23. Guggenheim S&P Midcap Fund	A	Dividend			Sold	09/24/14	J		
24. Hartford Floating Rate Fund	A	Dividend	K	T					
25. Healthcare Select SPDR Fund	A	Dividend	K	T					
26. IBM Stock	A	Dividend			Sold	02/10/14	J		
27. Intel Corp. Stock	A	Dividend			Sold	08/07/14	J	B	
28. iShares Barclays 7-10 Yr Fund	A	Dividend	J	T					
29. iShares Cohen & Steers Fund	A	Dividend	K	T	Buy	09/24/14	J		
30. iShares Iboxx Fund	A	Dividend	J	T					
31. iShares Midcap Index Fund	A	Dividend			Sold	08/07/14	J	A	
32. iShares Select Fund	A	Dividend	K	T					
33. iShares Small Cap 600 Fund	A	Dividend			Sold	08/07/14	J	A	
34. iShares Transportation Fund	A	Dividend	J	T	Buy	09/24/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. iShares Trust Dow Jones Fund	A	Dividend			Sold	04/01/14	J		
36. iShares U.S. Healthcare Fund	A	Dividend	K	T					
37. Kayne Anderson MLP Fund	A	Dividend	K	T					
38. Mainstay High Yield Fund	A	Dividend			Sold	12/30/14	J		
39. Matthews Asian Fund	A	Dividend			Sold	04/01/14	J	A	
40. McDonald's Corp. Stock	A	Dividend			Sold	08/07/14	J	A	
41. MFS Utilities FD CL I Fund	A	Dividend	J	T					
42. ML Bank Deposit Account	A	Interest	J	T					
43. Northern Trust Gov't Select Fund	A	Interest			Sold	08/07/14	J	A	
44. Pepsico Common Stock	A	Dividend			Sold	08/07/14	J	A	
45. Powershares Exchange Fund	A	Dividend	K	T					
46. Powershares QQQ Trust Fund	A	Dividend	K	T	Buy	06/28/14	K		
47. Procter & Gamble Stock	A	Dividend			Sold	08/07/14	J	A	
48. Sector SPDR Consrms STPL Fund	A	Dividend	K	T					
49. Sector SPDR Financial Fund	A	Dividend	K	T					
50. SPDR KBW Ins. Fund	A	Dividend			Sold	12/23/14	J	A	
51. SPDR S P Divid ETF Fund	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. SPDR S P Biotech Fund	A	Dividend			Sold	08/04/14	J	A	
53. Thermo Fisher Scientific Stock	A	Dividend			Sold	04/03/14	J	B	
54. Vanguard Emerging Mkts Fund	A	Dividend	J	T					
55. Vanguard Industrial ETF Fund	A	Dividend			Sold	08/07/14	J	B	
56. Vanguard Infl. Prot. Fund	A	Interest			Sold	08/07/14	J	B	
57. Vanguard MSCI European Fund	A	Dividend	J	T					
58. Vanguard Total Stk Fund	A	Dividend	K	T					
59. Wisdom Tree European Fund	A	Interest	J	T					
60. Merchants Bank Accounts	A	Interest	K	T					
61. Brattleboro Tennis Club, VT Stock		None	J	W					
62. Trust #4	F	Int./Div.	P1	T					
63. - Apple Stock									
64. - AT&T Stock									
65. - Automatic Data Processing Stock									
66. - BA Diversified Real Est Fund					Sold	06/12/14	K		
67. - Caterpillar Stock									
68. - Coca Cola Stock									

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69. - Covidien Stock					Sold	12/11/14	L	E	
70. - CSX Corp. Stock									
71. - Diageo Stock									
72. - Disney Stock									
73. - Duke Energy Stock									
74. - Exxon Mobil Stock									
75. - Nextera Energy Stock									
76. - Franklin Res Stock									
77. - Google Stock					Buy	12/11/14	K		
78. - Home Depot Stock									
79. - iShares Midcap Fund									
80. - iShares Small Cap Fund									
81. - Johnson & Johnson Stock									
82. - Mastercard Stock					Buy	12/11/14	K		
83. - McDonald's Stock					Sold	06/10/14	K	A	
84. - McKesson Corp. Stock									
85. - Microsoft Stock									

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86. - Morgan J.P. Chase Stock									
87. - Nestle Stock									
88. - Novo-Nordisk Stock									
89. - Procter & Gamble Stock									
90. - Qualcom Stock									
91. - Royal Dutch Shell Stock									
92. - Starbucks Stock					Buy	06/10/14	K		
93. - Teva Pharmaceutical Stock									
94. - United Technologies Stock									
95. Trust Company of VT - IRA	C	Div. & Int.	M	T					
96. - 3M Stock									
97. - Abbott Labs Stock					Sold	08/04/14	J	A	
98. - AbbVie Stock									
99. - Accenture Ltd. Stock									
100. - Air Products & Chemical Stock									
101. - Apache Corp. Stock									
102. - Apple Stock									

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103. - Applied Materials Stock									
104. - Atmos Energy Stock									
105. - Colgate Palmolive Stock									
106. - Covidien P/C Stock									
107. - EMC Stock									
108. - Emerson Electric Stock									
109. - Franklin Res. Stock									
110. - HCP Stock									
111. - Illinois Tool Wks Stock									
112. - iShare Midcap Fund									
113. - iShare Small Cap Fund									
114. - Johnson & Johnson Stock									
115. - Johnson Controls Stock									
116. - Matthews Asian Fund									
117. - McDonald's Stock									
118. - National Oilwell Varco Stock									
119. - Northern Trust Gov't Select Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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120. - Novartis Stock									
121. - Pepsico, Inc. Stock									
122. - PIMCO Emerging Bond Fund									
123. - PIMCO Short Term Inst'l Fund									
124. - Procter & Gamble Stock									
125. - Qualcom Stock									
126. - Royal Dutch Shell Stock					Sold	08/01/14	J	B	
127. - Southern Stock									
128. - Spectra Energy Stock									
129. - Target Corp. Stock									
130. - Teva Pharma Stock									
131. - United Technologies Stock									
132. - US Bancorp Stock									
133. - US Tips Bond									
134. - Vanguard Emerging Mkts Fund									
135. - Vanguard Short Term Bond Fund					Buy	08/06/14	J		
136. - Wells Fargo Stock									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Wisdom Tree Emerging Debt Fund									
138. - Wisdom Tree Emerging Markets Fund									
139. Merrill Lynch IRA	C	Div. & Int.	M	T					
140. - DWA Tech Leaders Fund									
141. - First Tr Consumer Discret Fund									
142. - First Tr Exchg Traded Fund					Sold	08/07/14	J		
143. - First Tr SR FI II Fund									
144. - Franklin Strategic Fund									
145. - Guggenheim China Small Fund					Sold	09/03/14	K	A	
146. - Guggenheim S&P 500 Fund									
147. - Guggenheim S&P Midcap Fund					Sold	09/03/14	J		
148. - Hartford Floating Rate Fund									
149. - iShares Cohen & Steers Fund					Buy	08/04/14	J		
150. - iShares Select Fund									
151. - iShares Transportation Fund					Buy	09/24/14	J		
152. - iShares U.S. Healthcare Fund									
153. - Kayne Anderson MLP Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Mainstay High Yield Fund					Sold	12/24/14	J		
155. - PIMCO Emerg Mkts Bd Fund									
156. - PowerShares Exchange Fund									
157. - PowerShares QQQ Trust Fund									
158. - Sector SPDR Biotech Fund					Sold	08/07/14	J		
159. - Sector SPDR Consrms Fund									
160. - Sector SPDR Divid Fund									
161. - Sector SPDR Financial Fund									
162. - Sector SPDR KBW Insurance Fund					Sold	12/23/14	J		
163. - Vanguard Emerging Fund					Buy	12/23/14	J		
164. - Vanguard European Fund									
165. - Vanguard Industrial Fund					Sold	08/08/14	J	A	
166. - Vanguard Total Fund									
167. - Wisdom Tree Eur Fund					Sold	09/03/14	J		
168. Trust #1 Income Beneficiary	C	Distribution	M	T					
169. Trust #3 Income Beneficiary	C	Distribution	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ J. Garvan Murtha**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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