AO 10
Rev. 1/2017

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Johnson, Wayne E.	United States Bankruptcy Court, Central District of CA	09/11/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
United States Bankruptcy Judge, Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2016 **to** 12/31/2016

7. Chambers or Office Address

United States Bankruptcy Court
Central District of California
3420 Twelfth Street, Suite 384
Riverside, CA 92501

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Trust #1
2.	Trustee	Trust #2
3.	Trustee	Trust #3
4.	Trustee	Trust #4
5.	Trustee	Trust #5
6.	Trustee	Trust #6
7.	Trustee	Trust #7
8.	Trustee	Trust #8
9.	Custodian	Custodial Account #2
10.	Custodian	Custodial Account #3
11.	Custodian	Custodial Account #5
12.	Custodian	Custodial Account #6

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Johnson, Wayne E.	09/11/2017

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. IRA #1									
2. - Charles Schwab Cash/Money Market Account	A	Interest	J	T					
3. - Schwab 1000 Index Fund	A	Dividend	J	T	Buy (add'l)	4/28/16	J		
4. - Federated Mid Cap Index Fund SS	A	Dividend			Sold	4/25/16	J	A	
5. - SPDR Gold Trust: GLD					Sold	6/28/16	J	A	
6.									
7. IRA #2									
8. - Charles Schwab Cash/Money Market Account	A	Interest	J	T					
9. - Schwab 1000 Index Fund	A	Dividend	J	T	Buy (add'l)	4/28/16	J		
10. - Federated Mid Cap Index Fund SS	A	Dividend			Sold	4/25/16	J	A	
11. - SPDR Gold Trust: GLD					Sold	6/28/16	J	A	
12.									
13. IRA #3									
14. - Charles Schwab Cash/Money Market Account	A	Interest	L	T					
15. - Schwab 1000 Index Fund					Buy (add'l)	4/27/16	J		
16. - Schwab 1000 Index Fund					Sold	7/13/16	K	C	
17. - Federated Mid Cap Index Fund SS	A	Dividend			Sold	4/25/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. - SPDR Gold Trust: GLD					Buy (add'l)	3/8/16	K		
19. - SPDR Gold Trust: GLD					Sold	7/13/16	L	A	
20.									
21. IRA #4									
22. - Charles Schwab Cash/Money Market Account	A	Interest	J	T					
23. - Schwab 1000 Index Fund	A	Dividend	J	T	Buy (add'l)	3/8/16	J		
24. " " " "					Buy (add'l)	4/27/16	J		
25. - Federated Mid Cap Index Fund SS	A	Dividend			Sold	4/25/16	J	A	
26.									
27. IRA #5									
28. - Charles Schwab Cash/Money Market Account	A	Interest	J	T					
29. - Federated Mid Cap Index Fund SS	A	Dividend			Sold	4/21/16	K	A	
30. - Schwab 1000 Index Fund	B	Dividend	L	T	Buy (add'l)	3/8/16	K		
31. " " " "					Buy (add'l)	4/22/16	K		
32. - SPDR Gold Trust: GLD					Sold	2/16/16	K	A	
33.									
34.									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Johnson, Wayne E.	09/11/2017

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
35. Custodial Account #2									
36. - Charles Schwab Cash/Money Market Account	A	Interest	J	T					
37. - Schwab 1000 Index Fund					Buy (add'l)	4/27/16	J		
38. - Schwab 1000 Index Fund					Sold	12/05/16	J	A	
39. - Federated Mid Cap Index Fund SS	A	Dividend			Sold	4/25/16	J	A	
40.									
41. Custodial Account #3									
42. - Charles Schwab Cash/Money Market Account			J	T					
43. - Schwab 1000 Index Fund	A	Dividend	J	T	Buy (add'l)	4/28/16	J		
44. " " " "					Sold (part)	12/5/16	J	A	
45. - Federated Mid Cap Index Fund SS	A	Dividend			Sold	4/25/16	J	A	
46.									
47. Custodial Account #5									
48. - Charles Schwab Cash/Money Market Account			J	T					
49. - Schwab 1000 Index Fund	A	Dividend	J	T	Buy (add'l)	4/28/16	J		
50. " " " "					Sold (part)	12/08/16	J	A	
51. - Federated Mid Cap Index Fund SS	A	Dividend			Sold	4/25/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
52.									
53. Custodial Account #6									
54. - Charles Schwab Cash/Money Market Account					Closed	12/01/16	J	A	
55. - Schwab 1000 Index Fund					Sold	4/25/16	J	A	
56. - Federated Mid Cap Index Fund SS	A	Dividend			Sold	4/25/16	J	A	
57.									
58. Trust #1									
59.									
60. Trust #2									
61. - Fidelity Investments Cash Account	A	Interest	J	T					
62. - Fidelity Government Money Market Fund	A	Dividend	L	T	Sold (part)	07/19/16	J	A	
63. " " " "					Sold (part)	11/25/16	J	A	
64.									
65. Trust #3									
66. - Fidelity Investments Cash Account	A	Interest	J	T					
67. - Fidelity Government Money Market Fund	A	Dividend	L	T	Sold (part)	11/28/16	J	A	
68. " " " "					Sold (part)	12/19/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
69.									
70. Trust #4									
71. - Fidelity Investments Cash Account	A	Interest	J	T					
72. - Fidelity Government Money Market Fund	A	Dividend	L	T					
73.									
74. Trust #5									
75. - Fidelity Investments Cash Account	A	Interest	J	T					
76. - Fidelity Government Money Market Fund	A	Dividend			Sold	5/10/16	K	A	
77. - Fidelity 500 Index Premium Class	A	Dividend	K	T	Buy	5/9/16	K		
78.									
79. Trust #6									
80. - Fidelity Investments Cash Account	A	Interest	J	T					
81. - Fidelity Government Money Market Fund	A	Dividend			Sold	5/10/16	K	A	
82. - Fidelity 500 Index Premium Class	A	Dividend	K	T	Buy	5/9/16	K		
83.									
84.									
85.									

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
86. Trust #7									
87. - Fidelity Investments Cash Account	A	Interest	J	T					
88. - Fidelity Government Money Market Fund	A	Dividend			Sold	5/10/16	K	A	
89. - Fidelity 500 Index Premium Class	A	Dividend	K	T	Buy	5/9/16	K		
90.									
91. Trust #8									
92. - Fidelity Investments Cash Account	A	Interest	J	T					
93. - Fidelity 500 Index Premium Class	A	Dividend	J	T	Buy	5/9/16	J		
94.									
95. Brokerage Account #1									
96. - Charles Schwab Cash/Money Market Account	A	Interest	J	T					
97. - Schwab 1000 Index Fund	D	Dividend	N	T	Buy	2/16/16	L		
98. " " " "					Buy (add'l)	2/29/16	L		
99. " " " "					Buy (add'l)	3/8/16	K		
100. " " " "					Buy (add'l)	4/21/16	L		
101.									
102.									

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000

2. Value Codes (See Columns C1 and D3)

3. Value Method Codes (See Column C2)
Q =Appraisal
R =Cost (Real Estate Only)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. Brokerage Account #2									
104. - Fidelity Government Money Market Fund	A	Dividend	J	T					
105.									
106. Claim in Brobeck, Phleger & Harrison bankruptcy case		None	J	W					
107. Bank of America accounts	A	Interest	L	T					
108. JP Morgan Chase accounts	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I: From time to time, I serve as a member of an informal advisory board for an educational program for minors which another individual runs as a sole proprietor. I am not the sole proprietor and I am entirely unrelated to the person who owns and runs the educational program. Since the educational program is organized as a sole proprietorship, there are no actual directors and, therefore, my involvement as a member of an informal advisory board does not appear to constitute a reportable position. I am an informal advisor not an actual board member or officer.

Part VII: Custodial account #1 and custodial account #4 were both closed in 2015. Therefore, they were not included on this report.

Part VII, lines 53-56: Custodial account #6 was closed in 2016. Therefore, it will not be listed on the next annual report.

Name of Person Reporting	Date of Report
Johnson, Wayne E.	09/11/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Wayne E. Johnson

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544