

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Robart, James L.	2. Court or Organization U.S. Dist Court, W.D. of WA	3. Date of Report 04/28/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Dist. Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 700 Stewart Street, 14th Floor Seattle, WA 98101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee Emeritus	Whitman College
2. Trustee	Foundation # 1
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	Paintings sold for cash.
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American Conference Institute	January 23-24, 2014	New York, New York	Litigating Disability Claims	Airfare, Lodging, Food, Transportation, and Parking
2.	American Conference Institute	January 28-29, 2014	Miami, Florida	Preventing and Defending Long Term Care Conference	Airfare, Lodging, Food, Transportation, and Parking
3.	Waseda University	February 26 -March 2, 2014	Tokyo, Japan	FRAND Patents	Airfare, Lodging, Transportation, and Parking
4.	University of Southern California	March 17-19, 2014	Los Angeles, California	IP Institute	Airfare, Lodging, Food, Transportation, and Parking
5.	GPO and Munich Technical University	March 24-28, 2014	Bonn, Germany	Patent Law	Airfare, Lodging, Food, Transportation, and Parking

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6.	American Conference Institute	March 31- April 1, 2014	Philadelphia, Pennsylvania	Bad Faith Litigation	Airfare, Lodging, Transportation, and Parking
7.	American Conference Institute	April 27-28, 2014	Chicago, Illinois	Chemical Products Liability & Environmental Litigation	Airfare, Lodging, Transportation, and Parking
8.	Mortgage Bankers Association	May 4-5, 2014	San Diego, California	Judicial Panel -- Legal Issues and Regulatory Compliance	Airfare, Lodging, Transportation, and Parking
9.	American Conference Institute	May 29-30, 2014	New York, New York	Wage & Hour Claims Conference	Airfare, Lodging, Transportation, and Parking
10.	California Bar Association IP Section	June 6-7, 2014	Santa Barbara, California	FRAND Patents	Airfare, Lodging, Food, Transportation, and Parking
11.	GPO/Munich IP Lawyers/ TUM	June 24-28, 2014	Munich, Germany	SEP Patent Infringement	Airfare, Lodging, Food, Transportation, and Parking
12.	IP Owners Association	September 7-9, 2014	Vancouver, British Columbia, Canada	Trademark and IP Litigation	Lodging, Food, Transportation, and Parking
13.	American Conference Institute	September 22-23, 2014	Dallas, Texas	Residential Mortgage Litigation	Airfare, Lodging, Transportation, and Parking
14.	American Conference Institute	October 27-28, 2014	New York, New York	ERISA Litigation & Insurance Allocation	Airfare, Lodging, Transportation, and Parking
15.	University of Texas School of Law	November 4-7, 2014	Austin, Texas	Advanced Patent Law Institute	Airfare, Lodging, Food, Transportation, and Parking
16.	Cravath, Swain & Moore	December 3-5, 2014	New York, New York	IP CLE	Airfare, Lodging, Food, Transportation, and Parking

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Bank of America	Credit Card	J
2.	Bank of America	Credit Card	J
3.	Whitman College	Multi-year pledge	L
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Key Bank	A	Interest	J	T					
2. Key Bank	A	Interest	J	T					
3. Oak Harbor, WA Island Cnty Rental Property (2014 - \$200,000)	A	Rent	N	S					
4. Bank of Washington Common Stock		None	J	T					
5. BROKERAGE ACCOUNT #1									
6. -- Starbucks Common Stock	E	Dividend	P1	T	Donated (part)				
7. -- Schwab Money Fund	A	Interest	M	T					
8. -- Silver Standard Common Stock		None			Sold	12/14/14	J		
9. -- Gabriel Technologies Corp.		None							See Note # 1 Section VIII
10. -- MSCI Em. Mkts I-Shares	E	Dividend	O	T					
11. -- MSCI EAFE Index Fund	D	Dividend	N	T					
12. -- S&P 600 I-Shares	D	Dividend	O	T	Sold (part)	08/18/14	N	G	
13. -- Vanguard REIT Fund	E	Dividend	O	T					
14. -- S&P 500 IShares	E	Dividend	O	T					
15. -- Boston Priv. Fin. Hldg.	B	Dividend	L	T					
16. Chevron Common Stock	D	Dividend	N	T					
17. Vanguard GNMA Mutual Fund	C	Dividend			Sold	08/14/14	M	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. BROKERAGE IRA #1									
19. -- MSCI EAFE Index I-Shares	E	Dividend	P1	T					
20. -- S&P Small Cap 600 IShares	E	Dividend	O	T					
21. -- GE Capit. Notes	C	Interest			Matured	07/20/14	L		
22. -- S&P 500 I-Shares	E	Dividend	O	T					
23. -- Schwab Money Fund	A	Interest	M	T					
24. -- Capmark Bank CD	C	Interest			Matured	01/28/14	L		
25. -- SallieMae Bank CD	C	Interest			Matured (part)	04/09/14	L		
26. -- U.S. TIPS	C	Interest	O	T	Matured (part)	04/15/14	L		
27.					Buy (add'l)	04/17/14	M		
28. -- Vanguard REIT	E	Dividend	O	T					
29. -- Emrg. Mkt. I-Share	D	Dividend	N	T					
30. -- General Mills Notes	C	Interest	L	T					
31. -- Bank of America Notes	C	Interest	M	T					
32. -- Morgan Stanley Notes	D	Interest	M	T					
33. --CISCO Systems Note	D	Interest	M	T					
34. -- Discover Bank CD	B	Interest	M	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. --Goldman Sachs Notes	C	Interest	M	T					
36. --Pfizer Notes	C	Interest	M	T					
37. --GE Cap. Bank CD	B	Interest	M	T					
38. --Goldman Sachs BK CD	B	Interest	M	T					
39. --Conoco Phillips Notes	D	Interest	M	T					
40. --GE Cap Retail CD	B	Interest	M	T					
41. --J.P. Morgan Chase	B	Interest	M	T	Buy	01/27/14	L		
42. --American Express Bank CD	B	Interest	L	T	Buy	10/01/14	L		
43. --Synchrony Bank CD	C	Interest	M	T	Buy	06/30/14	M		
44. BROKERAGE IRA #2									
45. -- Janus Balanced Mut. Fund	B	Dividend	K	T					
46. -- T.R. Price New Asia Mut. Fund	B	Dividend	K	T					
47. -- Schwab Money Fund	A	Interest	J	T					
48. -- MSCI EAFE Index Fund	A	Dividend	K	T					
49. -- S&P 500 I-Shares	C	Dividend	M	T					
50. -- MSCI Em. Mkts I Share	B	Dividend	L	T					
51. -- S&P Small Cap 600 I Shares	B	Dividend	M	T					

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52. --IBM	A	Dividend	J	T					
53. --Vanguard REIT	B	Dividend	K	T					
54. FOUNDATION # 1									
55. -- Boeing Common Stock	B	Dividend			Donated				
56. -- Exxon Mobil Common Stock	B	Dividend			Donated				
57. -- IBM Common Stock	B	Dividend			Donated				
58. -- 3M Common Stock	B	Dividend			Donated				
59. -- RBC Prime Money Fund	A	Dividend	K	T					
60. -- Keeley Sm. Cap. Fund	A	Dividend			Donated				
61. -- Russell Emerg. Mkts FD					Sold	01/09/14	L		
62. -- Eaton Vance Strag Income FD	D	Interest			Donated				
63. -- Pimco Bd. Fund	A	Interest			Donated				
64. -- J.P. Morgan Strag Income Bd. Fund	C	Interest	M	T					
65. -- Praxair	A	Dividend			Donated				
66. -- IShares Med Devices	A	Dividend			Donated				
67. -- IShares Tech Index	A	Dividend			Donated				
68. -- IShares Consumer Serv Index	A	Dividend			Donated				

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69. -- J.P. Morgan Large Cap Fund	A	Dividend			Donated				
70. -- Pimco All Assets Fund	B	Dividend			Donated				
71. -- Principal Mid-Cap Fund	A	Dividend	N	T					
72. -- Henderson Glb. Equ.	D	Dividend			Donated				
73. Vanguard Intl. Tax Managed Fund	E	Dividend	P1	T					
74. 7MB Tech. Corp.		None	K	T					
75. Builders Capital Part.	E	Dividend	N	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Registration revoked by SEC (1/29/2014). Written off as worthless.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **James L. Robart**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544