

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Steele, William H.	2. Court or Organization US District-So. Dist. Of AL	3. Date of Report 05/07/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 113 St. Joseph Street Mobile AL 36602		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 12-31-12	Church Reader Fees	\$4,350.00
2. 12-31-12	Musician Fees	\$1,050.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 12-31-12	Self-employed (accounting consultant)
2. 12-31-12	Retirement income from Retirement Systems of Alabama
3. 12-31-12	Deferred Compensation distribution from Public Nationwide Retirement Solutions (also shown in Part VII)
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Regions Bank Account	A	Interest	M	T					
2. Fidelity Puritan		None			Sold	03/15/12	J	B	
3. Nationwide Retirement Solutions (457b)- (level of risk only)	E	Distribution	M	T					
4. *Wells Fargo Advisors - (IRA)	A	Dividend	J	T					
5. -Centennial Money Market									
6. - SunAmerica Blue Chip Fund Class B									
7. - Putnam International Growth Fund									
8. -Precision Drilling									
9. *Wells Fargo Advisors (IRA)	B	Dividend	L	T					
10. - Alliance Bernstein Large-Cap Growth Class A									
11. - Alliance Bernstein Global Thematic Growth Fund									
12. - John Hancock Regional Bank									
13. - Alliance Real Estate Investment Fund									
14. - Jennison Blend Fund Class A									
15. *Wells Fargo Advisors									
16. -Alliance Large Capital Growth		None	J	T					
17. -John Hancock Financial Industries	A	Dividend			Sold	09/06/12	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -Centennial Money Market	A	Interest	J	T					
19. -Templeton Foreign		None			Sold	08/31/12	K	A	
20. -Mutual Shares (TESIX)	A	Dividend	K	T					
21. -Putnam Allstate Advisor (Annuity)		None	L	T					
22. -Putnam FD for Growth & Income	A	Dividend			Sold	08/31/12	K	A	
23. -Putnam Invt Fds Multi-Cap Value Fund	A	Dividend	K	T					
24. -Fidelity Adv Emerging Markets Income Fund	A	Dividend	K	T					
25. -Campbell Strategic Allocation Fund	B	Int./Div.	M	T					
26. -Europacific Growth Fund (American Funds)		None			Sold	08/31/12	K	A	
27. -Fundamental Investors Inc. (American Funds)	B	Dividend	L	T					
28. -Growth Fund of America (American Funds)	B	Dividend	M	T					
29. -New Economy Fund (American Funds)	A	Dividend	K	T					
30. -Washington Mutual (American Funds)	B	Dividend	K	T					
31. - Nationwide Annuity		None	N	T					
32. *Wells Fargo Advisors - IRA (FBO)	C	Dividend	N	T	Distributed (part)	03/06/12	J		
33. -Putnam Intl. Growth Fund									
34. -Putnam Invt Fds Multi-Cap Value Fund									

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35. -Putnam Voyager Fund					Sold (part)	03/06/12	J		
36. -Euro Pacific Growth (American Funds)									
37. -Growth Fund of America (American Funds)									
38. - Nuveen Short Duration Credit Opp. Fd.									
39. Navellier Vireo Alphadex Fund	B	Dividend	M	T	Buy	09/14/12	M		
40. Steele Enterprises LLC		None	L	U					
41. Rental property, Orange Beach, AL	E	Rent	M	W					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII.3 - The Nationwide Retirement Solution (457b) - I am only able to select the Level of Risk in this retirement plan, and have no control over the selection of individual investments. Invesco VK Gr Inc A and WFA Disc Admn is the investment manager. Previously, I listed the investments that were chosen by the Investment Manager for my plan, but I now understand that listing these investments is not required, since I have no control over their selection. Therefore, the individual investments in this retirement plan are not listed in this report.

VII.40 - It is not anticipated that any income will be derived from the LLC. All income will be realized by the manager.

VII.various - '*' denotes header information.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ William H. Steele

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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