

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MORROW, MARGARET M.	2. Court or Organization United States District Court, CACD	3. Date of Report 10/09/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address United States District Court 255 E. Temple Street, Ste. 770 Los Angeles, California 90012		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Bryn Mawr College - Board of Trustees - (See Note in Part VIII)
2.	Trustee	Mayfield Senior School - Board of Trustees - (See Note in Part VIII)
3.	Trustee	Brokerage Account #2 (Trust #1) (Part VII, Page 17, Line 233)
4.	Trustee	Administrative Account (Trust #2) (Part VII, Page 29, Line 428)
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2012	Defined Benefit Pension Payment from State of California	\$134,401.44
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Bryn Mawr College	February 9-11	Bryn Mawr, PA	Board of Trustees Meeting	Meals
2. Bryn Mawr College	April 26-28	Bryn Mawr, PA	Board of Trustees Meeting	Meals
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Invesco Van Kampen Capital Growth Fund-A - IRA		None	L	T					
2. Vanguard Windsor II Fund - IRA	A	Dividend	J	T					
3. BROKERAGE ACCOUNT #1 - INDIVIDUAL RETIREMENT ACCOUNT	F	Int./Div.	P1	T					
4. - Cash equivalent - Morgan Stanley									
5. - Amgen Inc. - common stock									
6. - Cisco Systems Inc. - common stock									
7. - General Electric Company - common stock									
8. - Microsoft Corporation - common stock									
9. - Royal Dutch Shell PLC ADR - common stock									
10. - AT&T Inc. - common stock					Sold (part)	10/11/12	J	A	
11. - Schlumberger Ltd. - common stock									
12. - Comcast Corp CL A Special New - common stock					Buy (add'l)	02/03/12	J		
13.					Sold (part)	05/03/12	J	A	
14. - Eaton Corp. - common stock									
15. - Procter & Gamble - common stock									
16. - Monsanto Co. - common stock									
17. - Kraft Foods Inc. - common stock					Buy	10/11/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. - Vodafone Group PLC ADR - common stock									
19. - CVS Caremark Corp. - common stock									
20. - Google Inc. - common stock									
21. - Coca-Cola Co. - common stock									
22. - Texas Instruments Inc. - common stock									
23. - Amazon.com Inc. - common stock					Sold (part)	05/03/12	J	A	
24.					Sold (part)	09/14/12	J	A	
25. - Charles Schwab Corp. - common stock									
26. - Chevron Corp. - common stock									
27. - Cummins Inc. - common stock					Sold	01/13/12	J	A	
28. - Engility Holdings Inc.(L3 Comms)					Spinoff (from line 59)	07/17/12	J		
29.					Sold	07/19/12	J	A	
30. - Exelon Corp - common					Buy	07/19/12	J		
31.					Sold	12/03/12	J	A	
32. - Freeport McMoran Copper & Gold - common stock									
33. - Intuit Inc. - common stock									
34. - Juniper Networks Inc. - common stock									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - Weatherford Int'l. Ltd. - common stock									
36. - Broadcom Corp - common stock									
37. - Akamai Technologies Inc. - common stock									
38. - American Electric Power Co. -- common					Buy	05/18/12	J		
39. - Anadarko Petroleum Corp. - common stock									
40. - Autodesk Inc. - common stock									
41. - Bed Bath & Beyond Inc. - common stock									
42. - Biogen Idec Inc. - common stock									
43. - Blackrock Inc. - common stock									
44. - Cablevision Systems Corp. - common stock									
45. - Celgene Corp. - common stock									
46. - Consolidated Edison Inc. - common stock					Sold	01/04/12	J	A	
47. - Covidien PLC New -- common stock									
48. - Cree Research Inc. - common stock									
49. - Deere & Co. -- common stock									
50. - Ebay Inc. - common stock									
51. - Express Scripts Inc. - common stock					Buy	01/04/12	J		

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52. - Fluor Corp. - common stock									
53. - Forest Laboratories Inc. - common stock									
54. - HCP Incorporated - common stock									
55. - Health Care REIT Inc. - common stock									
56. - Home Depot Inc. - common stock									
57. - Johnson & Johnson - common stock									
58.									
59. - L-3 Communications Holdings Inc. - common stock									
60. - The Directv Group Class A - common stock									
61. - Liberty Media Hldg. Capital Series A - common stock									
62. - Liberty Media Hldg SR A Inter - common stock									
63. - Nasdaq OMX Group Inc. - common sto									
64. - National Oilwell Varco Inc. - common stock									
65. - Pall Corporation - common stock									
66. - Pepsico Inc. - common stock									
67. - Qualcomm Inc. - common stock									
68. - Seagate Technology Holdings - common stock					Sold (part)	02/08/12	J	A	

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69.					Sold (part)	05/03/12	J	A	
70. - SPDR Gold TR Gold SHS - common stock									
71. - TE Connectivity Ltd. New - common stock									
72. - Tyco International Ltd. - common stock									
73. - Unitedhealth Group Inc. - common stock									
74. - Vertex Pharmaceuticals - common stock									
75. - Xilinx Inc. -- common					Buy	06/06/12	J		
76. - AMC Networks Inc. CL A - common stock									
77. - Apache - common stock									
78. - Delaware Diversified Inc. - mutual fund					Sold (part)	06/29/12	J	A	
79.					Buy (add'l)	12/07/12	J		
80. - H J Heinz Co. - common stock									
81. - JP Morgan Chase BK N A Columbus Ohio DJIA Linked CD									
82. - Lord Abbett Floating Rate - mutual fund					Sold (part)	06/29/12	J		
83.									
84. - Lord Abbett Sht Dur Inc A - mutual fund					Sold (part)	06/29/12	J		
85.					Sold (part)	12/07/12	J		

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86. - Managers Bond Fund - mutual fund					Sold (part)	06/29/12	J		
87.					Sold (part)	12/07/12	J	A	
88. - Metropolitan West Hi Yld Bd I - mutual fund					Sold (part)	06/29/12	J		
89.					Sold	12/07/12	K	A	
90. - Merck & Co. - common stock					Buy	01/04/12	J		
91. - Nucor Corp. - common stock									
92. - Pimco Total Return - mutual fund					Sold (part)	06/29/12	J	A	
93. - Sandisk Corp. - common stock									
94. - Yum Brands Inc. - common stock					Buy	12/21/12	J		
95. - TCW Total Return - mutual fund					Sold (part)	06/29/12	J		
96. - Templeton Global Bd Fd Adv -					Sold (part)	06/29/12	J	A	
97. - Verizon Communications - common stock									
98. - Federated Unconstrained BD IS - mutual fund					Buy	12/07/12	J		
99. - JP Morgan Strat Inc. Opp Sel - mutual fund					Buy	12/07/12	J		
100. - Virtus Multi-Sector S/T Bond - mutual fund					Sold (part)	06/29/12	J	A	
101. - Virtus Multi Sect Sht Trm BD I -					Sold (part)	06/29/12	J		
102. - Virtus Senior Floating Rate I - mutual fund					Sold (part)	06/29/12	J		

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103.									
104. - Bank Deposit Sweep Program -									
105. - Lake Tahoe Calif Uni Sch Dist Go BDS - muni bond	A	Int./Div.	L	U	Open				MMM account
106. Orchard Calif. Sch Dist G.O. Ref BDS - muni bond	A	Interest	K	U	Buy	01/24/12	K		account
107. San Ysidro Calif. Sch Dist CTFS Partn - muni bond	A	Interest	J	U	Buy	01/30/12	J		account
108. - Wells Fargo Bank - Account					Open	12/31/12	K		account
109. - Union Bank - Account					Open	12/31/12	K		account
110. - Kadison, Pfaelzer, Woodard, Quinn & Rossi Inv. Ptnrshp.					Open	12/31/12	K		account
111. - Apple Inc. - common stock									
112. - Astrazeneca PLC ADS - common stock									
113. - Bristol Myers Squibb Co. - common stock									
114. - Dolby Class A common stock									
115. - EMC Corp. Mass - common stock									
116. - Glaxosmithkline PLC ADS - common stock									
117. - Immunogen Inc. - common stock									
118. - Isis Pharmaceuticals Inc. - common stock									
119. - Legg Mason BW Global Opp Bd F1 - mutual fund					Sold (part)	06/29/12	J	A	

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120.									
121. - Legg Mason WA Corp BD 1 -					Sold (part)	06/29/12	J		
122.					Sold	12/07/12	K	B	
123. - Mc Donalds Corp. - common stock					Sold (part)	01/04/12	J	A	
124.					Buy (add'l)	12/03/12	J		
125. - Ms Buffered Plus Based on Basket - common					Buy	06/29/12	L		
126. - Oracle Corp. - common stock									
127. - Philip Morris International Inc. - common stock					Sold (part)	07/19/12	J	A	
128. - PPL Corporation - common stock									
129. - TCW Emerging Markets Income N - mutual fund					Sold (part)	06/29/12	J		
130.					Sold (part)	12/07/12	J	A	
131. - Pimco Emerging Local Bond A - mutual fund					Sold (part)	06/29/12	J		
132. - Thermo Fisher Scientific Inc. - common stock									
133. - Ventas Inc.- common					Buy	04/11/12	J		
134.					Sold	08/08/12	J	A	
135. - Visa Inc. - common stock									
136. - Walt Disney Co. Holding Co. - common stock									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.									
138. - Unit AAM Covered Call & Income Strategies Closed end fund									
139. - Anheuser Busch Inbev SA Spon - common stock									
140.									
141. - Blackrock Strategic Inc Opp A Prospectus - mutual fund					Buy	12/07/12	K		
142.									
143. - Goldman Sachs Strategic Inc A Prospectus - mutual fund					Sold (part)	06/29/12	J		
144.									
145. - Virtus Senior Floating Rate A - mutual fund					Buy (add'l)	12/07/12	K		
146.									
147. - Virtus Multi Sector Fixed Inc A - mutual fund									
148.									
149. - CME Group - common stock									
150. - EOG Resources Inc. - common stock									
151. - NetApp Inc. - common stock									
152. - Human Genome Sciences Inc. - common stock Ok					Sold	07/16/12	J	A	
153.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - United Parcel Service Inc. Class B - common stock									
155.									
156. - MFF Orion FT FD - managed future									
157. - Skybridge Multi-Advisor Hedge fund									
158.									
159. - BlackRock All-CP Energy Res A - mutual fund									
160.									
161. - Cohen & Steers Global Realty Foc I - mutual fund									
162.									
163. - Eaton Vance Commodity Strategy A - mutual fund									
164.									
165. - Hartford Floating Rate I - mutual fund					Sold (part)	06/29/12	J		
166.									
167. - ING Global Real Estate FD I - mutual fund									
168. - PIMCO Commodity Real Ret Strat A - mutual fund									
169.									
170. - RS Global Natural Resources A - mutual fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.									
172. - Abbott Laboratories - common stock					Sold (part)	07/19/12	J	A	
173.					Sold	10/11/12	J	A	
174. - Altria Group Inc. - common stock									
175. - BCE Inc. (New) - common stock									
176. - Centurylink Inc. - common stock									
177. - Cincinnati Financial Ohio - common stock					Sold	02/03/12	J	A	
178.									
179. - Citrix Systems Inc. - common stock									
180. - Conocophillips - common stock									
181. - Phillips 66.com					Spinoff (from line 180)	05/03/12	J		
182. - Dominion Res Inc. (New) - common stock									
183.									
184. - Duke Energy Corp. Holding Co. - common stock									
185.									
186. - Kimberly Clark Corp. - common stock					Sold (part)	07/19/12	J	A	
187.									

1. Income Gain Codes:	A - \$1,000 or less	B - \$1,001 - \$2,500	C - \$2,501 - \$5,000	D - \$5,001 - \$15,000	E - \$15,001 - \$50,000
(See Columns B1 and D4)	F - \$50,001 - \$100,000	G - \$100,001 - \$1,000,000	H1 - \$1,000,001 - \$5,000,000	H2 - More than \$5,000,000	
2. Value Codes	J - \$15,000 or less	K - \$15,001 - \$50,000	L - \$50,001 - \$100,000	M - \$100,001 - \$250,000	
(See Columns C1 and D3)	N - \$250,001 - \$500,000	O - \$500,001 - \$1,000,000	P1 - \$1,000,001 - \$5,000,000	P2 - \$5,000,001 - \$25,000,000	
	P3 - \$25,000,001 - \$50,000,000		P4 - More than \$50,000,000		
3. Value Method Codes	Q - Appraisal	R - Cost (Real Estate Only)	S - Assessment	T - Cash Market	
(See Column C2)	U - Book Value	V - Other	W - Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - Eli Lilly & Co. - common stock					Buy (add'l)	02/03/12	J		
189.					Sold (part)	10/22/12	J	A	
190. - Lorillard Inc. - common stock									
191. - Natl Grid Transco PLC ADS - common stock									
192. - Reynolds American Inc. - common stock									
193. - Southern Co. - common stock									
194. - Telefonica SA ADR - common stock					Sold	05/18/12	J		
195.									
196. - Total Fina Elf SA - common stock									
197. - Unilever PLC (New) ADS - common stock					Sold (part)	10/22/12	J		
198.									
199. - Windstream Corp. - common stock									
200.									
201. - Columbia Select LG CP Growth Z - mutual fund					Buy (add'l)	02/03/12	J		
202.					Buy (add'l)	05/03/12	K		
203. - Columbia Income Opport A - mutual fund					Sold (part)	06/29/12	J		
204.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. - Invesco Developing Markets A - mutual fund					Buy (add'l)	02/08/12	J		
206.					Buy (add'l)	05/03/12	K		
207.									
208. - Nuveen Tradewinds Global All CP A - mutual fund					Buy (add'l)	02/07/12	K		
209.					Sold	05/03/12	L	A	
210.									
211. - Royce Premier Service - mutual fund					Buy (add'l)	02/03/12	J		
212.					Buy (add'l)	05/03/12	J		
213. - Thornburg Int'l Value I- mutual fund					Buy (add'l)	02/04/12	J		
214. - Virtus Insight Emerging Markets I - mutual fund					Buy (add'l)	02/03/12	J		
215.					Sold (part)	02/08/12	J	A	
216.					Buy (add'l)	02/09/12	J		
217. - Nike Inc. B - common stock									
218. - Cameron Intl Corp - common stock									
219. - Duff & Phelps Global Equity - common stock									
220. - Blackrock Emrg Mkt L/S EQ Inst (BLSIX) - mutual fund					Buy	12/31/12	J		
221. - DWS Rreef GLB Infrastrc S (TOLSX) -- mutual fund					Buy	12/31/12	J		

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F ~\$50,001 - \$100,000 J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. - Gateway Fund Y (GTEYX) - mutual fund					Buy	12/31/12	J		
223. - Invesco Balanced - Risk Alloc Y (ABRYX) - mutual fund					Buy	12/31/12	J		
224. - Managers Fremont Global Fund (MMAFX) - mutual fund					Buy	12/31/12	J		
225. - MFS Diverse Target Return I (DVRIX) - mutual fund					Buy	12/31/12	K		
226. - Nuveen Global Infrastructur I (FGIYX) - mutual fund					Buy	12/31/12	J		
227. - PYXIS Long/Short Equity A (HEOAX) - mutual fund					Buy	12/31/12	J		
228. - Virtus Dynamic Alphasector I (VIMNX) - mutual fund					Buy	12/31/12	K		
229.									
230. - Charles Schwab - Inherited IRA Account -	A	Distribution	J	T	Distributed (part)	12/27/12	J	A	See Note in Part VIII
231. - Charles Schwab - Inherited IRA Account -	G	Distribution	K	T	Distributed (part)	12/27/12	K	E	See Note in Part VIII
232.									
233. BROKERAGE ACCOUNT #2 (TRUST #1)	E	Int./Div.	P1	T					
234.									
235. - Cash equivalent - Morgan Stanley'									
236. - Eaton Corp. - common stock									
237.									
238. - Express Scripts Inc. - Common					Buy	01/04/12	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. - General Electric Inc. - common stock									
240. - Pepsico Inc. - common stock									
241. - Royal Dutch Shell PLC - common stock									
242.									
243. - AMC Networks Inc CL A - common stock									
244.									
245. - At&T - common stock					Sold (part)	10/11/12	J	A	
246. - Schlumberger Ltd. - common stock									
247. - Procter & Gamble - common stock									
248. - Monsanto Company - common stock									
249. - Praxair Inc. - common stock									See Note in Part VIII
250. - Kraft Foods - common stock					Buy	10/11/12	J		
251. - Vodafone Group PLC ADR - common stock									
252.									
253. - CVS Caremark Corporation - common stock									
254. - Health Care REIT - common stock									
255. - Coca Cola Company - common stock									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. - Coca Cola Enterprises Inc. - common stock									See Note in Part VIII
257. - Exelon Corp. - common					Buy	07/19/12	J		
258.					Buy (add'l)	08/08/12	J		
259.					Sold	12/03/12	J	A	
260. - Google Inc. - common stock									
261. - HCP Inc. - common stock									
262.									
263. - Juniper Networks Inc. - common stock									
264. - Qualcomm Inc. - common stock									
265. - Schwab Charles Corporation - common stock									
266. - Time Warner Inc. - common stock									See Note in Part VIII
267. - Amazon.com Inc. - common stock					Sold (part)	05/04/12	J	A	
268.					Sold (part)	09/14/12	J	A	
269. - Amgen Inc. - common stock									
270. - Anadarko Petroleum Corporation - common stock									
271.									
272. - Cablevision Systems Corporation - common stock									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. - Celgene Corporation - common stock									
274. - Chevron Corporation - common stock									
275. - Cintas Corporation - common stock									See Note in Part VIII
276. - Cisco Systems Inc. - common stock									
277. - Covidien Ltd. - common stock									
278. - EOG Resources Inc. - common stock									
279. - Freeport McMoran Copper & Gold - common stock									
280. - Lord Abbett High Yield Muni Bond Fund - mutual fund									
281. - Microsoft Corporation - common stock									
282. - NASDAQ OMX Group, Inc. - common stock									
283. - SPDR Gold Trust - ETF									
284.									
285. - Texas Instruments Inc. - common stock									
286. - Weatherford International Ltd. - common stock									
287. - Xilinx Inc. - common					Buy	06/06/12	J		
288. - Yum Brands Inc. - common					Buy	12/21/12	J		
289. - Consolidated Edison Inc. - common stock					Sold	01/04/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. - Fluor Corp. - common stock									
291. - Akamai Technologies Inc. - common stock									
292. - Autodesk Delaware - common stock									
293. - Bed Bath & Beyond - common stock					Sold (part)	06/15/12	J	A	
294. - Biogen Idec Inc. - common stock									
295.									
296. - Blackrock Inc. - common stock									
297. - Broadcom Corp. - common stock									
298. - Comcast Corp. CL A Special New - common stock (CMCSK)					Sold (part)	05/04/12	J	A	
299.									
300. - Cree Research Inc. - common stock									
301. - Dolby Class A common stock (formerly Dolby Labs Inc.)									
302. - Ebay Inc. - common stock									
303. - Engility Holdings, Inc.					Spinoff (from line 310)	07/19/12	J		
304. - Facebook Inc. CL-A - common					Buy	05/17/12	J		
305. - Forest Laboratories Inc. - common stock									
306. - Home Depot Inc. - common stock									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307.									
308. - Johnson & Johnson - common stock									
309.									
310. - L-3 Communications Hldgs Inc. - common stock									
311. - Lorillard Inc.-- common stock									
312. - The Directv Group Class A - common stock									
313. - Liberty Media Hldg Series A Interactive - common stock					Sold	08/17/12	J	A	
314. - Liberty Media Corp. Hldg Capital Series A - common stock									
315. - National Oilwell Varco Inc. - common stock									
316.									
317. - Pall Corporation - common stock									
318. - Seagate Technology Hldgs. - common stock					Sold (part)	02/08/12	J	A	
319. - TE Connectivity Ltd. New - common stock									
320. - Tyco International Ltd. - common stock									
321. - Unitedhealth Group Inc. - common stock									
322.									
323. - Vertex Pharmaceuticals - common stock									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. - Apache Corporation - common stock									
325. - Delaware Tx-Fr USA Intermed A - mutual fund									
326. - DWS Intermediate Tax/Amt Fr A - mutual fund									
327. - EV Hi Yld Muni Income A - mutual fund									
328. - H. J. Heinz Company - common stock									
329. - Intuit Inc. - common stock									
330. - Merck & Co. Inc. - common stock					Buy	01/04/12	J		
331. - Nucor Corporation - common stock									
332. - Sandisk Corporation - common stock									
333. - Verizon Communications - common stock									
334.									
335. - Western Asst GLB CP DEF OPP FD - common stock									
336. - Anheuser Busch Inbev SA Spon - common stock									
337. - American Electric Power Co. - common					Buy	05/18/12	J		
338. - Apple Inc. - common stock									
339. - Astrazeneca PLC ADS - common stock									
340. - Blackrock National Muni A - mutual fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341. - Bristol Myers Squibb Co. - common stock									
342.									
343. - Citrix Systems Inc. - common stock									
344. - Clearbridge Energy MLP FD Inc. - common stock									
345. - Cummins Inc. - common stock					Sold	01/13/12	J	A	
346. - EMC Corp Mass - common stock									
347. - ETFS Palladium Trust - common stock									See note in Part VIII
348. - ETFS Platinum Trust - common stock									See note in Part VIII
349. - Glaxosmithkline PLC ADS - common stock									
350. - Immunogen Inc. - common stock									
351. - Isis Pharmaceutical Inc. - common stock									
352. - Kayne and Midstream/Energy Fund Inc. - common stock									
353. - Mc Donalds Corp. - common stock					Sold (part)	01/04/12	J	A	
354.					Buy (add'l)	12/03/12	J		
355. - MFS Muni High Income A - mutual fund									
356. - Oracle Corp. - common stock									
357. - Philip Morris Int'l Inc. - common stock					Sold (part)	07/19/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358.									
359. - Legg Mason WA Intrm Muni Inst. - mutual fund									
360. - Pioneer High Income Muni A - mutual fund									
361. - PPL Corporation - common stock									
362.									
363. - Thermo Fisher Scientific Inc. - common stock									
364. - Ventas Inc. - common					Buy	04/11/12	J		
365.					Sold	08/08/12	J	A	
366. - Visa Inc. Class A common stock									
367. - Walt Disney Co. Holding Co. - common stock									
368. - Abbott Laboratories - common stock					Sold (part)	07/19/12	J	A	
369. - Avery Dennison Corporation - common stock									See Note in Part VIII
370.					Sold	10/11/12	J		
371. - Altria Group Inc. - common stock									
372. - BCE Inc. (New) - common stock									
373.									
374. - Centurylink Inc. - common stock									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. - Cincinnati Financial Ohio - common stock					Sold	02/02/12	J	A	
376. - Conocophillips - common stock									
377. - Phillips 66 COM					Spinoff (from line 376)	05/03/12	J		
378. - Dominion Res Inc. (New) - common stock					Sold (part)	01/04/12	J		
379.									
380. - Duke Energy Corp Holding Co. - common stock									
381. - Kimberly Clark Corp. - common stock					Sold (part)	07/19/12	J	A	
382.					Sold (part)	08/08/12	J	A	
383. - Eli Lilly & Co. - common stock					Buy (add'l)	02/02/12	J		
384.					Sold (part)	10/22/12	J	A	
385. - National Grid Transco PLC ADS - common stock									
386.									
387. - Reynolds American Inc. - common stock									
388. - Windstream Corp. - common stock									
389. - Unilever PLC (New) ADS - common stock					Sold (part)	10/22/12	J	A	
390. - Total Fina Elf SA - common stock									
391.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. - Telefonica SA ADR - common stock					Sold	05/18/12	J	A	
393. - Southern Co. - common stock									
394.									
395. - Columbia Select LG CP Growth Z -					Buy (add'l)	05/04/12	K		
396.									
397. - Invesco Developing Markets A - mutual fund					Buy (add'l)	05/04/12	K		
398.									
399. - Nuveen Tradewinds Global All CP A - mutual fund					Sold	05/04/12	L	A	
400. - Royce Premier Service - mutual fund					Buy (add'l)	05/04/12	J		
401.									
402. - Thornburg Int'l Value A - mutual fund									
403.									
404.									
405. - Virtus Insight Emerging Markets I - mutual fund					Buy (add'l)	05/04/12	K		
406. - NetApp Inc. - common stock									
407. - CME Group Inc. - common stock									
408.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. - Human Genome Sciences Inc. - common stock					Sold	06/16/12	J	A	
410. - United Parcel Service Inc. Class B - common stock									
411. - Blackrock All CP Energy Res A - mutual fund									
412. - Cohen & Steers Glb Rlty Foc A - mutual fund									
413. - Eaton Vance Commodity Strat A - mutual fund									
414. - Columbia Income Opport A - mutual fund									
415. - ING Global Real Estate A - mutual fund									
416. - ING Global Real Estate FD I - mutual fund									
417. - Hartford Floating Rate I - mutual fund									
418. - Pimco Comm Real Ret Strat A - mutual fund									
419. - Metropolitan West HI YLD Bd M - mutual fund									
420. - RS Global Natural Res A - mutual fund									
421. -- Virtus Senior Floating Rate I - mutual fund									
422. - Nike Inc. B - common stock									
423. - Deere & Co. - common stock									
424. - Cameron International Corp. - common stock									
425.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. - Real Property, Nantucket County, Massachusetts					Buy	11/06/12	P1		
427.									
428. ADMINISTRATIVE ACCOUNT (TRUST #2)	G	Int./Div.	P1	T	Open	12/31/12	P1		See note in Part VIII
429. - Highmark CA Tax Free Money Market -					Sold	03/30/12	J	A	
430. - Edison International - common stock									
431. - Exelon Corp. - common stock									
432. - Marathon Oil Corp. - common stock									
433. - Marathon Petroleum Corp - common stock									
434. - United States Steel Corp. - common stock									
435. - Vallejo City Calif Uni Sch District G.O. [REDACTED]					Redeemed	02/02/12	M	A	
436.									
437. - California St Economic Recovery - muni. bond [REDACTED]					Redeemed	07/02/12	M		
438. - Sacramento Cnty Calif. Arpt Sys - muni. bond [REDACTED]					Redeemed	07/02/12	M		
439. - Twin Rivers Calif Uni Sch. Dist - muni bond [REDACTED]									
440. - Loma Linda Calif Redev Agy Tax - muni. bond [REDACTED]					Sold	10/31/12	M	A	
441. - California State GO Ref Bds Series - muni. bond [REDACTED]									
442. - Santa Clara Calif Redev Agy Tax - muni. bond [REDACTED]					Sold	10/31/12	M	B	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443. - Madera Calif Redev Agy Tax Rev - muni. bond					Sold	10/31/12	M		
444. - North City West Calif Sch Facs Fing - muni. bond									
445. - San Jacinto Calif Uni Sch Dist - muni. bond									
446. - San Diego Calif Uni Sch Dist - muni bond					Sold	07/16/12	N	A	
447. - Poway Calif. Uni Sch Dist Pub Fing - muni. bond					Sold	10/31/12	L	A	
448. - Calaveras Uni Sch Dist Calif. G O - muni. bond									
449. - California St G O Bds Ser. 2008 - muni. bond									
450. - Oakland Calif Uni Sch Dist Alameda - muni. bond					Sold	10/31/12	N	D	
451. - Calif State Univ Red - bond									
452. - Modesto Calif IRR Dist CTFS Partn Cops									
453. - Mojave Calif Uni Sch Dist Sch Facs - muni. bond									
454. - Los Angeles Calif Uni Sch Dist GO - muni. bond									
455. - Taft Calif City Sch Dist Go Ref - muni bond									
456. - General Electric Capital Corp - Corp bond					Sold	04/05/12	J	B	
457. - Schwab CA Muni Money FD - bond					Open	02/12/12	N		See Note in Part VIII
458. - Alcatel Lucent ADR -- common					Buy	02/12/12	J		See Note in Part VIII
459. - LSI Corporation -- common					Open	02/12/12	J		See Note in Part VIII

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
460. - Calif. Intermediate Term - Bond					Open	02/12/12	M		See Note in Part VII
461. - Ginnie Mae - Trust					Open	02/12/12	K		See Note in Part VII
462. - [REDACTED] Real Property, Los Angeles County, California					Open	02/12/12	N		See Note in Part VII

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

MORROW, MARGARET M.

Date of Report

10/09/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)***PART I - POSITIONS**

Page 1, line 2: I am one of Trustees on the Board of Directors. There are no assets to report.

Page 1, line 3: I am one of the Trustees on the Board of Directors. There are no assets to report.

PART VII - INVESTMENTS AND TRUSTS**BROKERAGE ACCOUNT #1 -- INDIVIDUAL**

Page 17, line 230: I inherited this IRA account as beneficiary [REDACTED] that is why it is newly listed.

Page 17, Line 231: I inherited this IRA account as beneficiary [REDACTED] that is why it is newly listed.

BROKERAGE ACCOUNT #2 -- (TRUST #1)

Page 18, Line 249: Praxair Inc. was sold on July 27, 2010 and was included in my 2010 Financial Disclosure Report, however it inadvertently remained listed on the 2011 report as an existing asset.

Page 18, Line 252: Coca Cola Enterprises, Inc. was sold on February 17, 2010, and was included in my 2010 Financial Disclosure Report, however it inadvertently remained listed on the 2011 report as an existing asset.

Page 19, Line 262: Time Warner Inc. was sold on September 30, 2010, and was included in my 2010 Financial Disclosure Report, however it inadvertently remained listed on my 2011 Financial Disclosure Report as an existing asset.

Page 19, Line 271: Cintas Corporation was sold on September 1, 2010 and was included in my 2010 Financial Disclosure Report, however it inadvertently remained listed on the 2011 report as an existing asset.

Page 24, Line 347: ETFS Palladium Trust was sold on February 10, 2011 with a value of "J" and a gain of "A." It inadvertently remained listed in my 2012 Financial Disclosure Report as an existing asset.

Page 24, Line 348: ETFS Platinum Trust was sold on February 10, 2011 with a value of "J" and a gain of "A." It inadvertently remained listed in my 2012 Financial Disclosure Report as an existing asset.

Page 25, line 364: Avery Dennison Corporation was included in my 2011 Financial Disclosure Report as an existing asset. However, it was sold on May 11, 2011 under the "J" value with a gain of "A."

ADMINISTRATIVE ACCOUNT (TRUST #2)

Page 30, Line 450: These assets were owned by [REDACTED] trusts [REDACTED] in February 2012. I did not exercise power of attorney [REDACTED]. I have become successor trustee. As a result, this asset is newly listed.

Page 30, Line 451: These assets were owned by [REDACTED] trusts [REDACTED] in February 2012. I did not exercise power of attorney [REDACTED]. I have become successor trustee. As a result, this asset is newly listed.

Page 30, Line 452: These assets were owned by [REDACTED] trusts [REDACTED] in February 2012. I did not exercise power of attorney [REDACTED]. I have become successor trustee. As a result, this asset is newly listed.

Page 30, Line 453: These assets were owned by [REDACTED] trusts [REDACTED] in February 2012. I did not exercise power of attorney [REDACTED]. I have become successor trustee. As a result, this asset is newly listed.

Page 30, Line 454: These assets were owned by [REDACTED] trusts [REDACTED] in February 2012. I did not exercise power of attorney [REDACTED]. I have become successor trustee. As a result, this asset is newly listed.

Page 30, Line 455: This property was owned by [REDACTED] in February 2012. I did not exercise power of attorney [REDACTED]. I have become successor trustee. As a result, this asset is newly listed.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting	Date of Report
MORROW, MARGARET M.	10/09/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ MARGARET M. MORROW**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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