

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Whipple, Mary Ann	2. Court or Organization U.S. Bankruptcy Court, N.D. OH	3. Date of Report 05/09/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address Room 111, U.S. Courthouse 1716 Spielbusch Avenue Toledo, OH 43604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Custodian	Uniform Gift to Minors Act Minor #1 [See Note Part VIII]
2. Custodian	Uniform Gift to Minors Act Minor #2
3. Trustee	Trust #1
4. Trustee	Trust #2
5. Trustee	Trust #3
6. Trustee	Trust #4
7. Part time faculty	University of Toledo College of Law
8. Executive Committee Member	Morrison R. Waite Chapter, American Inns of Court
9. Executive Board Member	University of Michigan Club of Greater Toledo

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1991	State Teachers Retirement System (Ohio); interest in public pension plan
2.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	University of Toledo-compensation for teaching fall semester	\$4,500.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American Bankruptcy Institute (ABI)	6/9/11 to 6/12/11	Traverse City, MI	Workshop speaker	workshop registration fee
2.	Cleveland Metro Bar Association	5/17/11	Cleveland, OH	Seminar speaker	transportation expenses
3.	Akron Bar Association	4/1/11	Hartville, OH	Seminar speaker	seminar registration fee and transportation expenses
4.	American Bankruptcy Institute (ABI)	12/11/11	Detroit, MI	Seminar speaker	seminar registration fee
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Huntington National Bank(IRAs)(CDs) (Accounts)	D	Interest	M	T					See Note in Part VIII
2. Merrill Lynch Ready Assets Trust	A	Dividend	J	T					
3. Blackrock Mid Cap Growth Equity Portfolio Class A	A	Dividend	K	T					
4. AllianceBernstein International Growth Fund Class A	A	Dividend	J	T					
5. Nuveen Insured Municipal Opportunity Fund	A	Dividend	J	T					
6. Blackrock Balanced Capital Fund Class A	A	Dividend	J	T					
7. MFS Municipal Income Fund A	A	Dividend	J	T					
8. MFS Global Total Return Fund A	A	Dividend	J	T					
9. MainStay Large Cap Growth Fund Inv Class		None	K	T					
10. MainStay Common Stock Fund Inv. Class	A	Dividend	K	T					
11. MainStay ICAP Select EQ Fund Inv. Class		None	K	T					
12. MainStay Growth Equity Fund Inv. Class (IRA)		None	J	T					
13. Investment Company of America-A Fund Number 4	A	Dividend	K	T					
14. Franklin Equity Income Fund-Class A	A	Dividend	J	T					
15. UBS Pace Large Company Value Equity Fund Class C	A	Dividend	J	T					
16. UBS U.S.Equity Opportunity Fund Class C	A	Dividend	K	T					See Note in Part VIII
17. UBS Pace Global Fixed Income Fund Class C	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. UBS U.S. Allocation Fund Class C	A	Dividend	J	T					
19. UBS Cash Fund	A	Dividend	J	T					
20. Columbus Life Ins. Co. Annuity	C	Interest	L	T					
21. Vanguard Windsor II Fund (UGMA #1)	A	Dividend			Distributed	08/05/11	J		See Note in Part VIII
22. Vanguard Windsor II Fund (UGMA #2)	A	Dividend	J	T					
23. Western National Bank (CD)(UGMA #1)	A	Interest			Closed	12/16/11	K		See Note in Part VIII
24. Western National Bank (CD)(UGMA #2)	A	Interest			Closed	12/16/11	K		See Note in Part VIII
25. Washington Federal Seattle Wash. (CD UGMA #1)		None	K	T	Open	12/16/11	K		See Note in Part VIII
26. Washington Federal Seattle Wash (CD UGMA #2)		None	K	T	Open	12/16/11	K		See Note in Part VIII
27. U.S. Savings Bonds		None	K	T					
28. STRS (Ohio) Money Market Account (Ohio public pension)	A	Dividend	J	T					
29. STRS (Ohio) Russell 1000 Index Fund (Ohio public pension)	A	Dividend	J	T					
30. National City Bank (account)(CD)	B	Interest	L	T					
31. United Services Federal Credit Union (account)	A	Interest	J	T					
32. FirstMerit Bank(account)	A	Interest	K	T					
33. Northwestern Mutual Extra Ordinary Life Policy	A	Dividend	J	T					
34. Northwestern Mutual 65 Life Policy	B	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. New York Life Whole Life Policy	A	Dividend	J	T					
36. Western National Bank (money market account)(CD)	B	Interest			Closed	12/16/11	M		See Note in Part VIII
37. Washington Federal Seattle Wash (money market account)(CD)		None	M	T	Open	12/16/11	M		See Note in Part VIII
38. The Trust Company of Toledo, N.A.(IRA Rollover)	D	Int./Div.	O	T					
39. --Dodge & Cox International Stock Fund (IRA)					Sold	12/30/11	K	A	
40. --Third Avenue Value Fund (IRA)					Sold	12/30/11	K	A	
41. --Vanguard Institutional Index Fund (IRA)									
42. --Buffalo Small Cap Fund (IRA)									
43. --MFS Int'l New Discovery-1 Fund (IRA)					Sold (part)	12/30/11	J	A	
44. --Vanguard Short Term Federal Bond Fund (IRA)									
45. --Northern Institutional Prime Obligations Portfolio (IRA)									
46. --Vanguard Intermediate Term Bond Index (IRA)									
47. --Harbor International Fund (IRA)									
48. --Harbor Bond Fund (IRA)									
49. --Fairholme Fund (IRA)					Sold	11/25/11	K	A	
50. --Fidelity Contrafund (IRA)									
51. --Stratton Small- Cap Value Fund (IRA)									

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52. --Jensen Quality Growth Fund Inv Class (IRA)					Buy	11/25/11	K		
53. --Vanguard Dividend Growth-I (IRA)					Buy	12/30/11	K		
54. --Invesco Int'l Growth (IRA)					Buy	12/30/11	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I Notes: Trusts 1 and 2 are unfunded life insurance trusts for [REDACTED]. One is empty and the other contains only term life insurance policies, so no assets are being reported in Part VII. Trusts 3 and 4 are inter vivos trusts for [REDACTED]. Both are empty so no assets are being reported in Part VII. Minor #1 reached the age of majority under the Ohio UGMA statute in 2011. The Vanguard Windsor II Fund reported in Part VII Line 21 was transferred to the former minor during the reporting period. The former Western National Bank CD reported at Part VII Line 23, which became a Washington Federal Seattle Wash CD on 12/16/11 as reported at Part VII Line 25 and as described below, was still maintained in my name as custodian at the end of the reporting period.

Chief Judge Alice Batchelder authorized the teaching position at the University of Toledo College of Law on an AO Form 304 dated July 1, 2011.

Part VII Notes: The Huntington National Bank IRA [Line 1] is invested in a Huntington National Bank CD.

The UBS Large Cap Value Equity Fund reported at Part VII Line 16 of the May 11, 2011, report had its name changed on December 28, 2011, to the UBS U.S. Equity Opportunity Fund as reported at Part VII Line 16 of this report.

Western National Bank [Part VII Lines 23, 24 and 36] was closed by the Office of Comptroller of the Currency, who named the Federal Insurance Deposit Corporation Receiver on December 16, 2011. The deposits and accounts of Western National Bank were assumed by Washington Federal, Seattle Washington [Part VII Lines 25, 26 and 37] as of December 16, 2011.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Mary Ann Whipple

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544