

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Flatley, Patrick M.	2. Court or Organization U.S. Bankruptcy Court NDWV	3. Date of Report 05/6/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address U.S. Bankruptcy Court NDWV P.O. Box 70 Wheeling, WV 26003		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Executor	KTN Estate
2.	Executor	NMF Estate
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	KTN Estate - Executor Fee	\$22,400.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Oglebay Institute - Wages
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Bankruptcy Institute	March 11-13, 2012	New York, NY	Moot Court Competition	Transportation, Hotel, Meal
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bethany College	College Tuition & Fees	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. PRU Common Stock	A	Dividend	J	T					
2. U.S. Savings Bonds	A	Interest	K	T					
3. IRA:	A	Dividend	J	T					
4. - JP Morgan Large Cap Growth Fund A									
5. - JP Morgan Equity Index Fund A									
6. Prudential Whole Life	A	Dividend	J	T					
7. Chase Bank Accounts	A	Interest	K	T					
8. Royalty Interest, Wetzel County, WV	G	Royalty	M	W					
9. Residual Mineral Interest, Wetzel County, WV		None	J	W	Buy	12/18/12	J		
10. WesBanco Bank NMF Beneficiary IRA CD	B	Interest	L	T					
11. WesBanco Bank NMF Beneficiary Securities Custodial IRA	A	Interest			Distributed	03/31/12	L		
12. - Prime Cash Trust					Distributed	03/31/12	L		
13. Wesbanco Bank Checking		None	K	T					
14. Wesbanco Bank Savings	A	Interest	M	T					
15. Executor of [redacted] estate assets held in aggregate estate	C	Int./Div.			Closed	12/31/12	N		
16. -1/2 Mineral Interest, Wetzel County, WV					Sold	12/18/12	J	B	
17. - WesBanco Bank Account					Closed	12/31/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - WesBanco Securities Cash Holding Account					Closed	12/31/12	K		
19. - MerrillLynch BIF Tax Ex Money Mkt Fd					Closed	12/31/12	J		
20. - Huntington Bank CDs					Closed	12/31/12	J		
21. - Progressive Bank CDs					Closed	12/31/12	J		
22. - United Bank CDs					Closed	12/31/12	J		
23. - WesBanco CDs					Closed	12/31/12	L		
24. Executor of [redacted] Estate assets held in aggregate estate	A	Int./Div.			Closed	12/18/12	M		
25. - United Bank Checking Acct					Closed	12/18/12	J		
26. - United Bank CD					Closed	12/18/12	J		
27. - WesBanco Bank CD					Closed	12/18/12	K		
28. - WesBanco Checking					Closed	12/18/12	J		
29. - WesBanco Securities Cash Holding Acct					Closed	12/18/12	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII Page 1 Line 15 - [REDACTED] Estate was closed on 12/31/12.

Part VII Page 1 Line 16 - Mineral Interest, Wetzel County, WV from [REDACTED] Estate in 2011, as determined in 2012 by the Estate is a 1/2 interest (not 1/4 as previously reported).

Part VII Page 2 Line 24 - [REDACTED] Estate was closed on 12/18/12.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Patrick M. Flatley**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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