

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) King, Robert B.	2. Court or Organization U.S. Court of Appeals, 4th Circuit	3. Date of Report 05/14/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 300 Virginia Street, East Suite 7602 Charleston, WV 25301		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Vice Chairman, Board of Directors	WVU College of Law Alumni Association
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Time Warner Inc. (AT&T has redeemed Time Warner Cable)		None			Redeemed	06/15/18	K	D	
2. AT&T (redeemed from TWC, Line 1 above)	B	Dividend	K	T					
3. Proctor & Gamble Co. (common)	C	Dividend	L	T					
4. Starbucks Corp. (common)	B	Dividend	L	T	Buy	06/22/18	J		
5. Clearbridge Aggressive Growth Fund (name correction)	A	Dividend	L	T					
6. E.I. DuPont De Nemours & Co. (common) now DowDuPont Inc.	A	Dividend	J	T					
7. Chemours	A	Dividend	J	T					
8. (H) Mineral interests, Tyler County, WV See below a - k		None							
9. - a. HG Energy, successor to East Resources, Inc.		None	J	W					
10. - b. Ergon Oil Purchasing		None							
11. - c. CNX Gas, successor to Consol Gas Co.		None	J	W					
12. - d. Jay-Bee Production	A	Royalty	J	W					
13. - e. BD Oil Gathering Corp.		None	J	W					
14. - f. Texas Keystone, Inc.		None	J	W					
15. - g. Petroedge Energy (X)		None	J	W					
16. -h. Mike Ross Inc.	A	Royalty	J	W					
17. -i. Tribune Resources Inc.	A	Royalty	J	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. - j. Postrock Eastern Production		None	J	W					
19. - k. American Energy - Marcellus		None	J	W					
20. - l. Artero Resources Corp.	D	Royalty	J	W					
21. - m Ascent Resources, LLC	A	Royalty	J	W					
22. - n. Diversified Oil & Gas	A	Royalty	J	W					
23. - o. EQT Production	A	Royalty	J	W					
24. Mineral Interests, Doddridge County, WV		None	J	W					
25. Mineral Interests, Wetzel County, WV		None	J	W					
26. Charter Communications	A	Dividend	K	T					
27. Apple Inc.	A	Dividend	K	T					
28. Pepsico	A	Dividend	K	T					
29. American Century Equity Income Fund	A	Dividend	L	T					
30. Hess Corp.	A	Dividend	K	T					
31. Kraft Foods	A	Dividend	K	T					
32. Vanguard Index Fds	A	Dividend	J	T					
33. Vanguard Total Bond Market Fund	A	Dividend	J	T					
34. Vanguard Intl Equity Index Fds	A	Dividend	J	T					

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35. Vanguard S&P 500	A	Dividend	J	T					
36. IShares Core US Aggregate	A	Dividend	J	T					
37. IShares Core S&P Mid Cap	A	Dividend	J	T					
38. Vanguard Total Bond Mkt	A	Dividend	J	T					
39. IShares USETF Trust	A	Dividend	J	T					
40. IShares Trust EAFE	A	Dividend	J	T					
41. IShares Trust USA	A	Dividend	J	T					
42. IShares Select Dividend	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 1 - Time Warner Cable has been redeemed by AT&T -- see line 2.

Line 7 - E. I. duPont De Nemours & Co. is apparently now known as DowDuPont Inc

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Robert B. King**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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