AO 10
Rev. 1/2013

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Rogers, Judith W.	U.S.Court of Appeals for the D.C. Circuit	08/01/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2012 **to** 12/31/2012
7. Chambers or Office Address		
U.S. Court of Appeals for the D.C. Circuit 333 Constitution Av., N.W. Washington, D.C. 20001		

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Rogers, Judith W.	08/01/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2011	D.C. Judges Retirement Fund	$99,419.53
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Rogers, Judith W.	08/01/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Rogers, Judith W.	08/01/2013

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. SunTrust Bk.	A	Interest	M	T					
2. Cash at Pershing	A	Interest	J	T					
3. Am.Fds: Inv. Co. of Amer.	D	Dividend	M	T					
4. Am.Fds.: Wash. Mut. Inv. Fd.	D	Dividend	M	T					
5. Am.Fds.: New Persp. Fd.	C	Dividend	M	T					
6. Am.Fds.: Growth Fd. Amer.	C	Dividend	N	T					
7. Vanguard Small Cap Value Index	B	Dividend	L	T	Buy	01/05/12	L		
8. Am.Fds: New World Fund-A	A	Dividend	K	T					
9. Am.Fds: Capital World Bond Fd-A	B	Dividend	K	T					
10. Am.Skd: T.Rowe Price Lg Cap Growth		None	L	T					
11. Am.Skd: Marisico Cap Growth		None	L	T					
12. Am.Skd: Goldman Sachs Sm Cap Val		None	K	T					
13. Am.Skd: Internat'l Growth		None	L	T					
14. Am.Skd: Blackrock Value Portfolio		None	L	T					
15. Am.Skd: Wells Fargo Adv. VT Small Cap Grth Class 1		None	K	T					
16. Am.Skd: New Discovery Asset Allocation		None	M	T	Buy	05/04/12	M		
17. Am.Skd: New Discovery Asset Allocation		None		T			M		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Rogers, Judith W.	08/01/2013

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Am.Skd: Century Inc & Growth		None		T			M		
19. Am.Skd: T.Rowe Price Equity Income		None	M	T	Buy	05/24/12	M		
20. Vanguard Bd Index	C	Dividend	L	T					
21. Vanguard Intrm.Tax Exempt Admrl Class	C	Dividend	M	T	Buy (add'l)	08/27/12	J		
22. Loomis Sayles Global Bond	B	Dividend	L	T					
23. T.Rowe Price New Horizons	B	Dividend	K	T	Buy	01/06/12	K		
24. First Eagle Overseas	D	Dividend	M	T	Buy	01/05/12	L		
25. Vanguard Emerging Market ETF	B	Dividend	L	T	Buy	01/06/12	L		
26. Collar Fund	A	Dividend	L	T	Buy	01/05/12	L		
27. Prudential Jennison Natural Resources		None	L	T	Buy	01/06/12	L		
28. Vanguard Energy	C	Dividend	L	T	Buy	01/05/12	L		
29. T.Rowe Price Real Estate	B	Dividend	L	T	Buy	01/06/12	L		
30. Am.Fds.: Inc. Fd. Am (IRA)	D	Dividend	M	T	Sold (part)	04/05/12	J		

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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

III. Net income for 2012 reported. Received in monthly installments.

VII.
Line 2 reflects cash left afer sales of funds in 2011. Funds listed in lines 6,7 and 12 of Part VII of the 2011 Report were sold in full.

Lines 14-20: I hold an annuity in Amerucan Skandia ("Am.Skd."), which buys and sells funds during the year. Holdings and valuations reflect year-end statement I received. In response to the Committee's December 5, 2012 letter inquiring about A. Skd. Am. Century Inc & Growth reported in line 20, this explanatory note of information inadvertantly omitted is provided, as suggested by the General Counsel. On March 25, 2010, $100,000 in the subaccount of Goldman Sachs Small Cap Value (line 16) was sold and the American Century Fund (line20) was purchased.

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Rogers, Judith W.	08/01/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Judith W. Rogers**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544