

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) CHUTKAN, TANYA S.	2. Court or Organization UNITED STATES DISTRICT COURT FOIR THE DISTRICT OF COLUMBIA	3. Date of Report 05/15/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US DISTRICT COURT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 333 CONSTITUTION AVE NW WASHINGTON DC 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. DISTRICT COURT JUDGE	DISTRICT OF COLUMBIA
2. Member of the board	Dress for Success
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2016	USFG	\$203,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2016	DC SUPERIOR COURT - SALARY
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	AMERICAN LAW INSTITUTE CONFERENCE	APROX. VALUE OF CONFERENCE	\$450.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		TUITION AGREEMENT	K
2.		TUITION	K
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. c Campbell Strategic Allocation Fund LP	A	Distribution			Distributed	12/31/16	J		
2. Campbell Strategic Allocation Fund LP	A	Distribution			Distributed	12/31/16	J		
3. NDC Enterprise Fund City Center Partners	A	Distribution			Distributed	12/31/16	J		
4. NDC Enterprise Fund Warder Street	A	Distribution	K	W					
5. NDC Enterprise Fund Georgia Avenue	A	Distribution	K	W					
6. EQUINOX INC REIT	A	Dividend	K	T	Buy	05/10/16	K		
7. HACK PUREFUNDS CYBER FUND	A	Int./Div.	J	T					
8. PCEIX PACE INTERNATIONAL EQUITY MUTUAL FUND	A	Int./Div.	J	T					
9. PCLCX PACE LARGE CO GROWTH MUTUAL FUND	A	Int./Div.	J	T					
10. PCEIX PACE INTERNATIONAL MUTUAL FUND	A	Int./Div.	J	T					
11. PCLVX PACE LARGE CO MUTUAL FUND	A	Int./Div.	J	T					
12. PCSGX PACE MEDIUM SMALL CO GROWTH MUTUAL FUND	A	Int./Div.	J	T					
13. PCSVX PACE SMALL VALUE MUTUAL FUND	A	Int./Div.	J	T					
14. PHYFX PACE HIGH YIELD INVESTMENT CLASS MUTUAL FUND	A	Int./Div.	J	T					
15. PCGLX PACE INTERNATIONAL FIXED INCOME MUTUAL FUND	A	Int./Div.	J	T					
16. PCGTX PACE MORTGAGE BACKED MUTUAL FUND	A	Int./Div.	J	T					
17. PCSIX PACE STRATEGIC FIXED MUTUAL FUND	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$250,000.01 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. PASPX PACE ALTERNATIVE MUTUAL FUND	A	Int./Div.	J	T					
19. AI ARLINGTON ASSET INV COMMON STOCK	A	Int./Div.	J	T					
20. IACLX VOYA CORPORATE LEADERS MUTUAL FUND	A	Int./Div.	J	T					
21. IAGEX VOYA GLOBAL EQUITY DIVIDEND MUTUAL FUND	A	Int./Div.	J	T					
22. IEDAX VOYA LARGE CAP MUTUAL FUND	A	Int./Div.	K	T					
23. UNITS FT BIOTECHNOLOGY UNIT INVESMENT TRUST	A	Int./Div.	J	T					
24. IAU 1 SHARES GOLD ETF	A	Int./Div.	J	T					
25. SKSRX DEUTSCHE ENHANCED COMMODITY MUTUAL FUND	A	Int./Div.	J	T					
26. HACK PUREFUNDS CYBER FUND	A	Int./Div.	J	T					
27. PCEXX PACE MONEY MARKET	A	Interest	J	T					
28. PCEMX INTERNATIONAL EMERGERING MUTUAL FUND	A	Int./Div.	J	T					
29. PCEIX INTERNATIONAL EQUITY MUTUAL FUND	A	Int./Div.	J	T					
30. PCLCX PACE LARGE CO GROWTH MUTUAL FUND	A	Int./Div.	J	T					
31. PCLVX PACE LARGE VALUE MUTUAL FUND	A	Int./Div.	J	T					
32. PCSGX PACE SMALL GROWTH MUTUAL FUND	A	Int./Div.	J	T					
33. PCSVX SMALL VALUE MUTAUL FUND	A	Int./Div.	J	T					
34. PHYXP PACE HIGH YIELD MUTUAL FUND	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. PCGLX PACE INTERNATIONAL MUTUAL FUND	A	Int./Div.	J	T					
36. PCGTX PACE MORTGAGE BACKED MUTUAL FUND	A	Int./Div.	J	T					
37. PCSIX PACE FIXED MUTUAL FUND	A	Int./Div.	J	T					
38. PAPSX PACE ALTERNATIVE MUTUAL FUND	A	Int./Div.	J	T					
39. WPC WP CAREY REIT	A	Int./Div.	K	T					
40. HACK PUREFUNDS CYBER FUNDS	A	Int./Div.	J	T					
41. CWGIX AMERICAN FUNDS MUTUAL FUNDS	A	Int./Div.	J	T					
42. FAEGX FIDELITY ADVISOR GROWTH MUTUAL FUND	A	Int./Div.	J	T					
43. VAFAX INVESCO AMERICAN FRANCHISE MUTUAL FUND	A	Int./Div.	J	T					
44. UNITS FT BIOTECHNOLOGY PORTFOLIO SERIES UIT	A	Int./Div.	J	T					
45. PYICX PIONEER HIGH YIELD MUTUAL FUND	A	Dividend	J	T					
46. IAU 1 SHARES GOLD ETF	A	Int./Div.	J	T					
47. CSCO CISCO COMMON STOCK	A	Dividend	J	T					
48. KO COCA COLA COMMON STOCK	A	Dividend	J	T					
49. JNJ JOHNSON AND JOHNSON COMMON STOCK	A	Dividend	J	T					
50. MSFT MICROSOFT COMMON STOCK	A	Dividend	J	T					
51. PFE PFIZER COMMON STOCK	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. PQNAX ALLIANZ MID CAP MUTUAL FUND	A	Int./Div.	J	T					
53. BMCAX BLACK ROCK MUTUAL FUND	A	Int./Div.	J	T					
54. SGOVX FIRST EAGLE OVERSEAS	A	Int./Div.	K	T					
55. OAKIX OAKMARK INTL MUTUAL FUND	A	Int./Div.	K	T					
56. UNITS FT BIOTECHNOLOGY UIT	A	Int./Div.	J	T					
57. ILLINOIS FIN AUTH REV BOND	A	Interest	K	T					
58. CALVERT BALNCED PORTFOLIO MUTUAL FUND DC 529	A	Int./Div.	L	T					
59. CALVERT EQUITY FUND DCV 529	A	Int./Div.	L	T					
60. STATE STREET EQUITY FUND DC 529	A	Int./Div.	L	T					
61. BLACK ROCK LIQUIDITY MUTUAL FUND	A	Int./Div.	K	T					
62. DC PLUS STABLE VALUE FUND	A	Int./Div.	K	T					
63. WELLS FARGO CHECKING	A	Interest	K	T					
64. WELLS FARGO SAVINGS	A	Interest	J	T					
65. UBS BANK DEPOSIT ACCOUNT	A	Interest	J	T					
66. AMERICAN CENRTURY GROWTH FUND	A	Int./Div.	J	T					
67. AMERICAN FUNDS GROWTH FUND OF AMERICA	A	Int./Div.	K	T					
68. FIRST EAGLE OVERSEAS FUND	A	Int./Div.	J	T					

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69. ARIEL FUND	A	Int./Div.	K	T					
70. BLACK ROCK CORE EQUITY	A	Int./Div.	J	T					
71. DWS ENHANCED COMMUNITY STRATEGYFUND	A	Int./Div.	J	T					
72. JPMORGAN EMERGING ECONOMIES FUND	A	Int./Div.	J	T					
73. PINEBRIDGE GLOBAL SOCIAL AWARENESS FUND	A	Int./Div.	J	T					
74. ING CORE EQUITY RESEARCH FUND	A	Int./Div.	K	T					
75. ING CORPORATE LEADERS 100 FUND	A	Int./Div.	J	T					
76. ING GLOBAL EQUITY DIVIDEND FUND	A	Int./Div.	J	T					
77. METLIFE STABLE FUND	A	Int./Div.	J	T					
78. MFS TOTAL RETURN FUND	A	Int./Div.	J	T					
79. SSGA CLARION REAL ESTATE FUND	A	Int./Div.	J	T					
80. SSGA INTERNATIONAL STOCK SELECTION FUND	A	Int./Div.	J	T					
81. SSGA S AND P 500 INDEX FUND	A	Int./Div.	K	T					
82. T ROWE PRICE SMALL CAP VALUE FUND	B	Int./Div.	L	T					
83. VANGUARD WELLINGTON FUND	A	Int./Div.	K	T					
84. VANGUARD WINDSOR II FUND	A	Int./Div.	J	T					
85. VALIC SCIENCE AND TECHNOLOGY FUND	A	Int./Div.	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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86. VALIC FIXED ACCOUNT PLUS	A	Interest	J	T					
87. FERXX TAX EXEMPT FUND CA[PITAL RESERVES	A	Interest	J	T					
88. FPRXX PRIME CAPITAL MONEY MARKET FUND	A	Interest	J	T					
89. NFO GUGGENHEIM TF INSIDER SENTIMENT ETF	A	Int./Div.			Sold	07/28/16	K		
90. COFPRP CAPITAL ONE PREFERRED STOCK	B	Int./Div.	K	T					
91. FRCPRE FIRST REPUBLIC PREFERRED STOCK	B	Int./Div.	K	T					
92. HFRZX HIGHLAND FLOATING RATE FUND	A	Int./Div.	J	T					
93. DIST COLUMBIA REV BOND BDS HOWARD 5.74% 10/1/19 UNIV. SER. 2011B	B	Interest	K	T					
94. DC HSG FIN AGY 3.35% 12/1/21 MULTIFAMILY HSG REV MULTIFAM BDS	A	Interest	J	T					
95. PUERTO RICO COMWLTH PUB IMPT REF BDS 45 7/1/22 SER. 2012A	A	Interest	K	T					
96. AGFZX 361 GLOBAL MANAGEED FUND	A	Dividend	J	T	Buy	07/14/16	J		
97. IBB BIOTECH ETF	A	Int./Div.	K	T	Buy	01/29/16	K		
98. WAVIX LONGBOARD MANAGED FUTURES	A	Int./Div.			Sold	07/26/16	K		
99. GPAIX GRANT PARK ALT STRAT	A	Int./Div.	J	T					
100. BCS Barclays	A	Dividend	J	T	Buy	06/30/16	J		
101. FSIC FS INVESTMENT CORP	A	Dividend	J	T	Buy	07/26/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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102. RAVIFLEXSHARES TRUST READY ACCESS VAR	A	Dividend	K	T	Buy	08/26/16	K		
103. XLK TECHNOLOGY ETF	A	Dividend	K	T	Buy	08/26/16	K		
104. SPY S AND P 500	A	Dividend	L	T	Buy	06/30/16	L		
105. WRREX RESOURCE REAL ESTATE	B	Dividend	K	T	Buy	09/29/16	K		
106. OHIO NATIONAL VA LEGG MASON DYNAMIC MULTI STARTEGY VIT PORTFOLIO	A	Dividend	L	T	Buy	02/19/16	L		
107. OHIO NATIONAL VA TOPS MANAGED RISK MODERATE GROWTH	A	Dividend	L	T	Buy	02/19/16	L		
108. OHIO NATIONAL VA FIDELITY VIP TARGET VOLATILITY PORTFOLIO	A	Dividend	L	T	Buy	02/19/16	L		
109. OHIO NATIONAL VA INTECH US LOW VOLATILITY PORTFOLIO	A	Dividend	L	T	Buy	02/19/16	L		
110. AGFQX 361 GLOBAL COUNTER TREND	A	Dividend	J	T	Buy	07/14/16	J		
111. SPIDER HEALTHCARE	A	Dividend	K	T	Buy	08/26/16	K		
112. SPIDER HEALTH	A	Dividend			Sold	11/28/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

CHUTKAN, TANYA S.

Date of Report

05/15/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

CHUTKAN, TANYA S.

Date of Report

05/15/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ TANYA S. CHUTKAN

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544