

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Simon, Michael H.	2. Court or Organization U.S. District Court, Oregon	3. Date of Report 04/24/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 1327 United States Courthouse 1000 Southwest Third Avenue Portland, OR 97204		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Director	Classroom Law Project
2. Member (minority interest; no control or managerial responsibility)	Innsmouth Partners, L.L.C. (motion picture production company based in Hillsboro, OR; holds no investments) (See Part VIII, line 1)
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2016	Samuel French, Inc. (theatrical royalties for "The Odd Couple")	\$17,898.00
2. 2016	Tams-Witmark Music Library, Inc. (theatrical royalties for "Promises, Promises")	\$730.00
3. 2016	International Authors Society (theatrical royalties for "The Odd Couple")	\$21,493.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2016	U.S. House of Representatives (spouse's wages)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. U S Bank NA (checking and savings)	A	Interest	M	T					
2. OnPoint Community Credit Union (checking and savings)	A	Interest	L	T					
3. Northwestern Mutual Life Ins. (whole life insurance cash value)	C	Dividend	M	T					
4. Gold coins		None	L	T					
5. Innsmouth Partners, L.L.C. (See Part VIII, line 1)		None	J	U					
6. Bonamici for Congress (spouse campaign loan) (See Part VIII, line 2)		None	L	U					
7. Vanguard Brokerage Account (H)									
8. -- Vanguard 500 Index mut. fund	D	Dividend	O	T	Buy (add'l)	05/26/16	L		
9.					Buy (add'l)	12/27/16	M		
10. -- Vanguard Capital Opportunity mut. fund	D	Dividend			Sold	12/27/16	M	E	
11. -- Vanguard Emerging Markets Stock Index mut. fund	B	Dividend			Sold	12/27/16	L	C	
12. -- Vanguard Energy mut. fund	B	Dividend	M	T	Buy (add'l)	12/28/16	M		
13. -- Vanguard European Stock Index mut. fund	B	Dividend			Sold	12/27/16	L	A	
14. -- Vanguard Explorer mut. fund	D	Dividend			Sold (part)	05/26/16	L	D	
15.					Sold	12/27/16	M	D	
16. -- Vanguard Global ex-U.S. Real Estate Index mut. fund	D	Dividend			Sold	12/28/16	M	A	
17. -- Vanguard Health Care mut. fund	D	Dividend			Sold	12/28/16	M	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -- Vanguard PRIMECAP mut. fund	D	Dividend	M	T	Buy (add'l)	05/26/16	K		
19. -- Vanguard REIT Index mut. fund	D	Dividend	O	T	Buy (add'l)	12/27/16	M		
20.					Buy (add'l)	12/28/16	M		
21. -- Vanguard Small-Cap Index mut. fund	C	Dividend	N		Buy (add'l)	12/27/16	M		
22. Ameriprise Brokerage Account (H)									
23. -- Ameriprise cash holding account	A	Interest	M	T					
24. -- Oregon State Dept. of Admin. Services municipal bond	C	Interest	M	T					
25. -- Blackrock Strategic Inc. Opptys Investor Class A mut. fund	B	Dividend	L	T	Buy	01/20/16	J		
26.					Buy (add'l)	04/25/16	J		
27.					Buy (add'l)	07/21/16	J		
28.					Sold (part)	10/21/16	J	A	
29. -- Blackrock High Yield Bond Investor Class A mut. fund	B	Dividend	L	T	Buy	07/21/16	L		
30.					Sold (part)	10/21/16	J	A	
31. -- Active Portfolio Multi Manager Small Cap Equity Class A mut. fund	A	Dividend	L	T	Sold (part)	01/20/16	J	A	
32.					Sold (part)	04/25/16	J	A	
33.					Sold (part)	07/21/16	J	A	
34.					Sold (part)	10/21/16	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -- Active Portfolio Multi Manager Growth Class A mut. fund	D	Dividend	M	T	Buy	01/20/16	M		
36.					Sold (part)	04/25/16	J	A	
37.					Sold (part)	07/21/16	K	B	
38.					Sold (part)	10/21/16	J	A	
39. -- Active Portfolio Multi Manager Value Class A mut. fund	D	Dividend	M	T	Buy (add'l)	01/20/16	J		
40.					Buy (add'l)	04/25/16	J		
41.					Buy (add'l)	07/21/16	J		
42.					Buy (add'l)	10/21/16	J		
43. -- Active Portfolio Multi Manager Directional Alt. Class A mut. fund	A	Dividend	K		Buy	10/21/16	K		
44. -- Columbia Disciplined Growth Cl. A mut. fund					Sold	01/20/16	M	A	
45. -- Columbia Select Large Cap Growth Class A mut. fund					Sold	01/20/16	M	D	
46. JPMorgan Large Cap Growth Class A mut. fund	D	Dividend	L	T	Buy	01/20/16	L		
47.					Buy (add'l)	04/25/16	J		
48.					Sold (part)	07/21/16	J	B	
49.					Sold (part)	10/21/16	J	B	
50. -- MFS Municipal High Inc. Class A mut. fund	B	Dividend	K	T	Buy (add'l)	01/20/16	J		
51.					Buy (add'l)	04/25/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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52.					Buy (add'l)	10/21/16	J		
53. -- MFS International Value Class A mut. fund	B	Dividend	L	T	Buy (add'l)	01/20/16	J		
54.					Sold (part)	04/25/16	J	A	
55.					Sold (part)	07/21/16	K	B	
56.					Sold (part)	10/21/16	J	A	
57. -- MFS Value Class A mut. fund	C	Dividend	M	T	Sold (part)	01/20/16	J	B	
58.					Sold (part)	04/25/16	J	B	
59.					Sold (part)	07/21/16	J	A	
60.					Sold (part)	10/21/16	J	C	
61. Nuveen Intern. Duration Mun. Bond Class A mut. fund	C	Dividend	M	T	Buy	01/20/16	L		
62.					Buy (add'l)	04/25/16	J		
63.					Buy (add'l)	07/21/16	J		
64.					Buy (add'l)	10/21/16	J		
65. -- Oppenheimer International Growth Class A mut. fund	A	Dividend	L	T	Buy (add'l)	01/20/16	J		
66.					Buy (add'l)	04/25/16	J		
67.					Sold (part)	07/21/16	K	A	
68.					Sold (part)	10/21/16	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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69. -- Prudential Jennison Mid Cap Growth Class A mut. fund	C	Dividend	K	T	Buy (add'l)	01/20/16	J		
70.					Sold (part)	04/25/16	J	A	
71.					Sold (part)	07/21/16	J	A	
72.					Buy (add'l)	10/21/16	J		
73. -- Wells Fargo Advantage Emerging Markets Equity Class A mut. fund	A	Dividend	K	T	Buy (add'l)	01/20/16	J		
74.					Sold (part)	04/26/16	J	A	
75.					Sold (part)	07/21/16	J	A	
76.					Sold (part)	10/21/16	J	A	
77. -- Wells Fargo Advantage Municipal Bond Class A mut. fund	A	Dividend			Sold	01/20/16	M	D	
78. -- Wells Fargo Advantage Short Term Municipal Bond Class A mut. fund	A	Dividend	K	T	Sold (part)	01/20/16	J	A	
79.					Buy (add'l)	04/25/16	J		
80.					Sold (part)	07/21/16	J	A	
81.					Sold (part)	10/21/16	J	A	
82. -- Wells Fargo Advantage Special Mid Cap Value Class A mut. fund	A	Dividend	L	T	Buy (add'l)	01/20/16	J		
83.					Sold (part)	04/25/16	J	A	
84.					Buy (add'l)	07/21/16	J		
85.					Sold (part)	10/21/16	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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86. -- Western Asset Intern. Term Municipal Class A mut. fund	C	Dividend	M	T	Sold (part)	01/20/16	J	A	
87.					Buy (add'l)	04/25/16	J		
88.					Buy (add'l)	07/21/16	J		
89.					Buy (add'l)	10/21/16	J		
90. -- Franklin Templeton Growth Allocation Class A mut. fund	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Part I, line 2 and Part VII, line 5. Innsmouth Partners, L.L.C. is a movie production company based in Hillsboro, OR. It holds no investments. My wife and I own a minority interest and exercise no control or managerial responsibility.
2. Part VII, line 6. On November 28, 2016, the Bonamici for Congress campaign made a partial repayment in the amount of \$50,000 to my spouse on her personal loan to the campaign.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Michael H. Simon

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544