

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Newman, Jon O.	2. Court or Organization U.S. Court of Appeals for the Second Circuit	3. Date of Report 06/09/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge senior status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 40 Foley Square New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Corporator	Hartford Hospital
2.	Corporator	Institute of Living
3.	Elector	Hartford Atheneum
4.	Life Regent	University of Hartford
5.	Trustee	No. 1 [REDACTED] Trust (assets listed in Part VII, lines 114-144)

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2. Yale University	Sept. 25-28, 2013	New Haven, CT	attend Global Constitutional Seminar	breakfasts and parking three days
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Yale University	three nights lodging, lunches, and dinners at Global Constitutional sminar	\$900.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank accounts and cash (H):									
2. Bank of America -- Sarasota, FL -- Checking Acct.	A	Interest	M	T					
3.  People's Bank	A	Interest	J	T					
4.  Wells Fargo Bank	A	Interest	L	T					
5. Morgan Stanley account (H):									
6. Morgan Stanley Active Assets Tax Free Trust	A	Interest	N	T					
7. stocks (H):									
8. Air Products	C	Dividend	M	T					
9. Cisco	B	Dividend	L	T					
10. Cummins	C	Dividend	M	T					
11. Devon Energy	B	Dividend	L	T					
12. Express Scripts		None	M	T					
13. Johnson and Johnson	C	Dividend	M	T					
14. Johnson Controls	C	Dividend	N	T					
15. Merck	D	Dividend	M	T					
16. Microsoft	B	Dividend	L	T					
17. Minnesota Mining and Manufacturing	C	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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18. Royal Dutch Shell	D	Dividend	M	T					
19. Total Fina	D	Dividend	M	T					
20. mutual funds (H):									
21. Calamos Growth & Income C Fund	D	Distribution	M	T					
22. Dreyfus Premier Technology Fund	A	Distribution	K	T					
23. Fed Max Cap Fund	B	Distribution	K	T					
24. Henderson Int'l Op. Fund		None	K	T					
25. Invesco Balanced Risk Alloc. C Fund	B	Distribution	L	T	Buy	8/19/13	K		
26. Mainstay Large Cap Fund	B	Dividend	K	T					
27. Oppenheimer SR Floating Rate Fund	B	Dividend	L	T					
28. Prudential Jennison Small Cap Cl C Fund	C	Distribution	K	T					
29. Schwab 1000 Fund	B	Distribution	L	T					
30. SPDR Barcap Short Term Hi Yield Fund		None	K	T	Buy	8/14/13	K		
31. bonds (H):									
32. Babylon, N.Y. '13 5.0	B	Interest			Redeemed	9/3/13	K		
33. Bay County FL. School Board '19 4.25	C	Interest	M	T					
34. Bridgeport '13 5.0	B	Interest			Matured	10/1/13	K		

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35. Brookfield CT '14 3.5	B	Interest	L	T					
36. CT G.O. Ser. A '13 5.0	B	Interest			Matured	4/15/13	K		
37. CT G.O. '14 5.0	C	Interest	M	T					
38. CT G.O. Ser. C '16 4.0	B	Interest	L	T					
39. CT G.O. Ser. C '16 5.0	B	Interest	L	T					
40. CT G.O. Ser. D '13 4.25	B	Interest			Matured	11/1/13	L		
41. CT G.O. Ser. E '16 5.0	B	Interest	L	T					
42. CT Health & Ed. '15 3.4	A	Interest	L	T					
43. CT Health '16 5.0	C	Interest	M	T					
44. CT Spl. Tax '15 2.75	B	Interest	M	T					
45. Danbury CT. '19 2.5	B	Interest	L	T					
46. Darien CT '14 2.0	A	Interest	L	T					
47. Erlanger-Elsmere KY. sch. '17 3.5	A	Interest	L	T	Buy	7/23/13	L		
48. Fairfield CT '15 4.25	B	Interest	L	T					
49. Florida Hurricane '16 5.0	B	Interest	L	T					
50. Florida St. Turnpike '14 5.0	B	Interest	L	T					
51. Fremont CA '15 3.0	B	Interest	L	T					

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52. Glastonbury '13 4.25	B	Interest			Redeemed	9/3/13	K		
53. Hartford County Met '13 3.25	C	Interest			Redeemed	6/23/13	L		
54. Hartford CT '15 5.25	C	Interest	L	T					
55. Jackson County '18 4.0		None	L	T	Buy	11/4/13	L		
56. Lakewood County CTFS '15 4.0	A	Interest	L	T					
57. Lee County School Bd. '17 4.0		None	L	T	Buy	11/4/13	L		
58. N.J. HCF Fin. '13 5.0	B	Interest			Redeemed	9/16/13	K		
59. Northern Mun. Pwr Agy Minn '17 5.0	A	Interest	L	T	Buy	6/17/13	L		
60. N.Y. Dorm. Auth. '14 4.0	B	Interest	K	T					
61. N.Y. Housing Develop. '18 2.25	A	Interest	L	T					
62. Puerto Rico Power '14 5.25	C	Interest	K	T					
63. Rensselaer Co. N.Y. '15 4.2	B	Interest	L	T					
64. Shelton CT '17 2.125	A	Interest	K	T					
65. St. Lucie FL. sch. bd. '25 5.0		None	L	T	Buy	7/3/13	L		
66. Tampa Bay FL. Water '18 5.0	B	Interest	L	T					
67. Tarrant County Tex. Health '13 5.0	B	Int./Div.			Matured	12/1/13	K		
68. Tulsa County OK Indian Auth. '14 4.0	C	Interest	L	T					

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69. Univ. CT '16 5.0	B	Interest	L	T					
70. Weber County Utah Mun. Bldg. '13 3.25	A	Interest	L	T	Buy	7/3/13	L		
71. Wells Fargo Account (H):									
72. Wells Fargo Advisors, Sarasota FL Money Market Acct	A	Interest	J	T	Buy	07/15/13	J		
73. stocks (H):									
74. Aflac	A	Dividend	J	T	Buy	7/15/13	J		
75. AT&T	A	Dividend	J	T	Buy	7/15/13	J		
76. Amgen	A	Dividend	J	T	Buy	7/15/13	J		
77. Apple	A	Dividend	J	T	Buy	7/15/13	J		
78. Automatic Data Processing	A	Dividend	J	T	Buy	7/15/13	J		
79. Berkshire Hathaway		None	J	T	Buy	7/15/13	J		
80. Chevron	A	Dividend	J	T	Buy	7/15/13	J		
81. Cisco	A	Dividend			Buy	09/04/13	J		
82. Cisco		None			Sold	11/15/13	J		
83. Coca-cola	A	Dividend	J	T	Buy	07/15/13	J		
84. Colgate-Palmolive	A	Dividend	J	T	Buy	07/15/13	J		
85. Conoco Phillips	A	Dividend	J	T	Buy	07/15/13	J		

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86. Dow Chemical	A	Dividend	J	T	Buy	07/15/13	J		
87. DuPont	A	Dividend	J	T	Buy	07/15/13	J		
88. Ford	A	Dividend	J	T	Buy	07/15/13	J		
89. Ford		None			Sold	1/18/13	J		
90. General Electric	A	Dividend	J	T	Buy	7/15/13	J		
91. General Mills	A	Dividend	J	T	Buy	07/15/13	J		
92. Illinois Tool Works	A	Dividend	J	T	Buy	7/15/13	J		
93. Intel	A	Dividend	J	T	Buy	7/15/13	J		
94. Johnson & Johnson	A	Dividend	J	T	Buy	7/15/13	J		
95. JP Morgan Chase	A	Dividend	J	T	Buy	7/15/13	J		
96. Kimberly-Clark	A	Dividend	J	T	Buy	7/15/13	J		
97. McDonalds	A	Dividend	J	T	Buy	7/15/13	J		
98. Merck	A	Dividend	J	T	Buy	7/15/13	J		
99. Microsoft	A	Dividend			Buy	7/15/13	J		
100. Microsoft	A	Dividend			Buy (add'l)	7/19/13	J		
101. Microsoft		None			Sold	9/4/13	J		
102. Nextera Energy	A	Dividend	J	T	Buy	7/15/13	J		

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103. Pfizer	A	Dividend	J	T	Buy	7/15/13	J		
104. Procter & Gamble	A	Dividend	J	T	Buy	7/15/13	J		
105. UGI Corp.	A	Dividend	J	T	Buy	7/15/13	J		
106. US Bancorp		None	J	T	Buy	12/18/13	J		
107. (H) Other:									
108. 1/2 Int. W. Htfd. CT., Condo purchased 8/9/88 \$115,000		None	L	R					
109. Thames & Hudson Royalty Contract	A	Royalty	J	W					
110. Condo, University Park, FL purchased 4/9/99 \$179,000		None			Sold	4/10/13	M	F	
111. AIG Annuity (IRA)	B	Interest	K	T					
112. AIG Annuity (IRA)	A	Interest	J	T					
113.									
114. Trust No. 1:	E	Int./Div.	P1	T					
115. - Morgan Stanley Active Assets Tax Free Trust									
116. - stocks (H):									
117. - Alexander & Baldwin					Sold	5/24/13	L	D	
118. - Corning									
119. - Covidien									

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - DuPont					Sold	5/24/13	M	E	
121. - Exxon Mobil									
122. - General Electric					Sold	12/20/13	L		
123. - Honeywell					Sold (part)	12/17/13	K	E	
124. - Insteel									
125. - Koninklijke Phillips									
126. - Mallinckrodt					Spinoff (from line 119)	6/28/13	J		
127. - M & T Bank									
128. - Norfolk Southern									
129. - Pentair					Sold	5/24/13	J	A	
130. - Pfizer					Sold (part)	5/24/13	J	B	
131. - Pitney Bowes					Sold	12/17/13	K		
132. - The ADT Corp.					Spinoff (from line 133)	9/28/13	J		
133. - Tyco Int'l									
134. - mutual funds (H):									
135. - Federated Floating Rate Strategic Income Fund					Buy	9/11/13	M		
136. - bonds (H):									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Acalanes CA High Sch. '24 5.0					Buy	6/20/13	L		
138. - CT St Spl Tax '20 3.9									
139. - Ledyard '13 5.0					Matured	8/1/13	L		
140. - Lee County FL '19 4.75									
141. - Miami-Dade County FL Sch. Bd. '17 4.0					Buy	8/14/13	L		
142. - Newtown CT '15 3.5									
143. - New York NY Prior Issues '17 5.0									
144. - Niskayuna NY School Dist. '17 5.0									
145. - Trust No. 2:	G	Int./Div.	P2	T					
146. - brokerage account No. 1 (H):									
147. - Morgan Stanley Active Assets Tax Free Trust									
148. - stocks (H):									
149. - 3M									
150. - Adobe Systems									
151. - Agilent									
152. - American Express									
153. - Automatic Data Processing									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Baxter Int'l									
155. - Becton Dickinson									
156. - Cigna									
157. - DeMaster Blender					Sold	9/24/13	K	E	
158. - Dominion Resources									
159. - Edwards Life Resources									
160. - Emerson Electric									
161. - Express Scripts									
162. - General Mills									
163. - Hillshire Brands									
164. - Home Depot									
165. - Intel									
166. - IBM									
167. - McDonalds									
168. - Microsoft									
169. - Plum Creek Timber									
170. - Procter & Gamble									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - RPM Int'l									
172. - Travelers									
173. - T Rowe Price									
174. - bonds:									
175. - Bethel CT '17 3.0									
176. - Clark County Nev '17 3.0					Buy	7/3/13	L		
177. - College of Charleston SC									
178. - Cromwell CT '20 4.0					Buy	11/13/13	L		
179. - CT '14 3.3									
180. - CT G.O. '14 4.0									
181. - CT Health & Ed '15 3.5									
182. - CT Health & Ed '17 5.0									
183. - CT Mun. Elec. '16 4.0									
184. - CT Series D '15 3.5									
185. - CT State '13 3.5					Redeemed	5/13/13	K		
186. - CT State '13 4.0					Redeemed	7/1/13	K		
187. - CT State '14 4.0									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - Glastonbury CT '14 3.75									
189. - Groton CT '15 3.75									
190. - Guilford CT '18 3.0									
191. - Harrison NJ '20 3.0					Buy	11/13/13	L		
192. - Hartford County '18 3.5									
193.									
194. - Newtown CT '16 2.25									
195. - N.Y. Dorm. Auth. '17 5.0									
196. - Plymouth CT '17 3.0									
197. - South Central CT water '15 4.0									
198. - Southington CT '18 3.5									
199. - Tolland CT '13 4.0					Redeemed	8/15/13	K		
200. - UConn '14 3.7									
201. - Univ. Hawaii '16 4.0					Buy	7/3/13	L		
202. - Washington Health '23 5.25					Buy	11/19/13	L		
203. - Westport CT '13 5.0					Redeemed	8/15/13	L		
204. - brokerage account No. 2 (H):									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. - Morgan Stanley Active Assets Trust Free Trust									
206. - stocks (H):									
207. - Abbott Labs					Buy (add'l)	6/4/13	J		
208. - Abbvie					Buy (add'l)	6/3/13	J		
209. - Ace Ltd.					Buy	11/19/13	J		
210. - Air Products					Buy	4/30/13	J		
211. - American Express									
212. - Apache					Sold	2/19/13	J		
213. - Apple									
214. - Arcos Dorados					Sold	6/10/13	J		
215. - ASML Holding					Buy	11/19/13	J		
216. - Automatic Data Processing									
217. - Blackrock									
218. - Caterpillar					Buy (add'l)	4/30/13	J		
219. - CDN Pacific RY					Buy	2/26/13	J		
220. - Chevron					Buy (add'l)	6/4/13	J		
221. - Coca-cola									

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes	J ~\$15,000 or less (See Columns C1 and D3)	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 ~\$25,000,001 - \$50,000,000 (See Column C2)	Q =Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	P4 ~More than \$50,000,000 S =Assessment W ~Estimated	T ~Cash Market

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222. - Comcast					Buy	2/19/13	J		
223. - Comcast					Buy (add'l)	6/4/13	J		
224. - Conoco									
225. - Diageo									
226. - EOG Resources					Buy	7/9/13	J		
227. - Estee Lauder									
228. - Exxon Mobil					Sold (part)	7/9/13	J	A	
229. - Franklin Resources									
230. - Freeport McMoran					Buy (add'l)	4/30/13	J		
231. - General Electric									
232. - HSBC									
233. - IBM					Buy (add'l)	12/12/13	J		
234. - Intel					Buy (add'l)	4/30/13	J		
235. - Intuitive Surgical									
236. - Johnson & Johnson									
237. - JP Morgan Chase									
238. - Kraft Food Group									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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239. - McDonalds					Sold (part)	7/9/13	J	A	
240. - Merck									
241. - Mondelez					Buy (add'l)	6/4/13	J		
242. - Nestle					Sold (part)	7/9/13	J	A	
243. - News Corp.					Buy (add'l)	12/12/13	J		
244. - Novartis					Buy	6/3/13	J		
245. - Novartis					Buy (add'l)	6/18/13	J		
246. - Novo-Nordisk									
247. - Occidental Petroleum					Buy (add'l)	4/30/13	J		
248. - Oracle									
249. - Pepsico					Sold (part)	7/9/13	J	A	
250. - Phillip Morris					Buy (add'l)	12/12/13	J		
251. - Phillips 66					Buy	5/11/13	J		
252. - Praxair					Buy (add'l)	4/30/13	J		
253. - Procter & Gamble					Sold	7/9/13	J	A	
254. - Qualcomm									
255. - Rio Tinto					Sold	7/9/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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256. - Roche Holding									
257. - Royal Dutch					Buy (add'l)	6/4/13	J		
258. - Sabmiller									
259. - State St. Corp.					Buy	11/19/13	J		
260. - Target									
261. - Texas Instruments									
262. - Time Warner									
263. - Total					Buy (add'l)	4/30/13	J		
264. - Total					Sold (part)	6/3/13	J		
265. - Twenty-first Century Fox									
266. - United Technologies					Buy (add'l)	6/4/13	J		
267. - Walgreen									
268. - Wal-Mart					Sold (part)	7/9/13	J	A	
269. - Walt Disney									
270. - Wells Fargo					Buy	7/9/13	J		
271. - Xilinx									
272. - exchange traded and closed end funds (H):									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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273. - Powershares Fundamental Pure Mid Growth Fund									
274. - Powershares Fundamental Pure Mid Value Fund									
275. - SPDR Dow Jones Wilshire Int'l Real Est. Fund									
276. - SPDR Nuveen Barclays Fund					Buy (add'l)	6/4/13	J		
277. - Vanguard TTL World Stk Index Fund					Buy (add'l)	6/4/13	J		
278. - mutual funds (H):									
279. - Artisan Mid Cap Fund									
280. - Calamos Market Neutral Fund									
281. - Cohen & Steers Realty Fund					Buy (add'l)	12/12/13	J		
282. - Davis NY Venture Fund									
283. - Franklin Conv. Sec. Adv. Fund									
284. - Invesco Premier Tx Exempt Inst. Fund									
285. - Ivy Global Nat. Res. I Fund									
286. - JP Morgan Dynamic Sm Cp Gr Sel Fund									
287. - Legg Mason WA Emerg. Mkt. Debt I Fund					Buy (add'l)	12/12/13	J		
288. - Lord Abbett Bond Deb. F Fund									
289. - Mainstay Large Cap Grw I Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000 J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000 K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000 L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000 M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

290. - Nuveen limited term muni bond I Fund					Buy (add'l)	6/4/13	J		
291. - Nuveen Tradewinds Emerg. Mkts. I Fund									
292. - Oppenheimer Int'l Bond Y Fund					Buy (add'l)	12/12/13	J		
293. - Prudential Jennison Mid Cap GW Z Fund									
294. - Royce Premier Inv Fund									
295. Trust No. 3:	F	Int./Div.	P1	T					
296. - brokerage account No. 1 (H):									
297. - Morgan Stanley Active Assets Tax Free Trust Acct.									
298. - stocks (H):									
299. - Accenture					Sold	5/24/13	L	E	
300. - Air Products									
301. - Apache									
302. - Apple									
303. - Danaher									
304. - Emerson Electric					Sold	5/24/13	K	E	
305. - Johnson & Johnson									
306. - Target					Sold	5/24/13	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Newman, Jon O.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

307. - bonds (H):									
308. - Bay County FL School '18 3.5									
309. - CT Higher Ed. '17 3.85									
310. - CT ST '14 3.0									
311. - Greece NY '15 5.0									
312. - Glastonbury '14 3.75									
313. - Griswold '18 3.0									
314. - Henderson Nev. '15 5.0									
315. - Marlborough CT '16 4.0									
316. - N.J. Turnpike '13 5.7					Matured	5/1/13	J		
317. - N.J. Transp. '17 5.0					Buy	12/27/13	L		
318. - San Buenaventura CA water '17 4.0					Buy	12/30/13	K		
319. - Southington CT '18 3.5									
320. - UConn '14 3.7									
321. - brokerage account No. 2 (H):									
322. - Morgan Stanley Active Assets Tax Free Trust Acct.									
323. - stocks (H):									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 ~\$25,000,001 - \$50,000,000 Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	P4 ~More than \$50,000,000 S ~Assessment W ~Estimated	T ~Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

324. - AIA Group									
325. - Air Liquide					Sold (part)	7/25/13	J	A	
326. - Allianz									
327. - America Movil					Sold	3/18/13	J		
328. - American Express									
329. - Anheuser Busch									
330. - Arm Holdings					Sold (part)	6/19/13	J	A	
331. - Atlas Copco									
332. - Baidu					Buy	6/21/13	J		
333. - Banco Bilbao					Buy	6/10/13	J		
334. - Barrick Gold					Buy (add'l)	12/20/13	J		
335. - Baxrer Int'l									
336. - Bayerische Motore Werke					Buy	10/15/13	J		
337. - Becton									
338. - BG Group									
339. - Bunge					Buy (add'l)	2/15/13	J		
340. - CR Bard					Sold	10/1/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341. - Canadian National Ry.									
342. - Charles Schwab					Sold (part)	7/31/13	J	B	
343. - Chevron									
344. - Chubb									
345. - Cisco					Sold (part)	6/20/13	J	A	
346.					Buy (add'l)	12/20/13	J		
347. - Cochlear									
348. - Conoco									
349. - CSL Ltd.									
350. - CVS									
351. - Dassault Systems									
352. - DBS Group									
353. - Dell					Sold	4/23/13	J		
354. - Devon									
355. - Dr. Pepper									
356. - Ebay					Sold	1/15/13	J	C	
357. - Exelon					Buy	11/11/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

358. - Express Scripts					Buy	6/20/13	J		
359. - Exxon Mobil									
360. - Fanuc Ltd.									
361. - Fresenius Medical Care					Buy (add'l)	5/20/13	J		
362.					Sold (part)	10/1/13	J	A	
363. - Gazprom					Buy (add'l)	2/5/13	J		
364. - Glaxo Smith Kline					Buy (add'l)	2/7/13	J		
365. - Google					Sold	10/18/13	J	C	
366. - Hong Kong Exchanges & Clearing					Buy	6/14/13	J		
367. - HSBC									
368. - Hoya									
369. - Icici Bank									
370. - Imperial Oil									
371. - Itau Unibanco					Buy (add'l)	10/10/13	J		
372. - JGC					Buy (add'l)	5/10/13	J		
373. - Johnson & Johnson					Sold (part)	9/12/13	J	A	
374. - L'Oreal									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. - Li & Fung					Sold	2/14/13	J		
376. - Lonza					Sold	10/3/13	J	A	
377. - LVMH Moet									
378. - Mack Cali Realty					Buy	12/18/13	J		
379. - Medtronic									
380. - Microsoft					Sold (part)	7/30/13	J	A	
381. - Mitsubishi					Buy	10/1/13	J		
382. - Molson									
383. - Monotaro					Buy	11/25/13	J		
384. - MTN Group									
385. - Nestle									
386. - Newmont					Sold (part)	11/8/13	J		
387.					Buy (add'l)	12/20/13	J		
388. - Nokian Tyres									
389. - Northrop					Sold (part)	8/14/13	J	A	
390. - Novo Nordisk									
391. - Pepsico									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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392. - Petroleo ADS									
393. - PNC Financial									
394. - Potash					Sold	9/20/13	J		
395. - Procter & Gamble									
396. - Qiagen-Eur					Sold	1/10/13	J		
397. - Roche									
398. - SAP Ag									
399. - Sasol					Buy (add'l)	12/20/13	J		
400. - Schlumberger					Sold (part)	2/5/13	J		
401. - Schneider									
402. - Sigma-Aldrich									
403. - Sonova					Sold (part)	5/13/13	J	A	
404. - Suntrust									
405. - Svenska									
406. - Swatch					Sold (part)	3/11/13	J	A	
407. - Sysmex									
408. - Taiwan Semiconductor					Buy (add'l)	8/27/13	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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409. - Target									
410. - Tesco									
411. - Torchmark					Sold (part)	7/19/13	J	A	
412. - Travelers									
413. - Türkiye Garanti Bankasi					Buy (add'l)	2/7/13	J		
414. - US Bancorp									
415. - Unicharm									
416. - Unilever NV NY					Sold (part)	1/25/13	J	A	
417. - Wal-Mart									
418. - Wells Fargo					Buy (add'l)	1/29/13	J		
419. - WPP PLC									
420. - Xinyi Glass					Sold	9/24/13	J	A	
421. - Exchange Traded & Closed End Funds (H):									
422. - iShares MSCI Small Cap Fund									
423. - Mutual Funds (H):									
424. - Alliance Bernstein Small-Mid Cap Fund					Sold	6/14/13	M	D	
425. - CRM Small Cap Value Inst. Fund					Buy	6/14/13	M		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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426. - Franklin Fed. Intrn. Trm. Tx. Fr. Adv. Fund					Buy (add'l)	4/18/13	J		
427.					Buy (add'l)	12/20/13	J		
428. - Gabelli Equity Fund									
429. - Invesco Premier Fund					Buy (add'l)	12/20/13	J		
430. - Lord Abbett Conv. Fund									
431. - Lord Abbett Emerg. Mkts. Currr.Fund					Buy (add'l)	12/20/13	J		
432. - Nuveen Ltd. Term Mun. Bond Fund					Buy (add'l)	12/20/13	J		
433. - Oakmark Int'l Small Cap I Fund									
434. - Oppenheimer Int'l Bond Fund					Buy (add'l)	12/20/13	J		
435. - Pimco Real Return Fnd					Buy (add'l)	12/20/13	J		
436. - Thornburg Int'l Value I Fund									
437. - Tweedy Browne Global Value Fund									
438. - Trust No. 4:	E	Int./Div.	P1	T					
439. - brokerage account No. 1 (H):									
440. - Morgan Stanley Active Assets Tax Free Trust									
441. - stocks (H):									
442. - Accenture					Sold	5/24/13	K	E	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 F = \$50,001 - \$100,000	C = \$2,501 - \$5,000 G = \$100,001 - \$1,000,000	D = \$5,001 - \$15,000 H1 = \$1,000,001 - \$5,000,000	E = \$15,001 - \$50,000 H2 = More than \$5,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	P3 = \$25,000,001 - \$50,000,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443. - Apple									
444. - Automatic Data Processing									
445. - DanaHER									
446. - Ecolab									
447. - Exxon Mobil									
448. - Nike Class B									
449. - Noble					Sold	5/24/13	K	D	
450. - Procter & Gamble					Sold	5/24/13	L	F	
451. - United Technologies									
452. - bonds (H):									
453. - CT G.O. Ser. C '14 5.25					Redeemed	5/1/13	M		
454. - Glastonbury CT '14 3.75									
455. - Kentucky St Prop '19 4.0					Buy	11/1/13	L		
456. - N.J. St Transp. '17 5.75					Buy	11/1/13	L		
457. - NYC Transition Fin. '16 5.0									
458. - Philadelphi PA Sch. '14 5.0									
459. - Phoenix AZ Civic Impt. '15 4.0									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460. - Southington CT '18 35									
461. - UConn '14 3,7									
462. - U.S. Treasury '18 9.0									
463. - brokerage account No. 2 (H):									
464. - Morgan Stanley Active Assets Tax Free Trust									
465. - stocks (H):									
466. - Advance Auto Parts					Buy	8/5/13	J		
467. - AIA Group					Buy (add'l)	7/1/13	J		
468. - Air Liquide					Sold (part)	7/25/13	J	A	
469. - Allianz					Buy (add'l)	2/5/13	J		
470. - AMC					Sold (part)	7/18/13	J	A	
471. - America Movil					Sold	3/18/13	J		
472. - Anadarko Petroleum									
473. - Anheuser-Busch									
474. - Anasys									
475. - Arm Holdings					Sold (part)	6/19/13	J	A	
476. - Atlas Copco					Buy (add'l)	8/10/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477. - Autodesk									
478. - Baidu					Buy	6/21/13	J		
479. - Banco Bilbao					Buy	6/10/13	J		
480. - Bayerische Motoren Werke					Buy	7/25/13	J		
481. - BG Group					Buy (add'l)	7/18/13	J		
482. - Biogen					Sold (part)	7/18/13	J	C	
483. - Blackbaud					Sold	3/19/13	J	A	
484. - Broadcom					Buy (add'l)	8/12/13	J		
485. - Bunge Ltd.					Buy (add'l)	2/15/13	J		
486. - Cablevision					Sold (part)	7/18/13	J		
487. - Canadian Nat. Ry.									
488. - Church & Dwight					Sold (part)	6/13/13	J	A	
489. - Citrix					Buy (add'l)	12/4/13	J		
490. - Clarcor									
491. - Cochlear					Buy (add'l)	7/18/13	J		
492. - Cohen & Steers									
493. - Comcast									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

494. - Copart									
495. - Core Labs					Buy	7/23/12	J		
496. - Covidien									
497. - Cree					Sold (part)	7/18/13	J	B	
498. - CSL									
499. - Dassault									
500. - DBS									
501. - Directv									
502. - Dolby					Buy (add'l)	6/14/13	J		
503. - Donaldson					Sold (part)	2/11/13	J	A	
504. - Dresser-Rand									
505. - Eaton Vance									
506. - Equifax					Buy	7/24/13	J		
507. - Expeditors Int'l					Buy (add'l)	3/19/13	J		
508. - Factset Research									
509. - Fanuc					Buy (add'l)	10/22/13	J		
510. - Fluor									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

511. - Forest Labs									
512. - Freeport McMoran					Buy (add'l)	6/14/13	J		
513. - Fresenius					Buy (add'l)	7/8/13	J		
514. - Fresenius					Sold (part)	10/10/13	J		
515. - Gazprom					Buy (add'l)	2/5/13	J		
516. - Helco					Sold (part)	10/22/13	J		
517. - Hillenbrand					Sold	7/15/13	J	A	
518. - Hittite Microwave					Buy	6/14/13	J		
519. - Hong Kong Exchanges & Clearing					Buy	6/14/13	J		
520. - HSBC					Sold	6/6/13	J	A	
521. - Icici									
522. - Immunogen					Buy (add'l)	11/11/13	J		
523. - Imperial Oil									
524. - Isis Pharmaceuticals					Sold (part)	7/18/13	J	B	
525. - Itau Unibanco					Buy (add'l)	10/16/13	J		
526. - Jack Henry									
527. - JGC					Buy (add'l)	5/10/13	J		

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

528. - L'Oreal									
529. - L3 Comm.									
530. - Landstar					Sold (part)	3/19/13	J	A	
531. - Liberty Interactive Co. Inter A									
532. - Liberty Media					Sold (part)	7/18/13	J	A	
533. - Li & Fung					Sold	2/14/13	J		
534. - Lonza					Sold	9/18/13	J	A	
535. - LVMH Moet									
536. - Mallinckrodt					Spinoff (from line 496)	5/10/13	J		
537.					Sold	7/13/13	J	A	
538. - Micro Systems					Buy	1/18/13	J		
539. - Microchip					Sold	1/18/13	J		
540. - Mitsubishi					Buy	10/4/13	J		
541. - Monotaro					Buy	11/25/13	J		
542. - Monotype					Buy	11/5/13	J		
543. - MSC Industries									
544. - MSCI Inc Com									

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545. - MTN Group					Buy (add'l)	7/18/13	J		
546. - National Oilwell									
547. - National Instruments					Sold	10/10/13	J	A	
548. - Nestle									
549. - Nokian Tyres									
550. - Nordson					Buy	5/30/12	J		
551. - Novo Nordisk					Buy	6/12/13	J		
552. - Nucor									
553. - Pall									
554. - Pentair									
555. - Petroleo SA ADS									
556. - Potash					Sold	9/20/13	J		
557. - Price Smart					Sold	10/10/13	J	A	
558. - Qiagen-Eur.					Sold	1/10/13	J		
559. - RBC Bearings					Buy (add'l)	8/23/13	J		
560. - Roche									
561. - Ross Stores					Sold (part)	10/31/13	J	B	

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562. - Sandisk					Sold (part)	7/18/13	J	A	
563. - SAP AG									
564. - Sasol									
565. - Schlumberger					Sold (part)	2/15/13	J		
566. - Schneider					Buy (add'l)	7/18/13	J		
567. - Seagate Tech.					Sold (part)	7/18/13	J	C	
568. - Silgan					Sold	8/22/13	J	A	
569. - Sirona									
570. - Snap-on					Buy	10/16/13	J		
571. - Sonova					Buy (add'l)	7/18/13	J		
572. - Starz Liberty Cap Ser. A									
573. - Svenska									
574. - Swatch					Buy (add'l)	7/18/13	J		
575. - Sysmex									
576. - Taiwan Semiconductor					Buy (add'l)	7/18/13	J		
577. - TE Connectivity									
578. - Techne					Buy (add'l)	2/11/13	J		

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2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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579. - Tesco									
580. - The ADT Corp.									
581. - Turkiye Garanti					Buy (add'l)	7/18/13	J		
582. - Tyco Int'l									
583. - Unicharm									
584. - Unilever									
585. - United Health									
586. - Vertex					Sold (part)	7/18/13	J	A	
587. - Wabco Holdings					Buy	8/22/13	J		
588. - Weatherford Int'l					Buy (add'l)	5/3/13	J		
589. - Wley					Sold	8/23/13	J		
590. - Wolverine					Sold (part)	6/13/13	J	A	
591. - World Fuel									
592. - WPP									
593. - Xinyi Glass					Sold	9/24/13	J	A	
594. - Exchange Traded Closed End Funds (H):									
595. - Ishares MSCI EAFE Value Fund					Buy (add'l)	7/18/13	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
596. - Ishares Russell 3000 Fund									
597. - Mutual funds:									
598. - Alliance Bernstein Global Bond Advisor Fund					Buy (add'l)	7/18/13	J		
599. - Columbia Acorn Fund									
600. - Franklin Federal Intermediate-Term Tax-Free Income Fund					Buy (add'l)	7/18/13	K		
601. - Invesco Premier Tax Exempt					Buy (add'l)	7/18/13	J		
602. - Lateef Fund					Buy	6/14/13	K		
603. - Lord Abbett Conv. Fund									
604. - Lord Abbett Emer. Mkts. Fund					Buy (add'l)	7/18/13	J		
605. - Nuveen Tradewinds Emerging Markets I Fund					Buy (add'l)	7/18/13	J		
606. - Pimco Real Return Fund					Buy (add'l)	7/18/13	J		
607. - TCW Total Return Bond Fund					Buy (add'l)	7/1/13	J		
608. - Wells Fargo Advantage Opportunity Fund					Sold	6/14/13	K	B	
609. - ☐ IRA consisting of Stocks and Corporate Bonds:	E	Int./Div.	P1	T					
610. - brokerage account No. 1 (H):									
611. - Citibank Bank Deposit									
612. - corporate bonds (H):									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
613. - Bank of America '16 5.750									
614. - Bell South ;16 5.2									
615. - Caterpillar '15 4.750									
616. - GE Capital '14 4.875									
617. - Kimberly Clark '13 5.0					Matured	8/15/13	K		
618. - Lowes '15 5.0									
619. - Pitney Bowes '14 4.875					Redeemed	11/4/13	K	B	
620. - municipal bonds:									
621. - Ill G.O. '15 4.421									
622. - brokerage account No. 2 (H):									
623. - Morgan Stanley, New Haven, CT liquid asset fund									
624. - stocks (H):									
625. - Aetna					Sold	7/2/13	J	A	
626. - Aflac					Buy	5/29/13	J		
627. - AIA Group									
628. - Air Liquide					Sold (part)	7/25/13	J	A	
629. - Allianz					Buy (add'l)	5/29/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
630. - Allstate					Sold (part)	3/19/13	J	A	
631. - Amazon					Sold	3/8/13	J	A	
632. - America Movil					Sold	3/18/13	J		
633. - American Express					Sold	3/8/13	J	A	
634. - American Int'l Group					Sold	3/8/13	J	A	
635. - American Tower					Sold	3/8/13	J	A	
636. - Anadarko Petroleum					Sold (part)	7/8/13	J	A	
637. - Anheuser Busch					Buy (add'l)	5/29/13	J		
638. - Apache					Sold	4/8/13	J		
639. - Apple					Sold (part)	1/25/13	J	A	
640.					Buy (add'l)	1/30/13	J		
641. - Arm Holding					Buy (add'l)	5/29/13	J		
642.					Sold (part)	6/19/13	J	A	
643. - Astrazeneca					Buy (add'l)	5/29/13	J		
644.					Sold (part)	12/20/13	J	A	
645. - Atlas Copco					Buy (add'l)	5/29/13	J		
646. - Baidu					Buy	6/21/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
647. - Banco Bilbao					Buy	6/10/13	J		
648. - Bank of America					Sold (part)	3/19/13	J	A	
649. - Bank of NY Mellon					Sold (part)	5/29/13	K	E	
650. - Bayerische Motoren Werke					Buy	10/15/13	J		
651. - Best Buy					Sold	2/14/13	J		
652. - BG Group					Buy (add'l)	5/29/13	J		
653. - BHP Billiton					Sold (part)	3/19/13	J		
654. - Biogen					Sold	3/8/13	J	A	
655. - Blackrock									
656. - BP PLC									
657. - Boeing					Sold	3/8/13	J	A	
658. - Broadcom					Buy	7/2/13	J		
659. - Bristol Myers					Sold	1/9/13	J		
660. - Bunge					Buy (add'l)	5/29/13	J		
661. - CA Inc.					Buy	7/18/13	J		
662. - Canadian National Ry.									
663. - Capitol One					Sold	2/15/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
664. - Carnival					Sold	1/30/13	J	A	
665. - Caterpillar					Buy (add'l)	3/22/13	J		
666. - Celgene					Sold	3/8/13	J	A	
667. - Charles Schwab					Sold	3/8/13	J	A	
668. - Chesapeake Energy									
669. - Chevron					Sold	3/8/13	J	A	
670. - Cisco					Buy (add'l)	5/29/13	J		
671. - Cochlear					Buy (add'l)	5/29/13	J		
672. - Cognizant					Sold	9/11/13	J	A	
673. - Comcast					Sold	3/8/13	J	A	
674. - Conoco Phillips									
675. - Corning					Buy (add'l)	9/17/13	J		
676.					Sold (part)	12/20/13	J	A	
677. - CSL									
678. - CVS					Sold	3/8/13	J	A	
679. - CST Brands					Sold	7/7/13	J	A	
680. - Dassault Systems					Buy (add'l)	5/29/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
681. - DBS Group					Buy (add'l)	5/29/13	J		
682. - Devon Energy									
683. - Eaton					Sold (part)	3/8/13	J	A	
684. - Ebay					Sold	3/8/13	J	A	
685. - Eli Lilly					Sold	3/8/13	J	A	
686. - EMC					Sold	3/8/13	J		
687. - Ensco					Sold	3/8/13	J	A	
688. - Express Scripts					Sold	3/8/13	J	A	
689. - Exxon Mobil					Sold	3/8/13	J	A	
690. - Fanuc					Buy (add'l)	10/17/13	J		
691. - FedEx					Sold (part)	12/20/13	J	A	
692. - Fifth Third Bank									
693. - Ford Motor					Buy	4/9/13	J		
694.					Sold (part)	7/8/13	J	A	
695.					Buy (add'l)	12/20/13	J		
696. - Freeport McMoran					Sold	4/8/13	J		
697. - Fresenius					Buy (add'l)	5/29/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
698.					Sold (part)	10/10/13	J		
699. - Gazprom					Buy (add'l)	5/29/13	J		
700. - General Electric					Sold (part)	1/9/13	J	A	
701. - General Motors					Sold	3/8/13	J	A	
702. - Gilead					Sold	3/8/13	J	A	
703. - Goldman Sachs					Buy (add'l)	01/22/13	J		
704.					Sold (part)	3/19/13	J	A	
705. - Google					Sold	3/8/13	J	A	
706. - Halliburton					Buy (add'l)	1/3/13	J		
707.					Sold (part)	9/17/13	J	A	
708. - Harris Corp. Delaware					Sold (part)	12/20/13	J	A	
709. - Hartford Fin. Serv.					Sold (part)	3/8/13	J		
710. - Hewlett Packard					Buy (add'l)	5/9/13	J		
711. - Home Depot					Sold	3/8/13	J	A	
712. - Hong Kong Exchanges & Clearing					Buy	6/14/13	J		
713. - HSBC					Sold	6/6/13	J	A	
714. - IBM					Sold (part)	3/8/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
715. - Icici Bank					Buy (add'l)	5/29/13	J		
716. - Imperial Oil					Buy (add'l)	5/17/13	J		
717. - International Game Tech.					Sold (part)	9/17/13	J	A	
718. - Intel					Sold	2/6/13	J		
719. - Itau					Buy (add'l)	5/29/13	J		
720. - JGC					Buy (add'l)	5/29/13	J		
721. - Johnson & Johnson					Sold	3/8/13	J	A	
722. - JP Morgan Chase					Sold (part)	3/19/13	J	A	
723. - Keycorp					Sold (part)	7/8/13	J	A	
724. - Kohls					Buy	7/1/13	J		
725. - Kraft Food Group					Sold	3/8/13	J		
726. - Las Vegas Sands					Sold	3/8/13	J		
727. - Li & Fung					Sold	3/8/13	J		
728. - Liberty Global					Sold	3/8/13	J	A	
729. - L'Oreal					Buy (add'l)	5/29/13	J		
730. - L-3 Communications					Buy (add'l)	5/29/13	J		
731. - Lonza					Sold	10/3/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
732. - Lowes					Sold	3/8/13	J	A	
733. - LVMH Moet									
734. - Marsh & McLennan					Sold	3/8/13	J	A	
735. - Mastercard					Sold	3/8/13	J	A	
736. - Metlife					Sold (part)	7/8/13	J	A	
737. - Microsoft					Buy (add'l)	9/17/13	J		
738. - Mitsubishi					Buy	10/4/13	J		
739. - Mondelez					Sold	2/15/13	J	A	
740. - Monotaro					Buy	11/20/13	J		
741. - MTN Group									
742. - Nestle									
743. - Netapp					Sold	3/8/13	J	A	
744. - Newmont Mining					Buy (add'l)	3/19/13	J		
745. - News Corp.					Sold	3/8/13	J	A	
746. - Nokian Tyres					Buy (add'l)	5/29/13	J		
747. - Novo Nordisk					Buy	6/12/13	J		
748. - Oracle					Sold	5/29/13	K	E	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
749. - Peabody Energy					Sold	3/8/13	J		
750. - Pepsico					Sold	1/2/13	J	A	
751. - Petroleo SA ADS					Buy	5/29/13	J		
752. - Pfizer					Sold	11/20/13	J	A	
753. - Phillip Morris					Sold	3/8/13	J	A	
754. - PNC Financial					Sold (part)	11/20/13	J	A	
755.					Buy (add'l)	12/20/13	J		
756. - Potash					Sold	9/20/13	J		
757. - Precision Castparts					Sold	3/8/13	J	A	
758. - Qiagen					Sold	1/10/13	J	A	
759. - Qualcomm					Sold	3/8/13	J	A	
760.					Buy	5/29/13	J		
761. - Quest Diagnostics					Buy (add'l)	12/20/13	J		
762. - Rio Tinto					Sold	2/20/13	J	A	
763. - Roche									
764. - SAP									
765. - Sasol					Buy (add'l)	5/29/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
766. - Schlumberger					Sold (part)	2/15/13	J		
767. - Schneider Elec.					Buy (add'l)	5/29/13	J		
768. - Schwab					Sold	3/8/13	J	A	
769. - Sonova					Buy (add'l)	5/29/13	J		
770. - St. Jude					Sold (part)	9/17/13	J		
771. - Staples					Sold (part)	3/19/13	J		
772. - Suntrust					Sold (part)	7/8/13	J	A	
773. - Svenska					Buy (add'l)	5/29/13	J		
774. - Swatch					Sold (part)	3/11/13	J	A	
775. - Sysmex					Buy (add'l)	5/29/13	J		
776. - Taiwan Semiconductors					Buy (add'l)	6/21/13	J		
777. - Target					Sold	1/3/13	J		
778. - Tesco									
779. - Teva Pharmaceuticals					Buy	11/20/13	J		
780. - Thermo-Fisher					Sold (part)	12/20/13	J	A	
781. - Thomson Reuters					Sold	5/1/13	J	A	
782. - TmeWaner					Sold	2/6/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
783. - Turkiye					Buy (add'l)	5/29/13	J		
784. - Unicharm									
785. - Unilever PLC					Buy (add'l)	5/29/13	J		
786. - United Technologies					Sold	3/8/13	J	A	
787. - Unon Pacific					Sold	3/8/13	J	A	
788. - US Bancorp					Sold	3/22/13	J	A	
789. - Valero Energy					Buy (add'l)	9/17/13	J		
790.					Sold (part)	12/20/13	J	A	
791. - Verizon					Sold	5/29/13	K	D	
792. - Walt Disney					Sold	3/8/13	J	A	
793. - Wells Fargo					Sold (part)	3/19/13	J	A	
794. - Williams Co.					Sold	3/8/13	J	A	
795. - WPP									
796. - Xinyi Glass					Sold (part)	9/24/13	J	A	
797. - Yamana					Buy	5/29/13	J		
798. - Zoetis					Sold	7/18/13	J	A	
799. - Exchange Traded and Closed End Funds (H):									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
800. - Ishares Barclays 7-10 Year Treasury Fund					Buy (add'l)	12/20/13	K		
801. - Ishares Iboxx H/Y Corp. bond Fund					Sold	3/8/13	K	A	
802. - Ishares MSCI EAFE Index Fund					Buy (add'l)	5/29/13	J		
803. - Ishares Russell 1000 Index Fund					Buy (add'l)	5/29/13	J		
804. - Ishares Russell 1000 Value Fund					Buy (add'l)	5/29/13	J		
805. - Ishares Russell 3000 Fund					Buy	5/29/13	L		
806. - Ishares TIPS Bond Fund					Buy (add'l)	12/20/13	J		
807. - Vanguard Utilities Fund					Buy (add'l)	5/29/13	K		
808. - Mutual Funds (H):									
809. - Eaton Vance Floating Rate Fund									
810. - Invesco AIM ATST Premier					Buy (add'l)	5/29/13	J		
811. - Invesco Mid Cap Core Equity Fund					Buy (add'l)	5/29/13	K		
812. - JP Morgan Highbridge Statistical Market Neutral Fund					Buy	5/29/13	K		
813. - Legg Mason Western Asset Emerging Markets Fund					Buy (add'l)	5/29/13	J		
814. - Lord Abbett Bond Fund					Sold	3/8/13	K	A	
815. - Oppenheimer Int'l Fund					Buy (add'l)	5/29/13	J		
816. - Pimco Real Return Fund					Buy (add'l)	5/29/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
817. - Pimco Total Return Fund					Buy (add'l)	5/29/13	J		
818. ■ IRA xconsisting of Stocks and Corporate Bonds (H):									
819. - Celgene					Buy	2/20/13	J		
820. - Cognizant					Buy	5/29/13	J		
821. - CST Brands					Buy	7/13/12	J		
822. - Eli Lilly					Buy	1/9/2013	J		
823. - Harris Corp. Delaware					Buy	3/19/13	J		
824. - International Game Tech.					Buy	5/17/13	J		
825. - Liberty Global					Buy	2/6/13	J		
826. - L-3 Communications					Buy	1/8/2013	J		
827. - Williams Co.					Buy	1/3/2013	J		
828. - Zoetis					Buy	06/21/13	J		
829. - Monsanto					Sold	5/8/2012	J	A	
830. - ■ Trust No. 2 (H):									
831. - People's United					Sold	12/21/11	K		
832. - Prudential Fin.					Sold	9/1/2011	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII:

global: all entries have been listed alphabetically within separately numbered brokerage accounts and, within each account, grouped within categories labeled "stocks," "exchange traded and closed end funds," "mutual funds," and "bonds" as permitted according to advice received from committee staff.

line 6: This entry reflects the acquisition by Morgan Stanley of the Smith Barney money market account, reported on line 3 of the 2012 report. The account is listed with the name Morgan Stanley uses.

line 113: This line has intentionally been left blank.

line 115: This entry reflects the acquisition by Morgan Stanley of the Smith Barney money market account, reported on line 103 of the 2012 report. The name has been changed to reflect the name of this fund used by Morgan Stanley..

lines 147 and 205: These entries reflect the acquisition by Morgan Stanley of the Smith Barney money market account, reported on line 160 of the 1012 report, and the division of that account into two separate accounts, with headers brokerage account No.1 and brogerage account No. 2.

line 265: shares of Twenty-first Century Fox were received on 7/5/13 in exchange of 238 shares of News Corp., the remainder of which are listed on line 243.

lines 297 and 322: These entries reflect the acquisition by Morgan Stanley of the Smith Barney money market account, reported on line 265 of the 1012 report, and the division of that account into two separate accounts, with headers brokerge account No.1 and brogerage account No. 2..

lines 440 and 464: These entries reflect the acquisition by Morgan Stanley of the Smith Barney money market account, reported on line 390 of the 1012 report, and the division of that account into two separate accounts, with headers brokerge account No.1 and brogerage account No. 2.

lines 611 and 623: These entries reflect the acquisition by Morgan Stanley of the Smith Barney money market account, reported on line 567 of the 2012 report, and the division of that account into two separate accounts, with headers brokerge account No.1 and brogerage account No. 2.

The report for Medco Health Solutions, which was listed on line 122 of the 2012 report, listed this stock as "Sold (part)." However, all of the holding was sold, which is why this holding does not appear in this 2013 report. The value and gsin, listed on the 2012 report, were correct.

Responses keyed to lines listed in second paragraph of Committee's June 3 letter:

Amended additional information:

Line 21: Full name of fund has been added.

Line 433: Full name of fund has been added.

Line 436: Full name of fund has been added.

Line 437: Full name of fund has been added.

Line 595: Full name of fund has been added.

Line 598: Full name of fund has been added.

Line 600: Full name of fund has been added.

Line 605: Full name of fund has been added.

Line 608: Full name of fund has been added.

Responses keyed to lines listed in third paragraph in Committee's June 3 letter:

Items listed on 2013 report, but not on 2012 report:

Line 193: This fund, listed as "Morgan Stanley Tax Free Fund," is the same as the fund liasted on line 147, which was formerly called "Morgan Stanley New Haven, CT Money Market" and has now been listed under its new name (see note for line 147 above). Because the the the entry on line 193 is a duplicate entry of the same fund under the previous name, the entry on line 193 has been deleted, and line 193 has been left blank.

Line 366: The information required in Column D has now been provided.

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 424: This fund listed as "Alliance Bernstein small-mid cap Fund" on this line is the same fund listed on line 343 the 2012 report as "Alliance Bernstein discovery value adv fund." I do not know when the name change occurred, but the ticker symbol "ABYSX" is the same for both names.

Line 495: Core Labs was inadvertently omitted from the 2012 report. The date of purchase and cost have been filled in on this amended report.

Line 506: Equifax was purchased in 2013. The entry in column D (I) should be simply "buy". It has now been corrected.

Line 538: The information required in Column D has now been provided.

Line 550: Nordson was inadvertently omitted from the 2012 report. The date of purchase and cost have been filled in on this amended report.

Line 666: Celgene was purchased on 2/20/13; an amended entry to reflect this purchase has been included on line 819 of this amended report.

Line 672: Cognizant was purchased on 5/29/13; an amended entry to reflect this purchase has been included on line 820 of this amended report.

Line 679: CST Brands was inadvertently omitted from the 2012 report. The purchase, date, and cost have been included on line 821 of this amended report.

Line 685: Eli Lilly was purchased on 1/9/2013; an amended entry to reflect this purchase has been included on line 822 of this amended report.

Line 708: Harris Corp. Delaware was purchased on 3/19/13; an amended entry to reflect this purchase has been included on line 823 of this amended report.

Line 717: International Game Tech. was purchased on 5/17/13; an amended entry to reflect this purchase has been included on line 824 of this amended report.

Line 728: Liberty Global was purchased on 2/6/13; an amended entry to reflect this purchase has been included on line 825 of this amended report.

Line 730: L-3 Communications was purchased on 1/8/2013; an amended entry to reflect this purchase has been included on line 826 of this amended report.

Line 794: Williams Co. was purchased on 1/3/2013; an amended entry to reflect this purchase has been included on line 827 of this amended report.

Line 798: Zoetis was purchased on 6/21/2013; an amended entry to reflect this purchase has been included on line 828 of this amended report.

Responses keyed to lines listed in fourth paragraph in Committee's June 3 letter:

Items listed in prior report not listed in 2013 report:

Line 12 of 2012 report: This bond, identified as "CT '13 4.25" is listed on line 40 of the 2013 report, identified more fully as "CT G.O. Ser. D '13 4.25."

Line 31 of 2012 report: This bond, identified as "UConn '16 5.0" is listed on line 69 of the 2013 report, identified as "Univ. CT '16 5.0." "UConn" is an abbreviation for University of Connecticut.

Line 149 of 2012 report: This stock "Dentsply Intl." was not listed on the 2013 report because it was sold on 4/24/2011, as reported on line 165 of the 2011 report. The listing on line 149 of the 2012 report was inadvertent.

Line 157: This stock "People's United" was not listed on the 2013 report because it was sold on 12/21/2011. A corrected entry to reflect the Column D information is included on line 831 of this amended report.

Line 198: This stock "Prudential Financial" was not listed on the 2013 report because it was sold on 9/1/2011. The disposition entry "sold (part)" on line 228 of the 2011 report should have been "sold." A corrected entry to reflect the Column D information is included on line 832 of this amended report.

Line 343 of 2012 report: This fund "Alliance Bernstein Discovery Value adv. Fund" is the same as "Alliance Bernstein small-mid cap Fund," which is reported (under the original name) on line 424 of the 2013 report as sold (with all information in Column D). I do not know when the name change occurred, but the ticker symbol "ABYSX" is the same for both names.

Line 649 of 2012 report: This stock "Monsanto" was not listed on the 2013 report because it was sold on 5/8/2012. The sale should have been reported on the 2012 report. A report of that sale has been included on line 829 of this amended report.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Jon O. Newman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544