

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Garza, Carmen E.	2. Court or Organization U.S. District Court	3. Date of Report 05/24/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U.S. District Court 100 N. Church St. Las Cruces, NM 88001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ **NONE** (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	2011	Carmen E. Garza, Keogh Retirement Plan
2.	2011	Carmen E. Garza, Simplified Employee Pension
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	M & T Bank	Mortgage on rental property	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Fort Bliss Federal Credit Union accounts	A	Interest	J	T					
2. First American (formerly First National) Bank accounts		None	K	T					
3. Rental property #1, Las Cruces, NM purchase 2000-\$125,000	E	Rent	M	W					
4. Rental property #2 in Las Cruces, NM purchase 2008 240,000	D	Rent	M	W					
5. Time share property #1, San Diego, Ca. purchase 1998 \$4000		None	J	W					
6. Time share property #2, San Diego, Ca purchase 2005 \$5000		None	J	W					
7. Acacia Resh Acacia Tech	B	Dividend	J	T					
8. Ansys Inc.	B	Dividend	J	T					
9. Baidu Inc ADR	B	Dividend	K	T	Buy	05/16/11	J		
10. C.H. Robinson Worldwide	C	Dividend	K	T					
11. Core Laboratories NVSHS	C	Dividend	K	T					
12. Cullen Frost Bankers		None	J	T	Buy	05/24/11	J		
13. Enernoc Inc.		None			Sold	08/15/11	J		
14. Expeditors Intl Wash Inc.		None	K	T					
15. Express Scripts Inc.		None	J	T	Sold (part)	03/18/11	K		
16. Express Scripts Inc.		None	J	T	Buy	07/14/11	J		
17. Factset Research Sys Inc.		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. HMS Holdings Corp	C	Dividend	K	T	Buy	06/07/11	J		
19. Idexx Labs Corp. stock	B	Dividend	K	T	Sold (part)	01/24/11	J		
20. Ihs Inc.	B	Dividend	K	T	Sold (part)	03/14/11	J		
21. Intuitive Surgical Inc.	D	Dividend	K	T					
22. Mastercard, Inc. stock	D	Dividend	K	T					
23. Mercadolibre Inc.		None			Sold	11/02/11	J		
24. Micros Corporation stock	B	Dividend	K	T	Sold (part)	01/25/11	J		
25. Quality Systems Inc.	B	Dividend	K	T					
26. Stericycle, Inc. stock		None	K	T	Sold (part)	04/18/11	J		
27. T Rowe Price Group stock		None	K	T	Buy (add'l)	06/03/11	J		
28. Verisk Analytics Inccl A	B	Dividend	J	T	Buy	09/26/11	J		
29. Visa Inc. Com Cl A	C	Dividend	K	T	Sold (part)	01/24/11	J		
30. Visa Inc. Com Cl A	C	Dividend		T	Buy (add'l)	06/16/11	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The residential lot that I owned in Las Cruces, New Mexico was deeded [REDACTED] in March, 2011. [REDACTED]
[REDACTED]

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Carmen E. Garza**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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