

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ray, J. Thomas	2. Court or Organization U. S. District Court - ED AR	3. Date of Report 10/06/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Magis. Judge - Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address Richard Sheppard Arnold United States Courthouse 500 West Capitol, Room D 144 Little Rock, AR 72201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Rollover IRA
2.	Trustee	IRA #1
3.	Trustee	Trust #1
4.	Trustee	Trust #2
5.	Trustee	Trust #3

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	FLC	Note and Mortgage on Rental Prop., Pulaski County, AR (Pt. VII, Line 64)	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AMBEV SA ADR	A	Dividend	K	T	Buy	06/05/13	K		
2. Arch Coal C.S.	A	Dividend	K	T	Buy	10/25/13	K		
3. Bank of America C.S.	A	Dividend	K	T	Sold (part)	05/03/13	J		
4. Barrick Gold C.S.	A	Dividend	M	T	Buy	07/02/13	K		
5. Berkshire Hathaway (B) C.S.		None	L	T					
6. BP PLC ADR	B	Dividend	K	T					
7. Bristol Meyers C.S.	A	Dividend	K	T	Sold (part)	04/19/13	J	D	
8. Cenovus Energy Inc. C.S.	B	Dividend	L	T					
9. Chevron C.S.	C	Dividend	M	T					
10. Cisco C.S.	A	Dividend	K	T	Buy	11/14/13	K		
11. Corning C.S.	B	Dividend	L	T	Buy	03/18/13	L		
12. Conoco Phillips C.S.	D	Dividend	M	T					
13. Dell Inc. C.S.	A	Dividend			Sold	10/30/13	L	E	
14. Dow Chemical Co. C.S.	C	Dividend	J	T	Sold (part)	10/15/13	M	E	
15. DuPont C.S.	A	Dividend			Sold	10/15/13	J	C	
16. Eli Lilly C.S.	D	Dividend	N	T					
17. Encana Corp. C.S.	B	Dividend	L	T	Buy	10/25/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Exelis Inc. C.S.	B	Dividend	L	T					
19. Exxon Mobil Corp. C.S.	D	Dividend	O	T	Sold (part)	05/07/13	J	C	
20. Fairfax Financial Hldgs C.S.	C	Dividend	M	T					
21. Freeport McMoran C.S.	B	Dividend	L	T	Buy	06/20/13	K		
22. Gannett Co. Inc. C.S.	A	Dividend			Sold	06/13/13	L	E	
23. Glaxosmithkline PLC C.S.	A	Dividend			Sold	03/18/13	K	E	
24. Goodyear Tire C.S.	A	Dividend			Sold	08/27/13	L	E	
25. Hewlett Packard C.S.	A	Dividend	K	T					
26. Inmet Mining Corp. C.S.	A	Dividend			Sold	04/11/13	K	D	
27. Intel C.S.	A	Dividend	K	T	Buy	10/03/13	K		
28. J P Morgan Chase C.S.	B	Dividend	L	T					
29. Johnson & Johnson C.S.	D	Dividend	N	T	Sold (part)	05/09/13	M	F	
30. Kon Philips C.S.	B	Dividend			Sold	09/13/13	L	E	
31. Leucadia Corp. C.S.	A	Dividend	L	T					
32. Lexmark Intl Inc. C.S.	B	Dividend	L	T					
33. MEMC Materials C.S.		None			Sold	01/04/13	J	A	
34. Merck & Co. Inc. C.S.	D	Dividend	N	T	Sold (part)	04/19/13	K	E	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. MFC Industries C.S.	A	Dividend	J	T					
36. Newmont Mining C.S.	B	Dividend	K	T					
37. Nokia C.S.		None	K	T					
38. Permian Basin Trust C.S.	C	Dividend	L	T					
39. Peabody Energy Corp. C.S.	A	Dividend	K	T					
40. Pfizer Inc. C.S.	C	Dividend	L	T	Sold (part)	04/19/13	K	D	
41. Phillips 66 C.S.	B	Dividend	M	T					
42. Potash Corp. C.S.	A	Dividend	J	T					
43. Precision Drilling C.S.	A	Dividend	K	T					
44. Rio Tinto ADR	A	Dividend	J	T	Buy	07/08/13	J		
45. Roche ADR	A	Dividend	J	T	Buy	06/21/13	J		
46. Sanofi Aventis C.S.	C	Dividend	L	T					
47. Stryker Corp. C.S.	A	Dividend	K	T					
48. Teck Resources C.S.	B	Dividend	K	T					
49. Thermo Fisher C.S.	A	Dividend	K	T					
50. Thompson Creek Metals C.S.		None	J	T					
51. Transocean Inc. C.S.	C	Dividend	L	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Tsakos Nav. C.S.	B	Dividend	K	T					
53. Vale ADR	A	Dividend	K	T	Buy	06/11/13	J		
54. Valero Energy C.S.	B	Dividend	M	T					
55. Wells Fargo C.S.	B	Dividend	K	T	Sold (part)	03/06/13	J	A	
56. Weyerhaeuser Co C.S.	A	Dividend	J	T					
57. Fort Smith WTR Bond	B	Interest	L	T					
58. UCA Rev. Bond	C	Interest	L	T					
59. Money Market (Cash Equivalents) Charles Schwab	A	Interest	M	T					
60.									
61. ORI/Acadia & Ver. Par., LA; McGowan Inc.	F	Royalty	N	W					
62. ORI/Regan County, TX/Pioneer Natural Resources	A	Royalty	K	W					
63. W/I; Acadia & Vermillion Par., LA; McGowan Inc.	F	Royalty	N	W					
64. Rental Property (Pulaski County, AR)	D	Rent	N	W					
65.									
66. Rollover IRA	E	Dividend	P1	T					
67. - Money Market (Cash Equivalents) Charles Schwab									
68. - Amgen C.S.									

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69. - Apache C.S.									
70. - Arch Coal C.S.					Buy	06/25/13	J		
71. - Bank of America. C.S.									
72. - Barrick Gold Corp. C.S.					Buy	07/02/13	K		
73. - Berkshire Hathaway (B) C.S.									
74. - BP ADR					Buy	08/19/13	K		
75. - BP Prudhoe Bay Royalty Trust					Sold	01/04/13	K	B	
76. - Celgene C.S.									
77. - Chevron C.S.									
78. - Conoco Phillips C.S.									
79. - Dow Chemical C.S.					Sold	10/16/13	K	E	
80. - Encana Corp C.S.									
81. - Exxon C.S.									
82. - Freeport McMoran C.S.					Buy	05/10/13	K		
83. - General Electric Co. C.S.									
84. - Gilead Sciences Inc. C.S.									
85. - J.P. Morgan C.S.									

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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86. - Johnson & Johnson C.S.									
87. - Leucadia National Corp. C.S.									
88. - Merck & Co. Inc. C.S.									
89. - Permian Basin Royalty Trust C.S.									
90. - Pfizer Inc. C.S.									
91. - Phillips 66 C.S.									
92. - Potash Corp. C.S.					Buy	07/30/13	J		
93. - Rio Tinto ADR					Buy	07/08/13	J		
94. - Roche Hldg ADR					Buy	06/25/13	K		
95. - Sanofi Aventis C.S.									
96. - Stryker C.S.									
97. - Teva Pharm C.S.					Sold	07/08/13	J		
98. - Total ADR					Sold (part)	07/26/13	K	D	
99. - Transocean Inc. C.S.					Buy	12/16/13	K		
100. - Tsakos Energy Nav. C.S.					Buy	03/19/13	J		
101. - Vale ADR					Buy	06/12/13	J		
102. - Veolia Environmental ADR C.S.					Sold	06/05/13	J		

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103. - Wells Fargo & Co. C.S.									
104.									
105. IRA #1	C	Dividend	N	T					
106. - Amgen Inc. C.S.									
107. - Apache Corp. C.S.					Buy	04/18/13	J		
108. - Bank of America C.S.									
109. - Barrick Gold Corp. C.S.					Buy	07/08/13	K		
110. - Berkshire Hathaway (B) C.S.									
111. - Celgene C.S.									
112. - Conoco C.S.									
113. - Dow Chemical Co C.S.					Sold	10/16/13	J	C	
114. - Exxon Mobil C.S.									
115. - Freeport McMoran					Buy	06/11/13	J		
116. - Gilead Sciences Inc. C.S.									
117. - Johnson & Johnson C.S.									
118. - J.P. Morgan Chase C.S.									
119. - Leucadia National Corp. C.S.									

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - Merck C.S.									
121. - Phillips 66 C.S.									
122. - Pfizer C.S.									
123. - Potash Corp C.S.									
124. - TEVA Pharma ADR									
125. - Tsakos Energy Nav. C.S.					Sold	12/09/13	J	A	
126. - Veolia Environmental ADR C.S.					Sold	05/31/13	J	A	
127. - Money Market (Cash Equivalents) Charles Schwab									
128.									
129. IRA #2	C	Dividend	M	T					
130. - Amgen Inc. C.S.									
131. - Apache C.S.					Buy	04/15/13	J		
132. - Arch Coal C.S.									
133. - Bank of America C.S.									
134. - Barrick Gold Corp. C.S.					Buy	07/02/13	J		
135. - Berkshire Hathaway (B) C.S.									
136. - Celgene C.S.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Encana Corp. C.S.					Buy	07/08/13	J		
138. - Exxon C.S.									
139. - Gilead Sciences Inc. C.S.					Sold (part)	03/06/13	J	D	
140. - Johnson & Johnson C.S.									
141. - Merck C.S.									
142. - Permian Basin Royalty Trust C.S.					Sold	11/18/13	J	A	
143. - Pfizer Inc. C.S.					Sold (part)	01/24/13	J	A	
144. - Teva ADR					Sold	07/02/13	J		
145. - Money Market (Cash Equivalents) Charles Schwab									
146.									
147. Trust #1	B	Dividend	M	T					
148. - Amgen Inc. C.S.									
149. - Bank of America C.S.									
150. - Barrick Gold Corp. C.S.					Buy	09/13/13	J		
151. - Celgene C.S.									
152. - Conoco Phillips C.S.									
153. - Gilead Sciences Inc. C.S.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Johnson & Johnson C.S.									
155. - Permian Basin Royalty Trust C.S.					Sold	11/18/13	J		
156. - Pfizer C.S.									
157. - Phillips 66 C.S.									
158. - Money Market (Cash Equivalents) Charles Schwab									
159.									
160. Trust #2	E	Dividend	P1	T					
161. - AMBEV ADR					Buy	06/12/13	J		
162. - Amgen Inc. C.S.									
163. - Apache Corp. C.S.					Buy	04/17/13	J		
164. - Bank of America C.S.									
165. - Barrick Gold Corp. C.S.					Buy	04/24/13	K		
166. - Berkshire Hathaway (B) C.S.									
167. - BP ADR (F)					Buy	08/19/13	J		
168. - Celgene C.S.									
169. - Chevron C.S.									
170. - Dow Chemical Co. C.S.					Sold (part)	10/16/13	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - DuPont Co. C.S.					Sold (part)	08/12/13	J	C	
172. - Encana Corp. C.S.									
173. - Exxon C.S.					Buy	10/08/13	J		
174. - Freeport McMoran C.S.					Buy	04/12/13	J		
175. - General Electric C.S.									
176. - Gilead Sciences Inc. C.S.									
177. - Johnson & Johnson C.S.									
178. - Leucadia National Corp. C.S.									
179. - Life Technologies C.S.					Sold	08/01/13	K	D	
180. - Merck & Co. C.S.									
181. - Permian Basin Royalty Trust C.S.									
182. - Pfizer C.S.					Sold (part)	03/06/13	K	A	
183. - Phillips 66 C.S.					Buy	09/17/13	J		
184. - Phillips 66 Partners					Buy	08/07/13	J		
185. - Potash Corp. C.S.					Buy	08/21/13	J		
186. - Rio Tinto ADR (F)					Buy	07/08/13	J		
187. - Sanofi Aventis C.S.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - Stryker C.S.					Sold (part)	08/19/13	J	C	
189. - Teva Pharma C.S.					Sold	07/08/13	J		
190. - Thermo Fisher C.S.									
191. - Transocean Inc. C.S.									
192. - Vale C.S.					Buy	06/12/13	J		
193. - Veolia Environmental ADR C.S.					Sold	07/03/13	J		
194. - Wells Fargo C.S.					Sold (part)	02/19/13	J	A	
195. - Money Market (Cash Equivalents) Charles Schwab									
196.									
197. Trust #3	A	Dividend	K	T					
198. - Berkshire Hathaway (B) C.S.									
199. - Dow Chemical Co. C.S.									
200. - Encana Corp. C.S.									
201. - Merck C.S.									
202. - Money Market (Cash Equivalents) (X) Charles Schwab									
203. Life Insurance -Flexible Premium Adjustable Life Ins. Policy	C	Interest	M	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

None

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **J. Thomas Ray**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544