

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                     |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Reade, Linda R.                                                                                                                      | <b>2. Court or Organization</b><br><br>District Court ND Iowa                                                                                                                                                                                                                                       | <b>3. Date of Report</b><br><br>02/22/2018                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>U.S. District Judge - Active                                                  | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input checked="" type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2016<br>to<br>12/31/2016 |
| <b>7. Chambers or Office Address</b><br><br>U.S. Courthouse<br>111 7th Ave SE<br>Cedar Rapids, IA 52401                                                                                                   |                                                                                                                                                                                                                                                                                                     |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                                     |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

|                       |                            |
|-----------------------|----------------------------|
| 1. Board of Directors | Federal Judges Association |
| 2.                    |                            |
| 3.                    |                            |
| 4.                    |                            |
| 5.                    |                            |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

|             |                                                                                                                      |
|-------------|----------------------------------------------------------------------------------------------------------------------|
| 1. 08/01/93 | State of Iowa and filer-Iowa Judicial Retirement Plan. The state is paying me retirement income as of February 2013. |
| 2.          |                                                                                                                      |
| 3.          |                                                                                                                      |

**FINANCIAL DISCLOSURE REPORT**

Page 2 of 19

Name of Person Reporting

Reade, Linda R.

Date of Report

02/22/2018

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>                                                   | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|--------------------------------------------------------------------------|----------------------------------------|
| 1. 2016     | State of Iowa judicial retirement income--paid monthly beginning 2/27/13 | \$31,958.00                            |
| 2.          |                                                                          |                                        |
| 3.          |                                                                          |                                        |
| 4.          |                                                                          |                                        |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

| <u>DATE</u>   | <u>SOURCE AND TYPE</u>                                           |
|---------------|------------------------------------------------------------------|
| 1. 2016       | Bradshaw Fowler Proctor & Fairgrave, PC - professional fees      |
| 2. 11/16/2016 | New York Marine and General Insurance-fee for mediation services |
| 3.            |                                                                  |
| 4.            |                                                                  |

**IV. REIMBURSEMENTS** -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

| <u>SOURCE</u>                 | <u>DATES</u>    | <u>LOCATION</u> | <u>PURPOSE</u> | <u>ITEMS PAID OR PROVIDED</u>    |
|-------------------------------|-----------------|-----------------|----------------|----------------------------------|
| 1. Federal Judges Association | May 17-19, 2016 | Washington DC   | Board Meeting  | transportation, lodging and food |
| 2.                            |                 |                 |                |                                  |
| 3.                            |                 |                 |                |                                  |
| 4.                            |                 |                 |                |                                  |
| 5.                            |                 |                 |                |                                  |

**FINANCIAL DISCLOSURE REPORT**

Page 3 of 19

Name of Person Reporting

Reade, Linda R.

Date of Report

02/22/2018

**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

| <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|---------------|--------------------|--------------|
| 1.            |                    |              |
| 2.            |                    |              |
| 3.            |                    |              |
| 4.            |                    |              |
| 5.            |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

| <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|-----------------|--------------------|-------------------|
| 1.              |                    |                   |
| 2.              |                    |                   |
| 3.              |                    |                   |
| 4.              |                    |                   |
| 5.              |                    |                   |

# FINANCIAL DISCLOSURE REPORT

Page 4 of 19

Name of Person Reporting

Reade, Linda R.

Date of Report

02/22/2018

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. US Bank Accounts                                                                                                         | A                                       | Interest                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. CSCO Stock                                                                                                               | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. Medtronic Inc. Stock                                                                                                     | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 4. Deferred Comp Plan (H)                                                                                                   |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 5. -Stock Index Fund-Valic                                                                                                  | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 6. -Science & Technology Fund-Valic                                                                                         | A                                       | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 7. -Putnam New Opportunity Fund-Valic                                                                                       | A                                       | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 8. -Putnam Global Gr Fund-Valic                                                                                             | A                                       | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 9. -American Cent Ultra Fund-Valic                                                                                          | A                                       | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 10. Retirement Account (H)                                                                                                  |                                         |                                               | P1                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 11. -Schwab Money Market Fund                                                                                               | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 12. -ABEONATherapeutics (ABEO) (Y)                                                                                          |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 13. -AT&T (T)                                                                                                               | C                                       | Dividend                                      | L                                               | T                                         | Buy<br>(add'l)                                  | 02/01/16                | J                               |                                |                                                                   |
| 14.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/02/16                | J                               |                                |                                                                   |
| 15.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 08/02/16                | J                               |                                |                                                                   |
| 16.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 11/02/16                | J                               |                                |                                                                   |
| 17. -Air Canada (ACDVF)                                                                                                     |                                         | None                                          | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                        |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|----------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                        | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$250,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                        | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 5 of 19

Name of Person Reporting

Reade, Linda R.

Date of Report

02/22/2018

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code I<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                     |   |          |   |   |                |          |   |  |  |
|-------------------------------------|---|----------|---|---|----------------|----------|---|--|--|
| 18. -Altria Group, Inc (MO)         | C | Dividend | M | T | Buy<br>(add'l) | 01/11/16 | J |  |  |
| 19.                                 |   |          |   |   | Buy<br>(add'l) | 04/11/16 | J |  |  |
| 20.                                 |   |          |   |   | Buy<br>(add'l) | 07/12/16 | J |  |  |
| 21.                                 |   |          |   |   | Buy<br>(add'l) | 10/11/16 | J |  |  |
| 22. -American Airlines (AAL)        | A | Dividend | K | T | Buy<br>(add'l) | 02/24/16 | J |  |  |
| 23.                                 |   |          |   |   | Buy<br>(add'l) | 05/18/16 | J |  |  |
| 24.                                 |   |          |   |   | Buy<br>(add'l) | 08/22/16 | J |  |  |
| 25.                                 |   |          |   |   | Buy<br>(add'l) | 11/22/16 | J |  |  |
| 26. -American Int'l Grp (AIG)       | B | Dividend | L | T | Buy<br>(add'l) | 03/28/16 | J |  |  |
| 27.                                 |   |          |   |   | Buy<br>(add'l) | 06/28/16 | J |  |  |
| 28.                                 |   |          |   |   | Buy<br>(add'l) | 09/30/16 | J |  |  |
| 29.                                 |   |          |   |   | Buy<br>(add'l) | 12/23/16 | J |  |  |
| 30. -Annaly Capital Mgmt Reit (NLY) | E | Dividend | M | T |                |          |   |  |  |
| 31. -Apple (APPL)                   | D | Dividend | N | T | Buy<br>(add'l) | 02/12/16 | J |  |  |
| 32.                                 |   |          |   |   | Buy<br>(add'l) | 05/13/16 | J |  |  |
| 33.                                 |   |          |   |   | Buy<br>(add'l) | 08/12/16 | J |  |  |
| 34.                                 |   |          |   |   | Buy<br>(add'l) | 11/11/16 | J |  |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 6 of 19

Name of Person Reporting

Reade, Linda R.

Date of Report

02/22/2018

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "X" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|---------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                           | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                             |   |              |   |   |                |          |   |   |  |
|---------------------------------------------|---|--------------|---|---|----------------|----------|---|---|--|
| 35.                                         |   |              |   |   | Buy<br>(add'l) | 11/14/16 | L |   |  |
| 36. -Applied Materials (AMAT)               | A | Dividend     |   |   | Buy<br>(add'l) | 03/17/16 | J |   |  |
| 37.                                         |   |              |   |   | Sold           | 05/20/16 | J | B |  |
| 38. - Alamos Gold (AGI)                     | A | Dividend     | J | T |                |          |   |   |  |
| 39. -Aurico Metals Inc (ARCTF)(Y)           |   |              |   |   |                |          |   |   |  |
| 40. -Bank of America (BAC)                  | A | Dividend     |   |   | Buy<br>(add'l) | 02/01/16 | J |   |  |
| 41.                                         |   |              |   |   | Buy<br>(add'l) | 03/28/16 | J |   |  |
| 42.                                         |   |              |   |   | Sold           | 05/25/16 | K |   |  |
| 43. -Barrick Gold Corp (ABX)                | A | Dividend     | K | T |                |          |   |   |  |
| 44. -Berkshire Hathaway                     |   | None         |   |   | Sold           | 11/07/16 | M | G |  |
| 45. -Blackrock Health Sciences Fund (SHSAX) | B | Distribution | L | T | Buy<br>(add'l) | 12/06/16 | J |   |  |
| 46. -Boeing (BA)                            | B | Dividend     | K | T | Buy<br>(add'l) | 03/04/16 | J |   |  |
| 47.                                         |   |              |   |   | Buy<br>(add'l) | 06/06/16 | J |   |  |
| 48.                                         |   |              |   |   | Buy<br>(add'l) | 09/06/16 | J |   |  |
| 49.                                         |   |              |   |   | Buy<br>(add'l) | 12/05/16 | J |   |  |
| 50. -British Petro (BP)                     | B | Dividend     | K | T |                |          |   |   |  |
| 51. -Carlyle Grp (CG)                       | C | Dividend     | L | T | Buy<br>(add'l) | 03/02/16 | J |   |  |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 7 of 19

Name of Person Reporting

Reade, Linda R.

Date of Report

02/22/2018

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "X" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|---------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                           | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52.                                                                                                                       |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/25/16                | J                               |                                |                                                                   |
| 53.                                                                                                                       |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 08/25/16                | J                               |                                |                                                                   |
| 54.                                                                                                                       |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 11/17/16                | J                               |                                |                                                                   |
| 55. -Citigroup (CIT)                                                                                                      |                                         | None                                          | L                                               | T                                         | Buy                                             | 12/06/16                | L                               |                                |                                                                   |
| 56. -Cleanpath Resources (CLNP)(Y)                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 57. -CrownCastle (CCI)                                                                                                    |                                         | None                                          |                                                 |                                           | Sold                                            | 02/01/16                | L                               | A                              |                                                                   |
| 58. -Cytokinetics Inc (CYTK)                                                                                              |                                         | None                                          | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 59. -DDR Corp                                                                                                             | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 01/06/16                | J                               |                                |                                                                   |
| 60.                                                                                                                       |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 04/05/16                | J                               |                                |                                                                   |
| 61.                                                                                                                       |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 07/07/16                | J                               |                                |                                                                   |
| 62.                                                                                                                       |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/12/16                | J                               |                                |                                                                   |
| 63. -RRDonnelley (RRD)                                                                                                    | B                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 03/01/16                | J                               |                                |                                                                   |
| 64.                                                                                                                       |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/01/16                | J                               |                                |                                                                   |
| 65.                                                                                                                       |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/01/16                | J                               |                                |                                                                   |
| 66.                                                                                                                       |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/01/16                | J                               |                                |                                                                   |
| 67. -Donnelley Financial (DFIN)                                                                                           |                                         | None                                          | J                                               | T                                         | Spinoff<br>(from line 63)                       | 10/03/16                | J                               |                                |                                                                   |
| 68. -LSC Communications (LKSD)                                                                                            |                                         | None                                          | J                                               | T                                         | Spinoff<br>(from line 63)                       | 10/03/16                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 8 of 19

Name of Person Reporting

Reade, Linda R.

Date of Report

02/22/2018

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69. -Doubleline Inc (DSL)                                                                                                   | C                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 01/04/16                | J                               |                                |                                                                   |
| 70.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 01/29/16                | J                               |                                |                                                                   |
| 71.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 02/01/16                | J                               |                                |                                                                   |
| 72.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 02/29/16                | J                               |                                |                                                                   |
| 73.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 04/01/16                | J                               |                                |                                                                   |
| 74.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 04/29/16                | J                               |                                |                                                                   |
| 75.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/01/16                | J                               |                                |                                                                   |
| 76.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 07/01/16                | J                               |                                |                                                                   |
| 77.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 08/01/16                | J                               |                                |                                                                   |
| 78.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/01/16                | J                               |                                |                                                                   |
| 79.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/03/16                | J                               |                                |                                                                   |
| 80.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/01/16                | J                               |                                |                                                                   |
| 81.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/30/16                | J                               |                                |                                                                   |
| 82. -Enbridge Energy (EEP)                                                                                                  | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 83. -Exact Sciences (EXAS)                                                                                                  |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 84. -First Date Corp (FDC)                                                                                                  |                                         | None                                          | K                                               | T                                         | Buy                                             | 05/25/16                | K                               |                                |                                                                   |
| 85. -Garmin (GRMN)                                                                                                          | B                                       | Dividend                                      |                                                 |                                           | Sold                                            | 09/21/16                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 9 of 19

Name of Person Reporting

Reade, Linda R.

Date of Report

02/22/2018

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                   |   |              |   |   |                |          |   |  |  |
|-----------------------------------|---|--------------|---|---|----------------|----------|---|--|--|
| 86. -General Electric Co (GE)     | B | Dividend     | L | T | Buy<br>(add'l) | 01/25/16 | J |  |  |
| 87.                               |   |              |   |   | Buy<br>(add'l) | 04/25/16 | J |  |  |
| 88.                               |   |              |   |   | Buy<br>(add'l) | 07/26/16 | J |  |  |
| 89.                               |   |              |   |   | Buy<br>(add'l) | 10/26/16 | J |  |  |
| 90. -Goldcorp Inc. F (GG)         | A | Dividend     | J | T | Buy            | 08/30/16 | K |  |  |
| 91. -Graphic Packaging Hldg (GPK) | A | Dividend     | K | T | Buy<br>(add'l) | 01/06/16 | J |  |  |
| 92.                               |   |              |   | R | Buy<br>(add'l) | 04/06/16 | J |  |  |
| 93.                               |   |              |   |   | Buy<br>(add'l) | 07/05/16 | J |  |  |
| 94.                               |   |              |   |   | Buy<br>(add'l) | 10/06/16 | J |  |  |
| 95. -GSV Capital (GSVC)           | D | Dividend     | J | T |                |          |   |  |  |
| 96. -Hovnanian (HOV)              |   | None         | J | T |                |          |   |  |  |
| 97. -Icahn Ent (IEP)              | B | Dividend     | K | T | Buy<br>(add'l) | 04/12/16 | J |  |  |
| 98.                               |   |              |   |   | Buy<br>(add'l) | 06/16/16 | J |  |  |
| 99.                               |   |              |   |   | Buy<br>(add'l) | 09/19/16 | J |  |  |
| 100.                              |   |              |   |   | Buy<br>(add'l) | 12/19/16 | J |  |  |
| 101. -India Fund (IFN)            | B | Distribution | K | T |                |          |   |  |  |
| 102. -JP Morgan Chase (JPM)       | B | Dividend     | L | T | Buy<br>(add'l) | 02/01/16 | K |  |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 10 of 19

Name of Person Reporting

Reade, Linda R.

Date of Report

02/22/2018

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                      |   |          |   |   |                |          |   |   |  |
|--------------------------------------|---|----------|---|---|----------------|----------|---|---|--|
| 103. -Metlife (MET)                  | A | Dividend | K | T | Buy<br>(add'l) | 03/14/16 | J |   |  |
| 104.                                 |   |          |   |   | Buy<br>(add'l) | 06/14/16 | J |   |  |
| 105.                                 |   |          |   |   | Buy<br>(add'l) | 09/14/16 | J |   |  |
| 106.                                 |   |          |   |   | Buy<br>(add'l) | 12/14/16 | J |   |  |
| 107. -Micron Tech (MU)               |   | None     | K | T |                |          |   |   |  |
| 108. -Microsoft (MSFT)               | B | Dividend | L | T | Buy<br>(add'l) | 03/11/16 | J |   |  |
| 109.                                 |   |          |   |   | Buy<br>(add'l) | 06/10/16 | J |   |  |
| 110.                                 |   |          |   |   | Buy<br>(add'l) | 09/09/16 | J |   |  |
| 111.                                 |   |          |   |   | Buy<br>(add'l) | 12/02/16 | J |   |  |
| 112. -Morgan Stanley (MS)(L)         |   | None     | J | T |                |          |   |   |  |
| 113. -New Residential Inve (NRZ)     |   | None     |   |   | Buy            | 04/20/16 | J |   |  |
| 114.                                 |   |          |   |   | Sold           | 05/25/16 | K | B |  |
| 115. -New York Management REIT(NYMT) | C | Dividend | K | T | Buy<br>(add'l) | 01/26/16 | J |   |  |
| 116.                                 |   |          |   |   | Buy<br>(add'l) | 04/25/16 | J |   |  |
| 117.                                 |   |          |   |   | Buy<br>(add'l) | 07/26/16 | J |   |  |
| 118.                                 |   |          |   |   | Buy<br>(add'l) | 10/31/16 | J |   |  |
| 119. -Newmont Mining (NEM)           |   | None     |   |   | Sold           | 04/11/16 | K |   |  |

|                                                  |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|--------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A ~\$1,000 or less<br>F ~\$50,001 - \$100,000                                      | B ~\$1,001 - \$2,500<br>G ~\$100,001 - \$1,000,000   | C ~\$2,501 - \$5,000<br>H1 ~\$1,000,001 - \$5,000,000                                  | D ~\$5,001 - \$15,000<br>H2 ~More than \$5,000,000         | E ~\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J ~\$15,000 or less<br>N ~\$250,001 - \$500,000<br>P3 ~\$25,000,001 - \$50,000,000 | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | L ~\$50,001 - \$100,000<br>P1 ~\$1,000,001 - \$5,000,000<br>P4 ~More than \$50,000,000 | M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                    | R = Cost (Real Estate Only)<br>V = Other             | S = Assessment<br>W = Estimated                                                        | T = Cash Market                                            |                        |

# FINANCIAL DISCLOSURE REPORT

Page 11 of 19

Name of Person Reporting

Reade, Linda R.

Date of Report

02/22/2018

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                     |   |          |   |   |                |          |   |  |  |
|-------------------------------------|---|----------|---|---|----------------|----------|---|--|--|
| 120. -Novartis (NVS)                |   | None     | L | T | Buy            | 12/12/16 | L |  |  |
| 121. -Ovascience Inc. (OVAS)(L)     |   | None     | J | T |                |          |   |  |  |
| 122. -Paragon Shippng (PRGN)(L)     |   | None     | J | T |                |          |   |  |  |
| 123. -Performance Food Group (PFGC) |   | None     | K | T | Buy            | 03/21/16 | J |  |  |
| 124.                                |   |          |   |   | Buy<br>(add'l) | 06/10/16 | J |  |  |
| 125. -Pepsico (PEP)                 | A | Dividend | K | T |                |          |   |  |  |
| 126. -Pfizer (PFE)                  | B | Dividend | K | T | Buy<br>(add'l) | 03/02/16 | J |  |  |
| 127.                                |   |          |   |   | Buy<br>(add'l) | 06/02/16 | J |  |  |
| 128.                                |   |          |   |   | Buy<br>(add'l) | 09/02/16 | J |  |  |
| 129.                                |   |          |   |   | Buy<br>(add'l) | 12/02/16 | J |  |  |
| 130. -Pimco (PTY)                   | D | Dividend | L | T | Buy<br>(add'l) | 01/05/16 | J |  |  |
| 131.                                |   |          |   |   | Buy<br>(add'l) | 02/02/16 | J |  |  |
| 132.                                |   |          |   |   | Buy<br>(add'l) | 03/01/16 | J |  |  |
| 133.                                |   |          |   |   | Buy<br>(add'l) | 04/04/16 | J |  |  |
| 134.                                |   |          |   |   | Buy<br>(add'l) | 05/03/16 | J |  |  |
| 135.                                |   |          |   |   | Buy<br>(add'l) | 06/01/16 | J |  |  |
| 136.                                |   |          |   |   | Buy<br>(add'l) | 07/01/16 | J |  |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 12 of 19

Name of Person Reporting

Reade, Linda R.

Date of Report

02/22/2018

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 137.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 08/02/16                | J                               |                                |                                                                   |
| 138.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/02/16                | J                               |                                |                                                                   |
| 139.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/04/16                | J                               |                                |                                                                   |
| 140.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 11/11/16                | J                               |                                |                                                                   |
| 141.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/01/16                | J                               |                                |                                                                   |
| 142. -Principal Financial (PFG)                                                                                             | D                                       | Dividend                                      | M                                               | T                                         | Buy<br>(add'l)                                  | 03/29/16                | J                               |                                |                                                                   |
| 143.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/24/16                | J                               |                                |                                                                   |
| 144.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/30/16                | J                               |                                |                                                                   |
| 145. -Proctor & Gamble (PG)                                                                                                 | B                                       | Dividend                                      |                                                 |                                           | Buy<br>(add'l)                                  | 02/16/16                | J                               |                                |                                                                   |
| 146.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/16/16                | J                               |                                |                                                                   |
| 147.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 08/15/16                | J                               |                                |                                                                   |
| 148.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 11/15/16                | J                               |                                |                                                                   |
| 149.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/28/16                | K                               | D                              |                                                                   |
| 150. -Safe Bulkers (SB)                                                                                                     |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 151. -Schlumberger (SLB)                                                                                                    | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 152. -Sodastream (SODA)                                                                                                     |                                         | None                                          | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 153. -Suncor (SU) formerly Canadian Oil                                                                                     |                                         | None                                          |                                                 |                                           | Sold                                            | 08/25/16                | J                               |                                |                                                                   |

|                                                  |                                                                                                                                          |                                                                                                                |                                                                                                                                                      |                                                                                                                      |                         |
|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000<br>J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000<br>K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000<br>L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | Q = Appraisal<br>U = Book Value                                                                                                          | R = Cost (Real Estate Only)<br>V = Other                                                                       | S = Assessment<br>W = Estimated                                                                                                                      | T = Cash Market                                                                                                      |                         |

# FINANCIAL DISCLOSURE REPORT

Page 13 of 19

Name of Person Reporting

Reade, Linda R.

Date of Report

02/22/2018

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 154. -Suntech Power (STP) (Y)                                                                                               |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 155. -Taser International (TASR)                                                                                            |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 156. -Telecom Argentina SA (TCMFF)                                                                                          |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 157. -Total SAADRF1 (TOT)                                                                                                   | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 158. -Trupanion Inc (TRUP)                                                                                                  |                                         | None                                          | J                                               | T                                         | Buy                                             | 10/03/16                | J                               |                                |                                                                   |
| 159.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/11/16                | K                               | C                              |                                                                   |
| 160. -Tsakos Energy (TNP)                                                                                                   | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 161. -Verizon Communications (VZ)                                                                                           | C                                       | Dividend                                      | L                                               | T                                         | Buy<br>(add'l)                                  | 02/02/16                | J                               |                                |                                                                   |
| 162.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/02/16                | J                               |                                |                                                                   |
| 163.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 08/02/16                | J                               |                                |                                                                   |
| 164.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 11/02/16                | J                               |                                |                                                                   |
| 165. -VISA                                                                                                                  | A                                       | Dividend                                      | L                                               | T                                         | Buy<br>(add'l)                                  | 03/02/16                | J                               |                                |                                                                   |
| 166.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/08/16                | J                               |                                |                                                                   |
| 167.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/06/16                | J                               |                                |                                                                   |
| 168.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/07/16                | J                               |                                |                                                                   |
| 169. -Vodafone (VOD)                                                                                                        | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 09/13/16                | J                               |                                |                                                                   |
| 170. -Yamana Gold Incoo (AVY)                                                                                               |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                         |                                  |                             |                                |                                 |                         |
|-------------------------|----------------------------------|-----------------------------|--------------------------------|---------------------------------|-------------------------|
| 1. Income Gain Codes:   | A = \$1,000 or less              | B = \$1,001 - \$2,500       | C = \$2,501 - \$5,000          | D = \$5,001 - \$15,000          | E = \$15,001 - \$50,000 |
| (See Columns B1 and D4) | F = \$50,001 - \$100,000         | G = \$100,001 - \$1,000,000 | H1 = \$1,000,001 - \$5,000,000 | H2 = More than \$5,000,000      |                         |
| 2. Value Codes          | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
| (See Columns C1 and D3) | N = \$250,001 - \$500,000        | O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000 | P2 = \$5,000,001 - \$25,000,000 |                         |
|                         | P3 = \$25,000,001 - \$50,000,000 |                             | P4 = More than \$50,000,000    |                                 |                         |
| 3. Value Method Codes   | Q = Appraisal                    | R = Cost (Real Estate Only) | S = Assessment                 | T = Cash Market                 |                         |
| (See Column C2)         | U = Book Value                   | V = Other                   | W = Estimated                  |                                 |                         |

# FINANCIAL DISCLOSURE REPORT

Page 14 of 19

Name of Person Reporting

Reade, Linda R.

Date of Report

02/22/2018

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "X" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|---------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                           | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 171. McVean Trading and Investment                                                                                        |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 172. Farm 1 Dallas Co., IA                                                                                                | D                                       | Rent                                          | M                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 173. Farm 3 Dallas Co. IA                                                                                                 | D                                       |                                               | L                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 174. Farm 5 Adair Co, IA                                                                                                  | E                                       | Rent                                          | N                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 175. Farm 7 Adair Co, IA                                                                                                  | D                                       |                                               | L                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 176. Farm 10 Adair Co, IA                                                                                                 | E                                       | Rent                                          | N                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 177. Farm 14 Adams Co, IA                                                                                                 | E                                       | Rent                                          | M                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 178. Farm 15 Adams Co, IA                                                                                                 | D                                       | Rent                                          | L                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 179. Farm 20 Decatur County, IA                                                                                           | B                                       | Rent                                          | J                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 180. Cincinnati Life Insurance Whole Life Policy                                                                          |                                         | None                                          | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 181. Mineral Interest, Dallas County, IA                                                                                  |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 182. Scout Energy fkaFidelity ExplorRoyalty<br>Continental Resor Bowman Co, ND                                            | B                                       | Royalty                                       | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 183. Ben Con Properties LLC Des Moines, IA(Y)                                                                             | F                                       | Distribution                                  |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 184. Towne View Apartments, LTD, Des<br>Moines, IA(Y)                                                                     |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 185. Phoenix-Tuson Ranch LLC                                                                                              |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 186. Brokerage 1                                                                                                          |                                         |                                               | O                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 187. -Schwab money market account                                                                                         | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 15 of 19

Name of Person Reporting

Reade, Linda R.

Date of Report

02/22/2018

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 188. -American Airlines Grp (AAL)                                                                                           | A                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 189. -At & T (T)                                                                                                            | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 190. -Air Canada (ACDVF)                                                                                                    |                                         | None                                          | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 191. -Bank of Nova Scotia (BNS)                                                                                             | B                                       | Dividend                                      |                                                 |                                           | Sold                                            | 11/03/16                | K                               | A                              |                                                                   |
| 192. -Blackstone (BX)                                                                                                       | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 193. -Boeing (BA)                                                                                                           | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 194. -Caterpillar Inc (CAT)                                                                                                 | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 195. -Carlyle Grp (CG)                                                                                                      |                                         | None                                          | L                                               | T                                         | Buy                                             | 12/05/16                | K                               |                                |                                                                   |
| 196.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/22/16                | K                               |                                |                                                                   |
| 197. -Cliffs Natural Resources (CLF)                                                                                        |                                         | None                                          |                                                 |                                           | Sold                                            | 12/27/16                | J                               |                                |                                                                   |
| 198. -Cros (CROX)                                                                                                           |                                         | None                                          |                                                 |                                           | Sold                                            | 12/27/16                | J                               |                                |                                                                   |
| 199. -Cytokinetics (CYTK)                                                                                                   |                                         | None                                          | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 200. -Dodge & Cos (DODGX)                                                                                                   | B                                       | Dividend                                      |                                                 |                                           | Sold                                            | 11/11/16                | L                               | B                              |                                                                   |
| 201. -Flextronics Int (FLEX)                                                                                                |                                         | None                                          | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 202. -Ford Motor (F)                                                                                                        | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 203. -General Motors (GM)                                                                                                   | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 204. -Glaxosmithkline (GSK)                                                                                                 | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 06/20/16                | J                               | A                              |                                                                   |

|                         |                                  |                             |                                |                                 |                         |
|-------------------------|----------------------------------|-----------------------------|--------------------------------|---------------------------------|-------------------------|
| 1. Income Gain Codes:   | A = \$1,000 or less              | B = \$1,001 - \$2,500       | C = \$2,501 - \$5,000          | D = \$5,001 - \$15,000          | E = \$15,001 - \$50,000 |
| (See Columns B1 and D4) | F = \$50,001 - \$100,000         | G = \$100,001 - \$1,000,000 | H1 = \$1,000,001 - \$5,000,000 | H2 = More than \$5,000,000      |                         |
| 2. Value Codes          | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
| (See Columns C1 and D3) | N = \$250,001 - \$500,000        | O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000 | P2 = \$5,000,001 - \$25,000,000 |                         |
|                         | P3 = \$25,000,001 - \$50,000,000 |                             | P4 = More than \$50,000,000    |                                 |                         |
| 3. Value Method Codes   | Q = Appraisal                    | R = Cost (Real Estate Only) | S = Assessment                 | T = Cash Market                 |                         |
| (See Column C2)         | U = Book Value                   | V = Other                   | W = Estimated                  |                                 |                         |

# FINANCIAL DISCLOSURE REPORT

Page 16 of 19

Name of Person Reporting

Reade, Linda R.

Date of Report

02/22/2018

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 205. -Hatteras Financial (HTS)                                                                                              | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 07/06/16                | J                               |                                |                                                                   |
| 206. -Mobileye (MBLY)                                                                                                       |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 207. -NewYork Comm Bancorp (NYCB)                                                                                           | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 03/29/16                | K                               |                                |                                                                   |
| 208. -Ovascience Inc (OVAS)                                                                                                 |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 209. -Pimco Opp Fund (PTY)                                                                                                  | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 210. -Principal Finan (PFG)                                                                                                 | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 211. -PJT Partners (PJT)                                                                                                    |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 212. -Qorvo Inc (QRVO)                                                                                                      |                                         | None                                          |                                                 |                                           | Sold                                            | 12/15/16                | K                               |                                |                                                                   |
| 213. -Seadrill (SDRL)                                                                                                       |                                         | None                                          |                                                 |                                           | Sold                                            | 12/27/16                | J                               |                                |                                                                   |
| 214. -Suncor Energy (SU)                                                                                                    | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 08/24/16                | J                               |                                |                                                                   |
| 215. -Total SA (TOT)                                                                                                        | C                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 216. -Vale SA ADR (VALE)                                                                                                    | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 217. -Veracyte (VCYT)                                                                                                       |                                         | None                                          | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 218. -Verastem (VSTM)                                                                                                       |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 219. -Viewray (VRAY)                                                                                                        |                                         | None                                          | J                                               | T                                         | Buy                                             | 08/23/16                | J                               |                                |                                                                   |
| 220.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 11/14/16                | J                               |                                |                                                                   |
| 221. -Vodafone (VOD)                                                                                                        |                                         | None                                          | J                                               | T                                         | Buy                                             | 09/14/16                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 17 of 19

Name of Person Reporting

Reade, Linda R.

Date of Report

02/22/2018

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 222. Brokerage II                                                                                                           | A                                       | Int./Div.                                     | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 223. -NYCB                                                                                                                  | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                         |                                  |                             |                                |                                 |                         |
|-------------------------|----------------------------------|-----------------------------|--------------------------------|---------------------------------|-------------------------|
| 1. Income Gain Codes:   | A = \$1,000 or less              | B = \$1,001 - \$2,500       | C = \$2,501 - \$5,000          | D = \$5,001 - \$15,000          | E = \$15,001 - \$50,000 |
| (See Columns B1 and D4) | F = \$50,001 - \$100,000         | G = \$100,001 - \$1,000,000 | H1 = \$1,000,001 - \$5,000,000 | H2 = More than \$5,000,000      |                         |
| 2. Value Codes          | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
| (See Columns C1 and D3) | N = \$250,001 - \$500,000        | O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000 | P2 = \$5,000,001 - \$25,000,000 |                         |
|                         | P3 = \$25,000,001 - \$50,000,000 |                             | P4 = More than \$50,000,000    |                                 |                         |
| 3. Value Method Codes   | Q = Appraisal                    | R = Cost (Real Estate Only) | S = Assessment                 | T = Cash Market                 |                         |
| (See Column C2)         | U = Book Value                   | V = Other                   | W = Estimated                  |                                 |                         |

# FINANCIAL DISCLOSURE REPORT

Page 18 of 19

Name of Person Reporting

Reade, Linda R.

Date of Report

02/22/2018

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Lines 4-9 The dividend amounts are not detailed in the statement I receive

Lines 173, 175 Col B, the income is from crops

Line 179 Col. B the income is CRP

If column D(4) does not have an entry there was no gain upon the sale

Line 183-The only asset of the entity was sold during the reporting period and the entity had no value at the end of the reporting period

# FINANCIAL DISCLOSURE REPORT

Page 19 of 19

Name of Person Reporting

Reade, Linda R.

Date of Report

02/22/2018

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Linda R. Reade**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544