

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Herndon, David R.	2. Court or Organization U.S. District Court Southern District of Illinois	3. Date of Report 05/06/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address United States Courthouse 750 Missouri Avenue East St. Louis, IL		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1, Assets No 22-90
2.	Trustee	Trust #2, Assets No 91-132
3.	Trustee	Trust #3, Assets No 133-175
4.	Trustee	Trust #4, Assets No 176-184
5.	Trustee	Trust #5 Assets No 185-193 Trust #6 Assets No 194-202

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	Byron, Carlson, Petri & Kalk LLC SALARY
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Perrin Conferences	06/11/14	Chicago, IL	Speaker at Educational Seminar	travel reimbursement
2. Mass Torts Made Perfect	09/09/14	Philadelphia, PA	Speaker at Educational Seminar	travel reimbursement
3. Duke Law School	09/11/14-09/12/14	Washington, DC	Speaker at Educational Seminar	hotel & airfare direct billed
4. Univ of Chicago Law School	10/13/14	Chicago, IL	Guest Lecturer	travel reimbursement
5. American Bar Association	11/06/14	Chicago, IL	Speaker at Educational Seminar	travel reimbursement

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6.	American Conference Institute	12/09/14-12/10/14	New York City, NY	Speaker at Educational Seminar	Hotel direct billed. Airfare reimbursed.
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. First Clover Leaf Bank checking acct	B	Interest	L	T					
2. Bank of Edwardsville Checking account	A	Interest	J	T					
3. IRA Account Pershing IRA Account	C	Dividend	M	T					assets listed below
4. - (IRA) First Horizon Natl Corp					Sold	12/23/14	J	A	frac share liquidation
5. - (IRA) Fractional Kinder Morgan Mgmt					Distributed (part)	02/14/14	J		cash in lieu of fractnl sh
6. - (IRA) Fractional Kinder Morgan Mgmt					Distributed (part)	05/15/14	J		cash in lieu of fractnl sh
7. - (IRA) Fractional Kinder Morgan Mgmt					Distributed (part)	06/27/14	J		.828frac share distributed
8. - (IRA) Fractional Kinder Morgan Mgmt					Distributed (part)	11/14/14	J		cash in lieu of fractnl sh
9. - (IRA) Kinder Morgan Mgmt					Distributed	11/27/14	J	A	Partnership terminated
10. - (IRA) Kinder Morgan Inc					Spinoff (from line 9)	11/27/14	J		Re-Org P'ship to stock
11. - (IRA) Nielson Holdings V B Com					Buy (add'l)	06/18/14	K		
12. - (IRA) Ebay Inc Com									
13. - (IRA) Freeport McMoran Inc FCX					Buy	01/03/14	K		
14. - (IRA) Freeport McMoran Inc FCX					Buy (add'l)	07/24/14	J		
15. - (IRA) Kraft Foods Grp					Sold (part)	04/09/14	K	C	
16. - (IRA) Kraft Foods Grp					Sold	04/25/14	K	C	
17. - (IRA) Kraft Foods Grp CALL Option exp 1/18/14					Expired	01/21/14	J	A	call option EXPIRED

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - (IRA) Moody's Corp Com					Buy	10/15/14	J		
19. - (IRA) Omnicom Group Inc									
20. - (IRA) Vanguard Intl Equity Index Fds FTSE Europe ETF									
21. - (IRA) Dreyfus Treasury Prime MM									
22. TRUST #1 Pershing Brokerage Account	G	Int./Div.	P1	T					trust assets listed below
23. - Dreyfus Treasury Prime Inv MM									
24. - Jackson Cnty Mo Spl Oblig Truman Sports									
25. - Deschutes Cnty Ore Sch Dist 5.25%									
26. - State Pub Sch Bldg Auth PA Sch Rev 5.25%									
27. - West Chester PA Area Sch Dist Ser A 5% 3/1/06					Sold	11/21/14	L	B	
28. - Houston Tex Util Sys Rev Rfdg Comb First					Redeemed	04/08/14	M	A	
29. - Connecticut St Ser C 5% 11/1/21 B/E									
30. - Hampton VA Pub Impt-Ser A Fgic-Mbia 5%									
31. - Indiana Univ Revs Student Fee Ser S 5% 2/21/08									
32. - Metropolitan Govt Nashville Davidson 5% 5/15/23 \$20k									
33. - Metropolitan Govt Nashville Davidson 5% 5/15/23 \$80k									
34. - Metropolitan Govt Nashville Davidson 5% 1/01/25 \$50k									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - Chicago ILL Sales Tax Rev Sale 5%									
36. - Virginia St Res Auth Clean Wtr 6/13/04 5%					Redeemed	10/01/14	K	A	
37. - Conroe Tex Indpt Sch Dist RFDG 5% 2/15/24									
38. - Humble Tex Indpt Sch Dist Sch Bldg 5% 2/1/06									
39. - Tennessee St Rfdg-Ser A 4% 08/1/25									
40. - Abilene Tex CTFS Oblig 5% 2/15/26					Buy	11/21/14	L		
41. - Victoria Tex Indpt Sch Dist Sch Bldg 5% 6/15/07									
42. - Washington St for Issues dtd 5% 8/1/26					Buy	10/02/14	L		
43. - Keller Tex Indpt Sch Dist Sch Bldg 5% 8/15/26									
44. - Arlington TEX Indpt Sch Sch Dist 5% 8/15/27					Buy	07/11/14	M		
45. - Missouri St Brd Pub Bldgs Spl Oblg 4.5%									
46. - North Carolina St Pub Impt Ser A 5% 4/14/10									
47. - First Tenn Bk NA Memphis Sub Nt 5.05%									
48. - HCA Inc No 6.375% 01/15/15									
49. - HCA Inc Sr Note 8.0% 10/01/18									
50. - Chesapeake energy Corp Sr Nt 9.5%					Redeemed	06/10/14	K	A	
51. - Southwestern Energy Co Med Term Notes 7.125%									

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52. - Accenture PLC Ireland Shs Cl A ACN									
53. - American Tower REIT com									
54. - Brookfield Infrastructure Partners LP									
55. - Perrigo Co PLC Shs					Buy	09/24/14	J		
56. - Apple Inc									
57. - Bank of America Corp									
58. - Boeing Co Com					Buy	08/20/14	K		
59. - Boeing Co Com					Buy (add'l)	10/15/14	K		
60. - CBS Corp CL B Com					Buy (add'l)	04/08/14	K		
61. - Chesapeake Energy Corp					Sold (part)	03/20/14	J	C	
62. - Chesapeake Energy Corp					Sold	04/03/14	J	C	
63. - Church & Dwight Co Inc					Sold	05/01/14	K	E	
64. - Colgate Palmolive Co									
65. - ConocoPhillips Com					Buy	08/01/14	K		
66. - EMC Corp (Mass) Com									
67. - Energy Transfer Equity L.P.									
68. - Enterprise Products Partners L.P.									

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69. - Groupon Inc Com CL A					Sold	08/06/14	K		loss
70. - Honeywell Intl Inc									
71. - Jarden Corp Com									
72. - Kinder Morgan Energy Partners									
73. - Kinder Morgan Energy Partners					Distributed	12/02/14	K	D	Partnership terminated
74. - Kinder Morgan Inc Del Com					Spinoff (from line 73)	12/02/14	K		Re-Org p'ship to stock
75. - Lowes Companies Inc									
76. - Markwest Energy Partners L.P.									
77. - Moodys Corp Com					Buy	10/01/14	K		
78. - Moodys Corp Com					Buy (add'l)	10/15/14	J		
79. - Newell Rubbermaid Inc Com									
80. - Plains All American Pipeline L.P.									
81. - Thermo Fisher Scientific Inc Com TMO					Buy	01/31/14	K		
82. - Thermo Fisher Scientific Inc Com TMO					Buy (add'l)	04/10/14	J		
83. - Verizon Communications Com									
84. - Williams Partners L.P.									
85. - KKR Finl Hldgs LLC PFD Ser A LLC									

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86. - Starwood Ppty Tr Inc Com					Distributed (part)	02/06/14	K		retained old
87. - Starwood Waypoint Residential Tr Com Shs SWAY					Spinoff (from line 86)	02/06/14	J		new stock spin-off
88. - Starwood Waypoint Residential Tr Com Shs SWAY					Sold	02/07/14	J	A	new sold in same period
89. - Vanguard Intl Equity Index Fds FTSE Europe ETF									
90. - WisdomTree Tr Emerging Mkts Small Cap					Sold (part)	10/15/14	K		loss
91. TRUST #2 Pershing Brokerage Account	C	Int./Div.	M	T					trust assets listed below
92. -Drefus Treasury Prime MM									
93. -Accenture PLC Ireland Sh Cl A									
94. -American Tower REIT com					Sold (part)	06/10/14	J	C	
95. -Brookfield Infrastructure Partners LP									
96. -Perrigo Co PLC shs					Buy	09/24/14	J		
97. -Apple Inc									
98. -Bank of America Corp									
99. -Boeing Co com					Buy	08/20/14	J		
100. -Boeing Co com					Buy (add'l)	10/15/14	J		
101. -CBS Corp CL: B Com					Buy (add'l)	04/08/14	J		
102. -Chesapeake Energy Corp					Sold (part)	03/20/14	J	A	

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103. -Chesapeake Energy Corp					Sold	04/03/14	J	A	
104. -Church & Dwight Co Inc					Sold	05/01/14	J	C	
105. -Colgate Palmolive Co Com									
106. -EMC Corp (Mass) Com					Sold	07/17/14	J	A	
107. -Ebay Inc Com									
108. -Freeport McMoran Inc CL B					Buy (add'l)	07/24/14	J		
109. -Groupon Inc Com CL A					Sold	08/06/14	J		loss
110. -Honeywell Intl Inc									
111. -Jarden Corp Com					Sold (part)	09/25/14	J	B	
112. -Jarden Corp Com					Sold (part)	10/02/14	J	B	
113. -Kinder Morgan Energy Partners LTD partnership									
114. -Kinder Morgan Energy Partners LTD partnership					Distributed	12/02/14	K	D	Partnership Terminated
115. -Kinder Morgan Inc Del com					Spinoff (from line 114)	12/02/14	J		Re-Org P'ship to stock
116. -Kraft Foods Group Inc Com					Sold (part)	04/09/14	J	A	
117. -Kraft Foods Group Inc Com					Sold	04/25/14	J	A	
118. -Lowes Companies Inc									
119. -Moody's Corp com					Buy	10/01/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -Moodys Corp com					Buy (add'l)	10/15/14	J		
121. -Newell Rubbermaid Inc Com					Sold (part)	10/02/14	J	B	
122. -Newell Rubbermaid Inc Com					Sold (part)	10/15/14	J	A	
123. -Nielson Holdings B V Com					Buy (add'l)	06/18/14	J		
124. -Omnicom Group Inc									
125. -Thermo Fisher Scientific Inc com					Buy	01/31/14	J		
126. -Thermo Fisher Scientific Inc com					Buy (add'l)	04/10/14	J		
127. -Verizon Communications Com									
128. -Starwood Ppty Tr Inc com					Distributed (part)	02/06/14	J		retained old
129. -Starwood Waypoint Residential Tr com shs					Spinoff (from line 128)	02/06/14	J		new stock spin-off
130. -Starwood Waypoint Residential Tr com shs					Sold	02/07/14	J		new sold in same period
131. -Vanguard Intl Equity Index Fds FTSE Europe ETF					Sold	07/17/14	J	A	
132. -WisdomTree Tr Emerging Mkts Small Cap					Sold	07/17/14	J		loss
133. TRUST #3 Pershing Brokerage Account	D	Int./Div.	O	T					trust assets listed below
134. -Dreyfus Treasury Prime Money Market									
135. -Accenture PLC Ireland Shs Cl A									
136. -American Tower REIT									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Apple Inc									
138. -Brookfield Infrastructure Partners LP									
139. -Perrigo Co PLC shs					Buy	09/24/14	J		
140. -Bank of America Corp									
141. -Boeing Co com					Buy	08/20/14	J		
142. -Boeing Co com					Buy (add'l)	10/15/14	J		
143. -CBS Corp CL B Com					Buy (add'l)	04/08/14	J		
144. -Chesapeake Energy Corp					Sold (part)	03/20/14	J		loss
145. -Chesapeake Energy Corp					Sold	04/03/14	J	B	
146. -Church & Dwight Co Inc					Sold	05/01/14	J	C	
147. -Colgate Palmolive Co									
148. -ConocoPhillips com					Buy	01/08/14	J		
149. -EMC Corp (Mass) Com									
150. -Ebay Inc Com									
151. -Freeport McMoran Inc CL B					Buy (add'l)	07/24/14	J		
152. -Groupon INC Com CL A					Sold	08/06/14	J		loss
153. -Honeywell Intl Inc									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -ALPS ETF Tr Alerian Mlp Etf									
155. -iShares Tr S&P Midcap 400 Value Index Fd									
156. -Jarden Corp Com									
157. -Kinder Morgan Energy Partners LP									
158. -Kinder Morgan Energy Partners LP					Distributed	12/02/14	K	D	Partnership Terminated
159. -Kinder Morgan Inc Del com					Spinoff (from line 158)	12/02/14	K		Re-Org P'ship to stock
160. -Kraft Foods Group Inc Com					Sold (part)	04/09/14	J	A	
161. -Kraft Foods Group Inc Com					Sold	04/25/14	J	B	
162. -Lowes Companies Inc									
163. -Moody's Corp Inc com					Buy	10/01/14	J		
164. -Moody's Corp Inc com					Buy (add'l)	10/15/14	J		
165. -Nielson Holdings B V Com					Buy (add'l)	06/18/14	J		
166. -Newell Rubbermaid Inc Com									
167. -Omnicom Group Inc									
168. -Thermo Fisher Scientific Inc com					Buy	01/31/14	J		
169. -Thermo Fisher Scientific Inc com					Buy (add'l)	04/10/14	J		
170. -Verizon Communications Com									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -Starwood Pty Tr Inc Com					Distributed (part)	02/06/14	J		retained old
172. -Starwood Waypoint Residential Tr com					Spinoff (from line 171)	02/06/14	J		new stock spin-off
173. -Starwood Waypoint Residential Tr com					Sold	02/06/14	J	A	new sold in same period
174. -Vanguard Intl Equity Index Fds FTSE Europe ETF									
175. -WisdomTreeTr Emerging Mkts Small Cap AVG									
176. TRUST #4 Pershing Brokerage Account	D	Int./Div.	N	T					trust assets listed below
177. -Dreyfus Treasury Prime Inv Sh MM									
178. -ALPS Etf Tr Alerian MLP Etr									
179. -iShares Tr S&P Midcap 400 Value Index									
180. -Powershares QQQ Tr Unit Ser 1									
181. -SPDR S&P Midcap 400 ETF Tr Unit Ser 1									
182. -Vanguard Index Fds S&P 500 ETF VOO									
183. -Vanguard INTL Equity Index Fds FTSE Europe ETF VGK									
184. -WisdomTree Emerging Mkts Small Cap Avg									
185. TRUST #5 Pershing Brokerage Account	C	Int./Div.	M	T					trust assets listed below
186. -Dreyfus Treasury Prime Inv MM									
187. -ALPS Etf Tr Alerian MLP Etf									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -iShares Tr S&P Midcap 400 Value									
189. -Powershares QQQ Tr Unit Ser 1									
190. -SPDR S&P Midcap 400 ETF Tr Unit Ser 1									
191. -Vanguard Intl Equity Index Fds FTSE Europe ETF V GK									
192. -Vanguard Index Fds S&P 500 ETF									
193. -WisdomTree Tr Emerging Mkts Small Cap Avg DGS									
194. Trust #6 Pershing Brokerage Account	B	Int./Div.	L	T					trust assets listed below
195. -Dreyfus Treasury Prime Inv MM									
196. -ALPS Etf Tr Alerian MLP Etf									
197. -iShares Tr S&P MidCap 400 Value									
198. -PowerShares QQQ Tr Unit Ser 1									
199. -SPDR S&P MidCap 400 ETF Tr Unit Ser 1									
200. -Vanguard Intl Equity Index Fds FTSE Europe ETF									
201. -Vanguard Index Fds S&P 500 ETF									
202. -WisdomTree Emerging Mkts Small Cap Avg DGS									
203.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Dreyfus Treasury Prime Inv Money Market Account

IRA account summarized on line 21

Trust #1 on line 23

Trust #2 on line 92

Trust #3 on line 134

Trust #4 on line 177

Trust #5 on line 186

Trust #6 on line 195

Lines 21, 23, 92, 134, 177, 186, 195 Dreyfus Treasury Prime Inv Money Market Account was used as a vehicle to hold cash equivalent assets for purchase of other investments and to place sale proceeds pending other investments. Consequently, money moved in and out of these accounts with great frequency and no attempt was made to distinguish each transaction unless specifically requested.

Lines 5-8 fractional shares of Kinder Morgan Mgmt: In the past, instead of paying cash dividends, Kinder Morgan issued fractional shares of stock. The fractional shares had no monetary value and no market until they accumulated to equal a full share. Since 2013, Kinder Morgan converted fractional shares to cash and paid cash dividends in lieu of fractional shares of stock. On June 27, 2014, Kinder Morgan distributed .828 share that was not converted into cash but actually distributed the fractional share.

Lines 9, 73, 114 and 158: Kinder Morgan Publically Traded Partnership terminated on December 02, 2014 and in its reorganization as a Corporation it issued stock in Kinder Morgan Inc and cash. Ordinary and Capital Gain was reported due to the Reorganization.

All trust assets are accounted for herein. According to your instructions, the aggregate income and values were reported on lines 22, 91, 133, 176, 185, 194.

Identification of the trusts was omitted for security purposes, but will be provided if requested. Naturally, the records of each individual trust are available for the committee's inspection upon request.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ David R. Herndon**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544