

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McEwen, Catherine P.	2. Court or Organization Bankruptcy Court, M. Dist. Fla	3. Date of Report 07/20/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 801 N. Florida Avenue Suite 854 Tampa, Florida 33602-3899		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Past president/sole board member.	Catherine Peek McEwen, P.A. (administratively dissolved)
2. Advisory Board Member	Salesian Youth Center (charitable organization)
3. Board member	Hillsborough Association for Women Lawyers
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. June 2015	Western Michigan University Cooley Law School and I have orally agreed that I will teach one course every other semester, subject to Eleventh Circuit approval.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 9/2014	Western Michigan University Cooley Law School	\$4,125.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	The Florida Bar	1/22-23/2014	Orlando, FL	Business Law Section Mid-Year Meeting	Lodging, ground transportation, meals.
2.	National Conference of Bankruptcy Judges	4/6-8/2014	Kansas City, MO	Mid-Year Meeting	Air fare, lodging, ground transportation, tips, parking, and meals.
3.	The Florida Bar	6/25-27/2014	Orlando, FL	Annual meeting	Air fare, lodging, ground transportation, tips, parking, and meals.
4.	The Florida Bar	8/29-9/1/2014	Naples, FL	Business Law Section retreat	Mileage, lodging, and meals.
5.	National Conference of Bankruptcy Judges	9/16-18/2014	Washington, D.C.	Congressional reception	Air fare, lodging, ground transportation, tips, parking, and meals.

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6.	National Conference of Bankruptcy Judges	10/7-11/2014	Chicago, IL	Annual meeting	Air fare, ground transportation, lodging.
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Tampa Bay Bankruptcy Bar Association	Dues waiver (\$85); regular lunches (8)/holiday party (est.)/pizza luncheons (est.).	\$475.00
2.	American Bankruptcy Institute	Comp'd meals included in waived regis. fees, gift basket (est.) (two seminars)	\$390.00
3.	The Florida Bar	Comp'd meals (est.) waived registration fees (three meetings, one seminar).	\$1,292.00
4.	Richard Harrison	Two tickets to Tampa Bay Rays Summer Social	\$500.00
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Wachovia Bank DDA/CD/Savings/MMA Accounts	A	Interest	K	T					
2. State Farm Bank Account	A	Interest	J	T					
3. State Farm Life Ins. Co. Var. Deferred Annuity (ROTH IRA)	A	Interest	J	T					
4. AXA IRA (H) (5-18 BELOW)									
5. TEMPLETON GLOBAL BOND FUND CL A	A	Dividend			Sold	10/02/14	J	A	
6. OPPENHEIMER INTERNATIONAL DIVERSIFIED CL Y	A	Dividend			Sold	10/02/14	J	A	
7. PIMCO INCOME CL P	A	Dividend			Sold	10/02/14	J	A	
8. FIDELITY ADVISOR LEVERAGED COMPANY STOCK CL A	A	Dividend			Sold	10/02/14	J	B	
9. FRANKLIN RISING DIVIDEND CL A	A	Dividend			Sold	10/02/14	J	A	
10. GABELLI EQUITY INCOME CL AAA	A	Dividend			Sold	10/02/14	J	A	
11. INVESCO GLOBAL REAL ESTATE INCOME CL A	A	Dividend			Sold	10/02/14	J	A	
12. DELAWARE DIVERSIFIED INCOME CL A	A	Dividend			Sold	10/02/14	J	A	
13. OPPENHEIMER INTERNATIONAL DIVERSIFIED FUND CL A	A	Dividend			Sold	10/02/14	J	A	
14. ALLIANCEBERNSTEIN HIGH INCOME FUND CL A	A	Dividend			Sold	10/02/14	J	A	
15. INVESCO SELECT COMPANIES CL A		None			Sold	10/02/14	J	A	
16. INVESCO BALANCED RISK ALLOCATION CL A	A	Dividend			Sold	10/02/14	J	A	
17. FIDELITY ADVISORS GROWTH OPPORTUNITY FUND CL A		None			Sold	10/02/14	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. PUTNAM ABSOLUTE RETURN 700 CL B		None			Sold	11/25/14	K	C	
19. JP MORGAN GOV'T MM FUND	A	Interest			Closed	10/02/14	J	A	
20. SCHWAB IRA (H) (20-46 BELOW)									
21. FCG	A	Dividend	J	T	Buy	10/03/14	J		
22. "	A	Dividend	J	T	Buy (add'l)	12/02/14	J		
23. BOND	A	Dividend	J	T	Buy	10/03/14	J		
24. "	A	Dividend	J	T	Buy (add'l)	12/02/14	J		
25. DWX	A	Dividend	J	T	Buy	10/03/14	J		
26. "	A	Dividend	J	T	Buy (add'l)	12/02/14	J		
27. MOAT	A	Dividend	J	T	Buy	10/03/14	J		
28. "	A	Dividend	J	T	Buy (add'l)	12/02/14	J		
29. STPZ	A	Dividend	J	T	Buy	10/03/14	J		
30. "	A	Dividend	J	T	Buy (add'l)	12/02/14	J		
31. BND	A	Dividend	J	T	Buy	10/03/14	J		
32. "	A	Dividend	J	T	Buy (add'l)	12/02/14	J		
33. VTI	A	Dividend	J	T	Buy	10/03/14	J		
34. "	A	Dividend	J	T	Buy (add'l)	12/02/14	J		

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35. VB	A	Dividend	J	T	Buy	10/03/14	J		
36. "	A	Dividend	J	T	Buy (add'l)	12/02/14	J		
37. VWO	A	Dividend	J	T	Buy	10/03/14	J		
38. "	A	Dividend	J	T	Buy (add'l)	12/02/14	J		
39. VEA	A	Dividend	J	T	Buy	10/03/14	J		
40. "	A	Dividend	J	T	Buy (add'l)	12/02/14	J		
41. DFJ	A	Dividend	J	T	Buy	10/03/14	J		
42. "	A	Dividend	J	T	Buy (add'l)	12/02/14	J		
43. ELD	A	Dividend	J	T	Buy	10/03/14	J		
44. "	A	Dividend	J	T	Buy (add'l)	12/02/14	J		
45. AGZD	A	Dividend	J	T	Buy	10/03/14	J		
46. "	A	Dividend	J	T	Buy (add'l)	12/02/14	J		
47. AMERIPRISE IRA (RIVERSOURCE) (H) (48-56 BELOW)									
48. ALLBERN VPS INTL VAL	A	Dividend	K	T					
49. FID VIP CONTRAFD CL 2	A	Dividend	K	T					
50. FID VIP MID CAP CL 2	A	Dividend	K	T					
51. COL VP DIVND OPP CL 3	A	Dividend	K	T					

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52. COL VP MID CAP VAL CL 3	A	Dividend	K	T					
53. WANGER USA	A	Dividend	K	T					
54. WANGER INTERNATIONAL	A	Dividend	K	T					
55. FT VIP SMALL CAP VALUE	A	Dividend	K	T					
56. OPPENHEIMER GLBL STRATEGIC	A	Dividend	K	T					
57. FLORIDA PREPAID TUITION PLAN FOR [REDACTED]		None			Distributed	09/27/14	J		[REDACTED]

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII.

No. 57: Pursuant to privacy recommendations, I have used the initials of the minors for whom I purchased these plans.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Catherine P. McEwen**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544