

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rovner, Ilana D.	2. Court or Organization 7th Circuit Court of Appeals	3. Date of Report 05/14/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address United States Court of Appeals 219 S. Dearborn Street Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member Emeritus, Board of Trustee	Illinois Institute of Technology
2.	Member, Board of Overseers	IIT-Chicago Kent College of Law
3.	Member, Board of Directors	Rehabilitation Institute of Chicago
4.	Trustee	Trust
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 24

Name of Person Reporting

Rovner, Ilana D.

Date of Report

05/14/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. University of Utah Law School	3/20/2014 - 3/25/2014	Salt Lake City, Utah	Jurist-In-Residence	Travel Expense Reimbursement
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 24

Name of Person Reporting

Rovner, Ilana D.

Date of Report

05/14/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	CitiMortgage	Cosigner on [REDACTED] mortgage	K
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 24

Name of Person Reporting

Rovner, Ilana D.

Date of Report

05/14/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Industrial Development Bank of Israel, Ltd.		None	K	T					
2. IRA Acct #5	C	Distribution	L	T					
3.	B	Int./Div.							
4. -Fidelity Cash Reserves									
5. -Fidelity Government Income									
6. -Fidelity Government Money Mkt									
7. -Fidelity Ginnie Mae									
8. -Fidelity Canada									
9. Trust #1 - Account No. 2	D	Dividend	P1	T					
10. -Sch AMT TF Money Market Fd (SWFXX)					Redeemed (part)	01/07/14	J		
11.					Redeemed (part)	01/24/14	J		
12.					Redeemed (part)	01/27/14	J		
13.					Redeemed (part)	01/31/14	J		
14.					Redeemed (part)	02/10/14	J		
15.					Redeemed (part)	03/07/14	J		
16.					Redeemed (part)	03/07/14	J		
17.					Redeemed (part)	03/19/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 24

Name of Person Reporting

Rovner, Ilana D.

Date of Report

05/14/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Redeemed (part)	03/28/14	J		
19.					Redeemed (part)	03/31/14	J		
20.					Buy (add'l)	04/16/14	M		
21.					Buy (add'l)	04/21/14	L		
22.					Redeemed (part)	04/21/14	K		
23.					Redeemed (part)	05/19/14	J		
24.					Redeemed (part)	06/02/14	J		
25.					Redeemed (part)	06/16/14	J		
26.					Redeemed (part)	06/17/14	J		
27.					Redeemed (part)	06/25/14	J		
28.					Redeemed (part)	06/27/14	J		
29.					Redeemed (part)	07/03/14	J		
30.					Redeemed (part)	07/18/14	J		
31.					Buy (add'l)	09/29/14	J		
32.					Buy (add'l)	10/14/14	K		
33.					Redeemed (part)	12/19/14	J		
34.					Redeemed (part)	12/22/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 24

Name of Person Reporting

Rovner, Ilana D.

Date of Report

05/14/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.					Buy (add'l)	12/29/14	J		
36.									
-Sch AMT Tax-Free Money Mt fd (SWWXX)									
37.					Sold	04/14/14	J		
-AT&T Inc. CS									
38.									
-Archer-Daniels-Midland Co.									
39.					Sold	12/16/14	J		
-Bank of America Corp									
40.					Sold	04/14/14	J		
-Comcast Corp New CL A									
41.					Sold	04/14/14	K	D	
-Grupo Televisa SA De CVF									
42.					Buy (add'l)	12/19/14	J		
-Intel Corp CS									
43.									
-Marriott Intl Inc. Cl A CS									
44.									
-Medtronic, Inc									
45.					Sold	04/14/14	J		
-Schnitzer Stl Inds Cl A									
46.					Sold	04/14/14	J		
-Teck Cominco Ltd Cl B									
47.									
-Wynn Resorts									
48.					Sold	04/14/14	K		
-Fidelity Govt Income Fund									
49.					Sold (part)	04/14/14	J	D	
-Buckeye Partners LP									
50.					Sold	04/14/14	J	B	
-Plum Creek Timber Co.									
51.									
-Citigroup Inc									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 24

Name of Person Reporting

Rovner, Ilana D.

Date of Report

05/14/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. -Wells Fargo & Co. 8.00% Pfd									
53. -T Rowe Price Tax Free Short Term									
54. -Vanguard GNMA Fund									
55. -Wells Fargo Advantage Ult S/T Mun In Inv					Sold	04/14/14	M	A	
56. -Wells Fargo Advantage S/T Muni Bd Inv					Sold (part)	04/14/14	L	B	
57. -Teradata Corp									
58. -NCR									
59. -Clough Global Oppty Fund					Sold	04/14/14	J		
60. -Eaton Vance Enhanced					Sold	04/14/14	J		
61. -Nuveen Core Equity Fund					Sold	04/14/14	J	A	
62. -Pimco Global Stocks Plus					Sold	04/14/14	J		
63. -Travelers Companies Inc					Buy (add'l)	12/19/14	J		
64. -Potash Corp Sask Inc					Sold	04/14/14	J		
65. -Prologis Inc New					Sold	04/14/14	J		
66. -Marriott Vacations									
67. -Boeing Co									
68. -Ford Motor Company					Buy (add'l)	03/07/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 24

Name of Person Reporting

Rovner, Ilana D.

Date of Report

05/14/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. -T J X COS, Inc.					Buy	12/22/14	J		
70. - Toronto Dominion Bank					Buy	03/07/14	J		
71. IRA Acct #8	E	Int./Div.	O	T					
72.	E	Distribution							
73. -Schwab Bank									
74. -Vanguard Energy Fund									
75. -Vanguard GNMA Fund									
76. -Vanguard Short Term Invesmt Grade Fd									
77. -TRowe Price New Era Fd									
78. -Conocophillips					Sold	12/17/14	J	A	
79. -Intl Business Machines (IBM)					Sold	12/17/14	J	B	
80. -Sequoia									
81. -Cerner					Sold (part)	04/14/14	K	E	
82. -Citigroup Inc									
83. -Devon Energy Cp									
84. -Dominion Res Inc va									
85. -Gafisa Adr					Sold	04/14/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 24

Name of Person Reporting

Rovner, Ilana D.

Date of Report

05/14/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. -Kinder Morgan Mgmt LLC									
87. -Pimco Corp Income Fund									
88. -Randgold Res Ltd Adr					Sold	12/17/14	J		
89. -Verizon Communications									
90. -Frontier Communications					Sold	12/17/14	J		
91. -Actavis Plc (formerly Warner Chilcott Plc)									
92. -Harbor Bond Fund Inst C1									
93. -Janus Short Term Bd Fd					Sold	04/14/14	K	A	
94. -Ridgeworth US Gov Sec					Sold	04/14/14	K	A	
95. -TCW Total Return Bond									
96. -Thompson Bond Fund									
97. -Vanguard Short Term Bond									
98. -Annaly Capital Mgmt									
99. -Government Ppty Income Tr					Sold (part)	12/17/14	J	A	
100. -Ishares Iboxx Investment									
101. -Ishares Msci Brazil Indx					Sold	04/14/14	J		
102. -National Retail Pptys					Sold	04/14/14	L	E	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 24

Name of Person Reporting

Rovner, Ilana D.

Date of Report

05/14/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. -Plum Creek Timber Co					Sold	04/14/14	J	A	
104. -Spdr Gold Trust									
105. -Aluminum Corp China ADR					Sold	12/17/14	J		
106. -Bank of America Corp					Sold	04/14/14	J		
107. -Blackrock Enhd Cap & Income									
108. -Eaton Van Tax Adv					Sold	04/14/14	J		
109. -Visa Inc Cl A									
110. -Guggenheim Enhanced Eqty Income Fund									
111. -Potash Corp Sask Inc					Sold	04/14/14	J		
112. -Phillips 66					Sold	04/14/14	J	A	
113. Trust #4	D	Dividend	O	T					
114. -Fidelity Tax-Free Money Market (FTEXX)									
115. -AT&T Inc Com									
116. -Agnico Eagle Mines Com NPV					Sold	12/18/14	J		
117. -Alcoa Inc									
118. -Anadarko Pete Corp									
119. -Apple Inc									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 24

Name of Person Reporting

Rovner, Ilana D.

Date of Report

05/14/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -Brocade Communications Sys									
121. -Enbridge Energy Partners LP									
122. -Govt Pptys Income Tr Com Shs									
123. -Ishares Inc MSCI Canada Index Fd									
124. -ITC Hldgs Corp									
125. -Pimco Mun Income Fd II									
126. -Range Resources Corp									
127. -SPDR Ser Tr Morgan Stanley Tech									
128. -Tata Motors Limited Adr Ea Rep									
129. -Fidelity Canada (FICDX)									
130. -American Century Inter Term Tax Free Bond (TWTIX)									
131. -Baird Intermediate Muni Bond Invs Cl (BMBSX)									
132. -BMO Intermediate Tax Free									
133. -Pimco Total Return Class D (PTTDX)									
134. -T Rowe Price Tax Free Short Inter (PRFSX)									
135. -Thornburg Ltd Term-Municipal Fund Cl A (LTMFX)									
136. -Thornburg Strategic Munipal Income C (TSSCX)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 24

Name of Person Reporting

Rovner, Ilana D.

Date of Report

05/14/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Vanguard GNMA Investor Cl (VFILX)									
138. -Wells Fargo Ultra Shrt Tm Muni Inc-Adv (SMUAX)									
139. -Wells Fargo Short Term Muni Bd-Invest (STSMX)									
140. -Hatteras Finl Corp Com (HTS)					Sold	12/18/14	J		
141. College Fund 529 #1		None	L	T					
142. -Capital World Growth & Income Fund Class 529A									
143. -Fundamental Investors Class 529A									
144. -Growth Fund of America Class 529A									
145. -New World Fund Class 529A									
146. -Washington Mutual Investors Fund Class 529A									
147. College Fund 529 #2		None	M	T					
148. -Capital World Growth & Income Fund Class 529A									
149. -Fundamental Investors Class 529A									
150. -Growth Fund of America Class 529A									
151. -New World Fund Class 529A									
152. -Washington Mutual Investors Fund Class 529A									
153. Trust #5	E	Int./Div.	O	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 24

Name of Person Reporting

Rovner, Ilana D.

Date of Report

05/14/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -Bank USA Dep Acct									
155. -American Funds New World Fund Cl A					Sold (part)	04/17/14	J	B	
156. -American Funds Amcap Fund Cl A									
157. -American Funds Fundamental Investors Fund Cl A									
158. -American Funds Growth Fund of America Class A									
159. -American Funds Washington Mutual Investors Fund Cl A									
160. -First Eagle Fund of America Fund Class C									
161. -First Eagle Overseas Fund Class C					Sold (part)	10/01/14	J	A	
162. -SPDR Gold Trust									
163. -American Funds American Balanced Fund Cl A									
164. -American Funds Income Fund of America Cl A									
165. -First Eagle Global Fund Class C									
166. Trust #6	E	Dividend	P1	T					
167. -RMA Tax-Free Fund									
168. -iShares Russell 2000 EFT									
169. -iShares Russell Midcap EFT									
170. -American Funds New World Fund Cl A									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 24

Name of Person Reporting

Rovner, Ilana D.

Date of Report

05/14/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -American Funds Amcap Fund Cl A \									
172. -American Funds Fundamental Investors Fund Cl A									
173. -American Funds Growth Fund of America Class A									
174. -First Eagle Fund of America Fund Class C									
175. -First Eagle Overseas Fund Class C									
176. -First Eagle Gold Fund Class C									
177. -American Funds American High Income Muni Bond Fund Cl A									
178. -American Funds Intermediate Bond Fund of America Cl A									
179. -Nuveen Diversified Commodity Fund									
180. -Spdr Gold Trust									
181. -American Funds American Balanced Fund Cl A									
182. -American Funds Income Fund of America Cl A									
183. -First Eagle Global Fund Class C									
184. IRA #6	F	Int./Div.	P1	T					
185. -Bank USA Dep Acct									
186. -American Funds New World Fund Cl A									
187. -American Funds Amcap Fund Cl A									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 24

Name of Person Reporting

Rovner, Ilana D.

Date of Report

05/14/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -American Funds American Mutual Fund Cl A									
189. -American Funds Fundamental Investors Fund Cl A									
190. -American Funds Growth Fund of America Class A									
191. -American Funds New Perspective Fund Cl A									
192. -American Funds Washington Mutual Investors Fund Cl A									
193. -First Eagle Fund of America Fund Class C									
194. -First Eagle Overseas Fund Class C									
195. -First Eagle Gold Fund Class C									
196. -Nuveen Diversified Commodity Fund									
197. -Spdr Gold Trust									
198. -First Eagle Global Fund Class C									
199. IRA #7	F	Int./Div.	P1	T					
200. -Bank USA Dep Acct									
201. -American Funds New World Fund Cl A									
202. -American Funds Amcap Fund Cl A									
203. -American Funds American Mutual Fund Cl A									
204. -American Funds Fundamental Investors Fund Cl A									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 24

Name of Person Reporting

Rovner, Ilana D.

Date of Report

05/14/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -American Funds Growth Fund of America Class A									
206. -American Funds New Perspective Fund CI A									
207. -American Funds Washington Mutual Investors Fund CI A									
208. -First Eagle Fund of America Fund Class C									
209. -First Eagle Overseas Fund Class C									
210. -First Eagle Gold Fund Class C									
211. -Nuveen Diversified Commodity Fund									
212. -SPDR Gold Trust									
213. -First Eagle Gobal Fund Class C									
214. IRA #4	D	Int/Div.	O	T					
215. -Bank USA Dep Acct									
216. -Guggenheim S &P 500 Equal Weigt EFT									
217. -Ishares Russell 2000 EFT									
218. -Ishares MSCI Emerging Markets EFT									
219. -Spdr S&P Dividend EFT									
220. -Wisdomtree Emerging Markets									
221. -Fidelity Advisor New Insights Fund Class A									

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 17 of 24

Name of Person Reporting

Rovner, Ilana D.

Date of Report

05/14/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. -First Eagle Fund of America Fund Class A									
223. -First Eagle Overseas Fund Class A									
224. -Ivy Mid Cap Growth Fund Class A					Buy (add'l)	02/12/14	J		
225.					Sold	09/16/14	L	D	
226. -Neuberger Berman Genesis Fund Investor Class					Buy (add'l)	02/10/14	J		
227.					Sold	09/16/14	L	D	
228. -Perkins Mid Cap Value Fund A					Sold	09/16/14	K	A	
229. -Teton Westwood Mighty Mites Fund Class AAA									
230. -Ivy Asset Strategy Fund Class A)					Sold	09/16/14	K	D	
231. -Invesco Equally-Weighted S&P 500 Fund					Buy	09/17/14	K		
232. -Vanguard Index Funds Vanguard Totl Stk					Buy	09/17/14	K		
233. -Dodge & Cox Stock Fund					Buy	09/17/14	K		
234. -Powershares FTSE RAFI					Buy	09/19/14	K		
235. IRA #9	G	Int./Div.	P1	T					
236. -Bank USA Dep Acct									
237. -Ishares Russell 1000 EFT									
238. -Ishares Russell 2000 EFT									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 18 of 24

Name of Person Reporting

Rovner, Ilana D.

Date of Report

05/14/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. -Ishares Russell Midcap EFT									
240. -Wisdomtree Emerging Markets									
241. -American Funds Amcap Fund Cl A									
242. -American Funds Smallcap World Fund Cl A									
243. -American Funds American Mutual Fund Cl A									
244. -American Funds Fundamental Investors Fund Cl A									
245. -American Funds Growth Fund of America Class A									
246. -American Funds New Perspective Fund Cl A									
247. -American Funds Washington Mutual Investor Fund									
248. -Investors Fund Cl A									
249. Brokerage #1 (H)									
250. -Admiral Trsy Money Mkt \		None	J	T					
251. -Tax-Exempt Money Mkt		None	J	T					
252. IRA #10	B	Dividend	L	T					
253. -Total Stock Mkt Idx Adm									
254. Brokerage #2 (H)									
255. -Admiral Trsy Money Mkt			J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000	R = Cost (Real Estate Only)	P4 = More than \$50,000,000	S = Assessment	T = Cash Market
(See Column C2)	Q = Appraisal	V = Other	W = Estimated		
	U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 19 of 24

Name of Person Reporting

Rovner, Ilana D.

Date of Report

05/14/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. -Federal Money Mkt Fund	A	Dividend	J	T					
257. -Tax-Exempt Money Mkt			J	T					
258. IRA #11	E	Dividend	P1	T					
259.	F	Distribution							
260. -Admiral Trsy Money Mkt									
261. -Dividend Growth Fund									
262. -GNMA Fund Admiral Shares									
263. -Inflation-Protect Sec Adm									
264. -Precious Metals & Mining									
265. -Prime Money Mkt Fund					Distributed (part)	10/08/14	J		To Prime PRIMECAP Core Fd
266.					Distributed (part)	10/08/14	J		To Prime PRIMECAP Fd Inv.
267. -Selected Value Fund									
268. -Short-Term Invest Gr Adm									
269. -STerm Inf Pro Sec Idx Adm									
270. -Wellesley Income Fund Adm									
271. -Windsor II Fund Adm									
272. -Vanguard Dividend Appreciation ETF									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 20 of 24

Name of Person Reporting

Rovner, Ilana D.

Date of Report

05/14/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. -Vanguard High Dividend Yield ETF									
274. -PRIMECAP Core Fund					Open	10/08/14	J		From Prime Money Mkt Fund
275. -PRIMECAP Fund Investor					Open	10/08/14	J		From Prime Money Mkt Fund
276. Brokerage #3 (H)									
277. -United Finl Bancorp Inc Md Com	A	Dividend	J	T					
278. -MFS Utilities Class A	A	Dividend	K	T					
279. -Fidelity Mass Muni Money Market	A	Dividend	J	T					
280. IRA #12	D	Int./Div.	N	T					
281. -Vanguard Appreciation Index Fd Viper SHS									
282. - Vanguard Consumer Staples Vipers									
283. -Vanguard Utils Vipers									
284. -Oppenheimers Steel Path MLP Income Cl Y									
285. -Fidelity Government Money Market									
286. IRA #13	E	Int./Div.	P1	T					
287.	G	Distribution							
288. -Berkshire Hathaway Inc Del Cl B									
289. -BHP Billiton Limited ADR Each Rep 2 Ord									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 21 of 24

Name of Person Reporting

Rovner, Ilana D.

Date of Report

05/14/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. -Blackrock Real Asset Equity Tr Com					Closed	12/09/14	K		Merged to Blackrock Res
291. -Blackrock Res & Comm Strat Tr					Open	12/09/14	K		Merged from Blackrock Real
292. -Currency Shares Swiss Franc Tr Swiss					Sold	01/16/14	K	C	
293. -CEF DWS RREEF Real Estate Fund II									
294. -iShares Silver Tr iShares									
295. -iShares MSCI Canada ETF									
296. -iShares Russell Midcap									
297. -iShares Core S&P Small Cap EFT									
298. -iShares Global Materials ETF									
299. -Powershares QQQ Tr Unit Ser									
300. -Procter & Gamble Co									
301. -SPDR Gold Tr Gold SHS									
302. -Vanguard Intl Equity Index Fds Ftse Emerging Mkts ETF					Sold	01/16/14	K		
303. -Western Union Co Com					Sold	01/16/14	K	E	
304. -Direxion/Wilshire Dynamic Class A					Sold	01/27/14	K	D	
305. -Sprtn Total Mkt Indx Fid Advantage Class									
306. -Fidelity Contrafund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 22 of 24

Name of Person Reporting

Rovner, Ilana D.

Date of Report

05/14/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. -Fidelity Low Priced Stock									
308. -Manning & Napier World Oppt Ser Cl A									
309. -American Century Int'l Bond Inv Cl					Sold	01/14/14	K		
310. -Federated Prudent Dollar Bear Cl A					Sold	01/16/14	K	D	
311. -Fidelity Floating Rate High Income									
312. -Fidelity Government Income									
313. -Permanent Portfolio (PRPFX)					Sold	01/27/14	K	D	
314. -Pimco All Asset All Authority Cl D									
315. -Pimco All Asset Fund Class D									
316. -Fidelity Treasury Only Money Market Fd									
317. -Pimco Commodity Real Return Cl D					Sold	01/14/14	L	E	
318. -Fidelity Cash Reserves									
319. Bank Account #1		None	J	T					
320. President & Fellows of Harvard - Trusts & Gifts Department	B	Distribution	J	T					
321.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 23 of 24

Name of Person Reporting

Rovner, Ilana D.

Date of Report

05/14/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Please be advised that the following reimbursements were inadvertently omitted for the following report periods:

2013

Cordoba Law School - 01/02/2013 - 01/04/2013 - New York, NY - Trial Advocacy Program - Transportation

New York University - 02/22/2013 - 02/25/2013 - New York, NY - Moot Court Competition - Transportation

Louis B. Brandeis Law Society Foundation - 06/01/2013 - 06/04/2013 - Philadelphia, PA - Speaker, Jewish Law Day - Transportation

Harvard University - 10/22/2013 - 10/24/2013 - Cambridge, MA - Moot Court Competition - Transportation, Meals

2012

Federal Judicial Center - 03/07/2012 - 03/09/2012 - New York, NY - Employment Law Seminar - Transportation, Meals, Hotel

2011

University of Latvia Law School - 10/13/2011 - 10/28/2011 - Riga, Latvia - Seminar - Transportation, Meals, Hotel

Cardoza Law School - 11/05/2011 - 11/11/2011 - New York, NY - Moot Court Competition - Transportation, Hotel

2010

Virginia Holocaust Museum - 04/29/2010 - 05/09/2010 - Richmond, VA - Speaker, Rule of Law Award - Transportation

Touro Law School - 10/05/2010 - 10/12/2010 - Central Islip, NY - Moot Court Competition - Transportation, Hotel

Aspen Institute - 11/04/2010 - 11/10/2010 - Washington, DC - International Human Rights Seminar - Transportation, Meals, Hotel

The following contribution in lieu of Honorarium was omitted from the 2013 report:

06/04/2013 - Payment of \$2,000 Honorarium from Louis B. Brandeis Law Society Foundation back to Brandeis Society Foundation

FINANCIAL DISCLOSURE REPORT

Page 24 of 24

Name of Person Reporting

Rovner, Ilana D.

Date of Report

05/14/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Ilana D. Rovner

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544