

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Neff, Janet T.	2. Court or Organization US District Court Western District of Michigan	3. Date of Report 05/03/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge, Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 110 Michigan Street NW Suite 402 Grand Rapids, Michigan 49503		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1989	Michigan Judges Retirement System - Pension upon Retirement from Michigan Court of Appeals
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	State of Michigan Pension	\$90,864.60
2. 2016	JP Morgan Clearing Corp Retirement	\$26,893.32
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	State of Michigan Pension
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Chase Bank	A	Int./Div.	K	T					
2.									
3.									
4. -JP Morgan TR II - QWERQ	A	Dividend			Sold	12/31/16	J		
5. -Abbott Laboratories - ABT	A	Dividend	J	T	Sold (part)	12/31/16	J		
6. -Accenture PLC Ireland - ACN	A	Dividend	J	T	Buy (add'l)	12/31/16	J		
7. -ACE Limited - ACE	A	Dividend			Sold	12/31/16	J		
8. -Adobe Systems Inc - ADBE	A	Dividend	J	T	Sold (part)	12/31/16	J		
9. -AETNA Inc New- AET	A	Dividend	J	T	Sold (part)	12/31/16	J		
10. -Alexion Pharmaceutical - ALXN	A	Dividend	J	T	Buy (add'l)	12/31/16	J		
11. -Allegion Public Limited - ALLE	A	Dividend	J	T	Buy (add'l)	12/31/16	J		
12. -Allergan PLC - AGN	A	Dividend	J	T	Sold (part)	12/31/16	J		
13. -Alliance Data System Corp - ADS	A	Dividend			Sold	12/31/16	J		
14. -Alphabet Inc - GOOGL	A	Dividend	J	T	Buy (add'l)	12/31/16	J		
15. -Alphabet Inc - GOOG	B	Dividend	J	T	Sold (part)	12/31/16	J		
16. -Amazon.com Inc - AMZN	B	Dividend	J	T					
17. -Anadarko Petroleum Corp -APC	A	Dividend	J	T	Sold (part)	12/31/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -Apple Inc - AAPL	A	Dividend	J	T	Sold (part)	12/31/16	J		
19. -Aston/Fairpointe Mid Cap - ABMIX	A	Dividend			Sold	12/31/16	J		
20. -AT&T Inc - T	A	Dividend	J	T	Sold (part)	12/31/16	J		
21. -Avago Technologies LTD - AVGO	B	Dividend	J	T	Sold (part)	12/31/16	J		
22. -Bank of America Corp - BAC	A	Dividend	J	T	Sold (part)	12/31/16	J		
23. -Biogen Inc - BIIB	A	Dividend	J	T	Sold (part)	12/31/16	J		
24. -Blackrock FDS - BRMIX	A	Dividend			Sold	12/31/16	J		
25. -Blackrock INC - BLK	A	Dividend	J	T	Sold (part)	12/31/16	J		
26. -Blackrock International - MAIIX	A	Dividend			Sold	12/31/16	J		
27. -Bristol Myers SQUIBB Co - BMY	A	Dividend	J	T	Sold (part)	12/31/16	J		
28. -Broadcom Corp - BRCM	A	Dividend			Sold	12/31/16	J		
29. -Brown Advisory FDS - BAFHX	A	Dividend	K	T	Buy (add'l)	12/31/16	K		
30. -Carnival Corp - CCL	A	Dividend	J	T	Sold (part)	12/31/16	J		
31. -CBS Corp - CBS	A	Dividend	J	T	Sold (part)	12/31/16	J		
32. -Celgene Corp - CELG	A	Dividend	J	T	Sold (part)	12/31/16	J		
33. -Charles Schwab Corp - SCHW	A	Dividend	J	T	Sold (part)	12/31/16	J		
34. -Chevron Corporation - CVX	A	Dividend			Sold	12/31/16	J		

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35. -Citigroup Inc - C	A	Dividend	J	T	Sold (part)	12/31/16	J		
36. -CMS Energy Corp - CMS	A	Dividend	J	T	Sold (part)	12/31/16	J		
37. -Coca Cola Company - KO	A	Dividend			Sold	12/31/16	J		
38. -Cognizant Technology - CTSI	A	Dividend			Sold	12/31/16	J		
39. -Colgate Palmolive Company - CL	A	Dividend			Sold	12/31/16	J		
40. -Columbia Pipeline Group - CPGX	A	Dividend			Sold	12/31/16	J		
41. -Comcast Corp - CMCSA	A	Dividend	J	T	Sold (part)	12/31/16	J		
42. -Costco Wholesale Corp - COST	A	Dividend	J	T	Sold (part)	12/31/16	J		
43. -P Crown Holdings Inc - CCK	A	Dividend			Sold	12/31/16	J		
44. -CSX Corporation - CSX	A	Dividend			Sold	12/31/16	J		
45. -Deutsche X Tracker MSCI - DBEF	A	Dividend			Sold	12/31/16	J		
46. -Discover Financial - DFS	A	Dividend	J	T	Sold (part)	12/31/16	J		
47. -Dish Network Corp - DISH	A	Dividend	J	T					
48. -Dodge & Cox Funds - DODFX	A	Dividend	J	T	Sold (part)	12/31/16	J		
49. -Dow Chemical Company - DOW	A	Dividend	J	T	Sold (part)	12/31/16	J		
50. -E I DU Pont DE Nemours - DD	A	Dividend			Sold	12/31/16	J		
51. -ELI Lilly & Co - LLY	A	Dividend	J	T	Buy (add'l)	12/31/16	J		

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52. -EQT Corporation - EQT	A	Dividend	J	T	Buy (add'l)	12/31/16	J		
53. -Facebook Inc - FB	A	Dividend	J	T	Sold (part)	12/31/16	J		
54. -Fidelity National - FIS	A	Dividend	J	T	Sold (part)	12/31/16	J		
55. -General Electric Company - GE	A	Dividend			Sold	12/31/16	J		
56. -General Motors Company - GM	A	Dividend	J	T	Sold (part)	12/31/16	J		
57. -Gilead Sciences Inc - GILD	A	Dividend	J	T	Sold (part)	12/31/16	J		
58. -Harman International -HAR	A	Dividend			Sold	12/31/16	J		
59. -Hartford Financial - HIG	A	Dividend	J	T	Buy (add'l)	12/31/16	J		
60. -Hess Corporation - HES	A	Dividend			Sold	12/31/16	J		
61. -Home Depot Inc - HD	A	Dividend	J	T	Sold (part)	12/31/16	J		
62. -Honeywell Intl Inc - HON	A	Dividend	J	T	Sold (part)	12/31/16	J		
63. -HP Inc - HPQ	A	Dividend			Sold	12/31/16	J		
64. -Humana Inc - HUM	A	Dividend	J	T	Sold (part)	12/31/16	J		
65. -Illumina Inc - ILMN	A	Dividend	J	T					
66. -JP Morgan Value - JVAIX	A	Dividend			Sold	12/31/16	J		
67. -JP Morgan TR I - VSNGX	A	Dividend			Sold	12/31/16	J		
68. -L-3 Communications - LLL	A	Dividend			Sold	12/31/16	J		

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69. -Lam Research Corp - LRCX	A	Dividend	J	T	Sold (part)	12/31/16	J		
70. -Lennox Intl Inc - LII	A	Dividend	J	T	Sold (part)	12/31/16	J		
71. -Lowes Companies Inc - LOW	A	Dividend	J	T	Sold (part)	12/31/16	J		
72. -Marsh & McLennan -MMC	A	Dividend	J	T	Sold (part)	12/31/16	J		
73. -Martin Marietta - MLM	A	Dividend	J	T	Sold (part)	12/31/16	J		
74. -Masco Corp - MAS	A	Dividend	J	T	Sold (part)	12/31/16	J		
75. -Mastercard Incorporated - MA	A	Dividend	J	T	Sold (part)	12/31/16	J		
76. -MCKesson Corp - MCK	A	Dividend			Sold	12/31/16	J		
77. -Metlife Inc - MET	A	Dividend	J	T	Sold (part)	12/31/16	J		
78. -Microsoft Corp - MSFT	B	Dividend	J	T	Sold (part)	12/31/16	J		
79. -Molson Coors Brewing Co - TAP	A	Dividend	J	T	Sold (part)	12/31/16	J		
80. -Mondelez International - MDLZ	A	Dividend	J	T	Buy (add'l)	12/31/16	J		
81. -Morgan Stanley - MS	A	Dividend	J	T	Sold (part)	12/31/16	J		
82. -Nisource Inc - NI	A	Dividend	J	T	Sold (part)	12/31/16	J		
83. -NXP Semiconductors NV - NXPI	A	Dividend			Sold	12/31/16	J		
84. -Occidental Pete Corp - OXY	A	Dividend	J	T	Sold (part)	12/31/16	J		
85. -Paccar Inc - PCAR	A	Dividend			Sold	12/31/16	J		

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86. -Pepsico Inc - PEP	A	Dividend	J	T	Buy (add'l)	12/31/16	J		
87. -Pfizer Inc - PFE	A	Dividend	J	T	Buy (add'l)	12/31/16	J		
88. -Pioneer Natural Resources - PXD	A	Dividend	J	T	Buy (add'l)	12/31/16	J		
89. -Procter & Gamble Co - PG	A	Dividend	J	T	Sold (part)	12/31/16	J		
90. -Schlumberger LTD - SLB	A	Dividend	J	T	Sold (part)	12/31/16	J		
91. -SPDR S&P 500 ETF Trust - SPY	A	Dividend	L	T	Buy (add'l)	12/31/16	L		
92. -Stanley Black & Decker - SWK	A	Dividend	J	T	Buy (add'l)	12/31/16	J		
93. -SVB Financial Group - SIVB	A	Dividend	J	T	Sold (part)	12/31/16	J		
94. -Synchrony Financial - SYF	D	Dividend			Sold	12/31/16	J		
95. -TE Connectivity LTD - TEL	A	Dividend			Sold	12/31/16	J		
96. -Time Warner Inc - TWX	A	Dividend	J	T	Sold (part)	12/31/16	J		
97. -TJX Companies Inc New - TJX	A	Dividend	J	T	Buy (add'l)	12/31/16	J		
98. -Twenty First Century Fox - FOXA	A	Dividend	J	T	Sold (part)	12/31/16	J		
99. -Union Pacific Corp - UNP	A	Dividend	J	T	Sold (part)	12/31/16	J		
100. -United Continental Hldgs - UAL	A	Dividend	J	T	Sold (part)	12/31/16	J		
101. -United Technologies Corp - UTX	A	Dividend			Sold	12/31/16	J		
102. -United Health Group Inc - UNH	B	Dividend	J	T	Buy (add'l)	12/31/16	J		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. -Valero Energy Corp New - VLO	A	Dividend			Sold	12/31/16	J		
104. -Vertex Pharmaceuticals -VRTX	A	Dividend	J	T	Sold (part)	12/31/16	J		
105. -Visa Inc - V	A	Dividend	J	T	Sold (part)	12/31/16	J		
106. -Wabco Holdings Inc - WBC	A	Dividend			Sold	12/31/16	J		
107. -Walt Disney Co - DIS	A	Dividend	J	T	Buy (add'l)	12/31/16	J		
108. -Wells Fargo - SGRNX	A	Dividend			Sold	12/31/16	J		
109. -Wells Fargo & Co - WFC	A	Dividend	J	T	Sold (part)	12/31/16	J		
110. -Wisdomtree Japan Hedged - DXJ	A	Dividend			Sold	12/31/16	J		
111.									
112. -Blackrock FDS - BHYIX	A	Dividend	J	T	Sold (part)	12/31/16	J		
113. -Dodge & Cox Income Fund - DODIX	A	Dividend	K	T	Sold (part)	12/31/16	J		
114. -Federated Institutional - FIHBX	A	Dividend	J	T	Sold (part)	12/31/16	J		
115. -JP Morgan Short Duration - HLLVX	A	Dividend			Sold	12/31/16	J		
116. -JP Morgan TR II - WOBXX	A	Dividend	J	T	Sold (part)	12/31/16	J		
117. -JP Morgan TR II - OHYFX	A	Dividend	J	T	Sold (part)	12/31/16	J		
118. -Pimco Short Term Fund - PTSHX	A	Dividend			Sold	12/31/16	K		
119. -T Rowe Price New Income - PRCIX	A	Dividend	K	T	Buy (add'l)	12/31/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -Vanguard Short Term Bond - VBIRX	A	Dividend	K	T	Sold (part)	12/31/16	J		
121.									
122. -JPMorgan US Government - QVESQ	A	Dividend	K	T	Buy	12/31/16	J		
123. -O Reilly Automotive Inc - ORLY	A	Dividend	J	T	Buy	12/31/16	J		
124. -Pimco total Return Fund - PTTRX	A	Dividend	J	T	Buy	12/31/16	J		
125. -Agilent Technologies Inc - A	A	Dividend	J	T	Buy	12/31/16	J		
126. -Analog Devices Inc - ADI	A	Dividend	J	T	Buy	12/31/16	J		
127. -American International - AIG	A	Dividend	J	T	Buy	12/31/16	J		
128. -Amg Tr IV - APCTX	A	Dividend	K	T	Buy	12/31/16	K		
129. -Blackrock FDS - BRMKX	A	Dividend	K	T	Buy	12/31/16	K		
130. -Boston Scientific Corp - BSX	A	Dividend	J	T	Buy	12/31/16	J		
131. -Blackrock Index FDS Inc -BTMKX	A	Dividend	L	T	Buy	12/31/16	L		
132. -Bank New York Mellon Corp - BK	A	Dividend	J	T	Buy	12/31/16	J		
133. -Charter Communications -CHTR	A	Dividend	J	T	Buy	12/31/16	J		
134. -Causeway Cap Mgmt Tr - CIVIX	A	Dividend	K	T	Buy	12/31/16	K		
135. - Concho Resources Inc - CXO	A	Dividend	J	T	Buy	12/31/16	J		
136. - Eastman Chemical Co - EMN	A	Dividend	J	T	Buy	12/31/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -EOG Res Inc - EOG	A	Dividend	J	T	Buy	12/31/16	J		
138. -Ishares Inc - EWJ	A	Dividend	J	T	Buy	12/31/16	J		
139. -General Dynamics Corp -GD	A	Dividend	J	T	Buy	12/31/16	J		
140. -Goldman Sachs Group Inc - GS	A	Dividend	J	T	Buy	12/31/16	J		
141. -Johnson Controls - JCI	A	Dividend	J	T	Buy	12/31/16	J		
142. -John Hancock Income Fund - JSTIX	A	Dividend	K	T	Buy	12/31/16	J		
143. -Keycorp New - KEY	A	Dividend	J	T	Buy	12/31/16	J		
144. -Kraft Heinz Company - KHC	A	Dividend	J	T	Buy	12/31/16	J		
145. -Lord Abbett Short - LLDYX	A	Dividend	K	T	Buy	12/31/16	J		
146. -Merck & Co Inc - MRK	A	Dividend	J	T	Buy	12/31/16	J		
147. -Nextera Energy Inc - NEE	A	Dividend	J	T	Buy	12/31/16	J		
148. -Northrop Grumman Corp - NOC	A	Dividend	J	T	Buy	12/31/16	J		
149. -Pimco FDS Pac Inv Mtgmt - PIGIX	A	Dividend	J	T	Buy	12/31/16	J		
150. -PPG Industries Inc - PPG	A	Dividend	J	T	Buy	12/31/16	J		
151. -T Rowe Price Intl Funds - PREMXX	A	Dividend	J	T	Buy	12/31/16	J		
152. -T Mobile US Inc - TMUS	A	Dividend	J	T	Buy	12/31/16	J		
153. -Transanada Corporation - TRP	A	Dividend	J	T	Buy	12/31/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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Name of Person Reporting

Neff, Janet T.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -Time Warner Inc - TXN	A	Dividend	J	T	Buy	12/31/16	J		
155. -Vanguard Bond Index FD- VBTIX	A	Dividend	K	T	Buy	12/31/16	J		
156. -Vanguard Index Tr - VFIAX	A	Dividend	J	T	Buy	12/31/16	J		
157. -Vanguard Fixed Incom - VFIDX	A	Dividend	J	T	Buy	12/31/16	J		
158. -Vanguard Charlotte Fd -VTABX	A	Dividend	K	T	Buy	12/31/16	J		
159. -Waste Connections Inc - WCN	A	Dividend	J	T	Buy	12/31/16	J		
160. -Workday Inc - WDAY	A	Dividend	J	T	Buy	12/31/16	J		
161. -Wex Inc - WEX	A	Dividend	J	T	Buy	12/31/16	J		
162. -Yum Brands Inc - YUM	A	Dividend	J	T	Buy	12/31/16	J		
163. -General Electric Company - GE	A	Dividend	J	T	Buy	12/31/16	J		
164.									
165.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

Neff, Janet T.

Date of Report

05/03/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Janet T. Neff**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544