

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Griffith, Thomas B.	2. Court or Organization US Court of Appeals-DC Circuit	3. Date of Report 5/15/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 333 Constitution Avenue, NW Suite 3917 Washington, DC 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member, Executive Board	American Bar Association/Rule of Law Initiative
2. Member, Board of Directors	Friends of the CEELI Institute
3. Member, Judges' Section	J. Reuben Clark Law Society
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2000	Deseret Mutual Benefit Association (DMBA) Investment Fund (retirement plan)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	Brigham Young University - Adjunct Professor salary	\$26,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	Self-employed genealogist
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Federalist Society	2/8/2011-2/9/2011	Harvard Law School Cambridge, MA	Speak to Student Chapter	Airfare, lodging, meals, taxi
2. Federalist Society / J. Reuben Clark Law Society	3/22/2011 - 3/23/2011	Univ. of Virginia Law School Charlottesville, VA	Speak to Student Chapters	Mileage, lodging, meals
3. NYU Moot Court	4/12/2011 - 4/13/2011	NYU Law School New York City, NY	Judge final round of NYU Moot Court Competition	Taxi, meals
4. Federalist Society	4/13/2011 - 4/14/2011	Yale Law School New Haven, CT	Speak to Student Chapter	Train fare, lodging, taxi, meals
5. Meeting of D.C. Circuit Judicial Conference	6/27/2011 - 6/29/2011	Hyatt Regency Chesapeake Bay Resort, Spa and Marina Cambridge, MD	Attend Circuit Judicial Conference	Lodging, meals, mileage

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6.	Cincinnati Young Single Adult Conference	8/20/2011 - 8/21/2011	Cincinnati, OH	Speak at LDS Young Single Adult Conference	Airfare, meals
7.	BYU Management Society	10/28/2011 - 10/29/2011	Oklahoma City, OK	Speak to BYU Management Society	Airfare, hotel, meals, shuttle, taxi
8.	Houston Young Single Adult Conference	10/29/2011 - 10/30/2011	Houston, TX	Speak to LDS YSA Regional Conference	Airfare, lodging, meals, shuttle, bag check fee
9.	Federalist Society / J. Reuben Clark Law Society	11/9/2011 - 11/10/2011	William & Mary School of Law Williamsburg, VA	Speak to Student Chapters	Mileage, meals
10.					
11.					
12.					
13.					
14.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Capital One	Finance Plan	K
2.	Wells Fargo	Credit Card	J
3.	Discover	Finance Plan	J
4.	American Express	Credit Card	J
5.	Chase	Finance Plan	J
6.	Dell	Finance Plan	J
7.	C & D Construction	Unsecured Construction Loan	K
8.			
9.			
10.			
11.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

1.	Wells Fargo Bank Acct	A	Interest	J	T				
2.	New York Life Whole Life Policy	A	Interest	J	T				
3.	Nuveen Multi-Manager Large-Cap Value A	A	Dividend	J	T				
4.	Nuveen Multi-Manager Large-Cap Value A	A	Dividend	J	T				
5.	DMBA Investment Fund	A	Dividend	J	T				
6.									
7.									
8.									
9.									
10.									
11.									
12.									
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Thomas B. Griffith**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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