

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) RICE, WALTER H.	2. Court or Organization U. S. DISTRICT COURT, SD OHIO	3. Date of Report 09/30/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) SENIOR UNITED STATES DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address UNITED STATES DISTRICT COURT 200 WEST SECOND ST., ROOM 909 DAYTON, OH 45402		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. SEE PART VIII, PAGE 14	See Part VIII
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 15

Name of Person Reporting

RICE, WALTER H.

Date of Report

09//2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME*(yours, not spouse's)*

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2012

CITY OF VANDALIA - (salary as Magistrate/Referee)

2. 2012

Ohio Public Employees Retirement System; monthly pension checks

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 15

Name of Person Reporting

RICE, WALTER H.

Date of Report

09/30/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Allen & Stephanie Levin	Stay with friends at their condo in Florida	\$1,000.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 15

Name of Person Reporting

RICE, WALTER H.

Date of Report

09/30/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Fifth-Third Bank, Dayton, OH fka PNC Bank (savings account)	A	Interest	L	T					
2. Society National Bank, nka KeyBank, Dayton - checking acct)	A	Interest	J	T					
3. Allergen - common stock	A	Dividend	J	T					
4. Beckman Instrument - common stock	A	Dividend	J	T					
5. Fifth-Third Bank, fka PNC Bank, Dayton (checking acct)	A	Interest	J	T					
6. International Paper	A	Dividend	K	T					
7. Fifth-Third Bank, Dayton (interest bearing checking account)	A	Interest	K	T					
8. Fifth-Third Bank, Dayton (interest bearing savings account)	A	Interest	L	T					
9. Bank of New York/Mellon	C	Interest	N	T					
10. Wright-Patterson Credit Union	B	Interest	K	T					
11. Everen Securities, fka Kempner/Selected Fund	A	Dividend	J	T					
12. Integra Financial	A	Dividend	J	T					
13. SmithKline Beecham - Preferred Stock	A	Dividend	J	T					
14. Pennsylvania St Second Ser A Bond		None	L	T	Buy	12/14/12	L		
15. Curators Univ Mo Sys Facs Rev Ser B		None	L	T	Buy	12/7/12	L		
16. Mass St Sba Ded Sls Tax Rev Ser A		None	L	T	Buy	12/7/12	L		
17. Ohio State Higher Ed Lt Ser A RF		None	L	T	Buy	12/7/12	L		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	P4 = More than \$50,000,000
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 15

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Hawaii St		None	L	T	Buy	12/31/12	L		
19. Louisiana St Gas & Fuels		None	L	T	Buy	12/19/12	L		
20. Connecticut St		None	L	T	Buy	12/5/12	L		
21. Harris Tex Met Tran At		None	L	T	Buy	12/11/12	L		
22. Univ Colo Enterprise Sys		None	L	T	Buy	12/11/12	L		
23. Virginia St Pub Sch Auth		None	L	T	Buy	12/7/12	L		
24. Alaska Mun Bd Bk Ak Mun		None	L	T	Buy	12/12/12	L		
25. Michigan Mun Bd Auth Rev		None	L	T	Buy	12/6/12	L		
26. New Jersey St Transn Tr	A	Int./Div.	L	T	Buy	12/4/12	L		
27. North Carolina St Cap		None	L	T	Buy	12/6/12	L		
28. Port Seattle Wash Rev		None	L	T	Buy	12/4/12	L		
29. BIF Money Fund		None	L	T	Buy	12/3/12	K		
30. Abbott Labs		None	J	T	Buy	11/26/12	J		
31. - Abbott Labs			J	T	Buy (add'l)	12/3/12	J		
32. - Abbott Labs			J	T	Buy (add'l)	12/10/12	J		
33. - Abbott Labs			J	T	Buy (add'l)	12/17/12	J		
34. Altria Group Inc		None	J	T	Buy	11/26/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 15

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35. - Altria Group Inc.			J	T	Buy (add'l)	12/3/12	J		
36. - Altria Group Inc.			J	T	Buy (add'l)	12/10/12	J		
37. - Altria Group Inc.			J	T	Buy (add'l)	12/17/12	J		
38. AT&T Inc.		None	J	T	Buy	11/26/12	J		
39. - AT&T Inc.			J	T	Buy (add'l)	12/3/12	J		
40. - AT&T Inc.			J	T	Buy (add'l)	12/10/12	J		
41. - AT&T Inc.			J	T	Buy (add'l)	12/17/12	J		
42. BCE Inc.		None	J	T	Buy	11/26/12	J		
43. - BCE Inc.			J	T	Buy (add'l)	12/3/12	J		
44. - BCE Inc.			J	T	Buy (add'l)	12/10/12	J		
45. - BCE Inc.			J	T	Buy (add'l)	12/17/12	J		
46. Bristol-Myers Squibb Co.		None	J	T	Buy	12/12/12	J		
47. - Bristol-Myers Squibb Co.			J	T	Buy (add'l)	12/17/12	J		
48. CMS Energy Corp		None	J	T	Buy	11/26/12	J		
49. - CMS Energy Corp.			J	T	Buy (add'l)	12/3/12	J		
50. - CMS Energy Corp.			J	T	Buy (add'l)	12/10/12	J		
51. Chevron Corp.		None	J	T	Buy	11/26/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 7 of 15

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52. - Chevron Corp.			J	T	Buy (add'l)	12/3/12	J		
53. - Chevron Corp.			J	T	Buy (add'l)	12/10/12	J		
54. - Chevron Corp.			J	T	Buy (add'l)	12/17/12	J		
55. Conagra Foods Inc.		None	J	T	Buy	12/12/12	J		
56. - Conagra Foods Inc.			J	T	Buy (add'l)	12/17/12	J		
57. Digital Rlty Tr Inc.		None	J	T	Buy	11/26/12	J		
58. - Digital Rlty Tr Inc.			J	T	Buy (add'l)	12/3/12	J		
59. - Digital Rlty Tr Inc.			J	T	Buy (add'l)	12/10/12	J		
60. - Digital Rlty Tr Inc.			J	T	Buy (add'l)	12/17/12	J		
61. Du Pont E I De Nemours		None	J	T	Buy	11/26/12	J		
62. - Du Pont E I De Nemours			J	T	Buy (add'l)	12/3/12	J		
63. - Du Pont E I De Nemours			J	T	Buy (add'l)	12/10/12	J		
64. - Du Pont E I De Nemours			J	T	Buy (add'l)	12/17/12	J		
65. Eaton Corp Plc		None	J	T	Buy	12/3/12	J		
66. - Eaton Corp Plc			J	T	Buy (add'l)	12/4/12	J		
67. - Eaton Corp Plc			J	T	Buy (add'l)	12/10/12	J		
68. - Eaton Corp. Plc			J	T	Buy (add'l)	12/17/12	J		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 15

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69. General Electric		None	J	T	Buy	11/26/12	J		
70. - General Electric			J	T	Buy (add'l)	12/3/12	J		
71. - General Electric			J	T	Buy (add'l)	12/10/12	J		
72. - General Electric			J	T	Buy (add'l)	12/17/12	J		
73. Intel Corp		None	J	T	Buy	11/26/12	J		
74. - Intel Corp			J	T	Buy (add'l)	12/3/12	J		
75. - Intel Corp			J	T	Buy (add'l)	12/10/12	J		
76. - Intel Corp			J	T	Buy (add'l)	12/17/12	J		
77. JPMorgan Chase & Co.		None	J	T	Buy	11/26/12	J		
78. - JPMorgan Chase & Co.			J	T	Buy (add'l)	12/3/12	J		
79. - JPMorgan Chase & Co.			J	T	Buy (add'l)	12/10/12	J		
80. - JPMorgan Chase & Co.			J	T	Buy (add'l)	12/17/12	J		
81. Kraft Foods Group Inc.		None	J	T	Buy	11/26/12	J		
82. - Kraft Foods Group Inc.			J	T	Buy (add'l)	12/3/12	J		
83. - Kraft Foods Group Inc.			J	T	Buy (add'l)	12/10/12	J		
84. - Kraft Foods Group Inc.			J	T	Buy (add'l)	12/17/12	J		
85. Kimberly Clark		None	J	T	Buy	11/26/12	J		

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 9 of 15

Name of Person Reporting

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86. -Kimberly Clark			J	T	Buy (add'l)	12/3/12	J		
87. - Kimberly Clark			J	T	Buy (add'l)	12/10/12	J		
88. - Kimberly Clark			J	T	Buy (add'l)	12/17/12	J		
89. Merck and Co. Inc. SHS		None	J	T	Buy	11/26/12	J		
90. - Merck and Co. Inc. SHS			J	T	Buy (add'l)	12/3/12	J		
91. - Merck and Co. Inc. SHS			J	T	Buy (add'l)	12/10/12	J		
92. - Merck and Co. Inc. SHS			J	T	Buy (add'l)	12/17/12	J		
93. McDonalds Corp.	A	Int./Div.	J	T	Buy	11/26/12	J		
94. - McDonalds Corp.			J	T	Buy (add'l)	12/3/12	J		
95. - McDonalds Corp.			J	T	Buy (add'l)	12/10/12	J		
96. - McDonalds Corp.			J	T	Buy (add'l)	12/17/12	J		
97. Microsoft Corp.		None	J	T	Buy	11/26/12	J		
98. - Microsoft Corp.			J	T	Buy (add'l)	12/3/12	J		
99. - Microsoft Corp.			J	T	Buy (add'l)	12/10/12	J		
100. - Microsoft Corp.			J	T	Buy (add'l)	12/17/12	J		
101. Nextera Energy Inc. SHS	A	Int./Div.	J	T	Buy	11/26/12	J		
102. - Nextera Energy Inc. SHS			J	T	Buy (add'l)	12/3/12	J		

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FINANCIAL DISCLOSURE REPORT

Page 10 of 15

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103. - Nextera Energy Inc. SHS			J	T	Buy (add'l)	12/10/12	J		
104. Paccar Inc.	A	Int./Div.	J	T	Buy	11/26/12	J		
105. - Paccar Inc.			J	T	Buy (add'l)	12/3/12	J		
106. - Paccar Inc.			J	T	Buy (add'l)	12/10/12	J		
107. - Paccar Inc.			J	T	Buy (add'l)	12/17/12	J		
108. Philip Morris Intl Inc.		None	J	T	Buy	11/26/12	J		
109. - Philip Morris Intl Inc.			J	T	Buy (add'l)	12/3/12	J		
110. - Philip Morris Intl Inc.			J	T	Buy (add'l)	12/10/12	J		
111. - Philip Morris Intl Inc.			J	T	Buy (add'l)	12/17/12	J		
112. Royal Dutch Shel PLC Spons ADR B		None	J	T	Buy	11/26/12	J		
113. - Royal Dutch Shel PLC Spons ADR B			J	T	Buy (add'l)	12/3/12	J		
114. - Royal Dutch Shel PLC Spons ADR B			J	T	Buy (add'l)	12/10/12	J		
115. - Royal Dutch Shel PLC Spons ADR B			J	T	Buy (add'l)	12/17/12	J		
116. Sempra Energy		None	J	T	Buy	11/26/12	J		
117. - Sempra Energy			J	T	Buy (add'l)	12/3/12	J		
118. - Sempra Energy			J	T	Buy (add'l)	12/10/12	J		
119. Spectra Energy Corp.		None	J	T	Buy	11/26/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 15

Name of Person Reporting

RICE, WALTER H.

Date of Report

09/30/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - Spectra Energy Corp.			J	T	Buy (add'l)	12/3/12	J		
121. - Spectra Energy Corp.			J	T	Buy (add'l)	12/10/12	J		
122. - Spectra Energy Corp.			J	T	Buy (add'l)	12/17/12	J		
123. Time Warner Inc. SHS	A	Int./Div.	J	T	Buy	11/26/12	J		
124. - Time Warner Inc. SHS			J	T	Buy (add'l)	12/3/12	J		
125. - Time Warner Inc. SHS			J	T	Buy (add'l)	12/10/12	J		
126. - Time Warner Inc. SHS			J	T	Buy (add'l)	12/17/12	J		
127. Toronto Dominion Bank		None	J	T	Buy	11/26/12	J		
128. - Toronto Dominion Bank			J	T	Buy (add'l)	12/3/12	J		
129. - Toronto Dominion Bank			J	T	Buy (add'l)	12/10/12	J		
130. - Toronto Dominion Bank			J	T	Buy (add'l)	12/17/12	J		
131. Ventas Inc. REIT	A	Int./Div.	J	T	Buy	11/26/12	J		
132. - Ventas Inc. REIT			J	T	Buy (add'l)	12/3/12	J		
133. - Ventas Inc. REIT			J	T	Buy (add'l)	12/10/12	J		
134. - Ventas Inc. REIT			J	T	Buy	12/17/12	J		
135. Verizon Communicatns Com		None	J	T	Buy	11/26/12	J		
136. - Verizon Communicatns Com			J	T	Buy (add'l)	12/3/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 15

Name of Person Reporting

RICE, WALTER H.

Date of Report

09/30/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Verizon Communicatns Com			J	T	Buy (add'l)	12/10/12	J		
138. - Verizon Communicatns Com			J	T	Buy (add'l)	12/17/12	J		
139. Xcel Energy Inc.		None	J	T	Buy	11/26/12	J		
140. - Xcel Energy Inc.			J	T	Buy (add'l)	12/3/12	J		
141. - Xcel Energy Inc.			J	T	Buy (add'l)	12/10/12	J		
142. ML Bank Deposit Program	A	Int./Div.	M	T					
143. Coca Cola (X)	A	Dividend			Sold	12/12/12	J		
144. GlaxosmithKline (X)		None			Sold	12/12/12	J		
145. Merrill Lynch Inheritance: (half interest) brokerage/money									
146. CD Carolina First Bank	A	Interest			Redeemed	1/30/12	L		
147. CD Discover Bank	B	Interest			Redeemed	3/28/12	K		
148. CD Everbank	B	Interest			Redeemed	3/28/12	K		
149. CD BMO Harris Bank	B	Interest			Sold	7/16/12	L		
150. CD Amer Express Bank	C	Interest			Sold	7/16/12	M		
151. CD Amer Express Cent Bank	C	Interest			Sold	7/16/12	M		
152. CD Goldman Sachs Bank	B	Interest			Sold	7/16/12	L		
153. Delaware PA Health Care Muni	A	Interest			Sold	7/24/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 15

Name of Person Reporting

RICE, WALTER H.

Date of Report

09/30/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Fairport Communications (worthless)		None			Sold	11/13/12	J		
155. Merrill Lynch Money Market	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 15

Name of Person Reporting

RICE, WALTER H.

Date of Report

09/30/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

I. POSITIONS:

BOARD OF TRUSTEES ----- ISUS, INC. ----- a charter school which is partially funded by the State of Ohio. The school is no longer operating.

FORMER AND CURRENT MEMBER, BOARD OF DIRECTORS, "BUILDING BRIDGES" --- an adjunct to Juvenile Probation Department, concentrating on work therapy for hard-core juvenile offenders.

FOUNDING TRUSTEE ----- AVIATION HERITAGE FOUNDATION, INC. ----- an organization formed to administer the National Aviation Heritage Area, which pulls together all of the aviation heritage sites and activities in an eight to ten county area, in order to guarantee that the Dayton area will forever be considered not only the Birthplace of Aviation, but also where the aviation industry was founded and where, even today, it serves to provide the cutting edge of aviation technology.

TRUSTEE ----- WRIGHT DUNBAR, INC. ----- an organization dedicated to restoring the Third Street commercial corridor running the length of the Wright-Dunbar Neighborhood, the site of the Dayton Aviation National Historical Park and the early 20th century homes of the Wright brothers and Paul Laurence Dunbar.

BOARD OF ADVISORS ----- THE UNIVERSITY OF DAYTON SCHOOL OF LAW

CHAIRMAN ----- DR. MARTIN LUTHER KING, JR., MEMORIAL COMMITTEE ----- a committee charged with developing a concept of a suitable memorial to Dr. King.

MEMBER, BOARD OF TRUSTEES ----- MONTGOMERY COUNTY VOLUNTEER LAWYERS PROJECT ----- an organization conceived and operated to provide legal services to indigents in all aspects of civil law; the organization supplements the work done by our local Legal Aid Society.

CHAIRPERSON ----- DAYTON DIALOGUE ON RACE RELATIONS ----- an organization dedicated to racial reconciliation through understanding and action.

FORMER CO-CHAIRPERSON ----- COMMUNITY-WIDE EX-OFFENDER REENTRY TASK FORCE AND CURRENT CO-CHAIR REENTRY POLICY BOARD ----- a group of service providers, criminal justice professionals and community leaders tasked with development and implementation of a protocol and programs to facilitate successful return of ex-offenders from incarceration to become contributing, taxpaying members of the community.

CHAIR, AMERICAN VETERANS HERITAGE COMMISSION ----- a group tasked with planning, preserving, and rehabilitating the historic buildings on the Dayton Veterans Affairs Campus and including thereon a museum to honor the contributions of America's veterans to their communities in times of peace, as well as in times of war. The Commission also conducts programs to educate and inspire persons of all ages on the meaning of patriotism and sacrifice for one's country.

FORMER CHAIR, DAYTON INTERNATIONAL PEACE MUSEUM --- a museum dedicated to espousing peaceful solutions to issues that divide people, on a global, national and local setting. Resigned 1/11.

FINANCIAL DISCLOSURE REPORT

Page 15 of 15

Name of Person Reporting

RICE, WALTER H.

Date of Report

09/30/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ **WALTER H. RICE**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544