

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Blumenstiel, Hannah L.	2. Court or Organization U.S. Bankruptcy Court, Northern District of California	3. Date of Report 05/03/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 235 Pine Street, 23rd Floor San Francisco, CA 94104		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	Meals on Wheels of San Francisco, Inc.
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. California Bankruptcy Forum

05/17/2013 -
05/19/2013

San Diego, CA

Bankruptcy Conference
- Continuing Education
(Speaker/Faculty)

Hotel

2. American Bankruptcy
Institute10/09/2013 -
10/11/2013

New York, NY

Bankruptcy Conference
- Continuing Education
(Speaker/Faculty)

Hotel, airfare and meals

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Mohela - Department of Education	Student Loan	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. First Republic Bank - Checking Account	A	Interest	J	W					
2. First Republic Bank - Savings Account	A	Interest	J	W					
3. Fidelity Money Market Trust Retirement Govt Portfolio	A	Dividend			Sold	05/09/13	L	A	
4. Dodge & Cox Stock Fund	D	Dividend			Sold	05/09/13	K	D	
5. Janus Fund Class I	D	Dividend			Sold	05/09/13	K	D	
6. Morgan Stanley Inst. Mid Cap Growth Fund Class I	D	Dividend			Sold	05/09/13	K	D	
7. CRM Mid Cap Value Fund Class Institutional	D	Dividend			Sold	05/09/13	K	D	
8. John Hancock III Disciplined Value Mid Cap Fund Class A	B	Dividend			Sold	05/09/13	K	B	
9. Dodge & Cox International Stock Fund	B	Dividend			Sold	05/09/13	J	B	
10. Fidelity Freedom K 2020 Fund	D	Dividend			Sold	05/09/13	M	D	
11. Fidelity Growth Company Fund - Class K	A	Dividend			Sold	05/09/13	J	A	
12. Artisan International Investor Class	C	Dividend	L	T	Buy	05/09/13	L		
13. Dreyfus Growth & Income	C	Dividend	L	T	Buy	05/09/13	L		
14. Fidelity Growth & Income	C	Dividend	L	T	Buy	05/09/13	L		
15. Wasatch Core Growth	C	Dividend	L	T	Buy	05/09/13	L		
16. TCW Core Fix Ed Inc. Class I		None	K	T	Buy	05/09/13	K		
17. Fidelity Real Estate Investment		None	K	T	Buy	05/09/13	K		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Additional Information Responsive to Part VII Investments and Trusts

18. Description of Assets Income During Reporting Period Gross Value at End of Reporting Period Transactions During Reporting Period

Amount Code Type Value Code Value Method Type Date Value Code Gain Code Identify of Buyer/Seller (if private transaction)

Fidelity Strategic Income None K T Buy 05/09/2013 K

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Hannah L. Blumenstiel**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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