AO 10
Rev. 1/2015

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2014

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Gould, Ronald M.	U.S. Ct. of Appeals, 9th Cir.	04/27/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. Circuit Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2014 **to** 12/31/2014
7. Chambers or Office Address		
William K. Nakamura U.S. Courthouse 1010 Fifth Avenue, Suite 940 Seattle, Washington 98104-1130		

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Advisory Board	Chief Seattle Council, Boy Scouts of America
2.	Advisory Council	Ninth Judicial Circuit Historical Society
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Gould, Ronald M.	04/27/2015

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. (H) Charles Schwab Broker. Acct.									
2. Schwab Cash & Money Market	A	Interest	L	T					
3. Vanguard Long-Term Bond Index Fund	B	Int./Div.	K	T					
4. Vanguard Intermediate Term Bond Index Fund	B	Int./Div.	K	T					
5. Vanguard GNMA Fund	A	Int./Div.	K	T					
6. Johnson Controls Inc., common stock	B	Dividend			Sold	10/01/14	M	F	
7. American Century Zero	A	Int./Div.	J	T					
8. Loomis Sayles Global Bd	A	Int./Div.	J	T					
9. Pimco Foreign Bond Fund	A	Int./Div.	J	T					
10. Schwab Treasury	A	Int./Div.	J	T					
11. Schwab Intermediate Term Bond Fund	A	Int./Div.	J	T					
12. Schwab Total Bd Mkt Fund	B	Int./Div.	L	T					
13. Wasatch Hoisington US Treasury Fund	A	Int./Div.	J	T					
14. Buffalo Discovery Fund		None			Sold	09/23/14	J	B	
15. Schwab Fundamental Emerging Mkt Index	B	Int./Div.	L	T					
16. Schwab Fundamental Intl Large Co Index	A	Int./Div.	J	T					
17. Schwab Fundamental Intl Small Mid Index	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Gould, Ronald M.	04/27/2015

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. Schwab Global Real Estate Fd	A	Int./Div.	J	T					
19. Schwab Intl Index Fund	A	Int./Div.	K	T					
20. Schwab S&P 500 Index Fund	B	Int./Div.	M	T					
21. Schwab Small Cap Index Fund	C	Int./Div.	K	T					
22. Schwab Total Stock Mkt Index	B	Int./Div.	L	T					
23. Schwab 1000 Index Fund	D	Int./Div.	M	T					
24. Aston River Road Dividend All Cap Value	A	Int./Div.			Sold	09/23/14	J	A	
25. Matthews Asia Dividend Fund	A	Int./Div.			Sold	09/23/14	J	A	
26. American Century Equity Income Inv Cl	A	Int./Div.			Sold	09/23/14	J	A	
27. Wells Fargo Bank Account	A	Interest	J	T					
28. (H) Vanguard/IRA Account for [redacted]									
29. Vanguard Prime Money Market Fund	A	Dividend			Buy	09/15/14	M		
30. Vanguard Prime Money Market Fund	A	Dividend			Distributed	09/16/14	M		
31. Vanguard Primecap Fund Admiral Shares	G	Dividend	P1	T	Sold (part)	03/28/14	M		
32. Vanguard Primecap Fund Admiral Shares	G	Dividend	P1	T	Sold (part)	09/15/14	L		
33. Vanguard Primecap Fund Admiral Shares	G	Dividend	P1	T	Sold (part)	12/09/14	L		
34. Vanguard Short-Term Bond Index Fund Admiral Shares	B	Dividend	M	T					

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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35. Vanguard Short-Term Investment-Grade Fund Admiral Shares	C	Dividend	M	T	Buy (add'l)	03/28/14	K		
36. Vanguard Capital Opportunity Fund Admiral Shares	E	Dividend	O	T	Sold (part)	03/28/14	L		
37. Vanguard Capital Opportunity Fund Admiral Shares	E	Dividend	O	T	Sold (part)	09/15/14	K		
38. Vanguard Capital Opportunity Fund Admiral Shares	E	Dividend	O	T	Sold (part)	12/09/14	K		
39. Vanguard High-Yield Corporate Fund Admiral Shares	E	Dividend	N	T	Buy (add'l)	12/09/14	J		
40. GNMA Fund Admiral Shares	C	Dividend	M	T	Buy (add'l)	03/28/14	K		
41. Intermediate-Term Invest-Gr Admiral Shares	C	Dividend	M	T	Buy (add'l)	03/28/14	J		
42. Intermediate-Term Invest-Gr Admiral Shares	C	Dividend	M	T	Buy (add'l)	12/09/14	J		
43. Long-Term Invest-Gr Admiral Shares	E	Dividend	O	T	Buy (add'l)	03/28/14	K		
44. Long-Term Invest-Gr Admiral Shares	E	Dividend	O	T	Buy (add'l)	11/10/14	K		
45. Long-Term Invest-Gr Admiral Shares	E	Dividend	O	T	Buy (add'l)	12/09/14	L		
46. Developed Markets Index Fund Admiral Shares	B	Dividend	K	T					
47. Emerging Markets Stock Index Fund Admiral Shares	C	Dividend	M	T					
48. Global Equity Fund	A	Dividend	L	T					
49. International Growth Fund Admiral Shares	B	Dividend	L	T					
50. Pacific Stock Index Fund Admiral Shares	A	Dividend			Sold	11/10/14	K		
51. Health Care Fund Investor Shares	D	Dividend	L	T					

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52. High Dividend Yield Index Investor Shares	A	Dividend	K	T					
53. Intermediate Term Treasury Investor Shares	A	Dividend	L	T	Buy (add'l)	03/28/14	J		
54. Intermediate Term Treasury Investor Shares	A	Dividend	L	T	Buy (add'l)	12/09/14	J		
55. Long-Term Treasury Investor Shares	A	Dividend			Buy (add'l)	03/28/14	K		
56. Long-Term Treasury Investor Shares	A	Dividend			Sold	05/22/14	L		
57. Long-Term Treasury Admiral Shares	C	Dividend	M	T	Buy	05/22/14	L		
58. Long-Term Treasury Admiral Shares	C	Dividend	M	T	Buy (add'l)	10/27/14	K		
59. Long-Term Treasury Admiral Shares	C	Dividend	M	T	Buy (add'l)	12/09/14	J		
60. Short-Term Treasury Investor Shares	A	Dividend			Buy (add'l)	03/28/14	K		
61. Short-Term Treasury Investor Shares	A	Dividend			Sold	05/22/14	L		
62. Short-Term Treasury Admiral Shares	A	Dividend	L	T	Buy	05/22/14	L		
63. Total World Stock Index Investor Shares	A	Dividend			Sold	10/27/14	K		
64.									
65.									
66.									
67.									
68.									

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69.									
70.									
71.									
72.									

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Gould, Ronald M.	04/27/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Please note that the entries on Part VII, lines 1 and 28 are for names of accounts, in the nature of a heading for the assets that follow that are within this account. These lines 1 and 28 are not designating assets. Each asset in the account designated in lines 1 and 28 is listed separately in Part VII.

Part VII line14, concerning Buffalo Discovery shows the sale of the fund on 09/24/14 as reflected on our Schwab statement. And the gain was reported. But the 1099 form and the transaction history from Schwab for 2014 show no dividend paid by that fund. I assume that may be because it had no income or because it paid dividends after I sold the fund in September.

Part VII, line 56, my Investor Shares in Long Term Treasury Fund were automatically converted to Admiral Shares on 5/22/14, so I have shown this as a sale of investor shares and also added line 57 to show a buy of Long Term Treasury Fund Admiral Shares, reflecting the conversion.

Part VII, line 61, my Investor Shares in Short Term Treasury Fund were automatically converted to Admiral Shares on 5/22/14, so I have shown this as a sale of investor shares and also added line 62 to show a buy of Short Term Treasury Fund Admiral Shares, reflecting the conversion.

Name of Person Reporting	Date of Report
Gould, Ronald M.	04/27/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Ronald M. Gould

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544