

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Barrett, Michael R.	2. Court or Organization U.S. District Court	3. Date of Report 05/07/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address Potter Stewart US Courthouse 100 East 5th Street, Room 239 Cincinnati, Ohio 45202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Partner	Old Walnut Company
2.	Member	Grandin View LLC
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	University of Cincinnati - teaching stipend
2. 2018	Spectrum - interview stipend
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	American Express	Credit Card	J
2.	US Bank	Credit Card	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Old Walnut Co. (Partnership)	A	Dividend	L	U					
2. Grandin View LLC	G	Distribution	N	U					
3. Procter & Gamble (common stock)	C	Dividend	L	T					
4. Convergys Corp. (common stock)		None	L	T					
5. J.M. Smucker (Common stock)	A	Dividend	J	T					
6. IRA#1 (H)									
7. First American Treasury (cash account) (FOCXX)	B	Interest	M	T					
8. Am. Century Sm. Cap (ACVIX)	A	Dividend	J	T					
9. Am. Century Equity Income (ACIIX)	B	Dividend	K	T					
10. Blackrock Basic Value Fund Inc. (MABAX)	D	Dividend	K	T					
11. Fidelity Investment Tr. Ad Intl Discovery (FIADX)	B	Dividend	K	T					
12. Ipath Dow Jones UBS (DJP)		None	J	T					
13. Nuveen Inflation Pro Sec (FYIPX)	A	Dividend	J	T					
14. Nuveen Core Bond Fd (FFIIX)	B	Dividend	K	T					
15. Nuveen Dividend Value Fd (FAQIX)	B	Dividend	J	T					
16. Nuveen Mid Cap Growth (FISGX)	A	Dividend	J	T					
17. Nuveen Mid Cap Value (FSEIX)	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Nuveen Real Estate Secs. Fund (FARCX)	B	Dividend	K	T					
19. Nuveen Small Cap Select (ARSTX)	A	Dividend	J	T					
20. Nuveen Small Cap Value (FSCCX)	A	Dividend	J	T					
21. Laudus Intl Marketmasters (SWMIX)	B	Dividend	J	T					
22. T. Rowe Price Mid Cap Value (TRMCX)	B	Dividend	K	T					
23. T. Rowe Price Value Fund (TRVLX)	D	Dividend	K	T					
24. T. Rowe Price Equity (PRFDX)	B	Dividend	K	T					
25. Technology Select Sector SPDR (XLK)	A	Dividend	K	T					
26. Michael R. Barrett Foundation (H)									
27. Procter & Gamble (PG)	B	Dividend	K	T					
28. Brokerage Account (H)									
29. First American Treasury (Cash) (FOCXX)	E	Int./Div.	P1	T					
30. Abbott Labs (ABT)	B	Dividend	M	T					
31. Abbvie Inc. (ABBV)	D	Dividend	M	T					
32. Capital One Financial Corp (COF)	A	Dividend	K	T					
33. iShares Russell Midcap Index (IWR)	A	Dividend	J	T					
34. iShares Russell 2000 Index (IWM)	A	Dividend	J	T					

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35. iShares Select Dividend ETF (DIVY)	A	Dividend	J	T					
36. Nuveen Mid Cap Value (FSEIX)	A	Dividend	J	T					
37. Procter & Gamble (PG)	D	Dividend	N	T					
38. T. Rowe Price Value (TRVLX)	B	Dividend	K	T					
39. Fidelity Inv't Trad Intl Disci (FIADX)	A	Dividend	J	T					
40. Laudus Int'l Marketmasters Fd Select (SWMIX)	A	Dividend	J	T					
41. U.S Treasury Note (912828F62)	B	Interest	M	T	Buy	10/29/18	M		
42. Nuveen Core Plus Bond (FFIIX)	A	Dividend	J	T					
43. Nuveen Inflation Pro Sec (FYIPX)	A	Dividend	J	T					
44. Vanguard Reit Etf (VNQ)	B	Dividend	K	T					
45. Trust # 1 (H)									
46. Wilmington Trust Bank Deposit (cash)	A	Interest	K	T					
47. Wilmington US Government Money Market Fund (AKGXX) (Y)									
48. Harbor Large Cap Value Fund (HNLVX)	A	Dividend	K	T	Buy	08/02/18	K		
49. iShares Edge MSCI Min Vol USA ETF (USMV)	A	Dividend	K	T	Buy	08/03/18	K		
50.					Buy (add'l)	12/06/18	J		
51. iShares Edge MSCI USA QLTY Factor ETF (QUAL)	A	Dividend	K	T	Buy	12/06/18	J		

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52.					Buy (add'l)	12/18/18	K		
53. IShares Russell 1000 Growth ETF (IWF)	A	Dividend	L	T	Sold (part)	01/18/18	J		
54.					Sold (part)	01/19/18	J		
55.					Buy (add'l)	07/06/18	K		
56.					Buy (add'l)	08/30/18	J		
57.					Sold (part)	12/06/18	K	C	
58.					Sold (part)	12/18/18	K	B	
59. LSV Value Equity FD STRAT (LSVEX)	B	Dividend	K	T	Buy (add'l)	01/17/18	K		
60.					Sold (part)	01/18/18	J		
61.					Sold (part)	08/02/18	J		
62.					Sold (part)	08/31/18	J		
63. Wilmington Large Cap Strategy Fund (WMLIX)	D	Dividend	L	T	Sold (part)	01/17/18	J		
64.					Sold (part)	01/18/18	J		
65. IShares S&P Small-Cap 600 Growth ETF (IJT)	A	Dividend	K	T	Buy	01/18/18	K		
66.					Buy (add'l)	03/29/18	J		
67. Meridian Sm-Cap Growth (MSGRX)	C	Dividend	K	T	Sold (part)	01/17/18	J		
68.					Sold (part)	01/18/18	J		

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69.					Sold (part)	03/29/18	J		
70. Nuveen Small Cap Value Fund (FSCCX)	A	Dividend	K	T	Buy	03/29/18	K		
71.					Buy (add'l)	04/02/18	K		
72.					Buy (add'l)	06/07/18	J		
73.					Sold (part)	08/31/18	J		
74. Vanguard FTSE DEV. Market (VEA)	C	Dividend	L	T	Buy (add'l)	01/18/18	K		
75.					Sold (part)	01/19/18	J		
76.					Sold (part)	06/08/18	J		
77.					Sold (part)	12/18/18	J	A	
78. iShares Russell 1000 Value ETF (IWD)	A	Dividend			Sold	07/06/18	K		
79. iShares Core MSCI Emerging Markets (IEMG)	A	Dividend	K	T	Sold (part)	01/18/18	J		
80.					Sold (part)	01/19/18	J		
81.					Sold (part)	06/08/18	J		
82. Vanguard Int Trm Bond ETF (BIV)	B	Dividend	N	T	Buy	12/11/18	N		
83. Fidelity Focused High Income (FHIFX)	B	Dividend	K	T					
84. Vanguard Intermediate-Term Tax Exempt FD (VWIUX)	A	Dividend	J	T					
85. Wilmington Municipal Bond Fund (WTAIX)	D	Dividend			Sold	12/11/18	N		

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86. iShares Tips Bond ETF (TIP)	A	Dividend	J	T	Sold (part)	01/18/18	J		
87. iShares Cohen & Steers REIT ETF (ICF)	A	Dividend	J	T	Buy (add'l)	01/18/18	K		
88. Spdr Dow Jones Int'l Real Estate ETF (RWX)	B	Dividend	K	T	Sold (part)	01/18/18	J		
89.					Sold (part)	01/19/18	J		
90. iShares Russell 2000 ETF (IWM)	A	Dividend			Sold	03/29/18	J		
91. JP Morgan Chase & Co. US Small Co Fund (JUSSX)		None			Sold	01/17/18	K		
92. T. Rowe Price Dividend Growth Fund (PDGIX)	A	Dividend			Sold (part)	01/17/18	K		
93.					Sold (part)	01/18/18	J		
94.					Sold	08/02/18	K		
95. Victory Integrity SM-Cap Value Fund (VSVIX)		None			Sold	03/29/18	J		
96. Trust #2 (H)									
97. Morgan Stanley Bank NA (cash)	A	Interest	J	T					
98. Morgan Stanley Private Bank NA (cash) (X)	A	Interest	M	T					
99. AB Flexfee Large Cap GW PTF Adv (FFLYX)		None			Buy	07/02/18	J		
100.					Sold	10/01/18	J	A	
101. AB Global Bond ADV (ANAYX)	A	Dividend			Sold	04/12/18	J		
102. AC Alternatives Inc I (ALNIX)	A	Dividend	L	T	Buy	10/01/18	K		

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103.					Buy (add'l)	11/05/18	J		
104.					Buy (add'l)	12/03/18	J		
105. Alger Small Cap Foucs Z (AGOZX)		None			Sold	04/12/18	J	A	
106. Am Century Diversified Bond I (ACBPX)	A	Dividend	K	T	Buy (add'l)	04/12/18	J		
107.					Sold (part)	10/01/18	J		
108.					Buy (add'l)	11/05/18	K		
109. Am Century Emerging Markets I	A	Dividend			Sold (part)	04/12/18	J	A	
110.					Sold	10/01/18	J		
111. Blackrock Multi-Asset Inc (BIICX)	A	Dividend	K	T	Buy (add'l)	04/12/18	J		
112.					Buy (add'l)	10/01/18	J		
113.					Buy (add'l)	11/05/18	J		
114.					Buy (add'l)	12/03/18	J		
115. Chevron Corp (CVX)	A	Dividend	J	T	Buy	11/05/18	J		
116.					Buy (add'l)	12/03/18	J		
117.					Sold (part)	12/10/18	J		
118. Chiron Capital Alloc Inst	A	Dividend	K	T	Buy (add'l)	04/12/18	J		
119.					Buy (add'l)	10/01/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	11/05/18	J		
121.					Buy (add'l)	12/03/18	J		
122. Chubb LTD (CB)		None	J	T	Buy	10/01/18	J		
123.					Sold (part)	12/10/18	J		
124. Clearbridge Large Cap Growth (SBLYX)		None			Sold (part)	04/12/18	J	A	
125.					Sold	07/02/18	J	B	
126. Colgate Palmolive Co (CL)		None	J	T	Buy	11/05/18	J		
127.					Buy (add'l)	12/03/18	J		
128.					Sold (part)	12/10/18	J	A	
129. Destra Flaherty & Crumrine Preferred and Income Fund Class I (DPIIX)	A	Dividend	K	T	Buy	11/05/18	J		
130.					Buy (add'l)	12/03/18	K		
131. DowDupont (DWDP)	A	Dividend	J	T	Buy	11/05/18	J		
132.					Buy (add'l)	12/03/18	J		
133.					Sold (part)	12/10/18	J		
134. Dreyfus Global EQU INC (DQEIX)	B	Dividend	K	T	Sold (part)	04/12/18	J	A	
135.					Buy (add'l)	10/01/18	J		
136.					Buy (add'l)	11/05/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	12/03/18	J		
138. Dreyfus Standish Global Fix (SDGIX)	A	Dividend			Sold	04/12/18	J	A	
139. E V Global Macro ABS Ret Adv I (EGRIX)		None			Buy	04/12/18	J		
140.					Sold	09/10/18	J		
141. Federated Int'l Leaders (FGFIX)		None			Sold (part)	04/12/18	J	A	
142.					Sold	10/01/18	J	A	
143. First Trust Exchange Traded Fund VI (TDIV)	A	Dividend	J	T	Buy	11/05/18	J		
144.					Buy (add'l)	12/03/18	J		
145. First Trust Valu.LN Div Index (FVD)	A	Dividend	K	T	Buy	10/01/18	J		
146.					Buy (add'l)	11/05/18	J		
147.					Buy (add'l)	12/03/18	J		
148. FT Enhanced Short Maturity ETF (FTSM)	B	Dividend	N	T	Buy	10/01/18	N		
149. First Trust Moringstar Div. (FDL)	A	Dividend			Sold (part)	04/12/18	J	A	
150.					Sold	10/01/18	J	A	
151. First Trust Dorsey Wright FO (FV)	A	Dividend			Buy (add'l)	04/12/18	J		
152.					Sold	10/01/18	J	A	
153. First Trust Small Cap ETF (FYX)	A	Dividend			Sold (part)	04/12/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Sold	10/01/18	J	B	
155. Goldman Sachs Access TRE 0-1 Y (GBIL)		None	J	T	Buy	12/10/18	J		
156. Goldman Sachs US Eq Dv Prem 1 (GSPKX)	B	Dividend	K	T	Buy	04/12/18	J		
157.					Buy (add'l)	10/01/18	J		
158.					Buy (add'l)	11/05/18	J		
159.					Buy (add'l)	12/03/18	J		
160. Honeywell International Inc (HON)	A	Dividend			Buy	11/05/18	J		
161.					Buy (add'l)	12/03/18	J		
162.					Sold	12/07/18	J		
163. Intl Business Machines Corp (IBM)	A	Dividend	J	T	Buy	11/05/18	J		
164.					Buy (add'l)	12/03/18	J		
165.					Sold (part)	12/10/18	J		
166. Invesco Diversified Div (LCEYX)	A	Dividend			Sold	03/26/18	J	A	
167. iShares 7-10 Yr Treasury Bond ETF (IEF)	A	Dividend	J	T	Buy	11/05/18	J		
168. iShares Barclay 1-3 Yr TSY Bd (SHY)	A	Dividend	J	T	Buy	04/12/18	J		
169.					Buy (add'l)	10/01/18	J		
170.					Buy (add'l)	11/05/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. iShares Core Dividend Growth (DGRO)	A	Dividend	K	T	Buy	10/01/18	J		
172.					Buy (add'l)	12/07/18	J		
173. iShares Global Energy ETF (IXC)	A	Dividend	J	T	Buy	10/01/18	J		
174. iShares S&P Midcap 400 Index (IJH)	A	Dividend			Sold	10/01/18	J	A	
175. iShares S&P Small Cap 600 Index (IJR)	A	Dividend			Sold (part)	04/12/18	J	A	
176.					Sold	10/01/18	J	A	
177. Johnson & Johnson (JNJ)	A	Dividend	J	T	Buy	11/05/18	J		
178.					Buy (add'l)	12/03/18	J		
179.					Sold (part)	12/10/18	J	A	
180. JPMorgan Chase & Co (JPM)		None	J	T	Buy	11/05/18	J		
181.					Buy (add'l)	12/03/18	J		
182.					Sold (part)	12/10/18	J		
183. Kraft Heinz Co (KHC)	A	Dividend	J	T	Buy	11/05/18	J		
184.					Buy (add'l)	12/03/18	J		
185.					Sold (part)	12/10/18	J		
186. Lockheed Martin Corp (LMT)	A	Dividend	J	T	Buy	11/05/18	J		
187.					Buy (add'l)	12/03/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Sold (part)	12/10/18	J		
189. Lord Abbett Intl Opportunity F (LINF)	C	Dividend	K	T	Buy	10/01/18	K		
190.					Buy (add'l)	11/05/18	J		
191. Lowes Companies Inc (LOW)		None	J	T	Buy	11/05/18	J		
192.					Buy (add'l)	12/03/18	J		
193.					Sold (part)	12/10/18	J		
194. Mai Managed Volatility Instl (MAIPX)	A	Dividend	K	T	Buy	09/10/18	J		
195.					Sold	10/01/18	J	A	
196.					Buy	11/05/18	J		
197.					Buy (add'l)	12/03/18	J		
198. MFS Global Equity (MWEIX)		None			Sold	03/22/18	J	A	
199. Microsoft Corp (MSFT)	A	Dividend	J	T	Buy	11/05/18	J		
200.					Buy (add'l)	12/03/18	J		
201.					Sold (part)	12/10/18	J		
202. Nextera Energy Inc (NEE)	A	Dividend	J	T	Buy	10/01/18	J		
203.					Sold (part)	12/10/18	J	A	
204. Nuveen Real Asset Income (NRIIX)	A	Dividend	K	T	Buy (add'l)	04/12/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Buy (add'l)	10/01/18	J		
206.					Buy (add'l)	11/05/18	K		
207.					Buy (add'l)	12/03/18	J		
208. Nuveen Santa Barbara Div GR I (NSBRX)	A	Dividend			Buy	03/26/18	J		
209.					Sold (part)	04/12/18	J	A	
210.					Sold (part)	10/01/18	J	A	
211.					Sold	11/05/18	J		
212. Occidental Petroleum Corp DE (OXY)		None	J	T	Buy	11/05/18	J		
213.					Buy (add'l)	12/03/18	J		
214.					Sold (part)	12/10/18	J		
215. Oppenheimer Fundamental ALT Y (OOPYX)		None			Buy (add'l)	04/12/18	J		
216.					Sold	10/01/18	J	A	
217. Oppenheimer S&P Financials R (RWW)	A	Dividend	J	T	Buy	11/05/18	J		
218.					Buy (add'l)	12/03/18	J		
219. Pfizer Inc (PFE)	A	Dividend	J	T	Buy	11/05/18	J		
220.					Buy (add'l)	12/03/18	J		
221.					Sold (part)	12/10/18	J	A	

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Philip Morris Intl Inc (PM)		None	J	T	Buy	11/05/18	J		
223.					Buy (add'l)	12/03/18	J		
224.					Sold (part)	12/10/18	J		
225. Pimco Income 12 (PONPX)	A	Dividend	L	T	Buy	10/01/18	L		
226. Pimco Low Duration Inc 12 (PFTPX) (X)	A	Dividend	K	T	Buy (add'l)	04/12/18	J		
227.					Buy (add'l)	10/01/18	J		
228.					Buy (add'l)	11/05/18	J		
229.					Buy (add'l)	12/03/18	K		
230. PGIM Jennison Glb Opp Z (PRJZX)	A	Dividend			Buy	03/22/18	J		
231.					Sold (part)	04/12/18	J	A	
232.					Sold	10/01/18	J	A	
233. Sempra Energy (SRE)		None	J	T	Buy	11/05/18	J		
234.					Buy (add'l)	12/03/18	J		
235.					Sold (part)	12/10/18	J	A	
236. Verizon Communications (VZ)		None	J	T	Buy	11/05/18	J		
237.					Buy (add'l)	12/03/18	J		
238.					Sold (part)	12/10/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. Walmart Inc (WMT)		None	J	T	Buy	11/05/18	J		
240.					Buy (add'l)	12/03/18	J		
241.					Sold (part)	12/10/18	J		
242. Wells Fargo & Co New (WFC)	A	Dividend	K	T	Buy	10/01/18	K		
243.					Sold (part)	12/10/18	J		
244. Vanguard 500 Index Admiral Shares (X)		None			Sold	09/12/18	O	F	
245. Vanguard Intl Growth Admiral Shares (X)		None			Sold	09/12/18	L		
246. Vanguard Target Retirement Investment 2020 (X)		None			Sold	09/12/18	N	D	
247. Vanguard Total Bond Market Index Admiral Shares (X)	A	Dividend			Sold	09/12/18	M		
248. Vanguard Wellington Admiral Shares (X)		None			Sold	09/12/18	N	E	
249. Trust #3 (H)									
250. Morgan Stanley Bank NA (cash)	A	Interest	K	T					
251. Am. Century Eqi Inc. Int. (ACIIX)	D	Dividend	M	T					
252. Am New Perspective F2 (ANWFX)	D	Dividend	L	T					
253. Exxon Mobile Corp (XOM)	A	Dividend	J	T					
254. Ill Tool Works Inc (ITW)	A	Dividend	K	T					
255. iShares IBonds Sep 2018 Muni (IBMG)	A	Dividend			Redeemed	09/10/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. iShares IBonds Sep 2019 Muni (IBMH)	A	Dividend	K	T					
257. iShares IBonds Sep 2020 Muni (IBMI)	A	Dividend	K	T					
258. iShares MSCI EAFE ETF (EFA)	A	Dividend	K	T					
259. Invesco LTD Term Muni Inc Y (ATFYX)	C	Dividend			Sold	09/12/18	M		
260. Johnson & Johnson (JNJ)	A	Dividend	J	T					
261. McDonalds Corp (MCD)	A	Dividend	K	T					
262. OPI Steelpath MLP Select 40 Y (MLPTX)	B	Dividend	J	T					
263. Neuberger Berman Lg SH Inst (NLSIX)	C	Dividend	L	T					
264. Nuveen All-American Muni Bd I (FAARX)	B	Dividend	M	T	Buy	09/12/18	M		
265. Nuveen Global Infrastructure (FGIYX)	B	Dividend	K	T					
266. Nuveen HI YLD Muni BD I (NHMRX)	D	Dividend	L	T	Sold (part)	08/27/18	K	B	
267. Nuveen Real Estate Sec FD	C	Dividend	K	T					
268. Nuveen Sh Dur High Yield Muni Bond (NVHIX)	C	Dividend	M	T					
269. Oppenheimer Cap Income Y (OCITYX)	B	Dividend	K	T					
270. Procter & Gamble (PG)	A	Dividend	K	T					
271. T Rowe Price Growth Stock Adv (PRGFX)	D	Dividend	L	T					
272. T Rowe Price MidCap Gr Adv (RPMGX)	D	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. T Rowe Price Mid Cap (TRMCX)	D	Dividend	L	T					
274. The Technology Sel Sec Spdr Fd (XLK)	A	Dividend	K	T					
275. United Technologies Corp (UTX)	A	Dividend	J	T					
276. Vanguard 500 Indx Admiral (VFIAX)	C	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, lines 1 and 2: The holdings of these real estate companies are operational in nature; other investments of the companies, if any, are beyond the scope of the filer's knowledge.

Part VII, lines 1 and 2: Filer's interest is 1/6.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Michael R. Barrett**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544