

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Griffin, Juliet E.	2. Court or Organization Middle District of Tennessee	3. Date of Report 05/15/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge Full	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 756 U.S.Courthouse 801 Broadway Nashville, TN 37203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 3/18/13	Wholesale Property Deal with Aerial Investments, LLC
2. 9/27/13	Consulting transaction with Private Seller on Commercial Property
3. 11/26/13	Consulting transaction with Freedom Properties, LLC
4. 12/23/13	Consulting transaction with Aerial Investments, LLC

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. _____	_____	_____	_____	_____
2. _____	_____	_____	_____	_____
3. _____	_____	_____	_____	_____
4. _____	_____	_____	_____	_____
5. _____	_____	_____	_____	_____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Capital Bank (formerly GreenBank, formerly Cumberland Bank)	Mortgage on Rental Property	M
2.	MasterCard	 Credit Card	J
3.	Sallie Mae tuition loan	Educational loan	J
4.	Visa	 Credit Card	J
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. TD AMERITRADE MAIN ACCOUNT									
2. Ford Motor Co. 8.9%	A	Interest			Sold	02/22/13	J	B	
3. Georgia Pacific 7.25%	A	Interest			Sold	02/22/13	J		
4. Cash	A	Interest	J	T					
5.									
6. REAL ESTATE INVETMENT									
7. Rental Property No. 1 Davidson County, TN	E	Rent	N	Q					
8.									
9. TD AMERITRADE IRA									
10. Money Market	A	Interest	J	T					
11.									
12. TD AMERITRADE ROTH IRA									
13. Money Market	A	Interest	J	T					
14.									
15. TD AMERITRADE IRA									
16. Money Market	A	Interest	J	T					
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. TD AMERITRADE ROTH IRA									
19. Money Market	A	Interest	J	T					
20.									
21. IRA INNOVATIONS (IRA Administrator for Self Directed IRAs)									
22. Traditional IRA									
23. Money Market (Note 1)		None	K	T		3/01/13	L		
24. Loan to Aerial Investments, LLC					Buy	6/5/13	M		Aerial Investments, LLC
25. Loan to Aerial Investments, LLC	D	Interest			Matured	12/18/13	M		Aerial Investments, LLC
26. Loan to Astercor Group, LLC			M	T	Buy	12/30/13	L		Astercor Group, LLC
27.									
28.									
29. IRA INNOVATIONS (IRA Administrator for Self Directed IRAs)		None							
30. Traditional IRA									
31. Money Market (Note 2)		None	J	T		3/01/13	L		
32. Loan to Aerial Investments, LLC					Buy	3/5/13	L		Aerial Investments, LLC
33. Loan to Aerial Investments, LLC	D	Interest			Matured	9/17/13	L		Aerial Investments, LLC
34. Loan to Honey Bee Partners, LLC			M	T	Buy	09/30/13	M		Honey Bee Partners, LLC

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35.									
36.									
37. IRA INNOVATIONS (IRA Administrator for Self Directed Roth IRA's)									
38. Roth IRA									
39. Money Market (Note 3)		None	J	T	Open	12/05/13	J		
40.									
41. IRA INNOVATIONS (IRA Administrator for Self Directed Roth IRA's)									
42. Roth IRA									
43. Money Market (Note 4)		None	J	T	Open	11/21/13	J		
44.									
45.									
46.									
47.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note 1: Additional cash funds were transferred from the TD Ameritrade account to the IRA Innovations Traditional IRA Account.

Note 2: Additional cash funds transferred from [REDACTED] TD Ameritrade account to his IRA Innovaions Traditional IRA Account.

Note 3: Roth IRA opened in IRA Innovations using combination of funds rolled over from Roth IRA at TD Ameritrade, Distributed Traditional IRA Funds with IRA Innovations, and contributions.

Note 4: Roth IRA [REDACTED] opened in IRA Innovations using combination of funds rolled over from Roth IRA at TD Ameritrade, Distributed Traditional IRA Funds with IRA Innovations, and contributions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Juliet E. Griffin**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544