

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) HART, WILLIAM T.	2. Court or Organization NORTHERN DISTRICT OF ILLINOIS	3. Date of Report 04/23/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. SENIOR DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2012 to 12/31/2012
7. Chambers or Office Address 219 S. DEARBORN STREET ROOM 2246 CHICAGO, ILLINOIS 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	DIRECTOR	NORTHERN DISTRICT OF ILLINOIS COURT HISTORICAL ASSOCIATION, an Illinois not-for-profit corporation
2.	DIRECTOR AND SECRETARY	THE CLARE CHARITABLE FOUNDATION, an Illinois not-for-profit corporation
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ☐ IRA #1 UBS FINANCIAL SERV., INC.:	E	Distribution	O	T					
2. ---BANK OF CHINA CD					Redeemed	01/13/12	M	A	
3. ---Nuveen PFD & Income					Buy	07/26/12	L		
4. ---DRU, INC.									
5. ---Caterpillar FNL SVC									
6. ---Genworth Fin., Inc.					Buy	08/27/12	L		
7. ---HSBC Fin. Corp.					Redeemed	07/16/12	L	A	
8. ---BBT Cap. T.					Redeemed	07/08/12	K	A	
9. ---M&T Capital Trust									
10. ---PNC Capital Trust E					Redeemed	07/30/12	J	A	
11. ---Credit Suisse GU									
12. ---J.P. Morgan Chase									
13. ---U.S. Steel Corp. Bond					Buy	09/07/12	L		
14. ---Blackstone/GSO					Buy	09/25/12	L		
15. ---UBS Bank Deposits									
16. ---FORD MOTOR CREDIT NTS									
17. ---BANK OF AMERICA DEBT									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 I ~\$15,001 - \$50,000	E ~\$15,001 - \$50,000 J ~\$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P ~\$500,001 - \$1,000,000	K ~\$15,001 - \$50,000 O ~\$50,001 - \$100,000 Q ~\$100,001 - \$250,000	P ~\$100,001 - \$250,000 R ~\$250,001 - \$500,000 S ~\$500,001 - \$1,000,000	T ~\$1,000,001 - \$5,000,000 U ~\$5,000,001 - \$25,000,000 V ~\$25,000,001 - \$50,000,000	W ~\$50,000,001 - \$250,000,000 X ~\$250,000,001 - \$500,000,000 Y ~\$500,000,001 - \$1,000,000,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. ---MORGAN STANLEY B/E									
19. ---DUFF & PHELPS GLB UTL, INC.									
20. ---Northstar Realty Fin.					Buy	10/11/12	L		
21. ☐ UBS FINANCIAL SERV., INC. ACCT:									
22. ---British Petroleum	A	Dividend	K	T					
23. ---Duke Energy	A	Dividend	J	T					
24. ---Chi Bd. Ed. Bonds	B	Interest	L	T					
25. ---Bristol Meyers Squibb	B	Dividend	K	T					
26. ---General Electric	A	Dividend	K	T					
27. ---Blackrock Mont.	A	Dividend	K	T	Buy	08/31/12	L		
28. ---Hudson GO Purp B	B	Interest			Redeemed	12/01/12	K	A	
29. ---Luzerne, CO GO B	B	Interest	L	T					
30. ---Kansas CY CLGS	A	Interest	J	T					
31. ---Credit Suisse	A	Dividend	J	T					
32. ---Deutsche BK Cap III	B	Dividend	K	T					
33. ---UBS Bank USA	A	Interest			Redeemed	06/15/12	J	A	Trnsf. to RMAMM Ln.36sweep
34. ---Discover Fin. Serv.	A	Dividend	L	T	Buy	10/16/12	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. ---Goldman Sachs	A	Dividend	K	T	Buy	10/24/12	K		
36. ---RMA Money Mkt.	A	Dividend	J	T					
37. ---Exelon Corp.	A	Dividend	K	T	Buy	12/05/12	K		
38. ---Western Union Co.	A	Dividend	K	T	Buy	12/21/12	K		
39. STOFAN AGAZZI ACCT:									
40. ---Ill. Rural Bk Bd Rev Bond	B	Interest	K	T					
41. ---Walgreen	A	Dividend	K	T					
42. ---Romeoville, IL GO	B	Interest	K	T					
43. ---Wash. St. Higher Ed. Fac Bond	B	Interest	K	T					
44. ---IM-IT Bond Fund 79 (Van Kampen)	A	Interest	J	T					
45. ---Ill. St. U. Rev. B	A	Interest	J	T					
46. ---Rancho Mirage CA B	A	Interest	J	T					
47. Harris BMO Bank (checking)	A	Interest	K	T					
48. Harris BMO Bank (savings)	A	Interest	L	T					
49. Mass. Mut. Life Ins. (Paid Up)		None	K	T					
50. The Clare Residency Deposit Account		None	O	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

NO

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ **WILLIAM T. HART**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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