

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) van Keulen, Susan	2. Court or Organization U.S. District Court for the Northern District of California	3. Date of Report 07/31/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 280 S. 1st Street San Jose, CA 55113		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2017	(salary)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. PLI	November 7-8, 2017	San Francisco, CA	IP Litigation Panelist	Transportation, meals, and lodging
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America (Cash Accounts)	A	Interest	M	T					
2. Citibank (Cash Account)	A	Interest	K	T					
3. Chase (Cash Accounts)	A	Interest	J	T					
4. Vanguard Growth Index Fund Adm (VIGAX)	A	Dividend	J	T					
5. O'Melveny & Myers LLP Cash Balance Retirement Account		None			Distributed	06/02/17	M		
6. O'Melveny & Myers LLP Capital Account		None			Distributed	06/02/17	N		
7. [REDACTED], PC		None	M	U					
8. Amersfoort Company		None	L	U					
9. Amersfoort LLC		None			Distributed	12/31/17	N		
10. Gunter Building, LLC (X)		None	J	T					
11. Trust Account #1 (H)									
12. Charles Schwab (Cash Account) (Y)									
13. Trust Account #2 (H)									
14. Charles Schwab Deposit Account (Cash)	A	Interest	J	T					
15. Nike Inc (NKE)	A	Dividend	J	T					
16. Powershares Global Clean Energy ETF (PBD) (X)	A	Dividend	J	T					
17. Trust Account #3 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Schwab Cash Reserves (SWSXX)	A	Dividend	K	T					
19. Baird Core Plus Bd Inst (BCOIX)	A	Dividend	K	T	Buy (add'l)	06/29/17	J		
20.					Buy (add'l)	12/12/17	J		
21. Blackrock Total Return CL A (MDHGX) (Y)									
22. Eaton Vance Floating Rate Advantage I (EIFAX)	A	Dividend	J	T	Buy (add'l)	06/29/17	J		
23. Loomis Sayles Bond Fund Cl R (LSBRX) (Y)									
24. Metropolitan West Total Return Bond I (MWTIX)	A	Dividend	K	T	Buy (add'l)	12/12/17	J		
25. Pimco Incm Cl P (PONPX)	A	Dividend	J	T					
26. Prudential High Yield Fund Cl Z (PHYZX) (X)	A	Dividend	J	T					
27. Prudential Total Return Bd Z (PBDZX)	B	Dividend	K	T	Buy	06/29/17	J		
28.					Buy (add'l)	12/12/17	J		
29. Western Asset Core Plus Bd Fd I (WATFX)	A	Dividend	K	T	Buy (add'l)	06/29/17	J		
30. Artisan Intl Value Fund Adv (APDKX) (X)	A	Dividend	J	T					
31. Lazard Intl Equity Portfolio Inst Shares (LZIEX) (X)	A	Dividend	K	T					
32. Oakmark Int'l Fd Class I (OAKIX) (Y)									
33. Oakmark Int'l Small Cap Fund Adv (OAYEX)	A	Dividend	J	T					
34. Oppenheimer Intl Small Mid Company Y (OSMYX) (X)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Schwab Core Equity Fund (SWANX)	C	Dividend	K	T	Sold (part)	06/29/17	J	B	
36.					Buy (add'l)	12/12/17	J		
37. Schwab Dividend Equity Fund (SWDSX)	B	Dividend	K	T	Sold (part)	06/29/17	J	A	
38.					Buy (add'l)	12/12/17	J		
39. Schwab Fundamental US Large Co Index (SFLNX)	A	Dividend	K	T					
40. Schwab Fundamental US Small Co Index (SFSNX)	A	Dividend	J	T					
41. Schwab Large-Cap Growth Fund (SWLSX)	A	Dividend	J	T					
42. Schwab Small-Cap Equity Fund (SWSCX)	A	Dividend	J	T					
43. Templeton Foreign Fund CL A (TFF1Z) (Y)									
44. TIAA CREF INTL Equity Retail (TIERX) (Y)									
45. Trust Account #4 (H)									
46. Schwab Cash Reserves (SWSXX)	A	Dividend	K	T					
47. Baird Core Plus Bd Inst (BCOIX)	B	Dividend	K	T	Buy (add'l)	06/29/17	J		
48.					Buy (add'l)	12/12/17	J		
49. Blackrock Total Return Cl A (MDHQX) (Y)									
50. Eaton Vance Floating Rate Advantage I (EIFAX)	A	Dividend	J	T					
51. Loomis Sayles Bond Fund Cl R (LSBRX) (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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52. Metropolitan West Total Return Bond I (MWTIX)	A	Dividend	K	T	Buy (add'l)	06/29/17	J		
53.					Buy (add'l)	12/12/17	J		
54. Pimco Incm C1 P (PONPX)	A	Dividend	J	T					
55. Prudential High Yield Fund C1 Z (PHYZX) (X)	A	Dividend	J	T					
56. Prudential Total Return Bd Z (PBDZX))	B	Dividend	K	T	Buy	06/29/17	J		
57.					Buy (add'l)	12/12/17	J		
58. Western Asset Core Plus Bd Fd I (WATFX)	A	Dividend	K	T	Buy (add'l)	06/29/17	J		
59. Artisan Intl Value Fund Adv (APDKX) (X)	A	Dividend	J	T					
60. Lazard Intl Equity Portfolio Inst Shares (LZIEIX) (X)	A	Dividend	K	T					
61. Oakmark Int'l Fd Class I (OAKIX) (Y)									
62. Oakmark Int'l Small Cap Fund Adv (OAYEX)	A	Dividend	J	T					
63. Oppenheimer Intl Small Mid Company Y (OSMYX) (X)	A	Dividend	J	T					
64. Schwab Core Equity Fund (SWANX)	C	Dividend	K	T	Sold (part)	06/29/17	J	B	
65.					Buy (add'l)	12/12/17	J		
66. Schwab Dividend Equity Fund (SWDSX)	B	Dividend	K	T	Sold (part)	06/29/17	J	A	
67.					Buy (add'l)	12/12/17	J		
68. Schwab Fundamental US Large Co Index (SFLNX)	A	Dividend	K	T					

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69. Schwab Fundamental US Small CO Index (SFSNX)	A	Dividend	J	T	Sold (part)	06/29/17	J	A	
70. Schwab Large-Cap Growth Fund (SWLSX)	B	Dividend	J	T					
71. Schwab Small-Cap Equity Fund (SWSCX)	B	Dividend	J	T	Sold (part)	06/29/17	J	A	
72. Templeton Foreign Fund Cl A (TFF1Z) (Y)									
73. TIAA CREF Int'l Equity Retail (TIERX) (Y)									
74. Trust Account #5 (H)									
75. Charles Schwab Deposit Account (Cash)	A	Interest	M	T					
76. Apple Stock (APL)	A	Dividend	M	T	Buy	04/03/17	M		
77. Berkshire Hathaway, Class B Stock (BRKB)		None	M	T	Buy	06/29/17	M		
78. DowDupont Inc. (formerly E.I. De Nemours Du Pont) (DWDP)	A	Dividend	L	T	Buy	06/29/17	L		
79. Home Depot (HD)	A	Dividend	M	T	Buy	06/29/17	M		
80. Travelers Companies Group (TRV)	A	Dividend	M	T	Buy	06/29/17	M		
81. UnitedHealth Group (UNH)	B	Dividend	M	T	Buy	06/29/17	M		
82. IRA #1 (H)									
83. Schwab Cash Reserves (SWSXX)	A	Dividend	K	T					
84. Baird Core Plus Bd Inst (BCOIX)	A	Dividend	K	T	Buy (add'l)	06/29/17	J		
85. Blackrock Total Return Cl A (MDHGX) (Y)									

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86. Eaton Vance Floating Rate Advantage I (EIFAX)	A	Dividend	J	T					
87. Loomis Sayles Bond Fund CI R (LSBRX) (Y)									
88. Metropolitan West Total Return Bond I (MWTIX)	A	Dividend	K	T	Buy (add'l)	12/12/17	J		
89. Pimco Incm CI P (PONPX)	A	Dividend	J	T					
90. Prudential High Yield Fund CI Z (PHYZX) (X)	A	Dividend	J	T					
91. Prudential Total Return Bd Z (PBDZX) (X)	A	Dividend	K	T					
92. Western Asset Core Plus Bd Fd I (WATFX)	A	Dividend	K	T					
93. Artisan Intl Value Fund Adv (APDKX) (X)	A	Dividend	J	T					
94. Lazard Intl Equity Portfolio Inst Shares (LZIEIX) (X)	A	Dividend	J	T					
95. Oakmark Int'l Fd Class I (OAKIX) (Y)									
96. Oakmark Int'l Small Cap Fund Adv (OAYEX)	A	Dividend	J	T					
97. Oppenheimer Intl Small Mid Company Y (OSMYX) (X)	A	Dividend	J	T					
98. Schwab Core Equity Fund (SWANX)	A	Dividend	K	T	Sold (part)	06/29/17	J	C	
99.					Buy (add'l)	12/12/17	J		
100. Schwab Dividend Equity Fund (SWDSX)	B	Dividend	J	T					
101. Schwab Fundamental US Large Co Index (SFLNX)	A	Dividend	J	T					
102. Schwab Fundamental US Small Co Index (SFSNX)	A	Dividend	J	T					

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103. Schwab Large-Cap Growth Fund (SWLSX)	A	Dividend	J	T					
104. Schwab Small-Cap Equity Fund (SWSCX)	A	Dividend	J	T					
105. Templeton Foreign Fund CL A (TFF1Z) (Y)									
106. TIAA CREF Int'l Equity Retail (TIERX) (Y)									
107. IRA #2 (H)									
108. Schwab Cash Reserves (SWSXX)	A	Dividend	K	T					
109. Baird Core Plus Bd Inst (BCOIX)	A	Dividend	K	T	Buy (add'l)	12/12/17	J		
110. Blackrock Total Return Cl A (MDHQX) (Y)									
111. Eaton Vance Floating Rate Advantage I (EIFAX)	A	Dividend	J	T					
112. Loomis Sayles Bond Fund Cl R (LSBRX) (Y)									
113. Metropolitan West Total Return Bond I (MWTIX)	A	Dividend	K	T	Buy (add'l)	12/12/17	J		
114. Pimco Incm Cl P (PONPX)	A	Dividend	J	T					
115. Prudential High Yield Fund Cl Z (PHYZX) (X)	A	Dividend	J	T					
116. Prudential Total Return Bd Z (PBDZX) (X)	A	Dividend	K	T	Buy (add'l)	12/12/17	J		
117. Western Asset Core Plus Bd Fd I (WATFX)	A	Dividend	K	T					
118. Artisan Intl Value Fund Adv (APDKX) (X)	A	Dividend	J	T					
119. Lazard Intl Equity Portfolio Inst Shares (LZIEIX) (X)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Oakmark Int'l Fd Class I (OAKIX) (Y)									
121. Oakmark Int'l Small Cap Fund Adv (OAYEX)	A	Dividend	J	T					
122. Oppenheimer Intl Small Mid Company Y (OSMYX) (X)	A	Dividend	J	T					
123. Schwab Core Equity Fund (SWANX)	C	Dividend	K	T	Sold (part)	06/29/17	J	A	
124.					Buy (add'l)	12/12/17	J		
125. Schwab Dividend Equity Fund (SWDSX)	B	Dividend	K	T					
126. Schwab Fundamental US Large Co Index (SFLNX)	A	Dividend	J	T					
127. Schwab Fundamental US Small Co Index (SFSNX)	A	Dividend	J	T					
128. Schwab Large-Cap Growth Fund (SWLSX)	A	Dividend	J	T					
129. Schwab Small-Cap Equity Fund (SWSCX)	A	Dividend	J	T					
130. Templeton Foreign Fund Cl A (TFFIZ) (Y)									
131. TIAA CREF Int'l Equity Retail (TIERX) (Y)									
132. IRA #3 (H)									
133. RBC Money Mkt Obligs Tr Federated Prime Obligs Fd	A	Dividend	J	T					
134. Advisors Inner Circle Fd II Champlain Small Co Fd Instl (CIPNX) (X)	B	Dividend	J	T	Sold (part)	10/11/17	K	B	
135. Alger Fds II Spectra Fd Cl Z (ASPZX)	C	Dividend	L	T	Buy	10/11/17	L		
136. Amazon (X) (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Apple Inc (AAPL)	A	Dividend			Sold	02/23/17	K	A	
138. Artisan Partners Fds Inc Int'l Value Fd Adv (APDKX)		None	L	T	Buy	10/11/17	L		
139. Artisan Partners Fds Inc Mid Cap Value Fd Adv (APDQX) (X)		None			Sold	10/11/17	K	B	
140. Blackstone Group LP Common Units (Private Issue)	A	Dividend			Sold	02/23/17	J		
141. Costco Wholesale Corp-New (COST)	A	Dividend			Sold	02/23/17	K	C	
142. Facebook Inc Cl A (FB)		None			Sold	02/23/17	K	D	
143. Fedex Corp (FDX)	A	Dividend			Sold	02/23/17	K	B	
144. Gilead Sciences Inc (GILD)		None			Sold	02/23/17	J		
145. Income Fd Amer Inc Fl F2 (AMEFX) (X)	A	Dividend			Sold	10/11/17	L	C	
146. Ishares Nasdaq Biotechnology Index Fd (IBB)		None			Sold	02/23/17	J	D	
147. JP Morgan Diversified Mid Cap Growth Fd Cl I (HLGEX)	B	Dividend	K	T	Buy	10/11/17	K		
148. JP Morgan Tr II Equity Income Fd Cl I (HLIEX) (X)	B	Dividend	L	T	Sold (part)	10/11/17	L	C	
149. Lululemon Athletica Inc (LULU) (X) (Y)									
150. Mastercard Incorporated (MA)	A	Dividend			Sold	02/23/17	K	B	
151. McKesson Corp (MCK)	A	Dividend			Sold	02/23/17	J		
152. Microchip Technology Inc (MCHP)	A	Dividend			Sold	02/23/17	K	D	
153. Netflix (X) (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. PIMCO Funds, Pimco Income Fund Cl. P. (X)		None			Sold	10/17/17	J	A	
155. PJT Partners Inc Class A Com (PJT)		None			Sold	02/23/17	J		
156. Starbucks Corp (SBUX)	A	Dividend			Sold	02/23/17	K	B	
157. Vanguard Mid Cap Value Index Fund Admiral (VMAX)	A	Dividend	K	T	Buy	10/11/17	K		
158. Walt Disney Co (DIS)	A	Dividend			Sold	02/23/17	J		
159. Whole Foods Market Inc (WFM)	A	Dividend			Sold	02/23/17	J		
160. Allergan PLC (AGN)		None			Sold	02/23/17	J		
161. Broadcom Limited (X) (Y)									
162. IRA #4 (H)									
163. Fidelity Cash Management (cash account)	B	Dividend	K	T					
164. Keogh #1 (H)									
165. Fidelity Sptn 500 Index Adv	A	Dividend			Sold	06/30/17	K		
166. Buffalo Small Cap Stock (X) (Y)									
167. Fid Fdm Idx 2020 Inv (X) (Y)									
168. Fidelity Diversified Int'l K		None			Sold	06/30/17	L		
169. Fidelity Low Priced Stk K		None			Sold	06/30/17	N		
170. T. Rowe Price Inst Lg Cap Grth		None			Sold	06/30/17	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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van Keulen, Susan

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Fidelity Sptn Ext Mkt Index Adv	A	Dividend			Sold	06/30/17	L		
172. American Beacon Large Cap Value Fund Inst		None			Sold	06/30/17	N		
173. Fidelity Puritan K	A	Dividend			Sold	06/30/17	M		
174. PIMCO High Yield Inst	B	Dividend			Sold	06/30/17	M		
175. Fidelity Managed Income Portfolio II CL 1	A	Dividend			Sold	06/30/17	M		
176. Prudential Total Return Bd Q	A	Dividend			Sold	06/30/17	M		
177. Fidelity Treasury Only Money Market Fund	A	Dividend			Sold	06/30/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Transactions and coding through June 30, 2017, overlap with the initial report. As a result, items in the initial report indicating no value as of the end of June 2017 may also carry coding or transactions in this report. This is not indicative of missing transactions, but of the duplication resulting from overlapping reporting periods. Purchases on lines 27 and 56 settled after the close of the initial reporting period.

Part VII, lines 19, 22, 24, 25, 29, 33, 47, 50, 52, 54, 58, 62, 78, 84, 86, 88, 89, 92, 96, 109, 111, 113, 114, 117, and 121: Corporate name changes only. See initial report, lines 17, 19, 21, 22, 23, 25, 36, 38, 40, 41, 42, 44, 57, 63, 65, 67, 68, 69, 71, 82, 84, 86, 87, 88, and 90, respectively.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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van Keulen, Susan

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Susan van Keulen**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544