

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Miller, Douglas E.	2. Court or Organization United States District Court, Eastern District of Virginia	3. Date of Report 4/25/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge, full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address United States District Court Eastern District of Virginia 600 Granby Street, Room 181 Norfolk, VA 23510		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	Charles H. Taylor Memorial Library
2. Board of Managers Member	The Edward L. Williams and Mary B. Williams Foundation
3. Adjunct Faculty	William & Mary Law School
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 7/5/2017	Adjunct Faculty Appointment at William & Mary Law School for 2 credit course for Fall 2017.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 8/17-12/17	Compensation Adjunct Faculty at William & Mary Law School	\$2,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Virginia Trial Lawyers Association	3/30/17-3/31/17	White Sulphur Springs, WV	CLE Speaker for Annual Meeting	Travel, lodging and meals
2. Administrative Office	5/31/17-6/2/17	Washington, DC	Federal-State Jurisdiction Committee Meeting	Travel, lodging and meals
3. Virginia Bar Association	7/20/17-7/21/17	Hot Springs, VA	CLE Speaker	Travel, lodging and meals
4. Administrative Office	8/7/17-8/8/17	Philadelphia, PA	Annual Conference of Chief Justices and COSCA	Travel, lodging and meals
5. Administrative Office	11/29/17-12/1/17	Austin, TX	Federal-State Jurisdiction Committee Meeting	Travel, lodging and meals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Property-Hampton VA (2017 Assessment: \$127,700)	D	Rent	M	S					
2. Merrill-Lynch Money Market Acct.	A	Interest	J	T					
3. Merrill-Lynch Mutual Funds:									
4. -AIG Focused Divident Strategy (formerly SunAmerica Foc.Div. Strategy)	A	Dividend	K	T					
5. -Blackrock Multi Asset Income Portfolio	A	Dividend	K	T	Buy	06/21/17	J		
6. -Calvert Short Duration Income Fund	A	Dividend	J	T	Buy	03/28/17	J		
7. -Calvert Short Duration Income Fund	A	Dividend	J	T	Sold (part)	06/21/17	J		
8. -Delaware Emerging Markets Fund	A	Dividend	J	T	Buy	06/21/17	J		
9. -Delaware Value Fund	A	Dividend	K	T	Buy	06/21/17	K		
10. -Eaton Vance Floating Rate	A	Dividend	J	T	Buy	03/28/17	J		
11. -IQ Enhanced Core Bond	A	Dividend			Sold	03/30/17	J		
12. -Ishares MSCI USA Minimum Volatility ETF	A	Dividend	K	T					
13. -Janus Henderson Strategic Income (formerly Henderson SIF)	A	Dividend	J	T	Buy	06/21/17	J		
14. -Loomis Sayles Growth Fund	A	Dividend	K	T	Sold (part)	06/21/17	J		
15. -MFS Emerging Markets Debt	A	Dividend	J	T					
16. -MFS International Diversificaiton Fund	A	Dividend	K	T	Sold (part)	06/21/17	J		
17. -MFS Technology Fund	A	Dividend			Sold	06/21/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Neuenger Berman Intl Select Fund	A	Dividend	J	T					
19. -Nuveen Small Cap Value Fund	A	Dividend	J	T					
20. -Pimco Income Fund	A	Dividend	K	T	Buy	06/20/17	J		
21. -Principal Midcap Fund	A	Dividend	J	T					
22. -Prudential Short Dur High Yld Income	A	Dividend	J	T					
23. -Touchstone Flexible Income Fund	A	Dividend	K	T	Buy	06/21/17	J		
24. -Vanguard 500 Index Fund	A	Dividend	J	T	Sold (part)	06/23/17	J		
25. -Western Asset Mortgage Backed Securities Fund	A	Dividend			Sold	03/28/17	J		
26. Northwestern Mutual Whole Life Policy	A	Dividend	K	T					
27. SunTrust Savings Acct.	A	Interest	K	T					
28. Newport News Municipal Employees C.U.	A	Interest	J	T					
29. ICMA-Retirement Corp. 503-B		None	M	T					
30. Rite Aid Corp.	A	Dividend	J	T					
31. TIAA CREF-Retirement Acct:									
32. -TIAA Traditional		None	K	T					
33. -CREF Stock		None	K	T					
34. VA Education Savgs Trust, Potomac Portfolio, FBO minor child		None	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.	VA Education Savgs Trust, Potomac Portfolio, FBO minor child		None	K	T				
36.	Nelson's Grant Development LLC- Investment Membership		None	L	U				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Douglas E. Miller**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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