

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SEGAL, SUZANNE H.	2. Court or Organization US DIST CRT-CENTRAL DIST CA	3. Date of Report 08/13/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US MAGISTRATE JUDGE-FULL-TIME	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address ROYBAL FEDERAL BUILDING 255 EAST TEMPLE STREET, NO 5100 LOS ANGELES, CA 90012		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	CO-TRUSTEE	TRUST #1
2.	CO-TRUSTEE	TRUST #2
3.	CO-TRUSTEE	TRUST #3
4.	CO-TRUSTEE	TRUST #4
5.	TRUSTEE	TRUST #6

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	COUNTY OF LOS ANGELES
2. 2015	STATE OF CALIFORNIA
3. 2015	UNIVERSITY OF SOUTHERN CALIFORNIA
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Cornell Law School	March 3-6, 2015	Ithaca, New York	Spoke at Women's Law Conference	Hotel, transportation, meals
2. Association of Business Trial Lawyers	April 23-25, 2015	Palos Verdes, California	Association of Business Trail Lawyers Retreat	Hotel, transportation, meals
3. Association of Business Trial Lawyers	October 1-4, 2015	Ojai, California	Association of Business Trail Lawyers Annual Seminar	Hotel, transportation, meals
4. Federal Bar Association, Litigation Section	October 26-27, 2015	Washington D.C.	Federal Bar Association, Litigation Section meeting	Hotel, transportation, meals
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BROKERAGE ACCOUNT #1 (H)									
2. -NEUBERGER BERMAN SOCIALLY RESPONSIVE FUND INVESTOR CLASS	D	Dividend	M	T					
3. -NEUBERGER & BERMAN EQUITY FDS EQUITY INCOME FD INSTL CL	E	Dividend	N	T	Sold (part)	06/09/15	J	C	
4. -PROCTER & GAMBLE CO BOND	A	Interest			Sold	01/14/15	K	A	
5. -VENTAS REALTY LP/CAP CRP SR BOND UNSECURED	A	Interest	K	T					
6. -PASADENA CALIF UNI SCH DIST 2008 ELECTION GO BOND	A	Interest			Sold (part)	07/24/15	J		
7.					Sold	08/26/15	J		
8. -STATE ST INSTL LIQUID RESVS FD	A	Dividend	K	T					
9. -DEERE JOHN CAP CORP BOND	A	Interest	K	T					
10. -CALIFORNIA ST FOR PREVIOUS ISS GO BONDS	A	Interest	K	T					
11. -SANTA MARIA BONITA CALIF SCH D COPS BOND	A	Interest	K	T					
12. -NEUBERGER & BERMAN EQUITY FD GUARDIAN FD INSTL CL	C	Dividend	K	T					
13. -AMAZON.COM INC BOND	A	Interest			Sold (part)	01/14/15	J	A	
14.					Sold (part)	01/26/15	J	A	
15.					Sold	02/23/15	J	A	
16. -ECOLAB INC BOND	A	Interest	K	T					
17. -MONSANTO CO SR UNSECURED BOND	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -CALIFORNIA ST PUB WKS BRD LEASE REV BOND 2014A	A	Interest	K	T					
19. -ASTRAZENECA PLC SENIOR NOTES BOND		None	K	T	Buy	12/22/15	K		
20. US BANK INTEREST CHECKING	A	Interest	J	T					
21. US BANK STANDARD CHECKING	A	Interest	M	T					
22. US BANK INTEREST CHECKING		None	J	T					
23. SOUTHLAND CREDIT UNION SAVINGS ACCOUNT	A	Interest	M	T					
24. SOUTHLAND CREDIT UNION CERTIFICATE ACCOUNT	A	Interest	K	T					
25. AMERICAN CENTURY CALIFORNIA TAX FREE BOND (Y)									
26. AMERICAN ELECTRIC POWER CO INC COMMON STOCK	A	Dividend	J	T					
27. HONEYWELL INTERNATIONAL INC COMMON STOCK	A	Dividend	J	T					
28. TRUST #1 (H)									
29. -NEUBERGER BERMAN SOCIALLY RESPONSIVE FUND INVESTOR CLASS	D	Dividend	M	T	Buy (add'l)	08/14/15	J		
30. -NEUBERGER & BERMAN EQUITY FDS EQUITY INCOME FD INSTL CL	D	Dividend	L	T					
31. -STATE ST INSTL LIQUID RESVS FD	A	Dividend	K	T					
32. -NEUBERGER & BERMAN EQUITY FD GUARDIAN FD INSTL CL	C	Dividend	K	T					
33. TRUST #2 (H)									
34. -NEUBERGER BERMAN SOCIALLY RESPONSIVE FUND INVESTOR CLASS	D	Dividend	L	T	Buy (add'l)	08/14/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -NEUBERGER & BERMAN EQUITY FDS EQUITY INCOME FD INSTL CL	D	Dividend	L	T					
36. -STATE ST INSTL LIQUID RESVS FD	A	Dividend	K	T					
37. -NEUBERGER & BERMAN EQUITY FD GUARDIAN FD INSTL CL	C	Dividend	K	T					
38. TRUST #3 (H)									
39. -NEUBERGER BERMAN SOCIALLY RESPONSIVE FUND INVESTOR CLASS	D	Dividend	L	T	Buy (add'l)	08/14/15	J		
40. -NEUBERGER & BERMAN EQUITY FDS EQUITY INCOME FD INSTL CL	C	Dividend	K	T					
41. -STATE ST INSTL LIQUID RESVS FD	A	Dividend	J	T					
42. -NEUBERGER & BERMAN EQUITY FD GUARDIAN FD INSTL CL	B	Dividend	K	T					
43. TRUST #4 (H)									
44. -NEUBERGER BERMAN SOCIALLY RESPONSIVE FUND INVESTOR CLASS	D	Dividend	L	T	Buy (add'l)	08/14/15	K		
45. -NEUBERGER & BERMAN EQUITY FDS EQUITY INCOME FD INSTL CL	D	Dividend	L	T					
46. -STATE ST INSTL LIQUID RESVS FD	A	Dividend	J	T					
47. -NEUBERGER & BERMAN EQUITY FD GUARDIAN FD INSTL CL	C	Dividend	K	T					
48. TRUST #5 (H)									
49. -NEUBERGER BERMAN SOCIALLY RESPONSIVE FUND INVESTOR CLASS	C	Dividend	L	T	Buy (add'l)	08/14/15	J		
50. -NEUBERGER & BERMAN EQUITY FDS EQUITY INCOME FD INSTL CL	C	Dividend	L	T					
51. -STATE ST INSTL LIQUID RESVS FD	A	Dividend	J	T					

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(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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52. -NEUBERGER & BERMAN EQUITY FD GUARDIAN FD INSTL CL	B	Dividend	K	T					
53. INDIVIDUAL RETIREMENT ACCOUNT #1 (H)									
54. -LIQUID ASSET FUND	A	Interest	K	T					
55. -OAKLAND CALIFORNIA PENSION OBLIG TAXABLE BOND (Y)									
56. -MS DIVIDEND GROWTH SECURITIES B. MUTUAL FUND (Y)									
57. -VOYA FINANCIAL ING GOLDSELECT OPPORTUNITIES ANNUITY		None	J	T					
58. -INVESCO DIVERSIFIED DIVIDEND A (X)	A	Dividend	J	T					
59. INDIVIDUAL RETIREMENT ACCOUNT #2 (H)									
60. -NEUBERGER BERMAN SOCIALLY RESPONSIVE FUND INVESTOR CLASS	E	Dividend	N	T	Buy (add'l)	08/14/15	K		
61.					Buy (add'l)	08/19/15	M		
62.					Buy (add'l)	09/14/15	K		
63. -NEUBERGER & BERMAN EQUITY FDS EQUITY INCOME FUND INSTL CL	D	Dividend	M	T	Buy (add'l)	08/19/15	K		
64. -STATE ST INSTL LIQUID RESVS FD	A	Dividend	L	T					
65. -NEUBERGER & BERMAN EQUITY FD GUARDIAN FD INSTL CL	E	Dividend	M	T	Buy (add'l)	08/19/15	M		
66. -ACTAVIS FDG SCS GTD NT BOND		None	J	T	Buy	10/20/15	J		
67. -AT&T INC SR UNSECURED BOND		None	J	T	Buy	08/25/15	J		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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68. -BANK OF AMERICA CORP SR UNSECURED BOND	A	Interest	J	T	Buy	08/25/15	J		
69. -BAXTER INTL INC SR NT BOND	A	Interest	J	T	Buy	09/03/15	J		
70. -BUNGE LTD FIN CORP BOND	A	Interest	J	T	Buy	08/24/15	J		
71. -CITIGROUP INC BOND		None	J	T	Buy	08/25/15	J		
72. -DANAHER CORP BOND		None	J	T	Buy	09/10/15	J		
73. -EASTMAN CHEM CO BOND		None	J	T	Buy	11/17/15	J		
74. -EATON CORP BOND	A	Interest	J	T	Buy	10/20/15	J		
75. -ENTERGY CORP NEW SR NT BOND	A	Interest	J	T	Buy	08/24/15	J		
76. -EXELON GENERATION CO LLC SR NT BOND		None	J	T	Buy	10/28/15	J		
77. -GOLDMAN SACHS GROUP INC BOND		None	J	T	Buy	08/21/15	J		
78. -JPMORGAN CHASE & CO BOND		None	J	T	Buy	08/25/15	J		
79. -KINDER MORGAN ENERGY PARTNERS LP BOND	A	Interest	J	T	Buy	08/24/15	J		
80. -MCDONALDS CORP MEDIUM TERM NTS BOND		None	J	T	Buy	09/16/15	J		
81. -MORGAN STANLEY SR UNSECURED BOND	A	Interest	J	T	Buy	08/20/15	J		
82. -NASDAQ STK MKT INC SENIOR NOTES BOND		None	J	T	Buy	08/24/15	J		
83. -PENTAIR FINANCE SA SR UNSECURED BOND		None	J	T	Buy	09/09/15	J		
84. -PFIZER INC NOTE BOND	A	Interest	J	T	Buy	08/20/15	J		

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85. -PLAINS ALL AMERN PIPELINE LP BOND		None	J	T	Buy	12/18/15	J		
86. -REGENCY ENERGY PARTNERS LP SR NT BOND		None	J	T	Buy	09/03/15	J		
87. -RIO TINTO FINANCE USA LTD BOND	A	Interest	J	T	Buy	08/24/15	J		
88. -VERIZON COMMUNICATIONS INC NT BOND	A	Interest	J	T	Buy	08/24/15	J		
89. -WELLS FARGO & CO NEW NT BOND	A	Interest	J	T	Buy	08/20/15	J		
90. -WESTERN UN CO BOND	A	Interest	J	T	Buy	09/01/15	J		
91. -WILLIAMS PARTNERS LP SR NT BOND	A	Interest	J	T	Buy	08/24/15	J		
92. -ZIMMER HLDGS INC BOND	A	Interest	J	T	Buy	11/05/15	J		
93. -ALCOA INC NT BOND		None	J	T	Buy	08/20/15	J		
94. -AMERIGAS FINANCE LLC/COR BOND	A	Interest	J	T	Buy	08/24/15	J		
95. -ARCELORMITTAL LUXEMBOURG NT BOND	A	Interest	J	T	Buy	08/20/15	J		
96. -BALL CORP SENIOR NOTES BOND	A	Interest	J	T	Buy	08/20/15	J		
97. -CNH CAP LLC SR NT 17 BOND		None	J	T	Buy	09/11/15	J		
98. -CNH CAP LLC SR NT 18 BOND	A	Interest	J	T	Buy	09/01/15	J		
99. -CONCHO RES INC SR NT BOND		None	J	T	Buy	11/12/15	J		
100. -D R HORTON INC BOND	A	Interest	J	T	Buy	08/20/15	J		
101. -DOLPHIN SUBSIDIARY II INC SR NT BOND	A	Interest	J	T	Buy	08/20/15	J		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
102. -LENNAR CORP SENIOR NOTES BOND	A	Interest	J	T	Buy	08/24/15	J		
103. -RANGE RESOURCES CORPORATION SR NT BOND	A	Interest	J	T	Buy	09/16/15	J		
104. -SLM CORP UNSECURED BOND	A	Interest	J	T	Buy	09/29/15	J		
105. -TESORO CORP BOND	A	Interest	J	T	Buy	08/20/15	J		
106. -TOLL BROS FINANCE CORP BOND	A	Interest	J	T	Buy	09/01/15	J		
107. -AMERICAN EXPRESS CREDIT CORP BOND	A	Interest	J	T	Buy	09/03/15	J		
108. -HOME DEPOT INC SENIOR NOTES BOND	A	Interest	J	T	Buy	09/08/15	J		
109. -LOWES COS INC SR UNSECURED BOND	A	Interest	J	T	Buy	09/09/15	J		
110. -NATIONAL CITY BANK BOND	A	Interest	J	T	Buy	09/03/15	J		
111. 0.23737% INTEREST IN MS & K REALTY CO	A	Distribution	J	T					
112. RETIREMENT PLAN #1 (H)									
113. -SAVINGS (FKA LA COUNTY) STABLE VALUE FUND		None			Sold	09/30/15	K		
114. -SAVINGS NON-U.S. EQUITY FUND		None			Sold	09/30/15	K		
115. -SAVINGS SMALL CAP EQUITY FUND		None			Sold	09/30/15	M		
116. -SAVINGS LARGE CAP EQUITY FUND		None			Sold	09/30/15	N		
117. RETIREMENT PLAN #2 (H)									
118. -HORIZONS NON-U.S. EQUITY FUND		None			Sold	09/30/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SEGAL, SUZANNE H.

Date of Report

08/13/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
119. -HORIZONS SMALL CAP EQUITY FUND		None			Sold	09/30/15	K		
120. -HORIZONS MID CAP EQUITY FUND		None			Sold	09/30/15	L		
121. -HORIZONS LARGE CAP EQUITY FUND		None			Sold	09/30/15	M		
122. -HORIZONS BOND FUND		None			Sold	09/30/15	L		
123. CHASE BANK SAVINGS ACCOUNT	A	Interest	K	T					
124. FIDELITY INVESTMENT ACCOUNT (H)									
125. -FIDELITY CASH (Y)									
126. -FIDELITY REAL ESTATE INVESTMENT PORTFOLIO (Y)									
127. -FIDELITY ASSET MANAGER 50% (Y)									
128. -FIDELITY ASSET MANAGER 70% (Y)									
129. FIDELITY INVESTMENT ACCOUNT (H)									
130. -FIDELITY ASSET MANAGER 50% FUND (X)	A	Dividend	J	T	Sold (part)	07/27/15	J		
131.					Sold (part)	11/30/15	J		
132. -FIDELITY STRATEGIC DIVIDEND & INCOME FUND (X)	A	Dividend	J	T	Sold (part)	07/27/15	J		
133. MORGAN STANLEY INVESTMENT ACCOUNT (H)									
134. -LIQUID ASSET FUND (X)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

SEGAL, SUZANNE H.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
135. -VOYA FINANCIAL ING GOLDENSELECT OPPORTUNITIES ANNUITY(X)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

SEGAL, SUZANNE H.

Date of Report

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 1) PART I, LINE 5 - NEITHER THE FILER, HER SPOUSE OR HER DEPENDENT CHILD CREATED TRUST #6, AND NONE RECEIVE INCOME FROM OR HAVE A CURRENT BENEFICIAL INTEREST IN THIS TRUST.
- 2) PART VII, LINES 25, 125, 126, 127 AND 128 ARE ASSETS HELD BY A CHILD WHO IS NO LONGER A DEPENDENT OF THE FILER.
- 3) PART VII, ASSETS 55 AND 56 WERE DISPOSED OF IN A PRIOR YEAR BUT INADVERTENTLY NOT REMOVED FROM ASSETS LISTED AS HELD IN INDIVIDUAL RETIREMENT ACCOUNT #1.
- 4) PART VII, LINE 57 WAS FORMERLY KNOWN AS GOLDEN AMERICA ING OPPORTUNITIES ANNUITY.
- 5) PART VII, LINE 58 WAS ACQUIRED IN A PRIOR YEAR AND INADVERTENTLY OMITTED FROM THE ASSETS LISTED AS HELD IN INDIVIDUAL RETIREMENT ACCOUNT #1.
- 6) PART VII, LINES 130 AND 132 WERE ACQUIRED IN A PRIOR YEAR IN AN ACCOUNT IN THE NAMES OF THE FILER'S SPOUSE AND DEPENDENT CHILD AND WERE INADVERTENTLY OMITTED FROM THE PREVIOUS REPORT.
- 7) PART VII, LINES 134 AND 135 - THE VALUES AND INCOME OF THESE ASSETS WERE INADVERTENTLY COMBINED WITH THE ASSETS HELD IN INDIVIDUAL RETIREMENT ACCOUNT #1 IN THE PREVIOUS REPORT.

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Name of Person Reporting

SEGAL, SUZANNE H.

Date of Report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ SUZANNE H. SEGAL**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544