

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                          |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Bason, Neil W.                                                                                                                       | <b>2. Court or Organization</b><br><br>Bankruptcy Court - California, Central District                                                                                                                                                                                                   | <b>3. Date of Report</b><br><br>06/11/2019                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>Bankruptcy Judge                                                              | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2018<br>to<br>12/31/2018 |
| <b>7. Chambers or Office Address</b><br><br>Roybal Fed. Bldg.<br>255 E. Temple St., Ste. 1552<br>Los Angeles, CA 90012                                                                                    |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

|    |  |  |
|----|--|--|
| 1. |  |  |
| 2. |  |  |
| 3. |  |  |
| 4. |  |  |
| 5. |  |  |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

|    |  |
|----|--|
| 1. |  |
| 2. |  |
| 3. |  |

**FINANCIAL DISCLOSURE REPORT**

Page 2 of 7

Name of Person Reporting

Bason, Neil W.

Date of Report

06/11/2019

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

| DATE | SOURCE AND TYPE | INCOME<br>(yours, not spouse's) |
|------|-----------------|---------------------------------|
| 1.   |                 |                                 |
| 2.   |                 |                                 |
| 3.   |                 |                                 |
| 4.   |                 |                                 |

**B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.***(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

| DATE | SOURCE AND TYPE |
|------|-----------------|
| 1.   |                 |
| 2.   |                 |
| 3.   |                 |
| 4.   |                 |

**IV. REIMBURSEMENTS** *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

| SOURCE                             | DATES                                                                          | LOCATION          | PURPOSE                                         | ITEMS PAID OR PROVIDED |
|------------------------------------|--------------------------------------------------------------------------------|-------------------|-------------------------------------------------|------------------------|
| 1. Inn of Court                    | 2/13/18, 3/13/18,<br>5/8/18, 6/12/18,<br>7/30/18, 9/28/18,<br>10/9/18, 11/6/18 | Los Angeles, CA   | education; meeting with<br>attorneys and judges | meals                  |
| 2. California Bankruptcy Forum     | 5/17/18-5/20/18                                                                | Lake Tahoe, CA    | education; meeting with<br>attorneys and judges | meals, lodging         |
| 3. Bay Area Bankruptcy Forum       | 4/16/18                                                                        | San Francisco, CA | education; meeting with<br>attorneys and judges | meal, transportation   |
| 4. Los Angeles Bankruptcy<br>Forum | 6/4/18                                                                         | Los Angeles, CA   | education; meeting with<br>attorneys and judges | meal                   |

**FINANCIAL DISCLOSURE REPORT**

Page 3 of 7

| Name of Person Reporting | Date of Report |
|--------------------------|----------------|
| Bason, Neil W.           | 06/11/2019     |

|    |                                   |                   |                |                                                 |       |
|----|-----------------------------------|-------------------|----------------|-------------------------------------------------|-------|
| 5. | Orange County Bankruptcy<br>Forum | 1/25/18, 10/11/18 | Costa Mesa, CA | education; meeting with<br>attorneys and judges | meals |
|----|-----------------------------------|-------------------|----------------|-------------------------------------------------|-------|

**FINANCIAL DISCLOSURE REPORT**

Page 4 of 7

Name of Person Reporting

Bason, Neil W.

Date of Report

06/11/2019

**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

|    | <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|----|---------------|--------------------|--------------|
| 1. |               |                    |              |
| 2. |               |                    |              |
| 3. |               |                    |              |
| 4. |               |                    |              |
| 5. |               |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

|    | <u>CREDITOR</u>                   | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|----|-----------------------------------|--------------------|-------------------|
| 1. | US Bank                           | credit card        | J                 |
| 2. | Citibank (government credit card) | credit card        | J                 |
| 3. |                                   |                    |                   |
| 4. |                                   |                    |                   |
| 5. |                                   |                    |                   |

# FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

Bason, Neil W.

Date of Report

06/11/2019

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                              |   |           |   |   |  |  |  |  |  |
|----------------------------------------------|---|-----------|---|---|--|--|--|--|--|
| 1. First Republic Bank accounts              | B | Interest  | M | T |  |  |  |  |  |
| 2. Northwestern Mutual - whole life policies | C | Dividend  | M | T |  |  |  |  |  |
| 3. IRA #1 (H)                                |   |           |   |   |  |  |  |  |  |
| 4. -American Century One Choice 2035 Inv.    | A | Int./Div. | M | T |  |  |  |  |  |
| 5. IRA #2 (H)                                |   |           |   |   |  |  |  |  |  |
| 6. -Schwab Market Track Growth               | A | Int./Div. | J | T |  |  |  |  |  |
| 7. Duane Morris LLP 401(k) (H)               |   |           |   |   |  |  |  |  |  |
| 8. -Dodge & Cox Balanced Fund Mutual Fund    | A | Int./Div. | L | T |  |  |  |  |  |
| 9.                                           |   |           |   |   |  |  |  |  |  |
| 10.                                          |   |           |   |   |  |  |  |  |  |
| 11.                                          |   |           |   |   |  |  |  |  |  |
| 12.                                          |   |           |   |   |  |  |  |  |  |
| 13.                                          |   |           |   |   |  |  |  |  |  |
| 14.                                          |   |           |   |   |  |  |  |  |  |
| 15.                                          |   |           |   |   |  |  |  |  |  |
| 16.                                          |   |           |   |   |  |  |  |  |  |
| 17.                                          |   |           |   |   |  |  |  |  |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

**FINANCIAL DISCLOSURE REPORT**

Page 6 of 7

Name of Person Reporting

Bason, Neil W.

Date of Report

06/11/2019

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

# FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Bason, Neil W.

Date of Report

06/11/2019

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Neil W. Bason**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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