

AO 10
Rev. 1/2012

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2011**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) BREYER, STEPHEN G.	2. Court or Organization U.S. SUPREME COURT	3. Date of Report 05/15/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ASSOC. JUSTICE SUPREME COURT	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address SUPREME COURT OF UNITED STATES 1 FIRST STREET, N.E. WASHINGTON, D.C. 20543		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	TRUSTEE (HONORARY)	DANA-FARBER CANCER INSTITUTE
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	RANDOM HOUSE, INC.; ROYALTY INCOME	\$45,631.62
2. 2011	THE AUTHORS REGISTRY, INC; ROYALTY INCOME	\$1,180.05
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	PSYCHOLOGY PRACTICE
2. 2011	DANA-FARBER CANCER INSTITUTE -SALARY
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. NEW YORK UNIVERSITY	FEBRUARY 1, 2011	NEW YORK, NY	PARTICIPANT IN SENATOR KENNEDY DEDICATION EVENT	ONE-WAY FLIGHT, ONE-WAY TRAIN, LUNCH
2. DADE COUNTY BAR ASSOCIATION	FEBRUARY 15, 2011	MIAMI, FL	LECTURE	LODGING, MEALS, & HALF OF FLIGHT
3. FORUM CLUB OF PALM BEACHES & PALM BEACH COUNTY BAR ASSOCIATION	FEBRUARY 16, 2011	PALM BEACH, FL	BOOK EVENT	LODGING, MEALS, & HALF OF FLIGHT

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4.	COLLEGE DE FRANCE	MARCH 4-11, 2011	PARIS, FRANCE	PARTICIPANT IN SEVERAL SPEAKING EVENTS ARRANGED BY PROF. DELMAS-MARTY	FLIGHT & MEALS
5.	BRITISH INSTITUTE OF INTERNATIONAL AND COMPARATIVE LAW (J. JOWELL)	MARCH 11, 2011	LONDON, UNITED KINGDOM	BOOK EVENT	TRAIN FROM PARIS TO LONDON
6.	UNIVERSITY OF TEXAS AT AUSTIN	APRIL 4-5, 2011	AUSTIN, TX	BOOK EVENT - LBJ LIBRARY LECTURE	FLIGHT FROM DC TO AUSTIN, AND THEN TO LITTLE ROCK, ARKANSAS.
7.	CLINTON LIBRARY	APRIL 5-6, 2011	LITTLE ROCK, ARKANSAS	BOOK EVENT - LECTURE	ONE-WAY FLIGHT FROM LITTLE ROCK, ARKANSAS TO BOSTON, MA
8.	RUTGERS UNIV/NEW JERSEY NETWORK	APRIL 28, 2011	NEWARK, NJ	PARTICIPANT IN 90 MIN RECORDED PROGRAM FOR THE SHOW DUE PROCESS	ROUND-TRIP TRAIN FROM DC TO NEWARK, NJ
9.	MASSACHUSETTS BAR ASSOCIATION	MAY 19, 2011	BOSTON, MA	KEYNOTE SPEAKER AT 100TH ANNIVERSARY OF MBA	FLIGHT
10.	NEW HAMPSHIRE SUPREME COURT	MAY 26, 2011	CONCORD, NH	NH SUPREME COURT, CONCORD, NH	ONE-WAY FLIGHT FROM DC TO MANCHESTER, NH
11.	NY HISTORICAL SOCIETY	MAY 31, 2011	NEW YORK, NY	LECTURE AT NY SOCIETY FOR ETHICAL CULTURE	TRAIN FROM NEW YORK CITY TO DC
12.	ASPEN IDEAS FESTIVAL	JUNE 28, 2011 - JULY 5, 2011	ASPEN, CO	PARTICIPANT IN VARIOUS SPEAKING EVENTS	FLIGHT, LODGING & MEALS
13.	ABA ANNUAL MEETING	AUGUST 6-7, 2011	TORONTO, CANADA	PARTICIPANT IN ROUNDTABLE AND KEYNOTE SPEAKER	FLIGHT, TRANSPORTATION, LODGING & MEALS
14.	RADIO FRANCE INSTITUTE	SEPTEMBER 9, 2011	NEW YORK, NY	LIVE INTERVIEW REGARDING 9/11 AND TERRORISM	FLIGHT
15.	CHICAGO KENT UNIVERSITY, CHICAGO COUNCIL ON GLOBAL AFFAIRS, KNOPF VINTAGE PUBLISHERS	SEPTEMBER 12-13, 2011	CHICAGO, IL	BOOK EVENT - LECTURE	FLIGHT & LODGING
16.	NIXON LIBRARY FOUNDATION	SEPTEMBER 14, 2011	YORBA LINDA, CA	BOOK EVENT - LECTURE	FLIGHT
17.	YALE LAW SCHOOL	SEPTEMBER 21-24, 2011	NEW HAVEN, CT	PARTICIPANT IN YALE GLOBAL CONSTITUTIONALISM SEMINAR	FLIGHT, LODGING & MEALS

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18.	WORLD AFFAIRS COUNCIL OF PHILADELPHIA, UNIVERSITY OF BALTIMORE. KNOFF VINTAGE	OCTOBER 13, 2011	PHILADELPHIA AND BALTIMORE	BOOK EVENT - LECTURE	TRAIN FROM DC TO PHILADELPHIA, AND THEN TO BALTIMORE
19.	STANFORD UNIVERSITY SCHOOL OF LAW AND SAN FRANCISCO ARTS & LECTURES	NOVEMBER 15-16, 2011	SAN FRANCISCO, CA	BOOK EVENT - LECTURE	FLIGHT
20.	TRUMAN LIBRARY	DECEMBER 8, 2011	KANSAS CITY, MO	BOOK EVENT - LECTURE	FLIGHT
21.	92ND STREET Y, CARNEGIE COUNCIL, COSMOPOLITAN CLUB, KNOFF VINTAGE PUBLISHERS	DECEMBER 11-13, 2011	NEW YORK, NY	VARIOUS BOOK EVENTS	FLIGHT FROM DC TO NEW YORK TO BOSTON

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

BREYER, STEPHEN G.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset except from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
1. INVESTMENTS- PAINE WEBBER:									
2. GENZYME CPR - RESTRICTED	A	Interest	J	W					
3. SMITH BARNEY CITIGROUP IRA ACCOUNT:									
4. CITIBANK NA BANK DEPOSIT PROGRAM	A	Interest	M	T					
5. U.S. TREASURY NOTE 8/15/13	B	Interest	K	T					
6. U.S. TREASURY NOTE 2/15/11	A	Interest			Matured	02/15/11	K	A	
7. IRA ACCOUNT:									
8. DWS (FKA SCUDDER) CORE PLUS INCOME FUND	A	Dividend	K	T					
9. DWS (FKA SCUDDER) LARGE CAPITAL VALUE FUND	B	Dividend	L	T					
10. OTHER HOLDINGS:									
11. DWS (FKA SCUDDER) CORE PLUS INCOME FUND - IRA	A	Dividend	K	T					
12. BANK OF AMERICA - CHECKING	A	Interest	K	T					
13. TIAA/CREF	E	Interest	P1	T					
14. TRUST:									
15. TAI SHAN FUND (FKA CHINA PARTNERS, L.P.)	B	Int./Div.	M	U					
16. WINDSOR FUND	A	Dividend	K	T	Buy (add'l)	03/22/11	K		
17. AUTOMATIC DATA PROCESSING INC COMMON STOCK	B	Dividend	L	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

E = \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. SIGMA ALDRICH CORP. COMMON STOCK	A	Dividend	L	T					
19. CINTAS CORP. COMMON STOCK	A	Dividend	L	T					
20. SYSCO CORP. COMMON STOCK	C	Dividend	M	T					
21. VANGUARD S&P 500 INDEX FUND	D	Dividend	N	T					
22. NOVARTIS AG SPONSORED ADR		None			Sold	02/16/11	L	C	
23. APPLIED ANALYSIS INC - COMMON STOCK		None	J	T					
24. APPLIED ANALYSIS INC - PREFERRED STOCK		None	J	T					
25. ISHARES MSCI PAC EX-JAPAN INDEX FD	A	Dividend	K	T					
26. ISHARES MSCI EMERGING MARKETS IDX FD	A	Dividend	K	T					
27. ISHARES MSCI EAFE INDEX FD	A	Dividend	J	T					
28. U.S. TREASURY BILL - 8/15/13	B	Interest	K	T					
29. VANGUARD DIVIDEND GROWTH FUND -IV	B	Dividend	M	T					
30. VANGUARD MID-CAP INDEX FUND -A	A	Dividend	L	T					
31. DODGE & COX INTERNATIONAL STOCK FUND	B	Dividend	L	T					
32. ROYCE LOW-PRICED STOCK SERVICE FUND	C	Dividend	L	T					
33. OAKMARK INTERNATIONAL FUND - I	A	Dividend	L	T					
34. FEDERATED US TREASURY CASH RSV FD-I	A	Dividend	K	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

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P3 = \$25,000,001 - \$50,000,000

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G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

E = \$15,001 - \$50,000

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. INTERSENSE INC. CLASS C PREFERRED SERIES C-1		None	J	T					
36. INTERSENSE INC. CLASS A PREFERRED SERIES A-2		None	J	T					
37. INTERSENSE INC. CLASS B PREFERRED SERIES B-1		None	J	T					
38. U.S. TREASURY BILL - 6/2/11	A	Interest			Sold	03/21/11	K	A	
39. VANGUARD SMALL CAP INDEX-INV	A	Dividend	K	T	Buy	03/24/11	K		
40.					Buy (add'l)	12/23/11	J		
41. FIDELITY MA MUNICIPAL INCOME	B	Dividend	L	T	Buy	03/21/11	L		
42.					Buy (add'l)	4/5/11	K		
43. U.S. TREASURY BILL - 9/20/12		None	K	T	Buy	10/05/11	K		
44. OTHER ASSETS:									
45. TIAA/CREF RETIREMENT ACCOUNT	A	Dividend	L	T					
46. SK-N-A NATIONAL BANK SAVINGS ACCT		None	J	T					
47. PEARSON ORD. STOCK	E	Dividend	P1	T					
48. RENTAL PROPERTY - NEVIS WEST INDIES	A	Rent	M	W					
49. TOTAL FINA SA SPONSORED ADR	B	Dividend	K	T					
50. NOKIA CORP. ADS	B	Dividend	K	T					
51. LAND IN CONCORD, MA		None	L	W					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

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H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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52. LAND IN PLAINFIELD, NH		None	N	W					
53. HEINZ HJ CO - COMMON STOCK	B	Dividend	K	T					
54. IBM - COMMON STOCK	B	Dividend	L	T					
55. LOWES COMPANIES INC - COMMON STOCK	A	Dividend	K	T					
56. PACCAR INC - COMMON STOCK	B	Dividend	L	T					
57. QUEST DIAGNOSTICS INC. - COMMON STOCK	A	Dividend	L	T					
58. UNITED TECHNOLOGIES CORP - COMMON STOCK	B	Dividend	L	T					
59. AMGEN INC - COMMON STOCK	A	Dividend	K	T					
60. CISCO SYSTEMS INC - COMMON STOCK	A	Dividend	K	T					
61. AIR PRODUCTS & CHEMICAL INC - COMMON STOCK	B	Dividend	L	T					
62. SSGA MONEY MARKET FUND	A	Dividend	L	T					
63. NESTLE SA - COMMON STOCK	B	Dividend			Sold	12/07/11	K	E	
64. SUNCOR ENERGY INC - COMMON STOCK	A	Dividend	K	T					
65. BHP BILTON LTD	B	Dividend	L	T					
66. CAMBRIDGE, MA 4.00% 01/01/2014	C	Interest	L	T					
67. HOPKINTON, MA 4.00% 07/01/2011	B	Interest			Matured	07/01/11	L	A	
68. SHARON, MA 4.00% 9/15/2013	C	Interest	L	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

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H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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69. KING PHILIP REG. SCHOOL DISTRICT, MA 4.25% 12/15/2012	C	Interest	L	T					
70. EMC CORP MASS - COMMON STOCK		None	K	T					
71. WELLESLEY MASSACHUSETTS GENERAL OBLIGATION 4.00% 06/01/2012	C	Interest	L	T					
72. JOHNSON CONTROLS INC - COMMON STOCK	A	Dividend	K	T					
73. STANCROFT TRUST LIMITED	E	Dividend	M	W					
74. FIRST CARIBBEAN INTERNATIONAL BANK - CHECKING		None	K	T					
75. EATON VANCE GROWTH FUND -A		None	K	T					
76. EATON VANCE LARGE CAP GROWTH FUND - I		None	M	T					
77. EATON VANCE LARGE CAP VALUE FUND - I	C	Dividend	M	T	Buy (add'l)	3/10/11	J		
78.					Buy (add'l)	6/10/11	J		
79.					Buy (add'l)	9/12/11	J		
80.					Buy (add'l)	12/29/11	J		
81. EATON VANCE TAX M S/C		None	K	T					
82. EATON VANCE INTERNATIONAL EQUITY-I		None			Sold	04/25/11	J	D	
83. EATON VANCE STRUCTURED EMERGING MKTS-I	A	Dividend	J	T	Buy	04/27/11	K		
84.					Buy (add'l)	12/29/11	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000
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P3 = \$25,000,001 - \$50,000,000

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G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

E = \$15,001 - \$50,000

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
85. EATON VANCE ATLANTA SM CP-1	A	Dividend	K	T	Buy	12/21/11	K		
86.					Buy (add'l)	12/22/11	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

BREYER, STEPHEN G.

Date of Report

05/15/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

THE REPORT WAS PREPARED BY:

LEON M. REIMER & CO., P.C.
805 THIRD AVENUE
NEW YORK, NEW YORK 10022

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

BREYER, STEPHEN G.

Date of Report

05/15/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544