

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BROWN, TRISH M.	2. Court or Organization U.S. BANKRUPTCY COURT, OREGON	3. Date of Report 01/23/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 1001 SW FIFTH AVE., SUITE 700 PORTLAND, OR 97204		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Treasurer	Oregon Division, United States Fencing Association
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2018	FIDELITY NATIONAL MANAGEMENT - SALARY
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Oregon State Bar	04/13/18 to 04/14/18	Seattle, WA	Northwest Bankruptcy Institute	Meal
2. National Conference of Bankruptcy Judges	10/29/18 to 10/31/18	San Antonio, TX	Annual Meeting, Committee Meeting, and Board of Governors Meeting	Airfare, lodging (2 nights), meals and ground transportation
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. MORGAN STANLEY SMITH BARNEY ROLLOVER IRA (H)									
2. - Cons Staples Sel Sect SPDR FD (XLP)	A	Dividend	K	T					
3. - Health Care Sel Sect SPDR FD (XLV)	A	Dividend	K	T					
4. - Industrial Sel Sec SPDR Fd (XLI)	A	Dividend	K	T					
5. - Vanguard Extended Mkt ETF (VXF)	A	Dividend	K	T					
6. - Invesco SSP 500 Quality ETF fka Powershares S&P High Quality (SPHQ)	A	Dividend	J	T					
7. - MFS Charter Income TR SB1 (MCR)	A	Dividend			Sold	11/07/18	J	A	
8. - Vanguard Growth ETF (VUG)	B	Dividend	L	T					
9.									
10. - Vanguard Value ETF Index (VTI)	B	Dividend	L	T					
11. - Investco QQQ Trust Series I fka Powershares QQQ TR (QQQ)	A	Dividend	L	T					
12. - iShares SP Smallcap 600 Index (IJR)	A	Dividend	K	T					
13. - SPDR S&P Midcap 400 ETF Trust (MDY)	A	Dividend	L	T					
14. - Invesco Wilderhill Clean Energy fka Powershares Wilderhill ... (PBW)	A	Dividend	J	T					
15. - iShares IBOXX Invest Gr Cor. Fd (LQD)	A	Dividend	K	T					
16. - iShares DJ US RI Est Index (IYR)	A	Dividend	J	T					
17. - iShares S&P Pref Stk Indx (PFF)	A	Dividend	K	T	Buy (add'l)	11/07/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - IShares MBS ETC fka Ishares Barclays MBS Bond Fund (MBB)	A	Dividend	K	T					
19. - Vanguard FTSE Developed Markets (VEA)	B	Dividend	L	T					
20. - Vanguard Dividend Appreciation (VIG)	A	Dividend	K	T					
21. - Pimco Active Bond fka Total Return ETF (BND)	A	Int./Div.	J	T	Buy (add'l)	01/03/18	J		
22. - Ishares DJ US Home Construct (ITB)	A	Dividend	J	T					
23. - Ishares MSCI Asia Ex-Japan ETC (AAXJ)	A	Dividend	K	T					
24. - Utilities S4 Sect SPDR Fund (XLU)	A	Dividend	K	T	Buy (add'l)	07/13/18	J		
25. INTEL COMMON STOCK	A	Dividend	J	T					
26. DISNEY COMMON STOCK	A	Dividend	K	T					
27. Medtronic PLC	A	Dividend	K	T					
28. NIKE COMMON STOCK	A	Dividend	L	T					
29. PEPSI COMMON STOCK	A	Dividend	J	T					
30. Chevron Texaco Corporation/Common Stock	A	Dividend	J	T					
31. American Funds: EUROPACIFIC GROWTH FUND - MUTUAL FUND	D	Dividend	M	T					
32. AQUILLA TAX FREE TRUST - MUTUAL FUND	A	Dividend	J	T					
33. OREGONIAN FEDERAL CREDIT UNION - PORTLAND, OR	A	Interest	J	T					
34. St. Helens Comm. Fed. Credit Union Accounts-St. Helens, OR	A	Interest	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. WELLS FARGO BANK ACCOUNTS - PORTLAND, OR	A	Interest	K	T					
36. BANK OF AMERICA - PORTLAND, OR	A	Interest	J	T					
37. UBS Bank Account fka PAINWEBBER RMA - PORTLAND, OR	A	Interest	J	T					
38. Oregon College Savings Plan TIAFF Cref Age Base Portfolio (H)									
39. - Agressive Portfolio	A	Dividend	K	T					
40. UBS IRA ROLLOVER D T (H)									
41. - UBS Bank USA Dep Acct	A	Interest	J	T					
42. - Janus Henderson Intl Opportunities Fund fka Henderson ... (HFOIX)	B	Dividend	K	T	Sold (part)	10/25/18	J	A	
43. - Oppenheimer Developing Markets Fund Class A (ODVYX)	A	Dividend	K	T					
44. - Touchstone Sands Capital (CFSIX)	A	Dividend	K	T	Sold (part)	05/24/18	J	C	
45.					Sold (part)	07/25/18	J	A	
46. - Templeton Gibal Fund (TGBAX)	A	Dividend	K	T					
47. - Loomis Sayles Bond Fund Class Retail (LSBDX)	B	Dividend	L	T					
48. - First Eagle Global Fund (SGHIX)	A	Dividend	K	T					
49. - Franklin Income ADV (FRIAX)	C	Dividend	K	T	Sold (part)	01/26/18	J	A	
50. - Diamond Hill Small Midcap (DHMIX)	A	Dividend	K	T					
51. - AIG Focused Div Strategy fka Sun America Foc. Div. Strategy (FDSWX)	B	Dividend	K	T	Sold (part)	05/24/18	J	A	

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52. - Principal Preferred Securities (PPSPX)	A	Dividend	J	T					
53. - RMB Mendon Financial Services Fund (RMBKX)	A	Dividend	K	T					
54. - Double Line Total Return Fund Instl. (DBLTX)	B	Dividend	K	T	Sold (part)	04/24/18	J	A	
55.					Sold (part)	05/24/18	K	A	
56. - INY Science & Technology (ISTIX)	A	Distribution	K	T					
57. Alpha Centric Income Opportunities Fund Class (IOFIX)	B	Dividend	K	T	Buy	05/25/18	K		
58. Vanguard Industrials Index Fund Admiral (VINAX)	A	Dividend	K	T	Buy	05/25/18	K		
59. Charles Schwab Investor Checking	A	Int./Div.	J	T					
60. Charles Schwab Investment Acct.	A	Int./Div.	L	T					
61. - Schwab Value Advantage M (SWVXX)					Buy	12/17/18	J		
62.					Buy	12/24/18	K		
63. Northwest Mutual Financial Network (whole life insurance)	B	Dividend	K	T					See Part VIII.
64. FIDELITY NATIONAL FINANCIAL GROUP 401(k) PROFIT SHARING PLAN (H)									See Part VIII.
65. - Vanguard Wellington Admir	E	Dividend	N	T					
66. - FNF 401K Stock Fund	A	Dividend	J	T					
67. - Black Knight Frozen Stock Fund	A	Dividend	J	T					

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68. - CNNE Frozen Stock Fund	A	Dividend	J	T					
69. UBS new (H) (X)									See Part VIII.
70. - UBS Bank USA Dep. Acct.	A	Interest	K	T					
71. - AT&T Inc. (T)	A	Dividend	K	T					
72. - Dominion Energy Inc. (D)	A	Dividend	K	T					
73. - Duke Energy Corp New (DUK)	A	Dividend	K	T					
74. - Exxon Mobil Corp (XOM)	A	Dividend	K	T					
75. - Franklin Street Properties Corp REITS (FSP)	A	Dividend	J	T					
76. - Genl Electric Co. (GE)	A	Dividend	J	T					
77. - Royal Dutch Shell PLC (RDSB)	A	Dividend	J	T					
78. - Certificate of Deposit	A	Interest	K	T					
79. - Bond BMO Harris Bank NA IL US (05581WJB2)	A	Interest	K	T					
80. - Bond JPMorgan Chase Bk OH US (48126XAG0)	A	Interest	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Part VII, line 62, page 7. No premium payment exceeded \$1,000.00.
2. Part VII, line 63, page 7. Acquisitions of investments within Fidelity National Financial Group 401(k) Profit Sharing Plan did not exceed \$1,000 on a per transaction basis.
3. Part VII, line 68, page 8. New UBS inherited account.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ TRISH M. BROWN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544