

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BOWEN, DUDLEY H.	2. Court or Organization SOUTHERN DISTRICT OF GEORGIA	3. Date of Report 06/05/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) UNITED STATES SENIOR DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address UNITED STATES COURTHOUSE P.O. BOX 2106 AUGUSTA, GEORGIA 30903		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CHARLES SCHWAB IRA #1									
2. General Maritime New F	A	Dividend	J	T					
3. Dynegy Inc	A	Dividend	J	T					
4. Credit Suisse AG	A	Dividend	J	T					
5. Sch Adv Cash Resrv Prem	A	Dividend	J	T					
6. CHARLES SCHWAB									
7. Ares Management LP	A	Dividend			Sold	11/01/18	J	A	
8. Ares Capital Corp	A	Dividend	J	T					
9. Marathon Oil Corp (X)	A	Dividend			Sold	11/01/18	J	A	
10. ABN AMRO/VERDUS Gwth FD	A	Dividend			Sold	11/01/18	J	A	
11. Exxon Mobil Common Stk	A	Dividend			Sold	11/01/18	J	A	
12. DuPont El De Nemour and Co Com	A	Dividend			Sold	11/01/18	J	A	
13. Wachovia Corp New	A	Dividend	J	T					
14. Charles Schwab Money Market	A	Interest	J	T					
15. ABN AMRO VERDX MCGFX Funds (Alleghany)	D	Dividend	M	T					
16. El Deupont Drip	A	Dividend	J	T					
17. Ga Bk & Tr Mondey Mkt Market		None			Closed	11/01/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18. South State Bank	A	Interest	J	T					
19. TIAA CREF Large Cap Growth Inst Mutual Fund	A	Dividend	L	T					
20. CSRA Fed Cr Union	A	Interest	J	T					
21. Real Ppty McCormick County, SC	D	Distribution	J	W					
22. Barclays Mon Mkt Acct	B	Interest	J	T					
23. Atlantic Amer Com		None	J	T					
24. Regions Bank Savings		None	J	T					
25. CHARLES SCHWAB IRA#2									
26. IDII Stock	A	Dividend	J	T					
27. Merrill Lynch Pfd	A	Dividend	K	T					
28. Brookfield Total Return	E	Dividend	K	T					
29. Ares Capital Corp 1476	A	Dividend	J	T					
30. Brookfield Total Rtn 1476	A	Dividend	J	T					
31. Ares Management LP 1476	A	Dividend	J	T					
32. Ford Motor Company	B	Dividend	K	T					
33. Marathon Oil Corporation	A	Dividend	J	T					
34. Southern Company 1476	A	Dividend	J	T					

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2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Blackstone Group LP 1476	A	Dividend	J	T					
36. Enterprise Products 1476	A	Dividend	J	T					
37. CHARLES SCHWAB									
38. Pengrowth Energy Corp	A	Dividend	J	T					
39. Blackrock CP High Yield	A	Dividend	J	T					
40. Motorola	A	Dividend	J	T					
41. Lucent	A	Dividend	J	T					
42. New Hampshire Department of Education	A	Dividend	J	T					
43. New Hampshire Department of Education	A	Dividend	J	T					
44. New Hampshire Higher Educational & Health Facility	A	Dividend	J	T					
45. The Southern Company	A	Dividend			Sold	07/06/18	J	A	
46. Ares Capital Corp (X)	A	Dividend			Sold	05/29/18	J	A	
47. Xerox	A	Dividend	J	T					
48. General Motors	A	Dividend	J	T					
49. Schwab Money Market	A	Interest	J	T					
50. Gainesville GA	B	Interest	K	T					
51. HD Supply Inc	A	Interest	K	T					

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52. HD Supply Inc	A	Interest	J	T					
53. Morgan Stanley Bank NA	C	Interest	K	T					
54. Kansas INDPT COL	A	Interest	K	T					
55. Franklin GA Tax Free	A	Interest	K	T					
56. Matthews Asia Dividend	A	Dividend	J	T					
57. Nuveen DIV Advan Muni	A	Dividend	J	T					
58. Nuveen DIV Advan Muni	A	Interest	J	T					
59. PROSHS ULTRASHT ETF	A	Dividend	K	T					
60. TRUST CHARLES SCHWAB									
61. Willis Group Holdings	A	Dividend			Sold	12/03/18	K	A	
62. T Rowe Price Spectrum	A	Dividend			Sold	12/03/18	J	A	
63. CHARLES SCHWAB IRA #3									
64. Schwab Adv Cash Reserve	D	Dividend	J	T					
65. Invesco Mtg Cap Reit	A	Dividend	J	T					
66. Ares Management LP	A	Dividend	J	T					
67. Ares Management LP	A	Dividend	J	T					
68. Ares Management LP	A	Distribution	J	T					

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69. Charles Schwab & Company Inc	B	Interest	J	T					
70. CHARLES SCHWAB IRA									
71. Southern Company	A	Dividend	J	T	Buy	01/01/18	J		
72. Ares Capital Corp	A	Dividend			Buy	01/02/18	K		
73. Ares Capital Corp	A	Dividend			Sold	12/20/18	K	A	
74. Blackrock Mun Bond	A	Dividend	J	T	Buy	06/05/18	L		
75. Blackrock Mun Bond	A	Dividend			Sold	07/10/18	K	A	
76. Barrick Gold Corp	A	Dividend			Buy	01/08/18	J		
77. Barrick Gold Corp	A	Dividend			Sold	01/09/18	J	A	
78. Southern Company	A	Dividend			Buy	01/10/18	J		
79. Southern Company	A	Dividend			Sold	07/06/18	J	B	
80. Corporate Capital Tru	A	Dividend			Buy	01/12/18	K		
81. Corporate Capital Tru	A	Dividend			Sold	05/29/18	K	A	
82. Ford Motor Co	A	Dividend			Buy	12/03/18	K		
83. Ford Motor Co	A	Dividend			Sold	12/20/18	K	A	
84. Range Resources Corp	A	Dividend			Buy	01/02/18	K		
85. Range Resources Corp	A	Dividend			Sold	01/18/18	K	A	

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86. Vaneck Vectors CEF	A	Dividend			Buy	09/27/18	K		
87. Vaneck Vectors CEF	A	Dividend			Sold	12/20/18	K	A	
88. Blackstone Group	A	Dividend			Buy	01/01/18	K		
89. Blackstone Group	A	Dividend			Sold	01/30/18	K	C	
90. Compass Diversified	A	Dividend			Buy	01/19/18	K		
91. Compass Diversified	A	Dividend			Sold	05/17/18	K	A	
92. Nokia Corp Spon	A	Dividend			Buy	01/01/18	J		
93. Nokia Corp Spon	A	Dividend			Sold	01/19/18	J	A	
94. Transocean Inc New	A	Dividend	J	T					
95. Pengrowth Energy Corp	A	Dividend	K	T					
96. General Electric Company	A	Dividend	J	T					
97. General Electric Company	A	Dividend	J	T					
98. Coca Cola	A	Dividend			Sold	11/08/18	J	A	
99. Southern Company	A	Dividend			Sold	08/07/18	L	C	
100. Pengrowth Energy Co	A	Dividend	J	T					
101. Ares Capital Corp	A	Dividend			Sold	05/29/18	K	B	
102. Ford Motor Company	A	Dividend			Sold	12/20/18	J	A	

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103. Marathon Oil Company	A	Dividend			Sold	05/07/18	J	A	
104. Noble Corp PLC	A	Dividend	J	T					
105. Pennantpark CAP LTD	A	Dividend			Sold	05/17/18	J	A	
106. Enterprise Products	B	Dividend	K	T					
107. Invesco MTG CAP	B	Dividend			Sold	07/09/18	J	A	
108. Charles Schwab & Co Inc Interest	A	Interest	J	T					
109. Charles Schwab & Co Inc Cash Dividend	D	Dividend	J	T					
110. TD Ameritrade Clearing Inc Cash Account	A	Interest	J	T					
111. TD Ameritrade Clearing Inc X Dividend	A	Dividend	J	T					
112. Bank of Dudley/Dublin GA	A	Interest	J	T					
113. Metlife/Computer Share	A	Dividend	J	T					
114. Charles Schwab & Company 1476	A	Interest	J	T					
115. Charles Schwab & Company Cash Dividend 1476	E	Dividend	K	T					
116. Charles Schwab & Company Cash Dividend 7910	A	Dividend	J	T					
117. Charles Schwab & Company Inc Interest 7910	A	Interest	J	T					
118. Oaktree Capital Group LLC Real Estate Mortgage Inv Condu	A	Interest	J	T					
119. Compass Diversified Holdings LLC (Publicly Traded Partnership)	A	Interest	J	T					

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120. Oaktree LLC Interest (Publicly Traded Partnership)	A	Interest	J	T					
121. Puerto Rico Electric Power Authority (Accrued Market Discounts)	A	Interest	J	T					
122. Puerto Rico Industrial Tourist Educational (Accrued Market Discount)	A	Interest	J	T					
123. Boise City Idaho HSG Authority (Accrued Market Discount)	A	Interest	J	T					
124. Nuveen Divid Advantage	A	Dividend			Buy	01/29/18	J		
125. Nuveen Divid Advantage	A	Dividend			Sold	05/25/18	J	A	
126. Ford Motor Co	A	Dividend			Buy	01/01/18	J		
127. Ford Motor Co	A	Dividend			Sold	06/11/18	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

Name of Person Reporting BOWEN, DUDLEY H.	Date of Report 06/05/2019
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

BOWEN, DUDLEY H.

Date of Report

06/05/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **DUDLEY H. BOWEN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544