

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Callaway, Henry A.	2. Court or Organization U.S. Bankruptcy Court for the Southern District of Alabama	3. Date of Report 04/04/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address U.S. Bankruptcy Court 201 St. Louis St. Mobile, AL 36602		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Executive Board	Mobile Area Council BSA
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2018	Hand Arendall LLC 401(k) -- participated in former employer's 401(k)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	McElhaney State Farm Agency, salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Alabama State Bar Bankruptcy Section	June 7-10, 2018	Destin, FL	seminar	Travel, lodging and food
2. American Bankruptcy Institute	July 26-29, 2018	Amelia Island, FL	seminar	Travel, lodging and food
3. Northern District of Florida Bankruptcy Section	Sept 27-28, 2018	Panama City, FL	seminar	Travel, lodging and food
4. University of Alabama	November 15-16, 2018	Birmingham, AL	seminar	Travel, lodging and food
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ServisFirst Bank accounts	A	Interest	J	T					
2. 401k ACCOUNT #1 (H)									
3. State Farm Associates Balanced Fund (STFBX)		None	K	T					
4. IRA #1 (H)									
5. Vanguard Wellington Fund (VWELX)	B	Dividend	K	T					
6. Hand Arendall LLC Retirement Plan and Trust (H)									
7. Vanguard Federal Money Market (VMFXX) (Y)									
8. Vanguard Dividend Growth Investor CL (VDIGX)	C	Dividend			Sold (part)	01/29/18	K		
9.					Sold	04/26/18	N		
10. Vanguard Short Term Investment Grade Admiral CL (VFSUX)	B	Dividend			Buy (add'l)	01/29/18	J		
11.					Sold	04/27/18	M		
12. Vanguard Healthcare Admiral CL (VGHAX)	C	Dividend			Sold (part)	01/29/18	J		
13.					Sold	04/26/18	M		
14. Vanguard High Yield Corp Admiral CL (VWEAX)	C	Dividend			Sold	04/26/18	M		
15. Vanguard Intl Growth Admiral CL (VWILX)		None			Sold (part)	01/29/18	K		
16.					Sold	04/26/18	M		
17. Primecap Odyssey Aggressive Growth (POAGX)		None			Sold (part)	01/29/18	L		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Sold	04/26/18	N		
19. Vanguard S&P MidCap 400 Index ETF (IVOO)	A	Dividend			Sold (part)	01/29/18	J		
20.					Sold	04/26/18	M		
21. Vanguard S&P MidCap 400 Value ETF (IVOV)		None			Sold (part)	01/29/18	J		
22.					Sold	04/26/18	M		
23. Vanguard Emerging Markets Index Admiral CL (VEMAX)	A	Dividend			Sold (part)	01/29/18	J		
24.					Sold	04/26/18	K		
25. IRA #2 (H)									
26. Vanguard Federal Money Market Fund (cash sweep) (VMFXX) (Y)					Open	02/06/18	K		
27. Vanguard Dividend Apprec Index Adm Cl (VDADX)	D	Dividend	N	T	Buy	05/23/18	N		
28. Vanguard Short Term Invest Grade Adm (VFSUX)	C	Dividend	N	T	Buy	05/23/18	M		
29.					Sold (part)	08/03/18	L		
30. Vanguard Healthcare Adm (VGHAX)	D	Dividend	M	T	Buy	05/23/18	M		
31. Vanguard Target Retirement 2025 (VTTVX)	B	Dividend	K	T	Sold (part)	01/08/18	K		
32.					Buy (add'l)	02/16/18	M		
33.					Sold (part)	02/17/18	K		
34.					Sold (part)	03/09/18	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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35.					Sold (part)	04/09/18	K		
36.					Sold (part)	04/23/18	J		
37.					Buy (add'l)	05/03/18	P1		
38.					Sold (part)	05/23/18	P1		
39.					Sold (part)	06/06/18	L		
40. Vanguard High Yield Corp Adm (VWEAX)	C	Dividend	M	T	Buy	05/23/18	M		
41. Vanguard Intl Growth Adm C1 (VWILX)	D	Dividend	M	T	Buy	05/23/18	M		
42. Prime Cap Odyssey Aggressive Growth (POAGX)	E	Dividend	N	T	Buy	04/24/18	J		
43.					Buy (add'l)	05/24/18	N		
44. Vanguard S&P Mid Cap 400 Index ETF (IVOO)	B	Dividend	M	T	Buy	05/24/18	M		
45. Vanguard S&P Mid Cap 400 Value ETF (IVOV)	B	Dividend	M	T	Buy	05/24/18	M		
46. Vanguard Emerg Mkts Stock Index Adm (VEMAX)	A	Dividend			Buy	05/23/18	L		
47.					Sold	08/03/18	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part II, line 1: Terminated in 2018.

Part VII, line 26: Following a reportable transaction, this asset became unreportable without a corresponding reportable transaction.

Part VII, line 31: This holding was transferred between accounts without a reportable transaction; see 2017, line 5.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Henry A. Callaway**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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