

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Carter, Austin E.	2. Court or Organization U.S. Bankruptcy Court, Middle District of Georgia	3. Date of Report 05/15/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 433 Cherry Street, First Floor Macon, GA 31201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Secretary	M. Gary Carter, M.D., P.A.
2. Member, Board of Directors	Vine Ingle Little League
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2018	Mulberry Street United Methodist Church
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Institute of Continuing Legal Education in Georgia	12/13/18 to 12/14/18	Greensboro, GA	Bankruptcy CLE	hotel, meals
2. Coastal Bankruptcy Law Institute	04/19/18 to 04/20/18	Savannah, GA	Bankruptcy CLE	hotel, meals, local transportation
3. Drake Bankruptcy American Inn of Court	06/18/18	Atlanta, GA	Annual Meeting	meal, golf
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Cash Accounts; State Bank & Trust	A	Interest	J	T					
2. Brokerage Account (IRA)									
3. - Pepsico common stock	A	Dividend	J	T					
4. - First Trust Sabrient Bakers Dozen Port 2018 Series Term 02/28/2017	A	Dividend	J	T	Buy	03/01/18	J		
5. - First Trust Sabrient Bakers Dozen Port 2017 Series Term 02/24/17	A	Dividend			Sold	03/02/18	J	A	
6. - Invesco S&P 500 Equal Weight ETF	A	Dividend	J	T					
7. - Weyerhaeuser Co.	A	Dividend	J	T					
8. - Raymond James Bank, N.A.	A	Interest	J	T					
9. Vanguard Equity Income Fund (IRA)	C	Dividend	M	T					
10. Dodge & Cox International Stock Fund (IRA)	A	Dividend	J	T					
11. Franklin Mutual Beacon Fund (IRA)	A	Dividend	K	T					
12. SunTrust Bank 401(k) Account									
13. - Vanguard Russell 2000 Value Index Fund	A	Dividend	J	T					
14. - Vanguard Total Bond Market Index Fund	A	Dividend	J	T					
15. - Vanguard Inflation Protected Securities Fund	A	Dividend	J	T					
16. - Vanguard Target Retirement 2040 Fund	A	Dividend	K	T					
17. Mass Mutual Whole Life Policy	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. OneAmerica (State Life) Whole Life Policy (1/3 ownership)	A	Dividend	J	T					
19. Mass Mutual Whole Life Policy (1/4 ownership)	C	Dividend	L	T					
20. Mass Mutual Whole Life Policy (1/3 ownership)	D	Dividend	M	T					
21. Vanguard 529 College Savings Plan (Nevada)									
22. - Vanguard Moderate Age-Based Portfolio		None	J	T					
23. - Vanguard Value Index Fund		None	J	T					
24. Vanguard 529 College Savings Plan (Nevada)									
25. - Vanguard Value Index Fund		None	J	T					
26. - Vanguard Aggressive Age-Based Portfolio		None	J	T	Buy	01/04/18	J		
27. - Vanguard Aggressive Age-Based Portfolio		None	J	T	Sold	01/04/18	J	A	
28. Vanguard 529 College Savings Plan (Nevada)									
29. - Vanguard Aggressive Growth Portfolio		None	J	T					
30. - Vanguard Value Index Fund		None	J	T					
31. Taverna Buckhead, LP		None	J	U					
32. Westpath--United Methodist Personal Investment Plan	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 6. On 2017 report, this fund appeared as "Guggenheim S&P Equal Weight ETF." The name was changed to "Invesco S&P Equal Weight ETF" on 06/05/18.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Austin E. Carter

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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