

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) CECCHI, CLAIRE C.	2. Court or Organization US DISTRICT COURT FOR THE DISTRICT OF NEW JERSEY	3. Date of Report 02/20/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US FEDERAL JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address Martin Luther King Jr Fed Bldg 50 Walnut Street Newark, NJ 07102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 35

Name of Person Reporting

CECCHI, CLAIRE C.

Date of Report

02/20/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2017

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 3 of 35

Name of Person Reporting

CECCHI, CLAIRE C.

Date of Report

02/20/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Great West Financial	401k loan	K
2.	Citibank	Unsecured credit lines	O
3.	American Express	Credit Card	M
4.	MassMutual	Life Insurance loan	J
5.	Northwestern Life	Life Insurance loan	K
6.	Esquire Bank	Unsecured credit line	None

FINANCIAL DISCLOSURE REPORT

Page 4 of 35

Name of Person Reporting

CECCHI, CLAIRE C.

Date of Report

02/20/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America - Checking accounts	A	Interest	K	T					
2. Citibank - Checking accounts	A	Interest	O	T					
3. Northwestern Mutual Ins Cash Value	A	Int./Div.	K	T					
4. Guardian Whole Life Cash Value	B	Int./Div.	K	T					
5. Mass Mutual Whole Life Cash Value	A	Int./Div.	M	T					
6. National Life UL Cash Value (x)	E	Int./Div.	N	T					
7. 401(k) #1 (H)									
8. - AmericanFunds Inv Fund	C	Dividend	L	T					
9. - Mainstay Large Cap	C	Dividend	L	T					
10. SEP #1 (H)(Y)									
11. - UBS Bank (Cash account)(Y)									
12. 401(k) #2 (H)									
13. - American Century Growth Fund	F	Dividend	O	T					
14. - PIMCO Total Return	D	Dividend	M	T					
15. [REDACTED]		None	P2	W					
16. Mark Pharmaceutical (Unlisted Security)		None	J	T					
17. Brokerage #1 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 35

Name of Person Reporting

CECCHI, CLAIRE C.

Date of Report

02/20/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Western Asset Money Mkt Account	A	Int./Div.	J	T					
19. - Vanguard Total Stock Market etf (SOLD 2015)									
20. - Prudential Short-term Corp Bond Fund (SOLD 2015)									
21. Franklin Templeton 529 (H)									
22. - Franklin Growth Age 13-16	A	Dividend	K	T	Open	03/07/17	K		
23. - Franklin Growth Age 9-12					Closed	03/07/17	K		
24. Brokerage #2 - (H) (Y)									
25. Brokerage #3 (H)									
26. - Western Asset Money Mkt Account (X)	A	Interest	J	T					
27. - Frisco Tex Rfdg 5% Bond	B	Interest	L	T	Buy	05/09/17	L		
28. - California ST 4% Bond	B	Interest	L	T	Buy	05/09/17	L		
29. - College Station Tex Rfdg 5% Bond	B	Interest	K	T	Buy	05/09/17	K		
30. - Katy Tex Indpt Bond	B	Interest	L	T	Buy	05/09/17	L		
31. - Lakeland Fl Energy 5.25% Bond	B	Interest	L	T	Buy	05/09/17	L		
32. - Metro Atlanta Rapid Tran 5% Bond	A	Interest			Buy	05/09/17	L		
33.					Sold	12/08/17	L	A	
34. - NYS Dorm Auth 5% Bond	B	Interest	L	T	Buy	05/09/17	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 35

Name of Person Reporting

CECCHI, CLAIRE C.

Date of Report

02/20/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. - Richardson Tex Ind Sch Dist 4% Bond	B	Interest	L	T	Buy	05/09/17	L		
36. - Washington ST Healthcare 5%	B	Interest	L	T	Buy	05/11/17	L		
37. - Metro Council Waste 5% Bond	B	Interest	L	T	Buy	05/10/17	L		
38. - Central Puget Sound 5%	B	Interest	L	T	Buy	05/09/17	L		
39. - Hawaii St Ser FK5% Bond	B	Interest	L	T	Buy	05/12/17	L		
40. - NYS Dorm Auth 7/30/30 5% Bond	B	Interest	K	T	Buy	05/18/17	K		
41. - Wisconsin ST Env impt 5% Bond	B	Interest	K	T	Buy	05/10/17	K		
42. - Council Rock Sch Dist 5% Bond	B	Interest	L	T	Buy	05/11/17	L		
43. - Sumter Cnty Dev Auth 5%Bond	B	Interest	L	T	Buy	07/21/17	L		
44. - Phil PA Hosp Higher Ed 5% Bond	B	Interest	L	T	Buy	07/28/17	L		
45. - Miami Dade Rfdg-Bldg 5% Bond	B	Interest	L	T	Buy	08/02/17	L		
46. - NYC Etc Fiscal 4% Bond	B	Interest	L	T	Buy	07/28/17	L		
47. - Port Auth NY & NJ 5% Bond	B	Interest	L	T	Buy	07/13/17	L		
48. - Hawaii St Dept Home LDS 5% Bond	B	Interest	L	T	Buy	07/27/17	L		
49. - Collier Cnty Fl Schl Bd 5.25% Bond	B	Interest	L	T	Buy	09/22/17	L		
50. - Cherry Creek Colo Sch Dist 5% Bond	C	Interest	M	T	Buy	09/22/17	M		
51. - Palm Beach Cnty Sch Bd 5% Bond	B	Interest	L	T	Buy	09/27/17	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 35

Name of Person Reporting

CECCHI, CLAIRE C.

Date of Report

02/20/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. - NYC Mun Wtr 5% Bond	B	Interest	L	T	Buy	09/28/17	L		
53. - North East OH Reg Swr 5% Bond	C	Interest	M	T	Buy	09/21/17	M		
54. - Miami Dade Wtr & Swr 5%	B	Interest	L	T	Buy	12/08/17	L		
55. Brokerage #4 (H)									
56. - See Part VIII Additional Information									
57. Brokerage #5 (H)									
58. - See Part VIII Additional Information									
59. Brokerage #6 (H)									
60. - Morgan Stanley Money Mkt Account (x)	A	Interest	J	T					
61. - Apple Inc	A	Dividend	J	T	Buy	08/08/17	J		
62. - Blackstone Group	A	Dividend	J	T	Buy	08/08/17	J		
63. - Costco Wholesale Corp	A	Dividend	J	T	Buy	08/08/17	J		
64. - Facebook			J	T	Buy	08/08/17	J		
65. - Hubbell Inc	A	Dividend	J	T	Buy	08/08/17	J		
66. - Schlumberger LTD	A	Dividend	J	T	Buy	08/08/17	J		
67. - Visa Inc	A	Dividend	J	T	Buy	08/08/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 35

Name of Person Reporting

CECCHI, CLAIRE C.

Date of Report

02/20/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of report.)

AMENDMENT - Franklin 529 Plan, Part VII, Lines 21-23 - Details now provided for the age based portfolio transfer from the Age 9-12 portfolio to Age 13-16.

BROKERAGE #4, Part VII, Line 56

Income	Gross Value at End of Reporting Period	Type	Date	Value Code	Gain Code
Description of Assets	Code Type	Value Code	Value Method		
A P MOLLER MAERSK AS ADR	A DIV J T Buy	11/7/2017	J		
ABB LTD SPONSORED ADR	A DIV J T Buy	5/8/2017	J		
ABB LTD SPONSORED ADR	Buy add'l	5/9/2017	J		
ABB LTD SPONSORED ADR	Buy add'l	5/9/2017	J		
ABB LTD SPONSORED ADR	Buy add'l	8/3/2017	J		
ADECCO SA ADR	A DIV J T Buy	12/13/2017	J		
ADIDAS SALOMON AG SPONSORED ADR	A DIV J T Buy	5/8/2017	J		
ADIDAS SALOMON AG SPONSORED ADR	Buy add'l	5/9/2017	J		
ADIDAS SALOMON AG SPONSORED ADR	Buy add'l	5/9/2017	J		
AEGON N V ORD AMER REG	Buy	9/12/2017	J		
AEGON N V ORD AMER REG	Sold	11/7/2017	J L		
AGEAS SPONS ADR NEW	A DIV J T Buy	5/8/2017	J		
AGEAS SPONS ADR NEW	Buy add'l	5/9/2017	J		
AGEAS SPONS ADR NEW	Buy add'l	5/9/2017	J		
AIA GROUP LTD SPONSORED ADR	A DIV J T Buy	5/8/2017	J		
AIA GROUP LTD SPONSORED ADR	Buy add'l	5/9/2017	J		
AIA GROUP LTD SPONSORED ADR	Buy add'l	5/9/2017	J		
AIA GROUP LTD SPONSORED ADR	Buy add'l	7/10/2017	J		
AIA GROUP LTD SPONSORED ADR	Buy add'l	7/11/2017	J		
AIR LIQUIDE ADR ISIN#US0091262024	A DIV J T Buy	5/8/2017	J		
AIR LIQUIDE ADR ISIN#US0091262024	Buy add'l	5/9/2017	J		
AIR LIQUIDE ADR ISIN#US0091262024	Buy add'l	5/9/2017	J		
AIRBUS GROUP ADR NCE & SPACE CO	A DIV J T Buy	5/8/2017	J		
AIRBUS GROUP ADR NCE & SPACE CO	Buy add'l	5/9/2017	J		
AIRBUS GROUP ADR NCE & SPACE CO	Buy add'l	5/9/2017	J		
AIRBUS GROUP ADR NCE & SPACE CO	Buy add'l	7/11/2017	J		
ALLIANZ SE SPONS ADR REPSTG 1/10 SHS	A DIV J T Buy	5/8/2017	J		
ALLIANZ SE SPONS ADR REPSTG 1/10 SHS	Buy add'l	5/9/2017	J		
ALLIANZ SE SPONS ADR REPSTG 1/10 SHS	Buy add'l	5/9/2017	J		
ALLIANZ SE SPONS ADR REPSTG 1/10 SHS	Buy add'l	7/10/2017	J		
ALLIANZ SE SPONS ADR REPSTG 1/10 SHS	Buy add'l	7/11/2017	J		
ALLIANZ SE SPONS ADR REPSTG 1/10 SHS	Buy add'l	9/12/2017	J		
ALLIANZ SE SPONS ADR REPSTG 1/10 SHS	Buy add'l	9/14/2017	J		
AMADEUS IT HLDG SA ADS	A DIV J T Buy	5/8/2017	J		
AMADEUS IT HLDG SA ADS	Buy add'l	5/9/2017	J		
AMADEUS IT HLDG SA ADS	Buy add'l	5/9/2017	J		
AMCOR LTD ADR (NEW)	A DIV J T Buy	12/13/2017	J		
ANHEUSER BUSCH INBEV SA NV SPONSORED ADR	A DIV J T Buy	5/8/2017	J		
ANHEUSER BUSCH INBEV SA NV SPONSORED ADR	Buy add'l	5/9/2017	J		
ANHEUSER BUSCH INBEV SA NV SPONSORED ADR	Buy add'l	5/9/2017	J		
ANHEUSER BUSCH INBEV SA NV SPONSORED ADR	Buy add'l	7/10/2017	J		
ANHEUSER BUSCH INBEV SA NV SPONSORED ADR	Buy add'l	7/11/2017	J		
ARCELORMITTAL SA NY REGISTERED SHS	A DIV J T Buy	7/10/2017	J		
ASML HLDG N V N Y REGISTRY SHS NEW	A DIV J T Buy	5/8/2017	J		
ASML HLDG N V N Y REGISTRY SHS NEW	Buy add'l	5/9/2017	J		
ASML HLDG N V N Y REGISTRY SHS NEW	Buy add'l	5/9/2017	J		
ASML HLDG N V N Y REGISTRY SHS NEW	Buy add'l	7/10/2017	J		
ASML HLDG N V N Y REGISTRY SHS NEW	Buy add'l	7/11/2017	J		
ASSA ABLOY AB ADR	A DIV J T Buy	5/8/2017	J		
ASSA ABLOY AB ADR	Buy add'l	5/9/2017	J		
ASSOCIATED BRIT FOODS LTD ADR NEW	Buy	11/7/2017	J		
ASSOCIATED BRIT FOODS LTD ADR NEW	Sold	12/13/2017	J L		
ASTELLAS PHARMA INC ADR	Buy	7/10/2017	J		
ASTELLAS PHARMA INC ADR	Sold	8/3/2017	J L		
ASTRAZENECA PLC SPONSORED ADR	Buy	5/8/2017	J		
ASTRAZENECA PLC SPONSORED ADR	Buy add'l	5/9/2017	J		
ASTRAZENECA PLC SPONSORED ADR	Buy add'l	5/9/2017	J		
ASTRAZENECA PLC SPONSORED ADR	Buy add'l	7/10/2017	J		
ASTRAZENECA PLC SPONSORED ADR	Buy add'l	7/11/2017	J		
ASTRAZENECA PLC SPONSORED ADR	Sold	8/3/2017	J L		

FINANCIAL DISCLOSURE REPORT

Page 9 of 35

Name of Person Reporting

CECCHI, CLAIRE C.

Date of Report

02/20/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

ASTRAZENECA PLC SPONSORED ADR Sold 8/3/2017 J L
 ASTRAZENECA PLC SPONSORED ADR Sold 8/3/2017 J L
 ATLANTIA SPA ADR A DIV J T Buy 5/8/2017 J
 ATLANTIA SPA ADR Buy add'l 5/9/2017 J
 ATLANTIA SPA ADR Buy add'l 5/9/2017 J
 ATLAS COPCO AB SPON ADR NEW REPSTG A DIV J T Buy 5/11/2017 J
 ATLAS COPCO AB SPON ADR NEW REPSTG Buy add'l 7/10/2017 J
 AUSTRALIA & NEW ZEALAND BKG GRP LTD Buy 5/8/2017 J
 AUSTRALIA & NEW ZEALAND BKG GRP LTD Buy add'l 5/9/2017 J
 AUSTRALIA & NEW ZEALAND BKG GRP LTD Buy add'l 5/9/2017 J
 AUSTRALIA & NEW ZEALAND BKG GRP LTD Sold 5/11/2017 J L
 AUSTRALIA & NEW ZEALAND BKG GRP LTD A DIV J T Buy 7/10/2017 J
 AUSTRALIA & NEW ZEALAND BKG GRP LTD Buy add'l 7/11/2017 J
 AVIVA PLC ADR Buy 5/8/2017 J
 AVIVA PLC ADR Buy add'l 5/9/2017 J
 AVIVA PLC ADR Buy add'l 5/9/2017 J
 AVIVA PLC ADR Buy add'l 7/10/2017 J
 AVIVA PLC ADR Sold part 11/7/2017 J L
 AXA SA SPONS ADR A DIV J T Buy 5/8/2017 J
 AXA SA SPONS ADR Buy add'l 5/9/2017 J
 AXA SA SPONS ADR Buy add'l 5/9/2017 J
 AXA SA SPONS ADR Buy add'l 7/11/2017 J
 AXA SA SPONS ADR Buy add'l 9/12/2017 J
 BANCO BILBAO VIZCAYA ARGENTARIA SA A DIV J T Buy 5/8/2017 J
 BANCO BILBAO VIZCAYA ARGENTARIA SA Buy add'l 5/9/2017 J
 BANCO BILBAO VIZCAYA ARGENTARIA SA Buy add'l 5/9/2017 J
 BANCO BILBAO VIZCAYA ARGENTARIA SA Buy add'l 7/10/2017 J
 BANCO SANTANDER SA ADR A DIV J T Buy 5/8/2017 J
 BANCO SANTANDER SA ADR Buy add'l 5/9/2017 J
 BANCO SANTANDER SA ADR Buy add'l 5/9/2017 J
 BANCO SANTANDER SA ADR Buy add'l 7/10/2017 J
 BANCO SANTANDER SA ADR Buy add'l 7/11/2017 J
 BARCLAYS PLC ADR Buy 5/8/2017 J
 BARCLAYS PLC ADR Buy add'l 5/9/2017 J
 BARCLAYS PLC ADR Buy add'l 5/9/2017 J
 BARCLAYS PLC ADR Sold 9/12/2017 J L
 BARCLAYS PLC ADR A DIV J T Buy 11/7/2017 J
 BASF SE SPONS ADR A DIV J T Buy 5/8/2017 J
 BASF SE SPONS ADR Buy add'l 5/9/2017 J
 BASF SE SPONS ADR Buy add'l 5/9/2017 J
 BASF SE SPONS ADR Buy add'l 7/10/2017 J
 BASF SE SPONS ADR Buy add'l 7/11/2017 J
 BAYER AG SPONSORED ADR Buy 5/8/2017 J
 BAYER AG SPONSORED ADR Buy add'l 5/9/2017 J
 BAYER AG SPONSORED ADR Buy add'l 5/9/2017 J
 BAYER AG SPONSORED ADR Buy add'l 7/10/2017 J
 BAYER AG SPONSORED ADR Buy add'l 7/11/2017 J
 BAYER AG SPONSORED ADR Sold 8/3/2017 J L
 BAYER AG SPONSORED ADR Sold 8/3/2017 J L
 BAYER AG SPONSORED ADR Sold 8/3/2017 J L
 BAYERISCHE MOTOREN WERKE A G SPONSORED A DIV J T Buy 5/8/2017 J
 BAYERISCHE MOTOREN WERKE A G SPONSORED Buy add'l 5/9/2017 J
 BAYERISCHE MOTOREN WERKE A G SPONSORED Buy add'l 5/9/2017 J
 BHP BILLITON LTD SPONSORED ADR A DIV J T Buy 5/8/2017 J
 BHP BILLITON LTD SPONSORED ADR Buy add'l 5/9/2017 J
 BHP BILLITON LTD SPONSORED ADR Buy add'l 5/9/2017 J
 BHP BILLITON LTD SPONSORED ADR Buy add'l 7/10/2017 J
 BHP BILLITON LTD SPONSORED ADR Buy add'l 7/11/2017 J
 BHP BILLITON PLC SPON ADR A DIV J T Buy add'l 5/9/2017 J
 BNP PARIBAS SPONSORED ADR REPSTG A DIV J T Buy 5/8/2017 J
 BNP PARIBAS SPONSORED ADR REPSTG Buy add'l 5/9/2017 J
 BNP PARIBAS SPONSORED ADR REPSTG Buy add'l 5/9/2017 J
 BNP PARIBAS SPONSORED ADR REPSTG Buy add'l 7/10/2017 J
 BNP PARIBAS SPONSORED ADR REPSTG Buy add'l 7/11/2017 J
 BOC HONG KONG HLDGS LTD SPONSORED ADR A DIV J T Buy 5/8/2017 J
 BOC HONG KONG HLDGS LTD SPONSORED ADR Buy add'l 5/9/2017 J
 BP PLC SPONS ADR UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J

FINANCIAL DISCLOSURE REPORT

Page 10 of 35

Name of Person Reporting

CECCHI, CLAIRE C.

Date of Report

02/20/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

BP PLC SPONS ADR UNSOLICITED ORDER Buy add'l 5/9/2017 J
 BP PLC SPONS ADR UNSOLICITED ORDER Buy add'l 5/9/2017 J
 BP PLC SPONS ADR UNSOLICITED ORDER Buy add'l 7/10/2017 J
 BP PLC SPONS ADR UNSOLICITED ORDER Buy add'l 7/11/2017 J
 BP PLC SPONS ADR UNSOLICITED ORDER Buy add'l 8/3/2017 J
 BRENNTAG AG ADR Buy 5/8/2017 J
 BRENNTAG AG ADR Buy add'l 5/9/2017 J
 BRIDGESTONE CORP ADR UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 BRIDGESTONE CORP ADR UNSOLICITED ORDER Buy add'l 5/9/2017 J
 BRIDGESTONE CORP ADR UNSOLICITED ORDER Buy add'l 5/9/2017 J
 BRITISH AMERN TOB PLC SPONSORED ADR A DIV J T Buy 5/8/2017 J
 BRITISH AMERN TOB PLC SPONSORED ADR Buy add'l 5/9/2017 J
 BRITISH AMERN TOB PLC SPONSORED ADR Buy add'l 5/9/2017 J
 BRITISH AMERN TOB PLC SPONSORED ADR Buy add'l 7/10/2017 J
 BRITISH AMERN TOB PLC SPONSORED ADR Buy add'l 7/11/2017 J
 BRITISH AMERN TOB PLC SPONSORED ADR Buy add'l 11/7/2017 J
 BRITISH LD CO PLC SPONSORED ADR A DIV J T Buy 5/8/2017 J
 BRITISH LD CO PLC SPONSORED ADR Buy add'l 5/9/2017 J
 BRITISH LD CO PLC SPONSORED ADR Buy add'l 5/9/2017 J
 BT GROUP PLC ADR UNSOLICITED ORDER Buy 5/8/2017 J
 BT GROUP PLC ADR UNSOLICITED ORDER Buy add'l 5/9/2017 J
 BT GROUP PLC ADR UNSOLICITED ORDER Buy add'l 5/9/2017 J
 BT GROUP PLC ADR UNSOLICITED ORDER Buy add'l 9/12/2017 J
 BT GROUP PLC ADR UNSOLICITED ORDER Sold 11/7/2017 J L
 CAIXABANK ADR Buy 7/10/2017 J
 CAIXABANK ADR Sold 11/7/2017 J L
 CANON INC ADR REPSTG 5 SHS A DIV J T Buy 5/8/2017 J
 CANON INC ADR REPSTG 5 SHS Buy add'l 5/9/2017 J
 CENTRAL JAPAN RAILWAY CO ADR A DIV J T Buy 5/8/2017 J
 CENTRAL JAPAN RAILWAY CO ADR Buy add'l 5/9/2017 J
 CENTRAL JAPAN RAILWAY CO ADR Buy add'l 5/9/2017 J
 CENTRICA PLC SPON ADR NEW 2004 Buy 8/3/2017 J
 CIE DE SAINT-GOBAIN SHS UNSPONSORED A DIV J T Buy 5/8/2017 J
 CIE DE SAINT-GOBAIN SHS UNSPONSORED Buy add'l 5/9/2017 J
 CIE DE SAINT-GOBAIN SHS UNSPONSORED Buy add'l 5/9/2017 J
 CITY DEVELOPMENT LTD ADR A DIV J T Buy 7/10/2017 J
 CK HUTCHISON HLDGS LTD ADR A DIV J T Buy 5/8/2017 J
 CK HUTCHISON HLDGS LTD ADR Buy add'l 5/9/2017 J
 CK HUTCHISON HLDGS LTD ADR Buy add'l 5/9/2017 J
 CK HUTCHISON HLDGS LTD ADR Buy add'l 7/11/2017 J
 CLP HOLDINGS LTD SPONSORED ADR A DIV J T Buy 5/8/2017 J
 CLP HOLDINGS LTD SPONSORED ADR Buy add'l 5/9/2017 J
 CLP HOLDINGS LTD SPONSORED ADR Buy add'l 5/9/2017 J
 CLP HOLDINGS LTD SPONSORED ADR Buy add'l 7/10/2017 J
 COCA COLA AMATIL LTD SPON ADR Buy 5/8/2017 J
 COCA COLA AMATIL LTD SPON ADR Buy add'l 5/9/2017 J
 COCA COLA AMATIL LTD SPON ADR Buy add'l 5/9/2017 J
 COCA COLA AMATIL LTD SPON ADR Sold 5/11/2017 J L
 COCA COLA AMATIL LTD SPON ADR Buy 7/10/2017 J
 COCA COLA AMATIL LTD SPON ADR Sold 11/7/2017 J L
 COCA COLA AMATIL LTD SPON ADR A DIV J T Buy 12/13/2017 J
 COCA-COLA EUROPEAN PARTNERS PLC SHS A DIV J T Buy 5/8/2017 J
 COCA-COLA EUROPEAN PARTNERS PLC SHS Buy add'l 5/9/2017 J
 COLOPLAST A/S ADR A DIV J T Buy 8/3/2017 J
 COMMONWEALTH BK AUSTRALIA SPONS ADR Buy 5/8/2017 J
 COMMONWEALTH BK AUSTRALIA SPONS ADR Buy add'l 5/9/2017 J
 COMMONWEALTH BK AUSTRALIA SPONS ADR Buy add'l 5/9/2017 J
 COMMONWEALTH BK AUSTRALIA SPONS ADR Buy add'l 7/10/2017 J
 COMMONWEALTH BK AUSTRALIA SPONS ADR Buy add'l 7/11/2017 J
 COMMONWEALTH BK AUSTRALIA SPONS ADR Sold 9/12/2017 J L
 COMMONWEALTH BK AUSTRALIA SPONS ADR A DIV J T Buy 11/7/2017 J
 COMPAGINE FINANCIERE RICHEMONT AG SWITZ A DIV J T Buy 5/8/2017 J
 COMPAGINE FINANCIERE RICHEMONT AG SWITZ Buy add'l 5/9/2017 J
 COMPAGINE FINANCIERE RICHEMONT AG SWITZ Buy add'l 5/9/2017 J
 COMPASS GROUP PLC SPON ADR NEW JUNE 14 A DIV J T Buy 5/8/2017 J
 COMPASS GROUP PLC SPON ADR NEW JUNE 14 Buy add'l 5/9/2017 J
 COMPASS GROUP PLC SPON ADR NEW JUNE 14 Buy add'l 5/9/2017 J

FINANCIAL DISCLOSURE REPORT

Page 11 of 35

Name of Person Reporting

CECCHI, CLAIRE C.

Date of Report

02/20/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

CONTINENTAL AG SPONS ADR A DIV J T Buy 5/8/2017 J
CONTINENTAL AG SPONS ADR Buy add'l 5/9/2017 J
CONTINENTAL AG SPONS ADR Buy add'l 5/9/2017 J
CONVATEC GROUP PLC ADR A DIV J T Buy 12/13/2017 J
CREDIT SUISSE GROUP SPONSORED ADR A DIV J T Buy 7/10/2017 J
CREDIT SUISSE GROUP SPONSORED ADR Buy add'l 9/12/2017 J
CSL LTD SPONSORED ADR A DIV J T Buy 5/8/2017 J
CSL LTD SPONSORED ADR Buy add'l 5/9/2017 J
CSL LTD SPONSORED ADR Buy add'l 5/9/2017 J
CSL LTD SPONSORED ADR Buy add'l 7/11/2017 J
CSL LTD SPONSORED ADR Buy add'l 8/3/2017 J
DAI NIPPON PRGT LTD JAPAN SPON ADR A DIV J T Buy 5/8/2017 J
DAI NIPPON PRGT LTD JAPAN SPON ADR Buy add'l 5/9/2017 J
DAI NIPPON PRGT LTD JAPAN SPON ADR Buy add'l 5/9/2017 J
DAIMLER AG ADR A DIV J T Buy 7/10/2017 J
DAIMLER AG ADR Buy add'l 7/11/2017 J
DAITO TR CONSTR CO LTD SPONSORED ADR A DIV J T Buy 7/10/2017 J
DAIWA HOUSE IND LTD ADR A DIV J T Buy 5/8/2017 J
DAIWA HOUSE IND LTD ADR Buy add'l 5/9/2017 J
DAIWA HOUSE IND LTD ADR Buy add'l 5/9/2017 J
DAIWA SECS GROUP INC SPONS ADR A DIV J T Buy 7/10/2017 J
DANONE SPONSORED ADR A DIV J T Buy 5/8/2017 J
DANONE SPONSORED ADR Buy add'l 5/9/2017 J
DANONE SPONSORED ADR Buy add'l 5/9/2017 J
DANONE SPONSORED ADR Buy add'l 7/10/2017 J
DANSKE BK A/S SPONSORED ADR A DIV J T Buy 5/8/2017 J
DANSKE BK A/S SPONSORED ADR Buy add'l 5/9/2017 J
DANSKE BK A/S SPONSORED ADR Buy add'l 5/9/2017 J
DANSKE BK A/S SPONSORED ADR Buy add'l 11/7/2017 J
DBS GROUP HLDGS LTD SPONS ADR A DIV J T Buy 5/8/2017 J
DBS GROUP HLDGS LTD SPONS ADR Buy add'l 5/9/2017 J
DBS GROUP HLDGS LTD SPONS ADR Buy add'l 5/9/2017 J
DENSO CORP ADR WITH DUE BILLS A DIV J T Buy 5/8/2017 J
DENSO CORP ADR WITH DUE BILLS Buy add'l 5/9/2017 J
DENSO CORP ADR WITH DUE BILLS Buy add'l 5/9/2017 J
DEUTSCHE BANK AG NAMEN AKT Buy 5/8/2017 J
DEUTSCHE BANK AG NAMEN AKT Buy add'l 5/9/2017 J
DEUTSCHE BANK AG NAMEN AKT Buy add'l 5/9/2017 J
DEUTSCHE BANK AG NAMEN AKT Sold part 11/7/2017 J L
DEUTSCHE POST AG SPONS ADR A DIV J T Buy 5/8/2017 J
DEUTSCHE POST AG SPONS ADR Buy add'l 5/9/2017 J
DEUTSCHE POST AG SPONS ADR Buy add'l 5/9/2017 J
DEUTSCHE TELEKOM AG SPONSORED ADR A DIV J T Buy 5/8/2017 J
DEUTSCHE TELEKOM AG SPONSORED ADR Buy add'l 5/9/2017 J
DEUTSCHE TELEKOM AG SPONSORED ADR Buy add'l 5/9/2017 J
DEUTSCHE TELEKOM AG SPONSORED ADR Buy add'l 7/10/2017 J
DIAGEO PLC SPONSORED ADR NEW A DIV J T Buy 5/8/2017 J
DIAGEO PLC SPONSORED ADR NEW Buy add'l 5/9/2017 J
DIAGEO PLC SPONSORED ADR NEW Buy add'l 5/9/2017 J
DIAGEO PLC SPONSORED ADR NEW Buy add'l 7/10/2017 J
DIAGEO PLC SPONSORED ADR NEW Buy add'l 7/11/2017 J
DSV AS ADR A DIV J T Buy 5/8/2017 J
DSV AS ADR Buy add'l 5/9/2017 J
EAST JAPAN RY CO ADR A DIV J T Buy 5/8/2017 J
EAST JAPAN RY CO ADR Buy add'l 5/9/2017 J
EAST JAPAN RY CO ADR Buy add'l 5/9/2017 J
EISAI CO LTD ADRS UNSPONSORED 1 ADR = Buy 7/10/2017 J
EISAI CO LTD ADRS UNSPONSORED 1 ADR = Sold 8/3/2017 J L
ENEL SOCIETA PER AZIONI ADR A DIV J T Buy 5/8/2017 J
ENEL SOCIETA PER AZIONI ADR Buy add'l 5/9/2017 J
ENEL SOCIETA PER AZIONI ADR Buy add'l 5/9/2017 J
ENEL SOCIETA PER AZIONI ADR Buy add'l 7/10/2017 J
ENEL SOCIETA PER AZIONI ADR Buy add'l 7/11/2017 J
ENI SPA SPONSORED ADR Buy 5/8/2017 J
ENI SPA SPONSORED ADR Buy add'l 5/9/2017 J
ENI SPA SPONSORED ADR Buy add'l 5/9/2017 J
ENI SPA SPONSORED ADR Sold part 7/10/2017 J L

FINANCIAL DISCLOSURE REPORT

Page 12 of 35

Name of Person Reporting

CECCHI, CLAIRE C.

Date of Report

02/20/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

ERICSSON L M TEL CO ADR CL B SEK 10 NEW A DIV J T Buy 11/7/2017 J
ERICSSON L M TEL CO ADR CL B SEK 10 NEW Buy add'l 12/13/2017 J
ESSILOR INTL S A SPONSORED ADR A DIV J T Buy 5/8/2017 J
ESSILOR INTL S A SPONSORED ADR Buy add'l 5/9/2017 J
ESSILOR INTL S A SPONSORED ADR Buy add'l 5/9/2017 J
ESSILOR INTL S A SPONSORED ADR Buy add'l 8/3/2017 J
FANUC CORPORATION ADR A DIV J T Buy 5/8/2017 J
FANUC CORPORATION ADR Buy add'l 5/9/2017 J
FANUC CORPORATION ADR Buy add'l 5/9/2017 J
FORTESCUE METALS GROUP LTD SPONS ADR A DIV J T Buy 7/10/2017 J
FRESENIUS MED CARE AKTIENGESELLSCHAFT A DIV J T Buy 8/3/2017 J
FRESENIUS SE & CO KGAA SPONSORED ADR A DIV J T Buy 5/8/2017 J
FRESENIUS SE & CO KGAA SPONSORED ADR Buy add'l 5/9/2017 J
FRESENIUS SE & CO KGAA SPONSORED ADR Buy add'l 5/9/2017 J
FRESENIUS SE & CO KGAA SPONSORED ADR Buy add'l 8/3/2017 J
FUCHS PETROLUB SE ADR A DIV J T Buy 5/8/2017 J
FUCHS PETROLUB SE ADR Buy add'l 5/9/2017 J
FUJIFILM HLDGS CORP ADR 2 ORD A DIV J T Buy 5/9/2017 J
FUJIFILM HLDGS CORP ADR 2 ORD Buy add'l 12/13/2017 J
GALP ENERGIA SGPS SA ADR A DIV J T Buy 7/11/2017 J
GENMAB A/S SPONSORED ADR A DIV J T Buy 8/3/2017 J
GETINGE AB ADR A DIV J T Buy 8/3/2017 J
GIVAUDAN SA ADR A DIV J T Buy 5/8/2017 J
GIVAUDAN SA ADR Buy add'l 5/9/2017 J
GIVAUDAN SA ADR Buy add'l 5/9/2017 J
GKN PLC SPONSORED ADR Buy 5/8/2017 J
GKN PLC SPONSORED ADR Buy add'l 5/9/2017 J
GLAXOSMITHKLINE PLC SPONS ADR Buy 5/8/2017 J
GLAXOSMITHKLINE PLC SPONS ADR Buy add'l 5/9/2017 J
GLAXOSMITHKLINE PLC SPONS ADR Buy add'l 5/9/2017 J
GLAXOSMITHKLINE PLC SPONS ADR Buy add'l 7/10/2017 J
GLAXOSMITHKLINE PLC SPONS ADR Buy add'l 7/11/2017 J
GLAXOSMITHKLINE PLC SPONS ADR Sold 8/3/2017 J L
GLAXOSMITHKLINE PLC SPONS ADR Sold 8/3/2017 J L
GLAXOSMITHKLINE PLC SPONS ADR Sold 8/3/2017 J L
GLENCORE PLC ADR A DIV J T Buy 5/8/2017 J
GLENCORE PLC ADR Buy add'l 5/9/2017 J
GLENCORE PLC ADR Buy add'l 5/9/2017 J
GLENCORE PLC ADR Buy add'l 7/10/2017 J
GLOBAL LOGISTICS PPTYS LTD ADR A DIV J T Buy 9/12/2017 J
GLOBAL LOGISTICS PPTYS LTD ADR Buy add'l 9/14/2017 J
GRIFOLS S A SPONSORED ADR REPSTG A DIV J T Buy 8/3/2017 J
HANG LUNG PPTYS LTD SPONSORED ADR A DIV J T Buy 5/8/2017 J
HANG LUNG PPTYS LTD SPONSORED ADR Buy add'l 5/9/2017 J
HANG LUNG PPTYS LTD SPONSORED ADR Buy add'l 5/9/2017 J
HEINEKEN HLDGS NV SPONS ADR Buy 8/3/2017 J
HEINEKEN HLDGS NV SPONS ADR Sold 11/7/2017 J L
HEINEKEN N V SPONS ADR LEVEL 1 Buy 8/3/2017 J
HEINEKEN N V SPONS ADR LEVEL 1 Sold 11/7/2017 J L
HENKEL AG & CO KGAA SPONSORED ADR RE A DIV J T Buy 11/7/2017 J
HENNES & MAURITZ AB ADR Buy 9/12/2017 J
HENNES & MAURITZ AB ADR Sold 12/13/2017 J L
HITACHI LTD ADR 10 COM NEW A DIV J T Buy 5/8/2017 J
HITACHI LTD ADR 10 COM NEW Buy add'l 5/9/2017 J
HITACHI LTD ADR 10 COM NEW Buy add'l 5/9/2017 J
HONDA MTR LTD ADR REPRESENTING 2 ORD Buy 5/8/2017 J
HONDA MTR LTD ADR REPRESENTING 2 ORD Buy add'l 5/9/2017 J
HONDA MTR LTD ADR REPRESENTING 2 ORD Buy add'l 5/9/2017 J
HONDA MTR LTD ADR REPRESENTING 2 ORD Sold 5/11/2017 J L
HONDA MTR LTD ADR REPRESENTING 2 ORD A DIV J T Buy 7/10/2017 J
HONG KONG @ CHINA GAS ADR A DIV J T Buy 5/8/2017 J
HONG KONG @ CHINA GAS ADR Buy add'l 5/9/2017 J
HONG KONG @ CHINA GAS ADR Buy add'l 5/9/2017 J
HSBC HLDGS PLC SPONS ADR NEW A DIV J T Buy 5/8/2017 J
HSBC HLDGS PLC SPONS ADR NEW Buy add'l 5/9/2017 J
HSBC HLDGS PLC SPONS ADR NEW Buy add'l 5/9/2017 J
HSBC HLDGS PLC SPONS ADR NEW Buy add'l 7/10/2017 J

FINANCIAL DISCLOSURE REPORT

Page 13 of 35

Name of Person Reporting

CECCHI, CLAIRE C.

Date of Report

02/20/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

HSBC HLDGS PLC SPONS ADR NEW Buy add'l 7/11/2017 J
 IBERDROLA S A SPONSORED ADR A DIV J T Buy 5/8/2017 J
 IBERDROLA S A SPONSORED ADR Buy add'l 5/9/2017 J
 IBERDROLA S A SPONSORED ADR Buy add'l 5/9/2017 J
 IBERDROLA S A SPONSORED ADR Buy add'l 7/10/2017 J
 IBERDROLA S A SPONSORED ADR Buy add'l 7/11/2017 J
 IMPERIAL BRANDS PLC SPONSORED ADR Buy 5/8/2017 J
 IMPERIAL BRANDS PLC SPONSORED ADR Buy add'l 5/9/2017 J
 IMPERIAL BRANDS PLC SPONSORED ADR Buy add'l 5/9/2017 J
 IMPERIAL BRANDS PLC SPONSORED ADR Buy add'l 9/12/2017 J
 IMPERIAL BRANDS PLC SPONSORED ADR Sold part 11/7/2017 J L
 INDUSTRIA DE DISENO TEXTIL INDITEX SA A DIV J T Buy 5/8/2017 J
 INDUSTRIA DE DISENO TEXTIL INDITEX SA Buy add'l 5/9/2017 J
 INDUSTRIA DE DISENO TEXTIL INDITEX SA Buy add'l 5/9/2017 J
 INDUSTRIA DE DISENO TEXTIL INDITEX SA Buy add'l 11/7/2017 J
 ING GROEP N V ADR UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 ING GROEP N V ADR UNSOLICITED ORDER Buy add'l 5/9/2017 J
 ING GROEP N V ADR UNSOLICITED ORDER Buy add'l 5/9/2017 J
 ING GROEP N V ADR UNSOLICITED ORDER Buy add'l 7/10/2017 J
 ING GROEP N V ADR UNSOLICITED ORDER Buy add'l 7/11/2017 J
 INTESA SANPAOLO S P A SPON ADR A DIV J T Buy 5/8/2017 J
 INTESA SANPAOLO S P A SPON ADR Buy add'l 5/9/2017 J
 INTESA SANPAOLO S P A SPON ADR Buy add'l 5/9/2017 J
 INTESA SANPAOLO S P A SPON ADR Buy add'l 7/10/2017 J
 ISRAEL CHEMICALS LTD SHS A DIV J T Buy 11/7/2017 J
 ITV PLC ADR A DIV J T Buy 9/12/2017 J
 J SAINSBURY PLC SPONSORED ADR NEW A DIV J T Buy 7/10/2017 J
 JAPAN TOB INC ADR A DIV J T Buy 5/8/2017 J
 JAPAN TOB INC ADR Buy add'l 5/9/2017 J
 JAPAN TOB INC ADR Buy add'l 5/9/2017 J
 JAPAN TOB INC ADR Buy add'l 12/13/2017 J
 JULIUS BAER GROUP LTD ADR A DIV J T Buy 5/8/2017 J
 JULIUS BAER GROUP LTD ADR Buy add'l 5/9/2017 J
 JULIUS BAER GROUP LTD ADR Buy add'l 5/9/2017 J
 KAO CORP SPONSORED ADR REPSTG A DIV J T Buy 5/8/2017 J
 KAO CORP SPONSORED ADR REPSTG Buy add'l 5/9/2017 J
 KAO CORP SPONSORED ADR REPSTG Buy add'l 5/9/2017 J
 KDDI CORP ADR A DIV J T Buy 5/8/2017 J
 KDDI CORP ADR Buy add'l 5/9/2017 J
 KDDI CORP ADR Buy add'l 5/9/2017 J
 KDDI CORP ADR Buy add'l 7/10/2017 J
 KDDI CORP ADR Buy add'l 8/3/2017 J
 KINGFISHER PLC SPONSORED ADR A DIV J T Buy 9/12/2017 J
 KONE OYJ ADR A DIV J T Buy 12/13/2017 J
 KONINKLIJKE AHOLD NV SPONSORED ADR NEW Buy 5/8/2017 J
 KONINKLIJKE AHOLD NV SPONSORED ADR NEW Buy add'l 5/9/2017 J
 KONINKLIJKE AHOLD NV SPONSORED ADR NEW Buy add'l 5/9/2017 J
 KONINKLIJKE AHOLD NV SPONSORED ADR NEW Sold 7/10/2017 J L
 KONINKLIJKE AHOLD NV SPONSORED ADR NEW A DIV J T Buy 9/14/2017 J
 KONINKLIJKE PHILIPS N V A DIV J T Buy 5/8/2017 J
 KONINKLIJKE PHILIPS N V Buy add'l 5/9/2017 J
 KONINKLIJKE PHILIPS N V Buy add'l 5/9/2017 J
 KURARAY CO LTD ADR A DIV J T Buy 5/8/2017 J
 KURARAY CO LTD ADR Buy add'l 5/9/2017 J
 KYOCERA CORP ADR FRMLY KYOTO A DIV J T Buy 7/10/2017 J
 LAFARGEHOLCIM ADR A DIV J T Buy 8/3/2017 J
 LAFARGEHOLCIM ADR Buy add'l 11/7/2017 J
 LEND LEASE LTD SPONSORED ADR Buy 7/10/2017 J
 LEND LEASE LTD SPONSORED ADR Sold part 12/13/2017 J L
 LINDE AG SPONS ADR LEVEL 1 Buy 5/8/2017 J
 LINDE AG SPONS ADR LEVEL 1 Buy add'l 5/9/2017 J
 LINDE AG SPONS ADR LEVEL 1 Buy add'l 5/9/2017 J
 LINDE AG SPONS ADR LEVEL 1 Sold 9/19/2017 J L
 LLOYDS BANKING GROUP PLC SPONS ADR A DIV J T Buy 5/8/2017 J
 LLOYDS BANKING GROUP PLC SPONS ADR Buy add'l 5/9/2017 J
 LLOYDS BANKING GROUP PLC SPONS ADR Buy add'l 5/9/2017 J
 LLOYDS BANKING GROUP PLC SPONS ADR Buy add'l 7/10/2017 J

FINANCIAL DISCLOSURE REPORT

Page 14 of 35

Name of Person Reporting

CECCHI, CLAIRE C.

Date of Report

02/20/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

LLOYDS BANKING GROUP PLC SPONS ADR Buy add'l 7/11/2017 J
LONZA GROUP AG ADR Buy 5/8/2017 J
LONZA GROUP AG ADR Buy add'l 5/9/2017 J
LONZA GROUP AG ADR Sold 8/3/2017 J L
LOREAL CO ADR A DIV J T Buy 5/8/2017 J
LOREAL CO ADR Buy add'l 5/9/2017 J
LOREAL CO ADR Buy add'l 11/7/2017 J
LUXOTTICA GROUP SPA SPONSORED ADR Buy 9/12/2017 J
LUXOTTICA GROUP SPA SPONSORED ADR Sold 11/7/2017 J L
LVMH MOET HENNESSY LOUIS VUITTON ADR A DIV J T Buy 5/8/2017 J
LVMH MOET HENNESSY LOUIS VUITTON ADR Buy add'l 5/9/2017 J
LVMH MOET HENNESSY LOUIS VUITTON ADR Buy add'l 5/9/2017 J
LVMH MOET HENNESSY LOUIS VUITTON ADR Buy add'l 9/14/2017 J
MACQUARIE GROUP LTD ADR NEW A DIV J T Buy 5/8/2017 J
MACQUARIE GROUP LTD ADR NEW Buy add'l 5/9/2017 J
MACQUARIE GROUP LTD ADR NEW Buy add'l 5/9/2017 J
MAKITA CORP SPONS ADR A DIV J T Buy 12/13/2017 J
METRO AG ADR Buy 5/8/2017 J
METRO AG ADR Buy add'l 5/9/2017 J
METRO AG ADR Buy add'l 5/9/2017 J
METRO AG RIGHTS RD 08/07 PD 08/17/17 Sold 8/17/2017 J L
MICHELIN COMPAGNIE GENERALE DES A DIV J T Buy 5/8/2017 J
MICHELIN COMPAGNIE GENERALE DES Buy add'l 5/9/2017 J
MICHELIN COMPAGNIE GENERALE DES Buy add'l 5/9/2017 J
MITSUBISHI CORP SPONSORED ADR A DIV J T Buy 5/8/2017 J
MITSUBISHI CORP SPONSORED ADR Buy add'l 5/9/2017 J
MITSUBISHI CORP SPONSORED ADR Buy add'l 5/9/2017 J
MITSUBISHI ESTATE LTD ADR Buy 5/8/2017 J
MITSUBISHI ESTATE LTD ADR Buy add'l 5/9/2017 J
MITSUBISHI ESTATE LTD ADR Buy add'l 5/9/2017 J
MITSUBISHI ESTATE LTD ADR Sold 9/12/2017 J L
MITSUBISHI ESTATE LTD ADR A DIV J T Buy 11/7/2017 J
MITSUBISHI UFJ FINL GROUP INC SPON ADR Buy 5/8/2017 J
MITSUBISHI UFJ FINL GROUP INC SPON ADR Buy add'l 5/9/2017 J
MITSUBISHI UFJ FINL GROUP INC SPON ADR Buy add'l 5/9/2017 J
MITSUBISHI UFJ FINL GROUP INC SPON ADR Buy add'l 7/11/2017 J
MITSUBISHI UFJ FINL GROUP INC SPON ADR Sold 9/12/2017 J L
MITSUBISHI UFJ FINL GROUP INC SPON ADR A DIV J T Buy 11/7/2017 J
MITSUI & CO LTD ADR Buy 5/8/2017 J
MITSUI & CO LTD ADR Buy add'l 5/9/2017 J
MITSUI & CO LTD ADR Buy add'l 5/9/2017 J
MITSUI & CO LTD ADR Sold 5/11/2017 J L
MIZUHO FINANCIAL GROUP INC SPON ADR A DIV J T Buy 5/8/2017 J
MIZUHO FINANCIAL GROUP INC SPON ADR Buy add'l 5/9/2017 J
MIZUHO FINANCIAL GROUP INC SPON ADR Buy add'l 5/9/2017 J
MIZUHO FINANCIAL GROUP INC SPON ADR Buy add'l 7/10/2017 J
MS&AD INS GROUP HLDGS ADR A DIV J T Buy 9/12/2017 J
MUNICH RE GROUP ADR A DIV J T Buy 5/8/2017 J
MUNICH RE GROUP ADR Buy add'l 5/9/2017 J
MUNICH RE GROUP ADR Buy add'l 5/9/2017 J
NATIONAL AUSTRALIA BANK LTD ADRS Buy 5/8/2017 J
NATIONAL AUSTRALIA BANK LTD ADRS Buy add'l 5/9/2017 J
NATIONAL AUSTRALIA BANK LTD ADRS Buy add'l 5/9/2017 J
NATIONAL AUSTRALIA BANK LTD ADRS Sold 7/10/2017 J L
NATIONAL AUSTRALIA BANK LTD ADRS Buy 11/7/2017 J
NATIONAL AUSTRALIA BANK LTD ADRS Sold 12/13/2017 J L
NATIONAL GRID PLC SPON ADR NEW A DIV J T Buy 5/8/2017 J
NATIONAL GRID PLC SPON ADR NEW Buy add'l 5/9/2017 J
NATIONAL GRID PLC SPON ADR NEW Buy add'l 5/9/2017 J
NESTLE SA SPONSORED ADR REPSTG A DIV J T Buy 5/8/2017 J
NESTLE SA SPONSORED ADR REPSTG Buy add'l 5/9/2017 J
NESTLE SA SPONSORED ADR REPSTG Buy add'l 5/9/2017 J
NESTLE SA SPONSORED ADR REPSTG Buy add'l 7/10/2017 J
NESTLE SA SPONSORED ADR REPSTG Buy add'l 7/11/2017 J
NESTLE SA SPONSORED ADR REPSTG Buy add'l 8/3/2017 J
NESTLE SA SPONSORED ADR REPSTG Buy add'l 9/14/2017 J
NESTLE SA SPONSORED ADR REPSTG Buy add'l 11/7/2017 J

FINANCIAL DISCLOSURE REPORT

Page 15 of 35

Name of Person Reporting

CECCHI, CLAIRE C.

Date of Report

02/20/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

NEWCREST MINING LTD ADR A DIV J T Buy 7/10/2017 J
 NIPPON TELEG & TELEPHONE CORP A DIV J T Buy 8/3/2017 J
 NISSAN MOTOR LTD SPON ADR A DIV J T Buy 5/11/2017 J
 NOKIA CORP SPONSORED ADR Buy 5/8/2017 J
 NOKIA CORP SPONSORED ADR Buy add'l 5/9/2017 J
 NOMURA HLDGS INC SPONSORED ADR A DIV J T Buy 9/12/2017 J
 NORDEA BK AB SWEDEN SPONSORED ADR A DIV J T Buy 5/8/2017 J
 NORDEA BK AB SWEDEN SPONSORED ADR Buy add'l 5/9/2017 J
 NORDEA BK AB SWEDEN SPONSORED ADR Buy add'l 5/9/2017 J
 NORDEA BK AB SWEDEN SPONSORED ADR Buy add'l 12/13/2017 J
 NOVARTIS AG SPONSORED ADR Buy 5/8/2017 J
 NOVARTIS AG SPONSORED ADR Buy add'l 5/9/2017 J
 NOVARTIS AG SPONSORED ADR Buy add'l 5/9/2017 J
 NOVARTIS AG SPONSORED ADR Buy add'l 7/10/2017 J
 NOVARTIS AG SPONSORED ADR Buy add'l 7/11/2017 J
 NOVARTIS AG SPONSORED ADR Sold 8/3/2017 J L
 NOVARTIS AG SPONSORED ADR Sold 8/3/2017 J L
 NOVARTIS AG SPONSORED ADR Sold 8/3/2017 J L
 NOVO NORDISK A.S. ADR FORMERLY NOVO Buy 5/8/2017 J
 NOVO NORDISK A.S. ADR FORMERLY NOVO Buy add'l 5/9/2017 J
 NOVO NORDISK A.S. ADR FORMERLY NOVO Buy add'l 5/9/2017 J
 NOVO NORDISK A.S. ADR FORMERLY NOVO Buy add'l 7/11/2017 J
 NOVO NORDISK A.S. ADR FORMERLY NOVO Sold 8/3/2017 J L
 NOVO NORDISK A.S. ADR FORMERLY NOVO Sold 8/3/2017 J L
 NSK LTD SPONSORED ADR A DIV J T Buy 9/12/2017 J
 NTT DOCOMO INC SPONS ADR A DIV J T Buy 5/8/2017 J
 NTT DOCOMO INC SPONS ADR Buy add'l 5/9/2017 J
 NTT DOCOMO INC SPONS ADR Buy add'l 5/9/2017 J
 NTT DOCOMO INC SPONS ADR Buy add'l 8/3/2017 J
 ORANGE SPONSORED ADR ISIN#US6840601065 A DIV J T Buy 5/8/2017 J
 ORANGE SPONSORED ADR ISIN#US6840601065 Buy add'l 5/9/2017 J
 ORANGE SPONSORED ADR ISIN#US6840601065 Buy add'l 5/9/2017 J
 ORKLA A S SPONSORED ADR REPSTG A DIV J T Buy 5/8/2017 J
 ORKLA A S SPONSORED ADR REPSTG Buy add'l 5/9/2017 J
 ORKLA A S SPONSORED ADR REPSTG Buy add'l 5/9/2017 J
 PADDY PWR BETFAIR PLC ADR NEW A DIV J T Buy 12/13/2017 J
 PERNOD RICARD S A ADR A DIV J T Buy 5/8/2017 J
 PERNOD RICARD S A ADR Buy add'l 5/9/2017 J
 PERNOD RICARD S A ADR Buy add'l 5/9/2017 J
 PROSIEBENSAT 1 MEDIA SE ADR A DIV J T Buy 11/7/2017 J
 PRUDENTIAL PLC ADR UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 PRUDENTIAL PLC ADR UNSOLICITED ORDER Buy add'l 5/9/2017 J
 PRUDENTIAL PLC ADR UNSOLICITED ORDER Buy add'l 5/9/2017 J
 PRUDENTIAL PLC ADR UNSOLICITED ORDER Buy add'l 7/10/2017 J
 PRUDENTIAL PLC ADR UNSOLICITED ORDER Buy add'l 7/11/2017 J
 PRUDENTIAL PLC ADR UNSOLICITED ORDER Buy add'l 9/14/2017 J
 PUBLICIS S A NEW SPONS ADR Buy 7/10/2017 J
 PUBLICIS S A NEW SPONS ADR Sold part 9/12/2017 J L
 QBE INS GROUP LTD SPONS ADR Buy 5/9/2017 J
 RAKUTEN INC ADR A DIV J T Buy 12/13/2017 J
 RECKITT BENCKISER PLC SPONSORED ADR Buy 5/8/2017 J
 RECKITT BENCKISER PLC SPONSORED ADR Buy add'l 5/9/2017 J
 RECKITT BENCKISER PLC SPONSORED ADR Buy add'l 5/9/2017 J
 RECKITT BENCKISER PLC SPONSORED ADR Buy add'l 7/10/2017 J
 RECKITT BENCKISER PLC SPONSORED ADR Buy add'l 7/11/2017 J
 RECKITT BENCKISER PLC SPONSORED ADR Sold part 11/7/2017 J L
 RED ELECTRICA DE ESPANA SA ADR A DIV J T Buy 5/8/2017 J
 RED ELECTRICA DE ESPANA SA ADR Buy add'l 5/9/2017 J
 RED ELECTRICA DE ESPANA SA ADR Buy add'l 5/9/2017 J
 RELX NV SPONSORED ADR A DIV J T Buy 7/10/2017 J
 REPSOL YPF SA SPONS ADR A DIV J T Buy 5/8/2017 J
 REPSOL YPF SA SPONS ADR Buy add'l 5/9/2017 J
 RIO TINTO PLC SPONSORED ADR A DIV J T Buy 5/8/2017 J
 RIO TINTO PLC SPONSORED ADR Buy add'l 5/9/2017 J
 RIO TINTO PLC SPONSORED ADR Buy add'l 5/10/2017 J
 RIO TINTO PLC SPONSORED ADR Buy add'l 7/10/2017 J
 RIO TINTO PLC SPONSORED ADR Buy add'l 7/11/2017 J

FINANCIAL DISCLOSURE REPORT

Page 16 of 35

Name of Person Reporting

CECCHI, CLAIRE C.

Date of Report

02/20/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

ROCHE HLDGS LTD SPONSORED ADR Buy 5/8/2017 J
 ROCHE HLDGS LTD SPONSORED ADR Buy add'l 5/9/2017 J
 ROCHE HLDGS LTD SPONSORED ADR Buy add'l 5/9/2017 J
 ROCHE HLDGS LTD SPONSORED ADR Buy add'l 7/10/2017 J
 ROCHE HLDGS LTD SPONSORED ADR Buy add'l 7/11/2017 J
 ROCHE HLDGS LTD SPONSORED ADR Sold part 8/3/2017 J L
 ROCHE HLDGS LTD SPONSORED ADR Sold part 8/3/2017 J L
 ROCHE HLDGS LTD SPONSORED ADR Sold 8/3/2017 J L
 ROYAL DUTCH SHELL PLC SPONSORED ADR A DIV J T Buy 5/8/2017 J
 ROYAL DUTCH SHELL PLC SPONSORED ADR Buy add'l 5/8/2017 J
 ROYAL DUTCH SHELL PLC SPONSORED ADR Buy add'l 5/9/2017 J
 ROYAL DUTCH SHELL PLC SPONSORED ADR Buy add'l 5/9/2017 J
 ROYAL DUTCH SHELL PLC SPONSORED ADR Buy add'l 5/9/2017 J
 ROYAL DUTCH SHELL PLC SPONSORED ADR Buy add'l 5/9/2017 J
 ROYAL DUTCH SHELL PLC SPONSORED ADR Buy add'l 7/10/2017 J
 ROYAL DUTCH SHELL PLC SPONSORED ADR Buy add'l 7/10/2017 J
 ROYAL DUTCH SHELL PLC SPONSORED ADR Buy add'l 7/11/2017 J
 ROYAL DUTCH SHELL PLC SPONSORED ADR Buy add'l 8/3/2017 J
 SAMPO OYJ ADR A DIV J T Buy 5/8/2017 J
 SAMPO OYJ ADR Buy add'l 5/9/2017 J
 SAMPO OYJ ADR Buy add'l 5/9/2017 J
 SANDVIK AB ADR A DIV J T Buy 5/8/2017 J
 SANDVIK AB ADR Buy add'l 5/9/2017 J
 SANOFI SPONS ADR Buy 5/8/2017 J
 SANOFI SPONS ADR Buy add'l 5/9/2017 J
 SANOFI SPONS ADR Buy add'l 5/9/2017 J
 SANOFI SPONS ADR Buy add'l 7/10/2017 J
 SANOFI SPONS ADR Buy add'l 7/11/2017 J
 SANOFI SPONS ADR Sold part 8/3/2017 J L
 SANOFI SPONS ADR Sold part 8/3/2017 J L
 SANOFI SPONS ADR Sold 8/3/2017 J L
 SAP AE SPONSORED ADR A DIV J T Buy 5/8/2017 J
 SAP AE SPONSORED ADR Buy add'l 5/9/2017 J
 SAP AE SPONSORED ADR Buy add'l 5/9/2017 J
 SAP AE SPONSORED ADR Buy add'l 7/10/2017 J
 SAP AE SPONSORED ADR Buy add'l 7/11/2017 J
 SCHNEIDER UNSP ADR SHS UNSPONSORED A DIV J T Buy 5/8/2017 J
 SCHNEIDER UNSP ADR SHS UNSPONSORED Buy add'l 5/9/2017 J
 SCHNEIDER UNSP ADR SHS UNSPONSORED Buy add'l 5/9/2017 J
 SECOM LTD ADR UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 SECOM LTD ADR UNSOLICITED ORDER Buy add'l 5/9/2017 J
 SECOM LTD ADR UNSOLICITED ORDER Buy add'l 5/9/2017 J
 SEVEN & I HLDGS CO LTD ADR A DIV J T Buy 5/8/2017 J
 SEVEN & I HLDGS CO LTD ADR Buy add'l 5/9/2017 J
 SEVEN & I HLDGS CO LTD ADR Buy add'l 5/9/2017 J
 SEVEN & I HLDGS CO LTD ADR Buy add'l 12/13/2017 J
 SGS SA ADR A DIV J T Buy 5/8/2017 J
 SGS SA ADR Buy add'l 5/9/2017 J
 SGS SA ADR Buy add'l 5/9/2017 J
 SHIN ETSU CHEM CO LTD ADR A DIV J T Buy 5/8/2017 J
 SHIN ETSU CHEM CO LTD ADR Buy add'l 5/9/2017 J
 SHIN ETSU CHEM CO LTD ADR Buy add'l 5/10/2017 J
 SHIRE PLC SPONS ADR A DIV J T Buy 5/8/2017 J
 SHIRE PLC SPONS ADR Buy add'l 5/9/2017 J
 SHIRE PLC SPONS ADR Buy add'l 5/9/2017 J
 SHIRE PLC SPONS ADR Buy add'l 8/3/2017 J
 SIEMENS A G SPONSORED ADR A DIV J T Buy 5/8/2017 J
 SIEMENS A G SPONSORED ADR Buy add'l 5/9/2017 J
 SIEMENS A G SPONSORED ADR Buy add'l 5/9/2017 J
 SIEMENS A G SPONSORED ADR Buy add'l 7/10/2017 J
 SIEMENS A G SPONSORED ADR Buy add'l 7/11/2017 J
 SIEMENS A G SPONSORED ADR Buy add'l 9/12/2017 J
 SKY PLC SPONSORED ADR A DIV J T Buy 11/7/2017 J
 SMITH & NEPHEW P L C SPONSORED ADR NEW A DIV J T Buy 8/3/2017 J
 SMITHS GROUP PLC SPONS ADR A DIV J T Buy 5/8/2017 J
 SMITHS GROUP PLC SPONS ADR Buy add'l 5/9/2017 J
 SMITHS GROUP PLC SPONS ADR Buy add'l 5/9/2017 J

FINANCIAL DISCLOSURE REPORT

Page 17 of 35

Name of Person Reporting

CECCHI, CLAIRE C.

Date of Report

02/20/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

SOCIETE GENERALE FRANCE SPON ADR A DIV J T Buy 5/8/2017 J
 SOCIETE GENERALE FRANCE SPON ADR Buy add'l 5/9/2017 J
 SOCIETE GENERALE FRANCE SPON ADR Buy add'l 5/9/2017 J
 SODEXHO SPON ADR A DIV J T Buy 5/8/2017 J
 SODEXHO SPON ADR Buy add'l 5/9/2017 J
 SODEXHO SPON ADR Buy add'l 5/9/2017 J
 SOFTBANK CORP ADR A DIV J T Buy 5/8/2017 J
 SOFTBANK CORP ADR Buy add'l 5/9/2017 J
 SOFTBANK CORP ADR Buy add'l 5/9/2017 J
 SOFTBANK CORP ADR Buy add'l 7/10/2017 J
 SOFTBANK CORP ADR Buy add'l 7/11/2017 J
 SONOVA HLDG AG ADR A DIV J T Buy 5/8/2017 J
 SONOVA HLDG AG ADR Buy add'l 5/9/2017 J
 SONOVA HLDG AG ADR Buy add'l 5/9/2017 J
 SONY CORP ADR AMERN SH NEW A DIV J T Buy 5/8/2017 J
 SONY CORP ADR AMERN SH NEW Buy add'l 5/9/2017 J
 SONY CORP ADR AMERN SH NEW Buy add'l 5/9/2017 J
 SSE PLC SPONSORED ADR A DIV J T Buy 8/3/2017 J
 SUBARU CORP ADR Buy 7/10/2017 J
 SUBARU CORP ADR Sold 12/13/2017 J L
 SUMITOMO CORP SPONSORED ADR A DIV J T Buy 5/8/2017 J
 SUMITOMO CORP SPONSORED ADR Buy add'l 5/9/2017 J
 SUMITOMO CORP SPONSORED ADR Buy add'l 5/9/2017 J
 SUMITOMO MITSUI FINL GROUP INC SPONS ADR A DIV J T Buy 5/8/2017 J
 SUMITOMO MITSUI FINL GROUP INC SPONS ADR Buy add'l 5/9/2017 J
 SUMITOMO MITSUI FINL GROUP INC SPONS ADR Buy add'l 5/9/2017 J
 SUMITOMO MITSUI FINL GROUP INC SPONS ADR Buy add'l 7/10/2017 J
 SUMITOMO MITSUI FINL GROUP INC SPONS ADR Buy add'l 7/11/2017 J
 SUN HUNG KAI PPTYS LTD ADR NEW A DIV J T Buy 5/8/2017 J
 SUN HUNG KAI PPTYS LTD ADR NEW Buy add'l 5/9/2017 J
 SUN HUNG KAI PPTYS LTD ADR NEW Buy add'l 5/9/2017 J
 SVENSKA CELLULOZA AKTIEBOLAGET SCA A DIV J T Buy 5/8/2017 J
 SVENSKA CELLULOZA AKTIEBOLAGET SCA Buy add'l 5/9/2017 J
 SVENSKA CELLULOZA AKTIEBOLAGET SCA Buy add'l 5/9/2017 J
 SVENSKA HANDELSBANKEN AB A DIV J T Buy 5/8/2017 J
 SVENSKA HANDELSBANKEN AB Buy add'l 5/9/2017 J
 SVENSKA HANDELSBANKEN AB Buy add'l 5/9/2017 J
 SVENSKA HANDELSBANKEN AB Buy add'l 7/10/2017 J
 SWATCH GROUP AG ADR A DIV J T Buy 9/14/2017 J
 SWIRE PACIFIC LTD SPONS ADR REPRESENTG A DIV J T Buy 5/8/2017 J
 SWIRE PACIFIC LTD SPONS ADR REPRESENTG Buy add'l 5/9/2017 J
 SWIRE PACIFIC LTD SPONS ADR REPRESENTG Buy add'l 5/9/2017 J
 SWISS RE LTD SPONS ADR A DIV J T Buy 5/8/2017 J
 SWISS RE LTD SPONS ADR Buy add'l 5/9/2017 J
 SWISS RE LTD SPONS ADR Buy add'l 5/9/2017 J
 SWISS RE LTD SPONS ADR Buy add'l 11/7/2017 J
 SWISSCOM SPON ADR UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 SWISSCOM SPON ADR UNSOLICITED ORDER Buy add'l 5/9/2017 J
 SWISSCOM SPON ADR UNSOLICITED ORDER Buy add'l 5/9/2017 J
 SYSMEX CORP ADR A DIV J T Buy 9/12/2017 J
 TAKEDA PHARMACEUTICAL CO Buy 5/8/2017 J
 TAKEDA PHARMACEUTICAL CO Buy add'l 5/9/2017 J
 TAKEDA PHARMACEUTICAL CO Buy add'l 5/9/2017 J
 TAKEDA PHARMACEUTICAL CO Sold part 8/3/2017 J L
 TAKEDA PHARMACEUTICAL CO Sold 8/3/2017 J L
 TELEFONICA S A ADR SPONS ADR A DIV J T Buy 5/8/2017 J
 TELEFONICA S A ADR SPONS ADR Buy add'l 5/9/2017 J
 TELEFONICA S A ADR SPONS ADR Buy add'l 5/9/2017 J
 TELSTRA LTD SPON ADR FINAL Buy 5/11/2017 J
 TENARIS S A SPONSORED ADR A DIV J T Buy 8/3/2017 J
 TERNA RETE ELETTRICA NAZIONALE SPA ADR A DIV J T Buy 5/8/2017 J
 TERNA RETE ELETTRICA NAZIONALE SPA ADR Buy add'l 5/9/2017 J
 TERNA RETE ELETTRICA NAZIONALE SPA ADR Buy add'l 5/9/2017 J
 TEVA PHARMACEUTICAL INDUSTRIES LTD ADR Buy 7/10/2017 J
 TOKIO MARINE HLDGS INC ADR Buy 5/8/2017 J
 TOKIO MARINE HLDGS INC ADR Buy add'l 5/9/2017 J
 TOKIO MARINE HLDGS INC ADR Buy add'l 5/9/2017 J

FINANCIAL DISCLOSURE REPORT

Page 18 of 35

Name of Person Reporting

CECCHI, CLAIRE C.

Date of Report

02/20/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of report.)

TORAY INDS INC ADR ISIN#US8908802064 A DIV J T Buy 5/11/2017 J
TOTAL S A SPONSORED ADR A DIV J T Buy 5/8/2017 J
TOTAL S A SPONSORED ADR Buy add'l 5/9/2017 J
TOTAL S A SPONSORED ADR Buy add'l 5/9/2017 J
TOTAL S A SPONSORED ADR Buy add'l 7/10/2017 J
TOTAL S A SPONSORED ADR Buy add'l 7/11/2017 J
TOTAL S A SPONSORED ADR Buy add'l 8/3/2017 J
TOYOTA MTR CO SPON ADR A DIV J T Buy 5/8/2017 J
TOYOTA MTR CO SPON ADR Buy add'l 5/9/2017 J
TOYOTA MTR CO SPON ADR Buy add'l 5/9/2017 J
TOYOTA MTR CO SPON ADR Buy add'l 7/10/2017 J
TOYOTA MTR CO SPON ADR Buy add'l 7/11/2017 J
TOYOTA MTR CO SPON ADR Buy add'l 8/3/2017 J
UBS GROUP AG SHS Buy 5/8/2017 J
UBS GROUP AG SHS Buy add'l 5/9/2017 J
UBS GROUP AG SHS Buy add'l 5/9/2017 J
UBS GROUP AG SHS Buy add'l 7/10/2017 J
UBS GROUP AG SHS Buy add'l 9/12/2017 J
UBS GROUP AG SHS Sold part 11/7/2017 J L
UNIBAIL RODAMCO SE ADR REPSTG SHSH NEW A DIV J T Buy 5/8/2017 J
UNIBAIL RODAMCO SE ADR REPSTG SHSH NEW Buy add'l 5/9/2017 J
UNIBAIL RODAMCO SE ADR REPSTG SHSH NEW Buy add'l 5/9/2017 J
UNIBAIL RODAMCO SE ADR REPSTG SHSH NEW Buy add'l 7/10/2017 J
UNICHARM CORP SPONSORED ADR A DIV J T Buy 11/7/2017 J
UNILEVER NV NEW YORK SHS NEW A DIV J T Buy 5/8/2017 J
UNILEVER NV NEW YORK SHS NEW Buy add'l 5/9/2017 J
UNILEVER NV NEW YORK SHS NEW Buy add'l 5/9/2017 J
UNILEVER NV NEW YORK SHS NEW Buy add'l 7/10/2017 J
UNILEVER NV NEW YORK SHS NEW Buy add'l 7/11/2017 J
UNILEVER PLC SPON ADR NEW A DIV J T Buy 5/8/2017 J
UNILEVER PLC SPON ADR NEW Buy add'l 5/9/2017 J
UNILEVER PLC SPON ADR NEW Buy add'l 5/9/2017 J
UNITED OVERSEAS BK LTD SPON ADR A DIV J T Buy 5/8/2017 J
UNITED OVERSEAS BK LTD SPON ADR Buy add'l 5/9/2017 J
UNITED OVERSEAS BK LTD SPON ADR Buy add'l 5/9/2017 J
UNITED UTILS GROUP PLC SPON ADR Buy 5/8/2017 J
UNITED UTILS GROUP PLC SPON ADR Buy add'l 5/9/2017 J
UNITED UTILS GROUP PLC SPON ADR Buy add'l 5/9/2017 J
UPM KYMMENE CORP SPONSORED ADR A DIV J T Buy 5/8/2017 J
UPM KYMMENE CORP SPONSORED ADR Buy add'l 5/9/2017 J
UPM KYMMENE CORP SPONSORED ADR Buy add'l 11/7/2017 J
VALEO SPON ADR UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
VALEO SPON ADR UNSOLICITED ORDER Buy add'l 5/9/2017 J
VINCI S A ADR A DIV J T Buy 5/8/2017 J
VINCI S A ADR Buy add'l 5/9/2017 J
VINCI S A ADR Buy add'l 5/9/2017 J
VIVENDI SA ADR A DIV J T Buy 5/8/2017 J
VIVENDI SA ADR Buy add'l 5/9/2017 J
VODAFONE GROUP PLC NEW SPONSORED ADR A DIV J T Buy 5/8/2017 J
VODAFONE GROUP PLC NEW SPONSORED ADR Buy add'l 5/9/2017 J
VODAFONE GROUP PLC NEW SPONSORED ADR Buy add'l 5/9/2017 J
VODAFONE GROUP PLC NEW SPONSORED ADR Buy add'l 7/10/2017 J
VODAFONE GROUP PLC NEW SPONSORED ADR Buy add'l 7/11/2017 J
WESTFIELD CORP SPONSORED ADR REPSTG Buy 5/8/2017 J
WESTFIELD CORP SPONSORED ADR REPSTG Buy add'l 5/9/2017 J
WESTFIELD CORP SPONSORED ADR REPSTG Buy add'l 5/9/2017 J
WESTFIELD CORP SPONSORED ADR REPSTG Sold 7/10/2017 J L
WESTFIELD CORP SPONSORED ADR REPSTG A DIV J T Buy 9/12/2017 J
WESTPAC BANKING CORP ADR Buy 5/8/2017 J
WESTPAC BANKING CORP ADR Buy add'l 5/9/2017 J
WESTPAC BANKING CORP ADR Buy add'l 5/9/2017 J
WESTPAC BANKING CORP ADR Sold part 5/11/2017 J L
WESTPAC BANKING CORP ADR Buy 7/10/2017 J
WESTPAC BANKING CORP ADR Buy add'l 7/11/2017 J
WESTPAC BANKING CORP ADR Sold part 12/13/2017 J L
WHARF HLDGS LTD ADR A DIV J T Buy 5/8/2017 J
WHARF HLDGS LTD ADR Buy add'l 5/9/2017 J

FINANCIAL DISCLOSURE REPORT

Page 19 of 35

Name of Person Reporting

CECCHI, CLAIRE C.

Date of Report

02/20/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

WHARF HLDGS LTD ADR Buy add'l 5/9/2017 J
 WM MORRISON SUPERMARKETS PLC ADR A DIV J T Buy 7/10/2017 J
 WOLTERS KLUWER N V SPON ADR A DIV J T Buy 9/12/2017 J
 WPP PLC NEW ADR A DIV J T Buy 5/8/2017 J
 WPP PLC NEW ADR Buy add'l 5/9/2017 J
 WPP PLC NEW ADR Buy add'l 5/9/2017 J
 WPP PLC NEW ADR Buy add'l 7/10/2017 J
 WPP PLC NEW ADR Buy add'l 11/7/2017 J
 YAHOO JAPAN CORP ADR A DIV J T Buy 8/3/2017 J
 ZURICH INS GROUP LTD SPONSORED ADR A DIV J T Buy 5/8/2017 J
 ZURICH INS GROUP LTD SPONSORED ADR Buy add'l 5/9/2017 J
 ZURICH INS GROUP LTD SPONSORED ADR Buy add'l 5/9/2017 J
 ZURICH INS GROUP LTD SPONSORED ADR Buy add'l 7/10/2017 J
 ZURICH INS GROUP LTD SPONSORED ADR Buy add'l 8/3/2017 J

BROKERAGE #5, Part VII, Line 58

**WESTERN DIGITAL CORP COM Buy 9/14/2017 J
 **WESTERN UN CO COM UNSOLICITED ORDER Buy 11/16/2017 J
 3M CO COM UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 3M CO COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 3M CO COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 3M CO COM UNSOLICITED ORDER Buy add'l 8/3/2017 J
 3M CO COM UNSOLICITED ORDER Buy add'l 9/14/2017 J
 ABBOTT LABS COM UNSOLICITED ORDER Buy 5/9/2017 J
 ABBOTT LABS COM UNSOLICITED ORDER Sold 8/3/2017 J L
 ABBVIE INC COM UNSOLICITED ORDER A DIV J T Buy 5/9/2017 J
 ABBVIE INC COM UNSOLICITED ORDER Buy add'l 9/14/2017 J
 ACADIA RLTY TR COM UNSOLICITED ORDER Buy 5/8/2017 J
 ACADIA RLTY TR COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 ACADIA RLTY TR COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 ACADIA RLTY TR COM UNSOLICITED ORDER Sold 5/11/2017 J L
 ACADIA RLTY TR COM UNSOLICITED ORDER A DIV J T Buy 6/26/2017 J
 ACCENTURE PLC IRELAND CLASS SHS A DIV J T Buy 5/9/2017 J
 ACCENTURE PLC IRELAND CLASS SHS Buy add'l 9/14/2017 J
 ACTIVISION BLIZZARD INC COM A DIV J T Buy 5/8/2017 J
 ACTIVISION BLIZZARD INC COM Buy add'l 5/9/2017 J
 ACTIVISION BLIZZARD INC COM Buy add'l 9/14/2017 J
 ADOBE SYS INC COM UNSOLICITED ORDER A DIV J T Buy 5/9/2017 J
 ADOBE SYS INC COM UNSOLICITED ORDER Buy add'l 9/14/2017 J
 AETNA INC NEW COM UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 AETNA INC NEW COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 AETNA INC NEW COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 AETNA INC NEW COM UNSOLICITED ORDER Buy add'l 8/3/2017 J
 AETNA INC NEW COM UNSOLICITED ORDER Buy add'l 9/14/2017 J
 AGILENT TECHNOLOGIES INC COM A DIV J T Buy 9/14/2017 J
 AIR PRODS & CHEMS INC COM A DIV J T Buy 9/14/2017 J
 ALKERMES PLC SHS A DIV J T Buy 11/16/2017 J
 ALLERGAN PLC COM SHS Buy 5/9/2017 J
 ALLERGAN PLC COM SHS Sold 8/3/2017 J L
 ALLIANT ENERGY CORP COM A DIV J T Buy 5/9/2017 J
 ALLIANT ENERGY CORP COM Buy add'l 9/14/2017 J
 ALLSTATE CORP COM UNSOLICITED ORDER A DIV J T Buy 9/6/2017 J
 ALLSTATE CORP COM UNSOLICITED ORDER Buy add'l 9/14/2017 J
 ALPHABET INC CAP STK CL C A DIV J T Buy 5/9/2017 J
 ALPHABET INC CL A UNSOLICITED ORDER A DIV J T Buy add'l 5/9/2017 J
 ALPHABET INC CL A UNSOLICITED ORDER Buy add'l 9/14/2017 J
 ALTRIA GROUP INC COM UNSOLICITED ORDER Buy 5/8/2017 J
 ALTRIA GROUP INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 ALTRIA GROUP INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 ALTRIA GROUP INC COM UNSOLICITED ORDER Sold 5/11/2017 J L
 ALTRIA GROUP INC COM UNSOLICITED ORDER Buy 9/6/2017 J
 ALTRIA GROUP INC COM UNSOLICITED ORDER Buy add'l 9/14/2017 J
 ALTRIA GROUP INC COM UNSOLICITED ORDER Sold 10/16/2017 J L
 ALTRIA GROUP INC COM UNSOLICITED ORDER A DIV J T Buy 11/16/2017 J

FINANCIAL DISCLOSURE REPORT

Page 20 of 35

Name of Person Reporting

CECCHI, CLAIRE C.

Date of Report

02/20/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

AMAZON COM INC UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 AMAZON COM INC UNSOLICITED ORDER Buy add'l 5/9/2017 J
 AMAZON COM INC UNSOLICITED ORDER Buy add'l 5/9/2017 J
 AMAZON COM INC UNSOLICITED ORDER Buy add'l 9/14/2017 J
 AMERICAN ASSETS TR INC COM Buy 5/8/2017 J
 AMERICAN ASSETS TR INC COM Buy add'l 5/9/2017 J
 AMERICAN ASSETS TR INC COM Buy add'l 5/9/2017 J
 AMERICAN ASSETS TR INC COM Sold part 11/16/2017 J L
 AMERICAN EXPRESS COMPANY A DIV J T Buy 5/8/2017 J
 AMERICAN EXPRESS COMPANY Buy add'l 5/9/2017 J
 AMERICAN EXPRESS COMPANY Buy add'l 5/9/2017 J
 AMERICAN EXPRESS COMPANY Buy add'l 9/14/2017 J
 AMERICAN INTL GROUP INC COM NEW A DIV J T Buy 5/8/2017 J
 AMERICAN INTL GROUP INC COM NEW Buy add'l 5/9/2017 J
 AMERICAN INTL GROUP INC COM NEW Buy add'l 5/9/2017 J
 AMERICAN INTL GROUP INC COM NEW Buy add'l 9/14/2017 J
 AMERICAN TOWER REIT COM A DIV J T Buy 5/8/2017 J
 AMERICAN TOWER REIT COM Buy add'l 5/9/2017 J
 AMERICAN TOWER REIT COM Buy add'l 5/9/2017 J
 AMERICAN TOWER REIT COM Buy add'l 9/14/2017 J
 AMERIPRISE FINL INC COM A DIV J T Buy 9/14/2017 J
 AMERISOURCE BERGEN CORP COM A DIV J T Buy 10/16/2017 J
 AMGEN INC COM Buy 5/8/2017 J
 AMGEN INC COM Buy add'l 5/9/2017 J
 AMGEN INC COM Buy add'l 5/9/2017 J
 AMGEN INC COM Buy add'l 9/14/2017 J
 AMGEN INC COM Sold part 11/16/2017 J L
 AMPHENOL CORP NEW CL UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 AMPHENOL CORP NEW CL UNSOLICITED ORDER Buy add'l 5/9/2017 J
 AMPHENOL CORP NEW CL UNSOLICITED ORDER Buy add'l 5/9/2017 J
 ANADARKO PETE CORP COM A DIV J T Buy 5/9/2017 J
 ANADARKO PETE CORP COM Buy add'l 11/16/2017 J
 ANALOG DEVICES INC COM A DIV J T Buy 5/9/2017 J
 ANALOG DEVICES INC COM Buy add'l 9/14/2017 J
 ANTHEM INC COM A DIV J T Buy 5/8/2017 J
 ANTHEM INC COM Buy add'l 5/9/2017 J
 ANTHEM INC COM Buy add'l 5/9/2017 J
 ANTHEM INC COM Buy add'l 8/3/2017 J
 ANTHEM INC COM Buy add'l 9/14/2017 J
 AON PLC SHS CL A A DIV J T Buy 5/8/2017 J
 AON PLC SHS CL A Buy add'l 5/9/2017 J
 AON PLC SHS CL A Buy add'l 5/9/2017 J
 AON PLC SHS CL A Buy add'l 9/14/2017 J
 APPLE INC COM A DIV J T Buy 5/8/2017 J
 APPLE INC COM Buy add'l 5/9/2017 J
 APPLE INC COM Buy add'l 5/9/2017 J
 APPLE INC COM Buy add'l 9/14/2017 J
 APPLIED MATERIALS INC A DIV J T Buy 5/8/2017 J
 APPLIED MATERIALS INC Buy add'l 5/9/2017 J
 APPLIED MATERIALS INC Buy add'l 5/9/2017 J
 APPLIED MATERIALS INC Buy add'l 9/14/2017 J
 AQUA AMER INC COM UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 AQUA AMER INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 AQUA AMER INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 ASPEN INSURANCE HLDGS LTD SHS Buy 5/8/2017 J
 ASPEN INSURANCE HLDGS LTD SHS Buy add'l 5/9/2017 J
 ASPEN INSURANCE HLDGS LTD SHS Buy add'l 5/9/2017 J
 ASPEN INSURANCE HLDGS LTD SHS Sold 8/3/2017 J L
 ASSOCIATED BANC CORP COM Buy 5/8/2017 J
 ASSOCIATED BANC CORP COM Buy add'l 5/9/2017 J
 ASSOCIATED BANC CORP COM Buy add'l 5/9/2017 J
 ASSOCIATED BANC CORP COM Sold 9/6/2017 J L
 AT&T INC COM UNSOLICITED ORDER Buy 5/8/2017 J
 AT&T INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 AT&T INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 AT&T INC COM UNSOLICITED ORDER Sold part 5/11/2017 J L
 AT&T INC COM UNSOLICITED ORDER Buy 10/16/2017 J

FINANCIAL DISCLOSURE REPORT

Page 21 of 35

Name of Person Reporting

CECCHI, CLAIRE C.

Date of Report

02/20/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

AT&T INC COM UNSOLICITED ORDER Sold 11/16/2017 J L
 AUTOMATIC DATA PROCESSING INC COM A DIV J T Buy 5/8/2017 J
 AUTOMATIC DATA PROCESSING INC COM Buy add'l 5/9/2017 J
 AUTOMATIC DATA PROCESSING INC COM Buy add'l 5/9/2017 J
 AUTOMATIC DATA PROCESSING INC COM Buy add'l 9/14/2017 J
 AUTOZONE INC COM UNSOLICITED ORDER Sold 8/3/2017 J L
 AUTOZONE INC UNSOLICITED ORDER Buy 6/26/2017 J
 AVALONBAY CMNTYS INC COM A DIV J T Buy 9/14/2017 J
 AVISTA CORP COM UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 AVISTA CORP COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 AVISTA CORP COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 AVNET INC COM UNSOLICITED ORDER Buy 5/9/2017 J
 AXIS CAPITAL HLDGS LTD SHS Buy 9/14/2017 J
 AXIS CAPITAL HLDGS LTD SHS Sold 10/16/2017 J L
 BANK AMER CORP COM UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 BANK AMER CORP COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 BANK AMER CORP COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 BANK AMER CORP COM UNSOLICITED ORDER Buy add'l 9/14/2017 J
 BANK OF NEW YORK MELLON CORP COM A DIV J T Buy 5/8/2017 J
 BANK OF NEW YORK MELLON CORP COM Buy add'l 5/9/2017 J
 BANK OF NEW YORK MELLON CORP COM Buy add'l 5/9/2017 J
 BANK OF NEW YORK MELLON CORP COM Buy add'l 9/14/2017 J
 BARD C R INC COM UNSOLICITED ORDER A DIV J T Buy 5/11/2017 J
 BARD C R INC COM UNSOLICITED ORDER Buy add'l 9/14/2017 J
 BAXTER INTL INC COM UNSOLICITED ORDER Buy 5/8/2017 J
 BAXTER INTL INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 BAXTER INTL INC COM UNSOLICITED ORDER Sold 8/3/2017 J L
 BECTON DICKINSON & CO A DIV J T Buy 5/8/2017 J
 BECTON DICKINSON & CO Buy add'l 5/9/2017 J
 BECTON DICKINSON & CO Buy add'l 5/9/2017 J
 BECTON DICKINSON & CO Buy add'l 9/14/2017 J
 BERKSHIRE HATHAWAY INC DEL CL B NEW A DIV J T Buy 5/8/2017 J
 BERKSHIRE HATHAWAY INC DEL CL B NEW Buy add'l 5/9/2017 J
 BERKSHIRE HATHAWAY INC DEL CL B NEW Buy add'l 5/9/2017 J
 BERKSHIRE HATHAWAY INC DEL CL B NEW Buy add'l 9/14/2017 J
 BIO RAD LABS INC CL A A DIV J T Buy 11/16/2017 J
 BIOGEN IDEC INC COM A DIV J T Buy 5/8/2017 J
 BIOGEN IDEC INC COM Buy add'l 5/9/2017 J
 BIOGEN IDEC INC COM Buy add'l 5/9/2017 J
 BIOGEN IDEC INC COM Buy add'l 9/14/2017 J
 BIO-TECHNE CORP COM UNSOLICITED ORDER A DIV J T Buy 11/16/2017 J
 BIOVERATIV INC COM UNSOLICITED ORDER A DIV J T Buy 11/16/2017 J
 BLACKROCK INC COM UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 BLACKROCK INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 BLACKROCK INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 BLACKROCK INC COM UNSOLICITED ORDER Buy add'l 9/14/2017 J
 BOEING CO COM UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 BOEING CO COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 BOEING CO COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 BOEING CO COM UNSOLICITED ORDER Buy add'l 9/14/2017 J
 BOSTON SCIENTIFIC CORP A DIV J T Buy 5/8/2017 J
 BOSTON SCIENTIFIC CORP Buy add'l 5/9/2017 J
 BOSTON SCIENTIFIC CORP Buy add'l 9/14/2017 J
 BRISTOL MYERS SQUIBB CO COM Buy 5/8/2017 J
 BRISTOL MYERS SQUIBB CO COM Buy add'l 5/9/2017 J
 BRISTOL MYERS SQUIBB CO COM Buy add'l 5/9/2017 J
 BRISTOL MYERS SQUIBB CO COM Sold 8/3/2017 J L
 BRIXMOR PPTY GROUP INC COM A DIV J T Buy 11/16/2017 J
 BROADCOM LTD SHS ISIN#SG9999014823 A DIV J T Buy 5/8/2017 J
 BROADCOM LTD SHS ISIN#SG9999014823 Buy add'l 5/9/2017 J
 BROADCOM LTD SHS ISIN#SG9999014823 Buy add'l 5/9/2017 J
 BROADCOM LTD SHS ISIN#SG9999014823 Buy add'l 9/14/2017 J
 CAPITAL ONE FINL CORP COM A DIV J T Buy 5/8/2017 J
 CAPITAL ONE FINL CORP COM Buy add'l 5/9/2017 J
 CAPITAL ONE FINL CORP COM Buy add'l 5/9/2017 J
 CAPITAL ONE FINL CORP COM Buy add'l 9/14/2017 J
 CAPITOL FED FINL INC COM Buy 9/6/2017 J

FINANCIAL DISCLOSURE REPORT

Page 22 of 35

Name of Person Reporting

CECCHI, CLAIRE C.

Date of Report

02/20/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

CAPITOL FED FINL INC COM Buy add'l 9/14/2017 J
 CAPITOL FED FINL INC COM Sold 11/16/2017 J L
 CARDINAL HEALTH INC COM Buy 5/9/2017 J
 CARDINAL HEALTH INC COM Buy add'l 8/3/2017 J
 CARDINAL HEALTH INC COM Sold 11/16/2017 J L
 CARNIVAL CORP PAIRED CTF 1 COM CARNIVAL A DIV J T Buy 5/8/2017 J
 CARNIVAL CORP PAIRED CTF 1 COM CARNIVAL Buy add'l 5/9/2017 J
 CATERPILLAR INC COM UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 CATERPILLAR INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 CATERPILLAR INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 CATERPILLAR INC COM UNSOLICITED ORDER Buy add'l 9/14/2017 J
 CELGENE CORP UNSOLICITED ORDER Buy 5/8/2017 J
 CELGENE CORP UNSOLICITED ORDER Buy add'l 5/9/2017 J
 CELGENE CORP UNSOLICITED ORDER Buy add'l 5/9/2017 J
 CELGENE CORP UNSOLICITED ORDER Buy add'l 9/14/2017 J
 CELGENE CORP UNSOLICITED ORDER Sold part 11/16/2017 J L
 CELGENE CORP UNSOLICITED ORDER Sold 11/16/2017 J L
 CENTURYLINK INC COM UNSOLICITED ORDER A DIV J T Buy 10/16/2017 J
 CENTURYLINK INC COM UNSOLICITED ORDER Buy add'l 11/16/2017 J
 CHARLES RIV LABORATORIES INTL A DIV J T Buy 11/16/2017 J
 CHARTER COMMUNICATIONS INC Buy 5/8/2017 J
 CHARTER COMMUNICATIONS INC Buy add'l 5/9/2017 J
 CHARTER COMMUNICATIONS INC Buy add'l 5/9/2017 J
 CHARTER COMMUNICATIONS INC Buy add'l 9/14/2017 J
 CHARTER COMMUNICATIONS INC Sold part 11/16/2017 J L
 CHEVRON CORP NEW COM UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 CHEVRON CORP NEW COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 CHEVRON CORP NEW COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 CHEVRON CORP NEW COM UNSOLICITED ORDER Buy add'l 9/14/2017 J
 CHUBB LTD COM A DIV J T Buy 5/8/2017 J
 CHUBB LTD COM Buy add'l 5/9/2017 J
 CHUBB LTD COM Buy add'l 5/9/2017 J
 CHUBB LTD COM Buy add'l 9/6/2017 J
 CHUBB LTD COM Buy add'l 9/14/2017 J
 CHURCH & DWIGHT CO INC Buy 5/8/2017 J
 CHURCH & DWIGHT CO INC Buy add'l 5/9/2017 J
 CHURCH & DWIGHT CO INC Buy add'l 5/9/2017 J
 CIGNA CORP COM UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 CIGNA CORP COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 CIGNA CORP COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 CIGNA CORP COM UNSOLICITED ORDER Buy add'l 8/3/2017 J
 CIGNA CORP COM UNSOLICITED ORDER Buy add'l 9/14/2017 J
 CISCO SYSTEMS INC UNSOLICITED ORDER Buy 5/8/2017 J
 CISCO SYSTEMS INC UNSOLICITED ORDER Buy add'l 5/9/2017 J
 CISCO SYSTEMS INC UNSOLICITED ORDER Buy add'l 5/9/2017 J
 CISCO SYSTEMS INC UNSOLICITED ORDER Sold 8/3/2017 J L
 CISCO SYSTEMS INC UNSOLICITED ORDER A DIV J T Buy 9/6/2017 J
 CISCO SYSTEMS INC UNSOLICITED ORDER Buy add'l 9/14/2017 J
 CITIGROUP INC COM NEW A DIV J T Buy 5/11/2017 J
 CITIGROUP INC COM NEW Buy add'l 9/14/2017 J
 CITRIX SYSTEMS INC UNSOLICITED ORDER A DIV J T Buy 9/14/2017 J
 CLOROX CO COM A DIV J T Buy 5/8/2017 J
 CLOROX CO COM Buy add'l 5/9/2017 J
 CLOROX CO COM Buy add'l 5/9/2017 J
 CLOROX CO COM Buy add'l 9/14/2017 J
 CME GROUP INC COM UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 CME GROUP INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 CME GROUP INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 CME GROUP INC COM UNSOLICITED ORDER Buy add'l 9/14/2017 J
 CMS ENERGY CORP UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 CMS ENERGY CORP UNSOLICITED ORDER Buy add'l 5/9/2017 J
 CMS ENERGY CORP UNSOLICITED ORDER Buy add'l 5/9/2017 J
 CMS ENERGY CORP UNSOLICITED ORDER Buy add'l 9/14/2017 J
 COCA COLA COMPANY UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 COCA COLA COMPANY UNSOLICITED ORDER Buy add'l 5/9/2017 J
 COCA COLA COMPANY UNSOLICITED ORDER Buy add'l 5/9/2017 J
 COCA COLA COMPANY UNSOLICITED ORDER Buy add'l 9/14/2017 J

FINANCIAL DISCLOSURE REPORT

Page 23 of 35

Name of Person Reporting

CECCHI, CLAIRE C.

Date of Report

02/20/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

COCA COLA COMPANY UNSOLICITED ORDER Buy add'l 11/16/2017 J
 COGENT COMMUNICATIONS HLDGS A DIV J T Buy 11/16/2017 J
 COGNIZANT TECHNOLOGY SOLUTIONS CORP A DIV J T Buy 5/8/2017 J
 COGNIZANT TECHNOLOGY SOLUTIONS CORP Buy add'l 5/9/2017 J
 COGNIZANT TECHNOLOGY SOLUTIONS CORP Buy add'l 5/9/2017 J
 COGNIZANT TECHNOLOGY SOLUTIONS CORP Buy add'l 9/14/2017 J
 COLGATE PALMOLIVE CO COM A DIV J T Buy 5/8/2017 J
 COLGATE PALMOLIVE CO COM Buy add'l 5/9/2017 J
 COLGATE PALMOLIVE CO COM Buy add'l 5/9/2017 J
 COLGATE PALMOLIVE CO COM Buy add'l 9/14/2017 J
 COLGATE PALMOLIVE CO COM Buy add'l 11/16/2017 J
 COMCAST CORP CL A UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 COMCAST CORP CL A UNSOLICITED ORDER Buy add'l 5/9/2017 J
 COMCAST CORP CL A UNSOLICITED ORDER Buy add'l 5/9/2017 J
 COMCAST CORP CL A UNSOLICITED ORDER Buy add'l 9/14/2017 J
 CONAGRA BRANDS INC COM A DIV J T Buy 9/6/2017 J
 CONOCOPHILLIPS COM UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 CONOCOPHILLIPS COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 CONOCOPHILLIPS COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 CONOCOPHILLIPS COM UNSOLICITED ORDER Buy add'l 9/14/2017 J
 CONSTELLATION BRANDS INC CL A A DIV J T Buy 5/8/2017 J
 CONSTELLATION BRANDS INC CL A Buy add'l 5/9/2017 J
 CONSTELLATION BRANDS INC CL A Buy add'l 9/14/2017 J
 COOPER COS INC COM NEW A DIV J T Buy 11/16/2017 J
 COSTCO WHOLESALE CORP NEW COM A DIV J T Buy 5/8/2017 J
 COSTCO WHOLESALE CORP NEW COM Buy add'l 5/9/2017 J
 COSTCO WHOLESALE CORP NEW COM Buy add'l 5/9/2017 J
 COSTCO WHOLESALE CORP NEW COM Buy add'l 9/14/2017 J
 COTY INC COM CL A A DIV J T Buy 11/16/2017 J
 CRANE COMPANY UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 CRANE COMPANY UNSOLICITED ORDER Buy add'l 5/9/2017 J
 CROWN CASTLE INTL CORP NEW COM A DIV J T Buy 5/8/2017 J
 CROWN CASTLE INTL CORP NEW COM Buy add'l 5/9/2017 J
 CROWN CASTLE INTL CORP NEW COM Buy add'l 9/14/2017 J
 CSX CORP COM Buy 5/8/2017 J
 CSX CORP COM Buy add'l 5/9/2017 J
 CSX CORP COM Buy add'l 5/9/2017 J
 CSX CORP COM Buy add'l 9/14/2017 J
 CSX CORP COM Sold part 11/16/2017 J L
 CUMMINS INC UNSOLICITED ORDER A DIV J T Buy 9/14/2017 J
 CVS HEALTH CORP COM Buy 5/8/2017 J
 CVS HEALTH CORP COM Buy add'l 5/9/2017 J
 CVS HEALTH CORP COM Buy add'l 5/9/2017 J
 CVS HEALTH CORP COM Buy add'l 9/14/2017 J
 CVS HEALTH CORP COM Sold 10/16/2017 J L
 CVS HEALTH CORP COM Sold 10/16/2017 J L
 CVS HEALTH CORP COM A DIV J T Buy 11/16/2017 J
 DANAHER CORP COM UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 DANAHER CORP COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 DANAHER CORP COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 DANAHER CORP COM UNSOLICITED ORDER Buy add'l 8/3/2017 J
 DANAHER CORP COM UNSOLICITED ORDER Buy add'l 9/14/2017 J
 DAVITA INC COM A DIV J T Buy 11/16/2017 J
 DCT INDL TR INC COM NEW A DIV J T Buy 5/8/2017 J
 DCT INDL TR INC COM NEW Buy add'l 5/9/2017 J
 DCT INDL TR INC COM NEW Buy add'l 5/9/2017 J
 DDR CORP COM UNSOLICITED ORDER Buy 5/9/2017 J
 DEERE & CO UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 DEERE & CO UNSOLICITED ORDER Buy add'l 5/9/2017 J
 DEERE & CO UNSOLICITED ORDER Buy add'l 9/14/2017 J
 DELTA AIRLINES INC COM NEW A DIV J T Buy 5/8/2017 J
 DELTA AIRLINES INC COM NEW Buy add'l 5/9/2017 J
 DELTA AIRLINES INC COM NEW Buy add'l 5/9/2017 J
 DELTA AIRLINES INC COM NEW Buy add'l 9/14/2017 J
 DISCOVER FINL SVCS COM INC A DIV J T Buy 5/9/2017 J
 DISCOVER FINL SVCS COM INC Buy add'l 9/6/2017 J
 DISNEY WALT CO DISNEY COM Buy 5/8/2017 J

FINANCIAL DISCLOSURE REPORT

Page 24 of 35

Name of Person Reporting

CECCHI, CLAIRE C.

Date of Report

02/20/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

DISNEY WALT CO DISNEY COM Buy add'l 5/9/2017 J
DISNEY WALT CO DISNEY COM Buy add'l 5/9/2017 J
DISNEY WALT CO DISNEY COM Sold 6/26/2017 J L
DISNEY WALT CO DISNEY COM Buy 8/3/2017 J
DISNEY WALT CO DISNEY COM Sold 9/6/2017 J L
DISNEY WALT CO DISNEY COM A DIV J T Buy 10/16/2017 J
DISNEY WALT CO DISNEY COM Buy add'l 11/16/2017 J
DOLLAR GEN CORP NEW COM A DIV J T Buy 9/14/2017 J
DOMINION ENERGY INC COM A DIV J T Buy 9/14/2017 J
DOMINION RES INC VA COM Buy 5/8/2017 J
DOMINION RES INC VA COM Buy add'l 5/9/2017 J
DOMINION RES INC VA COM Buy add'l 5/9/2017 J
DOW CHEM CO COM UNSOLICITED ORDER Buy 5/8/2017 J
DOW CHEM CO COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
DOW CHEM CO COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
DOWDUPONT INC COM A DIV J T Buy 9/14/2017 J
DR PEPPER SNAPPLE GROUP INC COM Buy 5/8/2017 J
DR PEPPER SNAPPLE GROUP INC COM Buy add'l 5/9/2017 J
DR PEPPER SNAPPLE GROUP INC COM Buy add'l 5/9/2017 J
DR PEPPER SNAPPLE GROUP INC COM Sold 10/16/2017 J L
DU PONT E I DE NEMOURS & CO COM Buy 5/8/2017 J
DU PONT E I DE NEMOURS & CO COM Buy add'l 5/9/2017 J
DU PONT E I DE NEMOURS & CO COM Buy add'l 5/9/2017 J
DU PONT E I DE NEMOURS & CO COM Sold 8/3/2017 J L
EATON CORPORATION PLC SHS A DIV J T Buy 5/8/2017 J
EATON CORPORATION PLC SHS Buy add'l 5/9/2017 J
EATON CORPORATION PLC SHS Buy add'l 5/9/2017 J
EATON CORPORATION PLC SHS Buy add'l 9/14/2017 J
EBAY INC COM UNSOLICITED ORDER Buy 5/8/2017 J
EBAY INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
EBAY INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
EBAY INC COM UNSOLICITED ORDER Buy add'l 9/14/2017 J
EBAY INC COM UNSOLICITED ORDER Sold part 11/16/2017 J L
ECOLAB INC COM UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
ECOLAB INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
ECOLAB INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
ECOLAB INC COM UNSOLICITED ORDER Buy add'l 9/14/2017 J
EDWARDS LIFESCIENCES CORP COM Buy 9/14/2017 J
EDWARDS LIFESCIENCES CORP COM Sold part 11/16/2017 J L
EL PASO ELECTRIC CO COM NEW A DIV J T Buy 9/14/2017 J
EMERSON ELEC CO COM UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
EMERSON ELEC CO COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
EMERSON ELEC CO COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
EMERSON ELEC CO COM UNSOLICITED ORDER Buy add'l 9/14/2017 J
ENVISION HEALTHCARE CORP COM Buy 10/16/2017 J
ENVISION HEALTHCARE CORP COM Sold 11/16/2017 J L
EOG RES INC COM Buy 5/8/2017 J
EOG RES INC COM Buy add'l 5/9/2017 J
EOG RES INC COM Buy add'l 5/9/2017 J
EOG RES INC COM Sold 6/26/2017 J L
EOG RES INC COM A DIV J T Buy 9/14/2017 J
EQUITY RESIDENTIAL SHS BEN INT A DIV J T Buy 9/14/2017 J
ESTEE LAUDER COMPANIES INC CL A A DIV J T Buy 11/16/2017 J
EXELON CORP COM UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
EXELON CORP COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
EXELON CORP COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
EXELON CORP COM UNSOLICITED ORDER Buy add'l 9/14/2017 J
EXPEDIA INC DEL COM NEW Buy 9/14/2017 J
EXPEDITORS INTL WASH INC A DIV J T Buy 5/8/2017 J
EXPEDITORS INTL WASH INC Buy add'l 5/9/2017 J
EXPEDITORS INTL WASH INC Buy add'l 5/9/2017 J
EXPRESS SCRIPTS HLDG CO COM Buy 5/8/2017 J
EXPRESS SCRIPTS HLDG CO COM Buy add'l 5/9/2017 J
EXPRESS SCRIPTS HLDG CO COM Buy add'l 5/9/2017 J
EXPRESS SCRIPTS HLDG CO COM Sold 10/16/2017 J L
EXPRESS SCRIPTS HLDG CO COM A DIV J T Buy 11/16/2017 J
EXXON MOBIL CORP COM UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J

FINANCIAL DISCLOSURE REPORT

Page 25 of 35

Name of Person Reporting

CECCHI, CLAIRE C.

Date of Report

02/20/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

EXXON MOBIL CORP COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 EXXON MOBIL CORP COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 EXXON MOBIL CORP COM UNSOLICITED ORDER Buy add'l 9/14/2017 J
 F5 NETWORKS INC COM UNSOLICITED ORDER Buy 11/16/2017 J
 FACEBOOK INC CL A UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 FACEBOOK INC CL A UNSOLICITED ORDER Buy add'l 5/9/2017 J
 FACEBOOK INC CL A UNSOLICITED ORDER Buy add'l 5/9/2017 J
 FACEBOOK INC CL A UNSOLICITED ORDER Buy add'l 9/14/2017 J
 FEDEX CORP COM UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 FEDEX CORP COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 FEDEX CORP COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 FEDEX CORP COM UNSOLICITED ORDER Buy add'l 9/14/2017 J
 FIDELITY NATL INFORMATION SVCS INC A DIV J T Buy 5/8/2017 J
 FIDELITY NATL INFORMATION SVCS INC Buy add'l 5/9/2017 J
 FIDELITY NATL INFORMATION SVCS INC Buy add'l 5/9/2017 J
 FIDELITY NATL INFORMATION SVCS INC Buy add'l 9/14/2017 J
 FIRST COMWLTH FINL CORP PA COM A DIV J T Buy 5/8/2017 J
 FIRST COMWLTH FINL CORP PA COM Buy add'l 5/9/2017 J
 FIRST COMWLTH FINL CORP PA COM Buy add'l 5/9/2017 J
 FIRST MIDWEST BANCORP INC DEL COM Buy 5/8/2017 J
 FIRST MIDWEST BANCORP INC DEL COM Buy add'l 5/9/2017 J
 FIRST MIDWEST BANCORP INC DEL COM Buy add'l 5/9/2017 J
 FISERV INC COM UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 FISERV INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 FISERV INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 FOOT LOCKER INC COM A DIV J T Buy 11/16/2017 J
 FORD MOTOR CO DEL COM PAR A DIV J T Buy 5/8/2017 J
 FORD MOTOR CO DEL COM PAR Buy add'l 5/9/2017 J
 FORD MOTOR CO DEL COM PAR Buy add'l 5/9/2017 J
 FORD MOTOR CO DEL COM PAR Buy add'l 9/14/2017 J
 GENERAL DYNAMICS CORP COM A DIV J T Buy 5/8/2017 J
 GENERAL DYNAMICS CORP COM Buy add'l 5/9/2017 J
 GENERAL DYNAMICS CORP COM Buy add'l 5/9/2017 J
 GENERAL DYNAMICS CORP COM Buy add'l 10/16/2017 J
 GENERAL ELECTRIC CO COM Buy 5/8/2017 J
 GENERAL ELECTRIC CO COM Buy add'l 5/9/2017 J
 GENERAL ELECTRIC CO COM Buy add'l 5/9/2017 J
 GENERAL ELECTRIC CO COM Sold part 8/3/2017 J L
 GENERAL ELECTRIC CO COM Buy 9/6/2017 J
 GENERAL ELECTRIC CO COM Buy add'l 9/14/2017 J
 GENERAL ELECTRIC CO COM Sold part 10/16/2017 J L
 GENERAL MILLS INC COM Buy 5/8/2017 J
 GENERAL MILLS INC COM Buy add'l 5/9/2017 J
 GENERAL MILLS INC COM Buy add'l 5/9/2017 J
 GENERAL MILLS INC COM Sold 9/6/2017 J L
 GENERAL MTRS CO COM UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 GENERAL MTRS CO COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 GENERAL MTRS CO COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 GENERAL MTRS CO COM UNSOLICITED ORDER Buy add'l 9/14/2017 J
 GGP INC COM A DIV J T Buy 11/16/2017 J
 GILEAD SCIENCES INC UNSOLICITED ORDER Buy 5/8/2017 J
 GILEAD SCIENCES INC UNSOLICITED ORDER Buy add'l 5/9/2017 J
 GILEAD SCIENCES INC UNSOLICITED ORDER Buy add'l 5/9/2017 J
 GILEAD SCIENCES INC UNSOLICITED ORDER Buy add'l 9/14/2017 J
 GILEAD SCIENCES INC UNSOLICITED ORDER Sold part 11/16/2017 J L
 GOLDMAN SACHS GROUP INC COM Buy 5/8/2017 J
 GOLDMAN SACHS GROUP INC COM Buy add'l 5/9/2017 J
 GOLDMAN SACHS GROUP INC COM Buy add'l 5/9/2017 J
 GOLDMAN SACHS GROUP INC COM Sold 6/26/2017 J L
 GOLDMAN SACHS GROUP INC COM A DIV J T Buy 8/3/2017 J
 GOLDMAN SACHS GROUP INC COM Buy add'l 9/14/2017 J
 GRAINGER WW INC UNSOLICITED ORDER A DIV J T Buy 9/14/2017 J
 GREAT WESTN BANCORP INC COM A DIV J T Buy 9/6/2017 J
 HALLIBURTON CO COM UNSOLICITED ORDER Buy 5/8/2017 J
 HALLIBURTON CO COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 HALLIBURTON CO COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 HALLIBURTON CO COM UNSOLICITED ORDER Sold 5/11/2017 J L

FINANCIAL DISCLOSURE REPORT

Page 26 of 35

Name of Person Reporting

CECCHI, CLAIRE C.

Date of Report

02/20/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

HALLIBURTON CO COM UNSOLICITED ORDER A DIV J T Buy 6/26/2017 J
 HALLIBURTON CO COM UNSOLICITED ORDER Buy add'l 9/14/2017 J
 HARTFORD FINL SVCS GROUP INC COM A DIV J T Buy 5/8/2017 J
 HARTFORD FINL SVCS GROUP INC COM Buy add'l 5/9/2017 J
 HARTFORD FINL SVCS GROUP INC COM Buy add'l 5/9/2017 J
 HCA HEALTHCARE INC COM Buy 5/8/2017 J
 HCA HEALTHCARE INC COM Buy add'l 5/9/2017 J
 HEALTHCARE TR AMER INC CL A NEW A DIV J T Buy 5/8/2017 J
 HEALTHCARE TR AMER INC CL A NEW Buy add'l 5/9/2017 J
 HEALTHCARE TR AMER INC CL A NEW Buy add'l 5/9/2017 J
 HENRY JACK & ASSOC INC COM A DIV J T Buy 5/8/2017 J
 HENRY JACK & ASSOC INC COM Buy add'l 5/9/2017 J
 HENRY JACK & ASSOC INC COM Buy add'l 5/9/2017 J
 HEWLETT PACKARD ENTERPRISE CO COM Buy 5/8/2017 J
 HEWLETT PACKARD ENTERPRISE CO COM Buy add'l 5/9/2017 J
 HEWLETT PACKARD ENTERPRISE CO COM Buy add'l 5/9/2017 J
 HEWLETT PACKARD ENTERPRISE CO COM Sold part 5/11/2017 J L
 HOLOGIC INC COM UNSOLICITED ORDER A DIV J T Buy 11/16/2017 J
 HOME DEPOT INC COM UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 HOME DEPOT INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 HOME DEPOT INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 HOME DEPOT INC COM UNSOLICITED ORDER Buy add'l 9/14/2017 J
 HONEYWELL INTL INC COM A DIV J T Buy 5/8/2017 J
 HONEYWELL INTL INC COM Buy add'l 5/9/2017 J
 HONEYWELL INTL INC COM Buy add'l 5/9/2017 J
 HONEYWELL INTL INC COM Buy add'l 9/14/2017 J
 HORACE MANN EDUCATORS CORP Buy 5/8/2017 J
 HORACE MANN EDUCATORS CORP Buy add'l 5/9/2017 J
 HORACE MANN EDUCATORS CORP Buy add'l 5/9/2017 J
 HORACE MANN EDUCATORS CORP Sold 8/3/2017 J L
 HP INC COM UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 HP INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 HUMANA INC COM UNSOLICITED ORDER Buy 5/8/2017 J
 HUMANA INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 HUMANA INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 HUMANA INC COM UNSOLICITED ORDER Buy add'l 8/3/2017 J
 HUMANA INC COM UNSOLICITED ORDER Sold part 11/16/2017 J L
 ICU MED INC COM UNSOLICITED ORDER A DIV J T Buy 9/14/2017 J
 IDACORP INC COM UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 IDACORP INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 IDACORP INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 IDACORP INC COM UNSOLICITED ORDER Buy add'l 9/14/2017 J
 IDEX CORP UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 IDEX CORP UNSOLICITED ORDER Buy add'l 5/9/2017 J
 ILLINOIS TOOL WORKS INC COM A DIV J T Buy 5/8/2017 J
 ILLINOIS TOOL WORKS INC COM Buy add'l 5/9/2017 J
 ILLINOIS TOOL WORKS INC COM Buy add'l 5/9/2017 J
 ILLINOIS TOOL WORKS INC COM Buy add'l 9/14/2017 J
 ILLUMINA INC COM A DIV J T Buy 9/14/2017 J
 INCYTE CORP COM A DIV J T Buy 11/16/2017 J
 INGERSOLL RAND PLC SHS Buy 9/14/2017 J
 INGERSOLL RAND PLC SHS Sold part 11/16/2017 J L
 INTEL CORP COM UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 INTEL CORP COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 INTEL CORP COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 INTEL CORP COM UNSOLICITED ORDER Buy add'l 9/14/2017 J
 INTERCONTINENTAL EXCHANGE INC COM A DIV J T Buy 5/8/2017 J
 INTERCONTINENTAL EXCHANGE INC COM Buy add'l 5/9/2017 J
 INTERCONTINENTAL EXCHANGE INC COM Buy add'l 5/9/2017 J
 INTERNATIONAL BUSINESS MACHS CORP Buy 5/8/2017 J
 INTERNATIONAL BUSINESS MACHS CORP Buy add'l 5/9/2017 J
 INTERNATIONAL BUSINESS MACHS CORP Buy add'l 5/9/2017 J
 INTERNATIONAL BUSINESS MACHS CORP Sold 5/11/2017 J L
 INTERNATIONAL BUSINESS MACHS CORP Buy 9/6/2017 J
 INTERNATIONAL BUSINESS MACHS CORP Buy add'l 9/14/2017 J
 INTERNATIONAL BUSINESS MACHS CORP Sold 10/16/2017 J L
 INTERNATIONAL BUSINESS MACHS CORP A DIV J T Buy 11/16/2017 J

FINANCIAL DISCLOSURE REPORT

Page 27 of 35

Name of Person Reporting

CECCHI, CLAIRE C.

Date of Report

02/20/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

INTERPUBLIC GROUP COS INC COM Buy 9/6/2017 J
 INTERPUBLIC GROUP COS INC COM Sold 11/16/2017 J L
 INTUIT INCORPORATED COM A DIV J T Buy 5/8/2017 J
 INTUIT INCORPORATED COM Buy add'l 5/9/2017 J
 INTUITIVE SURGICAL INC COM NEW A DIV J T Buy 5/8/2017 J
 INTUITIVE SURGICAL INC COM NEW Buy add'l 5/9/2017 J
 INTUITIVE SURGICAL INC COM NEW Buy add'l 5/9/2017 J
 JOHNSON & JOHNSON COM Buy 5/8/2017 J
 JOHNSON & JOHNSON COM Buy add'l 5/9/2017 J
 JOHNSON & JOHNSON COM Buy add'l 5/9/2017 J
 JOHNSON & JOHNSON COM Sold 8/3/2017 J L
 JOHNSON CTLS INTL PLC SHS Buy 5/8/2017 J
 JOHNSON CTLS INTL PLC SHS Buy add'l 5/9/2017 J
 JOHNSON CTLS INTL PLC SHS Buy add'l 5/9/2017 J
 JP MORGAN CHASE & CO COM A DIV J T Buy 5/8/2017 J
 JP MORGAN CHASE & CO COM Buy add'l 5/9/2017 J
 JP MORGAN CHASE & CO COM Buy add'l 5/9/2017 J
 JP MORGAN CHASE & CO COM Buy add'l 9/14/2017 J
 KAMAN CORP COM UNSOLICITED ORDER A DIV J T Buy 9/14/2017 J
 KELLOGG CO COM UNSOLICITED ORDER Buy 9/6/2017 J
 KELLOGG CO COM UNSOLICITED ORDER Buy add'l 9/14/2017 J
 KELLOGG CO COM UNSOLICITED ORDER Sold 10/16/2017 J L
 KELLOGG CO COM UNSOLICITED ORDER Sold 10/16/2017 J L
 KEYCORP NEW COM UNSOLICITED ORDER A DIV J T Buy 9/14/2017 J
 KIMBERLY CLARK CORP COM Buy 5/8/2017 J
 KIMBERLY CLARK CORP COM Buy add'l 5/9/2017 J
 KIMBERLY CLARK CORP COM Buy add'l 5/9/2017 J
 KIMBERLY CLARK CORP COM Sold 8/3/2017 J L
 KINDER MORGAN INC DEL COM Buy 5/8/2017 J
 KINDER MORGAN INC DEL COM Buy add'l 5/9/2017 J
 KINDER MORGAN INC DEL COM Buy add'l 5/9/2017 J
 KINDER MORGAN INC DEL COM Sold 6/26/2017 J L
 KINDER MORGAN INC DEL COM Buy 9/6/2017 J
 KINDER MORGAN INC DEL COM Sold 11/16/2017 J L
 KITE RLTY GROUP TR COM NEW Buy 9/14/2017 J
 KITE RLTY GROUP TR COM NEW Sold 11/16/2017 J L
 KRAFT HEINZ CO COM STK Buy 5/8/2017 J
 KRAFT HEINZ CO COM STK Buy add'l 5/9/2017 J
 KRAFT HEINZ CO COM STK Buy add'l 5/9/2017 J
 KRAFT HEINZ CO COM STK Sold 9/6/2017 J L
 KRAFT HEINZ CO COM STK A DIV J T Buy 11/16/2017 J
 KROGER CO COM UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 KROGER CO COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 KROGER CO COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 KROGER CO COM UNSOLICITED ORDER Buy add'l 10/16/2017 J
 L3 TECHNOLOGIES INC COM A DIV J T Buy 8/3/2017 J
 LABORATORY CORP AMER HLDGS COM NEW A DIV J T Buy 9/14/2017 J
 LAM RESEARCH CORP UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 LAM RESEARCH CORP UNSOLICITED ORDER Buy add'l 5/9/2017 J
 LIBERTY BROADBAND CORP COM SER C Buy 9/14/2017 J
 LIBERTY BROADBAND CORP COM SER C Sold part 11/16/2017 J L
 LIBERTY MEDIA CORP DEL COM SER C A DIV J T Buy 5/11/2017 J
 LIBERTY MEDIA CORP DEL COM SER C Buy add'l 9/14/2017 J
 LILLY ELI & CO COM UNSOLICITED ORDER Buy 5/8/2017 J
 LILLY ELI & CO COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 LILLY ELI & CO COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 LILLY ELI & CO COM UNSOLICITED ORDER Sold 8/3/2017 J L
 LINCOLN NATL CORP IND A DIV J T Buy 5/8/2017 J
 LINCOLN NATL CORP IND Buy add'l 5/9/2017 J
 LINCOLN NATL CORP IND Buy add'l 5/9/2017 J
 LOCKHEED MARTIN CORP COM A DIV J T Buy 5/8/2017 J
 LOCKHEED MARTIN CORP COM Buy add'l 5/9/2017 J
 LOCKHEED MARTIN CORP COM Buy add'l 5/9/2017 J
 LOCKHEED MARTIN CORP COM Buy add'l 9/14/2017 J
 LOWES COS INC COM UNSOLICITED ORDER Buy 5/8/2017 J
 LOWES COS INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 LOWES COS INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J

FINANCIAL DISCLOSURE REPORT

Page 28 of 35

Name of Person Reporting

CECCHI, CLAIRE C.

Date of Report

02/20/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

LOWES COS INC COM UNSOLICITED ORDER Sold 6/26/2017 J L
 LOWES COS INC COM UNSOLICITED ORDER A DIV J T Buy 10/16/2017 J
 LOWES COS INC COM UNSOLICITED ORDER Buy add'l 11/16/2017 J
 LYONDELLBASELL INDUSTRIES N V ORD A DIV J T Buy 5/9/2017 J
 LYONDELLBASELL INDUSTRIES N V ORD Buy add'l 8/3/2017 J
 LYONDELLBASELL INDUSTRIES N V ORD Buy add'l 9/14/2017 J
 MACYS INC COM UNSOLICITED ORDER A DIV J T Buy 11/16/2017 J
 MARRIOTT INTL INC NEW CL A A DIV J T Buy 5/8/2017 J
 MARRIOTT INTL INC NEW CL A Buy add'l 5/9/2017 J
 MARRIOTT INTL INC NEW CL A Buy add'l 9/14/2017 J
 MARSH & MCLENNAN COS INC COM A DIV J T Buy 5/8/2017 J
 MARSH & MCLENNAN COS INC COM Buy add'l 5/9/2017 J
 MARSH & MCLENNAN COS INC COM Buy add'l 5/9/2017 J
 MARSH & MCLENNAN COS INC COM Buy add'l 9/14/2017 J
 MASTERCARD INC CL A COM A DIV J T Buy 5/8/2017 J
 MASTERCARD INC CL A COM Buy add'l 5/9/2017 J
 MASTERCARD INC CL A COM Buy add'l 5/9/2017 J
 MASTERCARD INC CL A COM Buy add'l 9/14/2017 J
 MCCORMICK & CO INC COM NON VTG A DIV J T Buy 9/6/2017 J
 MCDONALDS CORP UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 MCDONALDS CORP UNSOLICITED ORDER Buy add'l 5/9/2017 J
 MCDONALDS CORP UNSOLICITED ORDER Buy add'l 5/9/2017 J
 MCDONALDS CORP UNSOLICITED ORDER Buy add'l 9/14/2017 J
 MCKESSON CORP COM Buy 5/9/2017 J
 MCKESSON CORP COM Buy add'l 9/14/2017 J
 MCKESSON CORP COM Sold 11/16/2017 J L
 MEDTRONIC PLC SHS A DIV J T Buy 5/8/2017 J
 MEDTRONIC PLC SHS Buy add'l 5/9/2017 J
 MEDTRONIC PLC SHS Buy add'l 5/9/2017 J
 MEDTRONIC PLC SHS Buy add'l 8/3/2017 J
 MEDTRONIC PLC SHS Buy add'l 9/14/2017 J
 MERCK & CO INC NEW COM Buy 5/8/2017 J
 MERCK & CO INC NEW COM Buy add'l 5/9/2017 J
 MERCK & CO INC NEW COM Buy add'l 5/9/2017 J
 MERCK & CO INC NEW COM Sold 8/3/2017 J L
 METLIFE INC COM A DIV J T Buy 9/14/2017 J
 METLIFE INC COM UNSOLICITED ORDER Buy add'l 5/8/2017 J
 METLIFE INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 METLIFE INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 METTLER-TOLEDO INTL INC COM A DIV J T Buy 11/16/2017 J
 MICROSOFT CORP COM A DIV J T Buy 5/8/2017 J
 MICROSOFT CORP COM Buy add'l 5/9/2017 J
 MICROSOFT CORP COM Buy add'l 5/9/2017 J
 MICROSOFT CORP COM Buy add'l 9/14/2017 J
 MONDELEZ INTL INC CL UNSOLICITED ORDER Buy 5/8/2017 J
 MONDELEZ INTL INC CL UNSOLICITED ORDER Buy add'l 5/9/2017 J
 MONDELEZ INTL INC CL UNSOLICITED ORDER Buy add'l 5/9/2017 J
 MONDELEZ INTL INC CL UNSOLICITED ORDER Sold 9/6/2017 J L
 MONDELEZ INTL INC CL UNSOLICITED ORDER A DIV J T Buy 10/16/2017 J
 MONSANTO CO NEW COM UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 MONSANTO CO NEW COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 MONSANTO CO NEW COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 MONSANTO CO NEW COM UNSOLICITED ORDER Buy add'l 9/14/2017 J
 MORGAN STANLEY COM NEW A DIV J T Buy 5/8/2017 J
 MORGAN STANLEY COM NEW Buy add'l 5/9/2017 J
 MORGAN STANLEY COM NEW Buy add'l 5/9/2017 J
 MORGAN STANLEY COM NEW Buy add'l 9/14/2017 J
 NAVIGATORS GROUP INC UNSOLICITED ORDER A DIV J T Buy 9/14/2017 J
 NBT BANCORP INC COM UNSOLICITED ORDER Buy 5/8/2017 J
 NBT BANCORP INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 NBT BANCORP INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 NBT BANCORP INC COM UNSOLICITED ORDER Sold 9/6/2017 J L
 NETAPP INC COM UNSOLICITED ORDER A DIV J T Buy 11/16/2017 J
 NETFLIX INC COM UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 NETFLIX INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 NETFLIX INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 NETFLIX INC COM UNSOLICITED ORDER Buy add'l 9/14/2017 J

FINANCIAL DISCLOSURE REPORT

Page 29 of 35

Name of Person Reporting

CECCHI, CLAIRE C.

Date of Report

02/20/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

NEWELL BRANDS INC COM A DIV J T Buy 5/8/2017 J
 NEWELL BRANDS INC COM Buy add'l 5/9/2017 J
 NEWELL BRANDS INC COM Buy add'l 5/9/2017 J
 NEWELL BRANDS INC COM Buy add'l 11/16/2017 J
 NEXTERA ENERGY INC COM A DIV J T Buy 5/8/2017 J
 NEXTERA ENERGY INC COM Buy add'l 5/9/2017 J
 NEXTERA ENERGY INC COM Buy add'l 5/9/2017 J
 NEXTERA ENERGY INC COM Buy add'l 9/14/2017 J
 NIELSEN HOLDINGS PLC SHS A DIV J T Buy 11/16/2017 J
 NIKE INC CL B UNSOLICITED ORDER Buy 5/8/2017 J
 NIKE INC CL B UNSOLICITED ORDER Buy add'l 5/9/2017 J
 NIKE INC CL B UNSOLICITED ORDER Buy add'l 5/9/2017 J
 NIKE INC CL B UNSOLICITED ORDER Sold 5/26/2017 J L
 NIKE INC CL B UNSOLICITED ORDER A DIV J T Buy 6/26/2017 J
 NIKE INC CL B UNSOLICITED ORDER Buy add'l 11/16/2017 J
 NORFOLK SOUTHN CORP COM A DIV J T Buy 5/8/2017 J
 NORFOLK SOUTHN CORP COM Buy add'l 5/9/2017 J
 NORFOLK SOUTHN CORP COM Buy add'l 5/9/2017 J
 NORFOLK SOUTHN CORP COM Buy add'l 11/16/2017 J
 NORTHERN TR CORP COM UNSOLICITED ORDER A DIV J T Buy 9/14/2017 J
 NORTHROP GRUMMAN CORP COM A DIV J T Buy 5/8/2017 J
 NORTHROP GRUMMAN CORP COM Buy add'l 5/9/2017 J
 NORTHROP GRUMMAN CORP COM Buy add'l 5/9/2017 J
 NORTHROP GRUMMAN CORP COM Buy add'l 9/14/2017 J
 NORTHWEST NATL GAS CO A DIV J T Buy 5/8/2017 J
 NORTHWEST NATL GAS CO Buy add'l 5/9/2017 J
 NORTHWEST NATL GAS CO Buy add'l 5/9/2017 J
 NORTHWESTERN CORP COM NEW A DIV J T Buy 5/8/2017 J
 NORTHWESTERN CORP COM NEW Buy add'l 5/9/2017 J
 NORTHWESTERN CORP COM NEW Buy add'l 5/9/2017 J
 NVIDIA CORP COM A DIV J T Buy 5/8/2017 J
 NVIDIA CORP COM Buy add'l 5/9/2017 J
 NVIDIA CORP COM Buy add'l 5/9/2017 J
 NVIDIA CORP COM Buy add'l 9/14/2017 J
 O REILLY AUTOMOTIVE INC NEW COM A DIV J T Buy 5/8/2017 J
 O REILLY AUTOMOTIVE INC NEW COM Buy add'l 5/9/2017 J
 O REILLY AUTOMOTIVE INC NEW COM Buy add'l 5/9/2017 J
 O REILLY AUTOMOTIVE INC NEW COM Buy add'l 8/3/2017 J
 O REILLY AUTOMOTIVE INC NEW COM Buy add'l 9/14/2017 J
 OCCIDENTAL PETE CORP COM A DIV J T Buy 5/8/2017 J
 OCCIDENTAL PETE CORP COM Buy add'l 5/9/2017 J
 OCCIDENTAL PETE CORP COM Buy add'l 5/9/2017 J
 OCCIDENTAL PETE CORP COM Buy add'l 9/14/2017 J
 OLD NATL BANCORP IND COM A DIV J T Buy 5/8/2017 J
 OLD NATL BANCORP IND COM Buy add'l 5/9/2017 J
 OLD NATL BANCORP IND COM Buy add'l 5/9/2017 J
 OMNICON GROUP INC COM A DIV J T Buy 11/16/2017 J
 ORACLE CORP COM UNSOLICITED ORDER Buy 5/8/2017 J
 ORACLE CORP COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 ORACLE CORP COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 ORACLE CORP COM UNSOLICITED ORDER Buy add'l 9/14/2017 J
 ORACLE CORP COM UNSOLICITED ORDER Sold 10/16/2017 J L
 ORACLE CORP COM UNSOLICITED ORDER A DIV J T Buy 11/16/2017 J
 PACCAR INC UNSOLICITED ORDER Buy 5/8/2017 J
 PACCAR INC UNSOLICITED ORDER Buy add'l 5/9/2017 J
 PACCAR INC UNSOLICITED ORDER Buy add'l 5/9/2017 J
 PARKER HANNIFIN CORP COM A DIV J T Buy 5/8/2017 J
 PARKER HANNIFIN CORP COM Buy add'l 5/9/2017 J
 PARKER HANNIFIN CORP COM Buy add'l 5/9/2017 J
 PARKER HANNIFIN CORP COM Buy add'l 9/14/2017 J
 PAYPAL HLDGS INC COM UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 PAYPAL HLDGS INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 PAYPAL HLDGS INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 PAYPAL HLDGS INC COM UNSOLICITED ORDER Buy add'l 9/14/2017 J
 PEPSICO INC COM UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 PEPSICO INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 PEPSICO INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J

FINANCIAL DISCLOSURE REPORT

Page 30 of 35

Name of Person Reporting

CECCHI, CLAIRE C.

Date of Report

02/20/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PEPSICO INC COM UNSOLICITED ORDER Buy add'l 9/14/2017 J
 PEPSICO INC COM UNSOLICITED ORDER Buy add'l 11/16/2017 J
 PERKINELMER INC COM A DIV J T Buy 11/16/2017 J
 PFIZER INC COM UNSOLICITED ORDER Buy 5/8/2017 J
 PFIZER INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 PFIZER INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 PFIZER INC COM UNSOLICITED ORDER Sold 8/3/2017 J L
 PG&E CORP COM UNSOLICITED ORDER Buy 8/3/2017 J
 PG&E CORP COM UNSOLICITED ORDER Buy add'l 9/14/2017 J
 PG&E CORP COM UNSOLICITED ORDER Sold 10/16/2017 J L
 PG&E CORP COM UNSOLICITED ORDER Sold 10/16/2017 J L
 PHILIP MORRIS INTL INC COM Buy 5/8/2017 J
 PHILIP MORRIS INTL INC COM Buy add'l 5/9/2017 J
 PHILIP MORRIS INTL INC COM Buy add'l 5/9/2017 J
 PHILIP MORRIS INTL INC COM Buy add'l 9/14/2017 J
 PHILIP MORRIS INTL INC COM Sold part 11/16/2017 J L
 PHILLIPS 66 COM UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 PHILLIPS 66 COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 PHILLIPS 66 COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 PHILLIPS 66 COM UNSOLICITED ORDER Buy add'l 9/14/2017 J
 PHYSICIANS RLTY TR COM Buy 5/8/2017 J
 PHYSICIANS RLTY TR COM Buy add'l 5/9/2017 J
 PHYSICIANS RLTY TR COM Buy add'l 5/9/2017 J
 PHYSICIANS RLTY TR COM Sold 11/16/2017 J L
 PINNACLE WEST CAP CORP COM Buy 5/8/2017 J
 PINNACLE WEST CAP CORP COM Buy add'l 5/9/2017 J
 PINNACLE WEST CAP CORP COM Buy add'l 5/9/2017 J
 PINNACLE WEST CAP CORP COM Buy add'l 9/14/2017 J
 PINNACLE WEST CAP CORP COM Sold part 11/16/2017 J L
 PIONEER NAT RES CO COM A DIV J T Buy 5/8/2017 J
 PIONEER NAT RES CO COM Buy add'l 5/9/2017 J
 PIONEER NAT RES CO COM Buy add'l 5/9/2017 J
 PIONEER NAT RES CO COM Buy add'l 9/6/2017 J
 PLEXUS CORP UNSOLICITED ORDER A DIV J T Buy 6/26/2017 J
 PNC FINL SVCS GROUP INC COM A DIV J T Buy 5/8/2017 J
 PNC FINL SVCS GROUP INC COM Buy add'l 5/9/2017 J
 PNC FINL SVCS GROUP INC COM Buy add'l 5/9/2017 J
 PNC FINL SVCS GROUP INC COM Buy add'l 9/14/2017 J
 PNM RES INC COM UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 PNM RES INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 PNM RES INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 PORTLAND GEN ELEC CO COM NEW A DIV J T Buy 5/8/2017 J
 PORTLAND GEN ELEC CO COM NEW Buy add'l 5/9/2017 J
 PORTLAND GEN ELEC CO COM NEW Buy add'l 5/9/2017 J
 PORTLAND GEN ELEC CO COM NEW Buy add'l 9/14/2017 J
 PPG INDUSTRIES INC UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 PPG INDUSTRIES INC UNSOLICITED ORDER Buy add'l 5/9/2017 J
 PPG INDUSTRIES INC UNSOLICITED ORDER Buy add'l 5/9/2017 J
 PPG INDUSTRIES INC UNSOLICITED ORDER Buy add'l 9/14/2017 J
 PRA HEALTH SCIENCES INC COM A DIV J T Buy 11/16/2017 J
 PRAXAIR INC COM A DIV J T Buy 5/8/2017 J
 PRAXAIR INC COM Buy add'l 5/9/2017 J
 PREMIER INC CL A UNSOLICITED ORDER Buy 10/16/2017 J
 PRICELINE GRP INC COM NEW A DIV J T Buy 5/8/2017 J
 PRICELINE GRP INC COM NEW Buy add'l 5/9/2017 J
 PRICELINE GRP INC COM NEW Buy add'l 5/9/2017 J
 PROASSURANCE CORP COM A DIV J T Buy 11/16/2017 J
 PROCTER & GAMBLE CO COM Buy 5/8/2017 J
 PROCTER & GAMBLE CO COM Buy add'l 5/9/2017 J
 PROCTER & GAMBLE CO COM Buy add'l 5/9/2017 J
 PROCTER & GAMBLE CO COM Buy add'l 8/3/2017 J
 PROCTER & GAMBLE CO COM Buy add'l 9/14/2017 J
 PROCTER & GAMBLE CO COM Sold part 11/16/2017 J L
 PROLOGIS INC COM WITH DUE BILLS A DIV J T Buy 9/14/2017 J
 PRUDENTIAL FINL INC COM A DIV J T Buy 5/8/2017 J
 PRUDENTIAL FINL INC COM Buy add'l 5/9/2017 J
 PRUDENTIAL FINL INC COM Buy add'l 5/9/2017 J

FINANCIAL DISCLOSURE REPORT

Page 31 of 35

Name of Person Reporting

CECCHI, CLAIRE C.

Date of Report

02/20/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PUBLIC STORAGE COMMON STOCK Buy 5/8/2017 J
PUBLIC STORAGE COMMON STOCK Buy add'l 5/9/2017 J
PUBLIC STORAGE COMMON STOCK Buy add'l 5/9/2017 J
PUBLIC STORAGE COMMON STOCK Sold 5/11/2017 J L
PUBLIC STORAGE COMMON STOCK A DIV J T Buy 6/26/2017 J
PUBLIC STORAGE COMMON STOCK Buy add'l 9/14/2017 J
QIAGEN NV REGISTERED SHS A DIV J T Buy 11/16/2017 J
QUALCOMM INC UNSOLICITED ORDER Buy 5/8/2017 J
QUALCOMM INC UNSOLICITED ORDER Buy add'l 5/9/2017 J
QUALCOMM INC UNSOLICITED ORDER Buy add'l 5/9/2017 J
QUALCOMM INC UNSOLICITED ORDER Sold 9/6/2017 J L
QUALCOMM INC UNSOLICITED ORDER A DIV J T Buy 10/16/2017 J
QUALCOMM INC UNSOLICITED ORDER Buy add'l 11/16/2017 J
QUANTA SVCS INC COM UNSOLICITED ORDER Buy 5/8/2017 J
QUANTA SVCS INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
QUANTA SVCS INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
QUEST DIAGNOSTICS INC COM A DIV J T Buy 9/14/2017 J
QUEST DIAGNOSTICS INC COM Buy add'l 11/16/2017 J
RAYTHEON CO COM NEW UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
RAYTHEON CO COM NEW UNSOLICITED ORDER Buy add'l 5/9/2017 J
RAYTHEON CO COM NEW UNSOLICITED ORDER Buy add'l 5/9/2017 J
RAYTHEON CO COM NEW UNSOLICITED ORDER Buy add'l 9/14/2017 J
REALTY INCOME CORP COM A DIV J T Buy 9/14/2017 J
REGENCY CTRS CORP COM Buy 5/8/2017 J
REGENCY CTRS CORP COM Buy add'l 5/9/2017 J
REGENCY CTRS CORP COM Buy add'l 5/9/2017 J
REGENCY CTRS CORP COM Sold 6/26/2017 J L
REGENERON PHARMACEUTICALS INC Buy 8/3/2017 J
REGENERON PHARMACEUTICALS INC Sold 11/16/2017 J L
RENAISSANCE RE HOLDINGS LTD COM Buy 5/8/2017 J
RENAISSANCE RE HOLDINGS LTD COM Buy add'l 5/9/2017 J
RENAISSANCE RE HOLDINGS LTD COM Buy add'l 5/9/2017 J
RENAISSANCE RE HOLDINGS LTD COM Sold 9/6/2017 J L
RETAIL OPPORTUNITY INVTS CORP COM Buy 5/8/2017 J
RETAIL OPPORTUNITY INVTS CORP COM Buy add'l 5/9/2017 J
RETAIL OPPORTUNITY INVTS CORP COM Buy add'l 5/9/2017 J
RETAIL OPPORTUNITY INVTS CORP COM Sold 6/26/2017 J L
REYNOLDS AMERN INC COM Buy 5/8/2017 J
REYNOLDS AMERN INC COM Buy add'l 5/9/2017 J
REYNOLDS AMERN INC COM Buy add'l 5/9/2017 J
ROCKWELL AUTOMATION INC COM A DIV J T Buy 9/14/2017 J
ROPER TECHNOLOGIES INC COM A DIV J T Buy 5/8/2017 J
ROPER TECHNOLOGIES INC COM Buy add'l 5/9/2017 J
ROPER TECHNOLOGIES INC COM Buy add'l 5/9/2017 J
ROPER TECHNOLOGIES INC COM Buy add'l 9/14/2017 J
S&P GLOBAL INC COM UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
S&P GLOBAL INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
S&P GLOBAL INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
S&P GLOBAL INC COM UNSOLICITED ORDER Buy add'l 9/14/2017 J
SALESFORCE.COM INC COM STOCK A DIV J T Buy 5/8/2017 J
SALESFORCE.COM INC COM STOCK Buy add'l 5/9/2017 J
SALESFORCE.COM INC COM STOCK Buy add'l 5/9/2017 J
SALESFORCE.COM INC COM STOCK Buy add'l 9/14/2017 J
SCHLUMBERGER LTD COM Buy 5/8/2017 J
SCHLUMBERGER LTD COM Buy add'l 5/9/2017 J
SCHLUMBERGER LTD COM Buy add'l 5/9/2017 J
SCHLUMBERGER LTD COM Sold 5/11/2017 J L
SCHLUMBERGER LTD COM A DIV J T Buy 8/3/2017 J
SCHWAB CHARLES CORP NEW COM A DIV J T Buy 5/8/2017 J
SCHWAB CHARLES CORP NEW COM Buy add'l 5/9/2017 J
SCHWAB CHARLES CORP NEW COM Buy add'l 5/9/2017 J
SEATTLE GENETICS INC COM A DIV J T Buy 11/16/2017 J
SIMON PPTY GROUP INC NEW COM Buy 5/8/2017 J
SIMON PPTY GROUP INC NEW COM Buy add'l 5/9/2017 J
SIMON PPTY GROUP INC NEW COM Buy add'l 5/9/2017 J
SIMON PPTY GROUP INC NEW COM Sold 9/6/2017 J L
SIMON PPTY GROUP INC NEW COM A DIV J T Buy 10/16/2017 J

FINANCIAL DISCLOSURE REPORT

Page 32 of 35

Name of Person Reporting

CECCHI, CLAIRE C.

Date of Report

02/20/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

SIRIUS XM HLDGS INC COM A DIV J T Buy 5/9/2017 J
 SIRIUS XM HLDGS INC COM Buy add'l 6/26/2017 J
 SIRIUS XM HLDGS INC COM Buy add'l 9/14/2017 J
 SOUTHERN CO COM UNSOLICITED ORDER A DIV J T Buy 10/16/2017 J
 SOUTHWEST AIRLS CO COM A DIV J T Buy 5/8/2017 J
 SOUTHWEST AIRLS CO COM Buy add'l 5/9/2017 J
 SPIRIT RLTY CAP INC NEW COM A DIV J T Buy 5/11/2017 J
 SPRINT CORP COM SER UNSOLICITED ORDER A DIV J T Buy 11/16/2017 J
 STARBUCKS CORP COM UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 STARBUCKS CORP COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 STARBUCKS CORP COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 STARBUCKS CORP COM UNSOLICITED ORDER Buy add'l 9/14/2017 J
 STATE STR CORP COM UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 STATE STR CORP COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 STEEL DYNAMICS INC COM A DIV J T Buy 9/14/2017 J
 STRYKER CORP UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 STRYKER CORP UNSOLICITED ORDER Buy add'l 5/9/2017 J
 STRYKER CORP UNSOLICITED ORDER Buy add'l 5/9/2017 J
 STRYKER CORP UNSOLICITED ORDER Buy add'l 9/14/2017 J
 SYNCHRONY FINL COM A DIV J T Buy 5/9/2017 J
 SYNOVUS FINL CORP COM NEW A DIV J T Buy 5/8/2017 J
 SYNOVUS FINL CORP COM NEW Buy add'l 5/9/2017 J
 SYNOVUS FINL CORP COM NEW Buy add'l 5/9/2017 J
 TANGER FACTORY OUTLET CENTERS INC A DIV J T Buy 11/16/2017 J
 TARGET CORP COM UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 TARGET CORP COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 TARGET CORP COM UNSOLICITED ORDER Buy add'l 9/14/2017 J
 TAUBMAN CENTERS INC UNSOLICITED ORDER A DIV J T Buy 11/16/2017 J
 TESLA INC COM A DIV J T Buy 5/8/2017 J
 TESLA INC COM Buy add'l 5/9/2017 J
 TESLA INC COM Buy add'l 5/9/2017 J
 TEXAS INSTRUMENTS INC A DIV J T Buy 5/8/2017 J
 TEXAS INSTRUMENTS INC Buy add'l 5/9/2017 J
 TEXAS INSTRUMENTS INC Buy add'l 5/9/2017 J
 TEXAS INSTRUMENTS INC Buy add'l 9/14/2017 J
 THERMO FISHER SCIENTIFIC INC COM A DIV J T Buy 5/8/2017 J
 THERMO FISHER SCIENTIFIC INC COM Buy add'l 5/9/2017 J
 THERMO FISHER SCIENTIFIC INC COM Buy add'l 5/9/2017 J
 THERMO FISHER SCIENTIFIC INC COM Buy add'l 9/14/2017 J
 TIME WARNER INC NEW COM NEW Buy 5/8/2017 J
 TIME WARNER INC NEW COM NEW Buy add'l 5/9/2017 J
 TIME WARNER INC NEW COM NEW Buy add'l 5/9/2017 J
 TIME WARNER INC NEW COM NEW Buy add'l 9/14/2017 J
 TIME WARNER INC NEW COM NEW Sold part 11/16/2017 J L
 TIME WARNER INC NEW COM NEW Sold part 11/16/2017 J L
 TJX COS INC NEW COM UNSOLICITED ORDER Buy 5/8/2017 J
 TJX COS INC NEW COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 TJX COS INC NEW COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 TJX COS INC NEW COM UNSOLICITED ORDER Sold part 6/26/2017 J L
 TJX COS INC NEW COM UNSOLICITED ORDER Buy 9/14/2017 J
 TJX COS INC NEW COM UNSOLICITED ORDER Sold 11/16/2017 J L
 TRAVELERS COS INC COM Buy 8/3/2017 J
 TRAVELERS COS INC COM Sold 9/6/2017 J L
 TRAVELERS COS INC COM A DIV J T Buy 11/16/2017 J
 TRUSTMARK CORP UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 TRUSTMARK CORP UNSOLICITED ORDER Buy add'l 5/9/2017 J
 TRUSTMARK CORP UNSOLICITED ORDER Buy add'l 5/9/2017 J
 TRUSTMARK CORP UNSOLICITED ORDER Buy add'l 9/14/2017 J
 TWENTY-FIRST CENTY FOX INC CL A Buy 5/9/2017 J
 TWENTY-FIRST CENTY FOX INC CL A Sold 5/11/2017 J L
 TWENTY-FIRST CENTY FOX INC CL A A DIV J T Buy 6/26/2017 J
 TWENTY-FIRST CENTY FOX INC CL A Buy add'l 9/14/2017 J
 UNION PAC CORP COM UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 UNION PAC CORP COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 UNION PAC CORP COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 UNION PAC CORP COM UNSOLICITED ORDER Buy add'l 9/14/2017 J
 UNITED CMNTY BK BLAIRSVILLE GA A DIV J T Buy 5/8/2017 J

FINANCIAL DISCLOSURE REPORT

Page 33 of 35

Name of Person Reporting

CECCHI, CLAIRE C.

Date of Report

02/20/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of report.)

UNITED CMNTY BK BLAIRSVILLE GA Buy add'l 5/9/2017 J
 UNITED CMNTY BK BLAIRSVILLE GA Buy add'l 5/9/2017 J
 UNITED PARCEL SVC INC CL B A DIV J T Buy 5/8/2017 J
 UNITED PARCEL SVC INC CL B Buy add'l 5/9/2017 J
 UNITED PARCEL SVC INC CL B Buy add'l 5/9/2017 J
 UNITED STATES CELLULAR CORP COM A DIV J T Buy 11/16/2017 J
 UNITED TECHNOLOGIES CORP COM A DIV J T Buy 5/8/2017 J
 UNITED TECHNOLOGIES CORP COM Buy add'l 5/9/2017 J
 UNITED TECHNOLOGIES CORP COM Buy add'l 5/9/2017 J
 UNITED TECHNOLOGIES CORP COM Buy add'l 9/14/2017 J
 UNITEDHEALTH GROUP INC COM A DIV J T Buy 5/8/2017 J
 UNITEDHEALTH GROUP INC COM Buy add'l 5/9/2017 J
 UNITEDHEALTH GROUP INC COM Buy add'l 5/9/2017 J
 UNITEDHEALTH GROUP INC COM Buy add'l 9/14/2017 J
 UNIVERSAL HEALTH SVCS INC CL B Buy 9/14/2017 J
 UNIVERSAL HEALTH SVCS INC CL B Buy add'l 10/16/2017 J
 UNIVERSAL HEALTH SVCS INC CL B Sold 11/16/2017 J L
 US BANCORP DEL COM A DIV J T Buy 5/8/2017 J
 US BANCORP DEL COM Buy add'l 5/9/2017 J
 US BANCORP DEL COM Buy add'l 5/9/2017 J
 US BANCORP DEL COM Buy add'l 9/14/2017 J
 V F CORP COM UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 V F CORP COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 V F CORP COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 VALERO ENERGY CORP NEW COM A DIV J T Buy 5/8/2017 J
 VALERO ENERGY CORP NEW COM Buy add'l 5/9/2017 J
 VALERO ENERGY CORP NEW COM Buy add'l 5/9/2017 J
 VALERO ENERGY CORP NEW COM Buy add'l 9/14/2017 J
 VALIDUS HLDGS LTD COM SHS Buy 5/8/2017 J
 VALIDUS HLDGS LTD COM SHS Buy add'l 5/9/2017 J
 VALIDUS HLDGS LTD COM SHS Buy add'l 5/9/2017 J
 VARIAN MED SYS INC COM A DIV J T Buy 9/14/2017 J
 VEREIT INC COM UNSOLICITED ORDER A DIV J T Buy 6/26/2017 J
 VERIZON COMMUNICATIONS INC Buy 5/8/2017 J
 VERIZON COMMUNICATIONS INC Buy add'l 5/9/2017 J
 VERIZON COMMUNICATIONS INC Buy add'l 5/9/2017 J
 VERIZON COMMUNICATIONS INC Sold part 5/11/2017 J L
 VERIZON COMMUNICATIONS INC Buy 9/14/2017 J
 VERIZON COMMUNICATIONS INC Sold part 11/16/2017 J L
 VERIZON COMMUNICATIONS INC Sold part 11/16/2017 J L
 VERTEX PHARMACEUTICALS INC A DIV J T Buy 5/8/2017 J
 VERTEX PHARMACEUTICALS INC Buy add'l 5/9/2017 J
 VERTEX PHARMACEUTICALS INC Buy add'l 9/14/2017 J
 VIACOM INC NEW CL B UNSOLICITED ORDER A DIV J T Buy 11/16/2017 J
 VISA INC COM CL A UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 VISA INC COM CL A UNSOLICITED ORDER Buy add'l 5/9/2017 J
 VISA INC COM CL A UNSOLICITED ORDER Buy add'l 5/10/2017 J
 VISA INC COM CL A UNSOLICITED ORDER Buy add'l 9/14/2017 J
 VULCAN MATLS CO COM UNSOLICITED ORDER A DIV J T Buy 5/8/2017 J
 VULCAN MATLS CO COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 VULCAN MATLS CO COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
 VULCAN MATLS CO COM UNSOLICITED ORDER Buy add'l 9/14/2017 J
 VWR CORP C/A EFF 11/22/17 I OLD Sold 11/22/2017 J L
 VWR CORP COM Buy 11/16/2017 J
 WAL MART STORES INC COM A DIV J T Buy 5/8/2017 J
 WAL MART STORES INC COM Buy add'l 5/9/2017 J
 WAL MART STORES INC COM Buy add'l 5/9/2017 J
 WAL MART STORES INC COM Buy add'l 9/14/2017 J
 WALGREENS BOOTS ALLIANCE INC COM Buy 5/8/2017 J
 WALGREENS BOOTS ALLIANCE INC COM Buy add'l 5/9/2017 J
 WALGREENS BOOTS ALLIANCE INC COM Buy add'l 5/9/2017 J
 WALGREENS BOOTS ALLIANCE INC COM Sold 6/26/2017 J L
 WALGREENS BOOTS ALLIANCE INC COM Buy 8/3/2017 J
 WALGREENS BOOTS ALLIANCE INC COM Buy add'l 9/14/2017 J
 WALGREENS BOOTS ALLIANCE INC COM Sold 10/16/2017 J L
 WALGREENS BOOTS ALLIANCE INC COM Sold 10/16/2017 J L
 WALGREENS BOOTS ALLIANCE INC COM A DIV J T Buy 11/16/2017 J

FINANCIAL DISCLOSURE REPORT

Page 34 of 35

Name of Person Reporting

CECCHI, CLAIRE C.

Date of Report

02/20/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

WASHINGTON REAL ESTATE INVESTMEN A DIV J T Buy 5/8/2017 J
WASHINGTON REAL ESTATE INVESTMEN Buy add'l 5/9/2017 J
WASHINGTON REAL ESTATE INVESTMENT Buy add'l 5/9/2017 J
WASTE MGMT INC DEL COM A DIV J T Buy 9/14/2017 J
WEINGARTEN REALTY INVESTORS SHARES OF Buy 5/8/2017 J
WEINGARTEN REALTY INVESTORS SHARES OF Buy add'l 5/9/2017 J
WEINGARTEN REALTY INVESTORS SHARES OF Buy add'l 5/9/2017 J
WELLCARE HEALTH PLANS INC A DIV J T Buy 10/16/2017 J
WELLS FARGO & CO NEW COM Buy 5/8/2017 J
WELLS FARGO & CO NEW COM Buy add'l 5/9/2017 J
WELLS FARGO & CO NEW COM Buy add'l 5/9/2017 J
WELLS FARGO & CO NEW COM Sold 9/6/2017 J L
WELLS FARGO & CO NEW COM A DIV J T Buy 10/16/2017 J
WELLTOWER INC COM UNSOLICITED ORDER Buy 9/14/2017 J
WELLTOWER INC COM UNSOLICITED ORDER Sold part 10/16/2017 J L
WEYERHAEUSER CO UNSOLICITED ORDER A DIV J T Buy 9/14/2017 J
YAHOO INC COM UNSOLICITED ORDER Buy 5/8/2017 J
YAHOO INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
YAHOO INC COM UNSOLICITED ORDER Buy add'l 5/9/2017 J
YAHOO INC COM UNSOLICITED ORDER Sold 6/13/2017 J L
YUM BRANDS INC COM A DIV J T Buy 5/8/2017 J
YUM BRANDS INC COM Buy add'l 5/9/2017 J
YUM BRANDS INC COM Buy add'l 5/9/2017 J
ZAYO GROUP HOLDGS INC COM A DIV J T Buy 9/14/2017 J
ZIMMER BIOMET HLDGS INC COM A DIV J T Buy 9/14/2017 J

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ CLAIRE C. CECCHI**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544