

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Carey, Kevin J.	2. Court or Organization United States Bankruptcy Court for the District of Delaware	3. Date of Report 05/14/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address United States Bankruptcy Court for the District of Delaware 824 North Market Street, 5th Floor Wilmington, DE 19801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Executor	Estate #1
2.	Member, Board of Directors	American Bankruptcy Institute
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2018	Matthew Bender & Company, Inc. (Lexis/Nexis) Contribution Author to Collier Forms Manual and Treatise	\$10,000.00
2. 2018	St. John's University (teaching)	\$7,000.00
3. 2018	American Bankruptcy Law Journal (editor)	\$6,000.00
4. 2018	Temple University, Beasley School of Law (teaching)	\$5,000.00

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2018	[REDACTED], LLC (Wages)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Turnaround Management Association	January 11, 2018	New York, NY	Webinar Participant	Amtrak Fare, Lodging, Train Station Parking, Local Transportation, Meals
2. St. John's University School of Law	January 25-27, 2018	New York, NY	Bankruptcy Sales LLM Class (Adjunct Instructor)	Amtrak Fare, Lodging, Local Transportation, Car Service, Train Station Parking, Meals
3. American Bankruptcy Institute	March 5-5, 2018	New York, NY	Duberstein Competition Dinner Attendee and Executive Committee Meeting (Board Member)	Amtrak Fare, Lodging, Train Station Parking, Local Transportation, Meals

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4.	M&A Advisors	March 19-22, 2018	Palm Beach, FL	Distressed Investing Summit (Panelist)	Airfare, Lodging, Local Transportation, Airport Parking, Program Fee (including Reception and Meals)
5.	American Bankruptcy Institute	March 28, 2018	Alexandria, VA	Strategic Planning Meeting (Committee Member)	Amtrak Fare, Local Transportation, Train Station Parking
6.	American Bar Association	April 12-14, 2018	Orlando, FL	Business Law Section, Bankruptcy Committee Annual Meeting (Panelist)	Airfare, Lodging, Baggage Fees, Local Transportation, Airport Parking, Other Meals, Registration (including Reception and Meals)
7.	American Bankruptcy Institute	April 19-22, 2018	Washington, DC	Annual Spring Meeting (Panelist/Attendee)	Lodging, Mileage, Local Travel, Tolls, Hotel Parking, Meals, Program Fee (including Reception and Meals)
8.	Lincoln Center Corporate Fund	April 25, 2018	New York, NY	Award Presenter	Amtrak Fare, Lodging, Reception and Dinner
9.	Maryland Bankruptcy Bar Association	May 4-5, 2018	Annapolis, MD	Panelist	Lodging, Mileage, Tolls, Hotel Parking, Other Meals, Program Fee (including Reception and Meals)
10.	Southern District of Florida Bankruptcy Bar Association	May 10-13, 2018	Palm Beach, FL	Panelist	Airfare, Lodging, Other Meals, Program Fee (including Reception and Meals)
11.	American Bankruptcy Institute	May 16-18, 2018	Las Vegas, NV	VALCON (Panelist/Attendee)	Airfare, Lodging, Baggage Fees, Airport Parking, Other Meals, Program Fee (including Reception and Meals)
12.	American Bankruptcy Institute	May 24, 2018	New York, NY	New York City Bankruptcy Conference (Panelist)	Amtrak Fare, Lodging, Train Station Parking, Local Transportation, Lunch and Reception
13.	Turnaround Management Association	June 5-7, 2018	Atlantic City, NJ	Atlantic City Symposium (Panelist)	Lodging, Mileage, Tolls, Program Fee (including Reception and Meals)
14.	New York Institute of Credit	June 11, 2018	New York, NY	Centennial Celebration (Award Presenter)	Amtrak Fare, Local Transportation, Train Station Parking and Reception
15.	Association of Insolvency and Restructuring Advisors	June 13-16, 2018	Nashville, TN	Annual Conference (Panelist/Attendee)	Airfare, Lodging, Local Travel, Airport Parking, Other Meals, Program Fee (including Reception and Meals)
16.	American Bankruptcy Institute	August 2-4, 2018	Hershey, PA	Mid-Atlantic Workshop (Panelist/Attendee)	Lodging, Mileage, Other Meals, Program Fee (including Reception and Meals)
17.	American Bankruptcy Institute	September 5-7, 2018	Las Vegas, NV	Executive Committee Meeting (Board Member)	Airfare, Lodging, Airport Parking, Local Transportation, Meals
18.	American College of Bankruptcy	September 12, 2018	Newark, NJ	Rutgers Program (Panelist)	Amtrak Fare; Local Transportation; Train Station Parking
19.	St. John's University	September 13, 2018	New York, NY	Mediation Training Program (Panelist)	Amtrak Fare, Local Transportation, Train Station Parking
20.	American Bankruptcy Institute	September 20-21, 2018	Washington, DC	Eye on Bankruptcy (Interviewee) and Views from the Bench (Panelist)	Amtrak Fare, Lodging, Local Transportation, Lunch and Reception
21.	Turnaround Management Association	September 26-28, 2018	Colorado Springs, CO	Annual Convention (Panelist/Attendee)	Airfare, Lodging, Baggage Fees, Local Transportation, Airport Parking, Other Meals, Program Fee (including Reception and Meals)

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22.	American Bankruptcy Institute	October 17-21, 2018	Milan, Italy	International Symposium (Panelist/Attendee)	Airfare, Lodging, Baggage Fees, Program Fee (including Reception and Meals)
23.	National Conference of Bankruptcy Judges	October 28-31, 2018	San Antonio, TX	Annual Conference (Panelist/Attendee)	Airfare, Lodging, Airport Parking, Program Fee (including Reception and Meals)
24.	St. John's University	November 1-3, 2018	New York, NY	Executory Contracts LLM Class (Adjunct Instructor)	Fare, Lodging, Local Transportation, Car Service, Train Station Parking, Meals
25.	American Bankruptcy Institute	November 6, 2018	New York, NY	Professional Development Seminar (Panelist)	Amtrak Fare, Train Station Parking, Reception
26.	Association of Insolvency and Restructuring Advisors	November 12, 2018	New York, NY	Advanced POR Conference (Panelist)	Amtrak Fare, Train Station Parking, Program Fee (including Reception)
27.	American Bar Association	November 15-16, 2018	Washington, DC	Bankruptcy for Breakfast (Panelist)	Amtrak Fare, Lodging, Local Transportation, Meals
28.	American Bankruptcy Institute	December 5-8, 2018	Scottsdale, AZ	Winter Leadership Conference (Panelist/Attendee)	Airfare, Lodging, Baggage Fees, Local Transportation, Other Meals, Program Fee (including Reception and Meals)
29.	American Bankruptcy Institute/St. John's University	December 13, 2018	New York, NY	Mediation Training Program (Panelist)	Amtrak Fare, Local Transportation, Train Station Parking

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	St. John's University	Teaching Award	\$250
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express Optima	Credit Card	K
	Aviator Master Card (name		
2.	correction for Aviant)	Credit Card	J
3.	American Express	Credit Card	K
4.	TD Bank	Credit Card	J
5.	Sears	Credit Card	J
6.	Navient	Student Loans	K

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. [REDACTED], LLC 401(k)- Investments Trustee directed	C	Dividend	M	T					
2. Estate #1-PNC Bank (cash in bank account)		None	J	T					
3. PNC Bank Accounts	A	Interest	J	T					
4.									
5.									
6.									
7.									
8.									
9.									
10.									
11.									
12.									
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Kevin J. Carey**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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