

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2018Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Castel, P. Kevin	2. Court or Organization S.D.N.Y.	3. Date of Report 07/15/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address United States Courthouse 500 Pearl Street New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director (ex officio as former president)	St. John's School of Law, Alumni Association (uncompensated)
2. Trustee (ex officio as former president)	Federal Bar Council (uncompensated)
3. Director	Federal Judges Association (uncompensated)
4. Board of Managers	Havens Relief Fund Society (uncompensated)
5. UTMA Custodian	Uniform Transfer to Minor Act account for family member (uncompensated)

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 10/27/03	Cahill Gordon & Reindel LLP agreement in connection with withdrawal from firm. (Copy of agreement filed with 2006 FDR and those of prior years.)
2. 3/4/13	Cahill Gordon & Reindel LLP renouncement of all future payments. (Copy filed with 2012 FDR.)
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2018	St. John's University (income as salary)
2. 2018	Indepedent contractor serving as hearing officer (compensated on per case basis)
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Federal Judges Association	May 5-6, 2018	Washington D.C.	Board of Directors Meeting	Transportation, Lodging and Meals
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brk Act #1 Fidelity Capital Apprec'n Mutual Fd [FDCAX]	F	Dividend	O	T	Sold (part)	12/14/18	M		
2.					Sold (part)	12/17/18	L		
3. Brk Act #1 Fidelity Cash Reserves [FDRXX]	D	Int./Div.	N	T					
4. Brk Act #1 Fidelity Asset Manager Mutual Fd [FASGX]	E	Int./Div.	N	T					
5. Brk Act #1 Fidelity Aggressive Growth Mutual Fd [FAMRX]	E	Int./Div.	N	T					
6. Brk Act #1 Fidelity Balanced [FBALX]	E	Int./Div.	N	T	Sold (part)	12/14/18	L		
7. Brk Act #1 Fidelity MSCI Info [FTEC]	B	Dividend	M	T					
8. Brk Act #1 Fidelity NASDAQ [FNCMX]	B	Dividend	L	T					
9. Brk Act #1 Fidelity ishare TIPS ETF	A	Dividend			Sold	12/13/18	K		
10. Brk Act #1 Fidelity MSCI Real Estate ETF	B	Dividend	K	T					
11. Brk Act #4 First Eagle Overseas Fund Class A	A	Dividend	J	T					
12. Brk Act #4 LM [SB] Appreciation Fd CL A	B	Dividend	M	T					
13. Brk Act #4 LM [SB] Large Cap Grwth FD CL A	A	Dividend	M	T					
14. Brk Act #4 Morgan Stanley NY Muni Money Mkt Fd CL A	A	Interest	M	T					
15. Brk Act #4 US Treasury bill	A	Interest	O	T	Buy	12/17/18	O		
16. Brk Act #4 VFH	A	Dividend	J	T					
17. Brk Act #4 New York NY City HSG Dev Corp MultiFam 5.35/18	B	Interest			Redeemed	05/01/18	K	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18. Brk Act #4 NYS Thruway Au Gen Rev Ser H 5/19	B	Interest			Redeemed	04/09/18	K	A	
19. Brk Act #4 Lacey Township NJ BRD ED SCH 3.25/20[19]	A	Interest	K	T					
20. Brk Act #4 NY St Dorm 5/21[15]	C	Interest			Redeemed	11/19/18	L	A	
21. Brk Act #4 NJ High Ed 4.5/21	A	Interest	J	T					
22. Brk Act #4 NY Gen Oblig BDS-G1 5.5/21	B	Interest	K	T					
23. Brk Act #4 NJ Econ DVA Rev Sch Fac 4.5/22	A	Interest			Redeemed	03/01/18	K	A	
24. Brk Act #4 NJ Econ Dev Ref-EE 5.25/22	B	Interest	K	T					
25. Brk Act #4 NJ Econ Dev Ref-EE 5.25/22	A	Interest	K	T					
26. Brk Act #4 NJ Trans 5.25/23	B	Interest	K	T					
27. Brk Act #4 NJ State Trans 5.25/23	C	Interest	L	T					
28. Brk Act #4 NY Gen Oblig BDS E 6/23	B	Interest			Redeemed	10/15/18	J	A	
29. Brk Act #4 NY Gen Oblig BDS E 6/23	B	Interest			Redeemed	10/15/18	K	A	
30. Brk Act #4 Triborough Bridge & T Au Ser D 5/23	B	Interest			Redeemed	11/15/18	J	A	
31. Brk Act #4 Triborough Bridge & T Au Ser D 5/23	A	Interest			Redeemed	11/15/18	K	A	
32. Brk Act #4 NJ State Trans 5/24	B	Interest	L	T	Redeemed (part)	11/19/18	K	A	
33. Brk Act #4 Port Auth NY&NJ Con Ser153 4.5/25	B	Interest			Redeemed	07/16/18	K	A	
34. Brk Act #4 NJ EDA Sch Facs Ser EE 5.25/25	C	Interest	L	T					

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35. Brk Act #4 NJ TFA Rev Ser B 5.25/25	C	Interest	L	T					
36. Brk Act #4 NJ Econ Dev Auth Sch Facs Const Ref-NN 5/26	B	Interest	L	T					
37. Brk Act #4 NJ Econ Dev Auth School 5.25/26	D	Interest	M	T					
38. Brk Act #4 NJ St. Trans Auth Ser - A 0/26	A	Interest	L	T					
39. Brk Act #4 NY NY 5/28	B	Interest	K	T					
40. Brk Act #4 NY NY 5/28	B	Int./Div.	K	T	Spinoff (from line 39)	09/12/18	K		
41. Brk Act #4NJ St Trans TR FD Auth Sys Ser A 5.625/28	C	Interest			Redeemed	12/17/18	K	A	
42. Brk Act #4 NYS Thruway 5/28	C	Interest	M	T	Buy	09/27/18	M		
43. Brk Act #4 NY NY IDA Rev AGC 6.125/29	A	Interest	J	T					
44. Brk Act #4 NJ St. Trans TRFD Sys - A 5.125/29	C	Interest	L	T					
45. Brk Act #4 NYS Dorm Auth 5/29	C	Interest	M	T	Buy	08/30/18	M		
46. Brk Act #4 NJ TFARev Ser B 5.25/36	D	Interest	M	T					
47. Brk Act #4 Ishares TR Dow Jones Select Div Index Fund	B	Dividend	K	T					
48. Brk Act #4 Port Au NY & NJ 4.5/25	C	Interest	L	T					
49. Brk Act #4 ADP	A	Dividend	J	T					
50. Brk Act #4 CDK	A	Dividend	J	T					
51. Brk Act #4 BR	A	Dividend	J	T					

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52. Brk Act #4 BBY	A	Dividend	J	T					
53. Brk Act #4 IBM	A	Dividend	J	T					
54. Brk Act #4 KMB	A	Dividend	J	T					
55. Brk Act#4 HYH Part VIII		None			Redeemed	07/02/18	J	A	
56. Brk Act #4 AVNS Part VIII		None	J	T	Spinoff (from line 55)	07/02/18	J		
57. Brk Act #4 KSS	A	Dividend	J	T					
58. Brk Act #4 MDT	A	Dividend	J	T					
59. Brk Act #4 MSFT	A	Dividend	K	T					
60. Brk Act #4 WMT	A	Dividend	J	T					
61. Brk Act #4 WBA	A	Dividend	J	T					
62. Brk Act #4 VIG	B	Dividend	M	T					
63. Brk Act #7 Bank Dep. Prog. Morgan Stanley Bank, N.A.	A	Interest	M	T					
64. Brk Act # 7 PWC	A	Dividend	K	T					
65. Brk Act #7 CREOX	A	Dividend			Sold	10/18/18	J	A	
66. Brk Act #7 NYS Dev Corp Inc Tx 6.5/18	A	Interest			Redeemed	12/17/18	J	A	
67. Brk Act #9 Bank Dep Prog. Morgan Stanley Bank, N.A.	A	Interest	J	T					
68. Brk Act #9 EEM	A	Dividend	K	T					

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69. Brk Act #9 PZI	A	Dividend	K	T					
70. Brk Act #9 MFS Intl New Disc Fund C [MIDCX] Part VIII	A	Dividend			Redeemed	04/24/18	K	A	
71. Brk Act #9 MFS Intl New Disc Fund A [MIDAX] Part VIII	A	Dividend	K	T	Buy	04/24/18	K		
72. Brk Act #9 New York City MWF Au W & S Ser D O CPN 0/18	A	Interest			Redeemed	06/15/18	L	A	
73. Brk Act #9 NYS G/O BDS-A 0/19	A	Interest	K	T					
74. Brk Act #9 PR Comm H & T 0/20	A	Interest	K	T					
75. Brk Act #9 XLF	A	Dividend	J	T					
76. Brk Act #9 SPY	C	Dividend	M	T					
77. Brk Act #9 VIG	B	Dividend	L	T					
78. Brk Act #9 XLRE	A	Dividend	J	T					
79. Brk Act #10 Bank Dep Prog. Morgan Stanley Bank, N.A.	A	Interest	M	T					
80. Brk Act #10 NJ Health Care Fac 4/19	A	Interest			Redeemed	09/18/18	K	A	
81. Brk Act #10 NJ Turnpike Auth Ser - H 5/20	B	Interest	L	T					
82. Brk Act #10 NY St. Dorm Auth North Shore Rev A 5/21	B	Interest	L	T					
83. Brk Act #10 Mass St. Dev Fin Agy Rev H 5/21	B	Interest			Redeemed	08/24/18	L	A	
84. Brk Act #10 NJ Health Care Facs 5/21	B	Interest	L	T					
85. Brk Act #10 St. of Louisiana Unclmd Pty 5/21	B	Interest			Redeemed	10/09/18	L	A	

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86. Brk Act #10 Guam Govt Business Priv. Ser A 5/22	B	Interest			Redeemed	04/09/18	L	A	
87. Brk Act #10 Delaware Riv Jt Toll Bridge 5/22	B	Interest	L	T					
88. Brk Act #10 St. of Connecticut Health Facs 5/22	B	Interest	L	T					
89. Brk Act #10 Piedmont Mun Pwr Agy 5/23	B	Interest	L	T					
90. Brk Act #10 Monmouth Cnty NJ 5/23	C	Interest	L	T					
91. Brk Act #10 Ohio St. Hosp Fac 5/24	C	Interest			Redeemed	12/26/18	L	A	
92. Brk Act #10 NJ Health Care Facs Fing Auth 5/23	B	Interest	L	T					
93. Brk Act #10 Monmouth Cnty NJ Impt Auth Lease 5/23	B	Interest	L	T					
94. Brk Act #10 Delaware Riv & Bay Auth Del Rev B 5/24	B	Interest	L	T					
95. Brk Act #10 Moorestown Twp NJ School Dist 5/24	B	Interest	L	T					
96. Brk Act #10 Ohio St. Highway Cap 4/24	C	Interest			Redeemed	09/26/18	M	A	
97. Brk Act #10 Hamilton Twp. Mercer Cnty NJ 5/25	C	Interest	L	T					
98. Brk Act #10 NJ St Edl Fac Auth Rev-A 5/25	C	Interest	L	T					
99. Brk Act #10 Port Auth NY & NJ Con 5/26	C	Interest	L	T					
100. Brk Act #10 NJ Turnpike Auth Rev Ser B 5/26	B	Interest	L	T					
101. Brk Act #10 Camden Cnty NJ Impt Auth 5/26	C	Interest			Redeemed	12/12/18	L	A	
102. Brk Act #10 Metropolitan Trans Auth 5/26	B	Interest	L	T					

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. Brk Act #10 Monmouth Cnty NJ Impt 5/27	C	Interest	L	T					
104. Brk Act #10 Hudson Cnty NJ Impt 5/29	B	Interest	L	T					
105. Brk Act #10 Mercer Cnty NJ Impt Auth 5/27	B	Interest	L	T					
106. Brk Act #10 Port Auth of NY & NJ Consld Rev 194 5/27	B	Interest	L	T					
107. Brk Act #10 Hudson Cnty NJ G/O 4/27	B	Interest	L	T					
108. Brk Act #10 Freehold Twp NJ Brd Ed 5/29	B	Interest			Redeemed	12/06/18	L	A	
109. Brk Act #10 NJ St Tpk Auth 5/29	B	Interest	L	T	Buy	05/09/18	L		
110. Brk Act #10 NYC TFA 5/29	C	Interest	M	T	Buy	11/16/18	M		
111. Brk Act #10 NYC TFA Rev-F3 5/30	C	Interest	M	T	Buy	12/20/18	M		
112. Brk Act #10 Burlingtn Cnty NJ 5/30	C	Interest	M	T	Buy	08/24/18	M		
113. Brk Act #10 NY St Environ FACS 5/30	C	Interest	L	T	Buy	12/27/18	L		
114. Brk Act #10 NY NY City Muni Wtr 5/32	C	Interest	L	T	Buy	12/06/18	L		
115. Brk Act #10 NY NY City TFA 5/33	C	Interest	M	T	Buy	12/28/18	M		
116. Brk Act #10 Dorm Auth NY St Johns 5/33	C	Interest	M	T	Buy	10/23/18	M		
117. Brk Act #10 NY St Environmental 5/34	C	Interest	L	T	Buy	12/26/18	L		
118. Brk Act #13 Fidelity Equity Inc[FEQIX]	F	Dividend	M	T	Buy (add'l)	12/17/18	L		
119. Brk Act #13 Fidelity Inter Bond [FTHRX] (H)	C	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000 J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000 K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000 L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000 M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Brk Act #13 Fidelity Capital Apprec [FDCAX]	E	Dividend	J	T	Buy (add'l)	12/17/18	L		
121. Brk Act #13 Fidelity Retire Mmkt[Cash Reserves] [FCRXX] [FDRXX]	B	Interest	M	T					
122. Bank Act #14 Chase Accounts	A	Interest	K	T					
123. Brk Act #5 Fidelity Smart Account	A	Interest	J	T					
124. Bank Act#16 TD Bank Account		None	J	T					
125. Brk Act #17 Morgan Stanley /Bank Deposit Program	A	Interest	J	T	Open	12/28/18	J		
126. Real Estate Long Island NY #1		None	M	R					
127. Bank Act #20 First Natl of LI cash accounts and CD (X)	B	Interest	M	T					
128. Brk Act #21 Fidelity SPAXX Part VIII (X)	A	Interest	K	T	Buy	08/31/18	M		
129.					Redeemed (part)	11/30/18	L		
130. Brk Act # 21 Texas Cap Bank Dallas (CD) 2.7/11/29/19	A	Interest	M	T	Open	11/28/18	L		
131. Brk Act #21 US Treas 0/7/5/2019	A	Interest	L	T					
132. Brk Act #21 US Treas 1/11/30/2019	A	Interest	L	T					
133. Brk Act # 21 Wells Fargo (CD) 2.8/8/17/20	B	Interest	M	T	Buy	08/14/18	M		
134. Brk Act #21 Wells Fargo (CD) 2.45/10/15/2019	A	Interest	M	T	Buy	09/12/18	L		
135. Brk Act #21 FAMRX	A	Int./Div.	J	T	Buy	01/30/18	J		
136. Brk Act # 21 FASGX	B	Dividend	J	T	Buy	01/03/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	08/31/18	J		
138. Brk Act # 21 FBALX	B	Dividend	K	T	Buy	01/09/18	J		
139.					Buy (add'l)	01/23/18	J		
140.					Buy (add'l)	08/31/18	J		
141. Brk Act #21 FLCSX	C	Dividend	K	T	Buy	01/22/18	J		
142.					Buy (add'l)	01/23/18	J		
143.					Buy (add'l)	08/31/18	J		
144. Brk Act #21 FTABX	A	Dividend	J	T					
145. Brk Act #21 PONAX	C	Dividend	L	T	Sold (part)	01/22/18	J	A	
146.					Sold (part)	01/29/18	J	A	
147. Brk Act #22 Fidelity FCASH (X)	A	Interest	J	T					
148. Brk Act #23 Fidelity SPAXX (X)	C	Interest	J	T	Sold (part)	10/26/18	L	A	
149. Brk Act #23 PONAX	C	Int./Div.			Sold	10/12/18	L	A	
150. Brk Act #23 FTBAX	A	Int./Div.			Sold	10/12/18	J	A	
151. Brk Act #23 FGMNX	A	Int./Div.			Sold	01/22/18	J	A	
152. Brk Act # 24 Fidelity FAMRX (X)	A	Int./Div.	J	T	Buy	02/01/18	J		
153. Brk Act #24 Fidelity SPAXX	A	Int./Div.	J	T	Buy	08/31/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Brk Act #25 Fidelity FAMRX (X)	A	Int./Div.	J	T	Buy	02/01/18	J		
155. Brk Act #25 SPAXX	A	Interest	J	T	Buy	08/31/18	J		
156. Brk Act #26 St. John's Univ DefiContribPlan TIAA Tradit Pt VIII (X)		None	K	T					
157. Brk Act #26 CREF Stock R2 Part VIII		None	M	T					
158. Brk Act #26 TIAA Real Estate PartVIII		None	K	T					
159. Brk Act #26 CREF Bond Market 2 PartVIII		None	K	T					
160. Brk Act #27 TIAA Tax Deferred Annuity CREF R2 Part VIII (X)		None	J	T					
161. Brk Act #27 TIAA Real Estate Part VIII		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, II 54-55. On July 2, 2018 the name and symbol for the security changed from Halyard Health Inc (HYH) to Avanos Medical Inc. (AVNS.) This has been reflected as redemption(I 54) and spinoff (I 55).

Part VII II 70-71. On April 24, 2018, there was a "class exchange" in which C shares were exchanged for A shares. This has been reflected as redemption (I 70) and a buy (I 71).

Part VII I 128. Brk Act # 21 Combined holdings and transactions in three accounts at the same brokerage firm, Fidelity, owned in whole or part by the same reporting family member. As of year end 2018, the three accounts had been combined into one account.

Part VII II 156-160. Brk Act #26 Income on this Defined Contribution Plan is not reported to participant/beneficiary.

Part VII I 161. Brk Act # 27 Income on Tax Deferred Annuity is not reported to participant/beneficiary

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ P .Kevin Castel

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544