

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                          |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Brown, Michael L.                                                                                                                    | <b>2. Court or Organization</b><br><br>United States District Court Northern District of Georgia                                                                                                                                                                                         | <b>3. Date of Report</b><br><br>08/03/2019                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>U.S. District Judge                                                           | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2018<br>to<br>12/31/2018 |
| <b>7. Chambers or Office Address</b><br><br>United States District Court<br>75 Ted Turner Drive NW<br>Atlanta, GA 30303                                                                                   |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

|    | <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u> |
|----|-----------------|------------------------------------|
| 1. |                 |                                    |
| 2. |                 |                                    |
| 3. |                 |                                    |
| 4. |                 |                                    |
| 5. |                 |                                    |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u>                                        |
|-------------|-----------------------------------------------------------------|
| 1. 2017     | A&B Enhanced Partner Cash Benefit Pension Plan (closed in 2018) |
| 2.          |                                                                 |
| 3.          |                                                                 |

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>   | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|--------------------------|----------------------------------------|
| 1. 2018     | Self-employed (attorney) | \$24,479.50                            |
| 2.          |                          |                                        |
| 3.          |                          |                                        |
| 4.          |                          |                                        |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>   |
|-------------|--------------------------|
| 1. 2018     | Self-employed (attorney) |
| 2.          |                          |
| 3.          |                          |
| 4.          |                          |

**IV. REIMBURSEMENTS** *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

| <u>SOURCE</u> | <u>DATES</u> | <u>LOCATION</u> | <u>PURPOSE</u> | <u>ITEMS PAID OR PROVIDED</u> |
|---------------|--------------|-----------------|----------------|-------------------------------|
| 1.            |              |                 |                |                               |
| 2.            |              |                 |                |                               |
| 3.            |              |                 |                |                               |
| 4.            |              |                 |                |                               |
| 5.            |              |                 |                |                               |

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

| SOURCE | DESCRIPTION | VALUE |
|--------|-------------|-------|
| 1.     |             |       |
| 2.     |             |       |
| 3.     |             |       |
| 4.     |             |       |
| 5.     |             |       |

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

| CREDITOR | DESCRIPTION | VALUE CODE |
|----------|-------------|------------|
| 1.       |             |            |
| 2.       |             |            |
| 3.       |             |            |
| 4.       |             |            |
| 5.       |             |            |

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. SUN TRUST (cash)                                                                                                         | C                                       | Interest                                      | O                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. EXXONMOBIL COMMON STOCK                                                                                                  | D                                       | Dividend                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. 529 PLAN NY SAVES MODERATE<br>AGED BASED OPTION                                                                          |                                         | None                                          | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 4. 529 PLAN NY SAVES CONSERVATIVE<br>AGE BASED OPTION                                                                       |                                         | None                                          | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 5. NORTHWESTERN MUTUAL<br>INSURANCE ESTATE COMP LIFE<br>(WHOLE LIFE POLICY #1)                                              | D                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 6. NORTHWESTERN MUTUAL<br>INSURANCE ESTATE COMP LIFE<br>(WHOLE LIFE POLICY #2)                                              | D                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 7. NORTHWESTERN MUTUAL<br>INSURANCE 65 LIFE (WHOLE LIFE<br>POLICY)                                                          | B                                       | Distribution                                  | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 8. A&B 401K & PROFIT SHARE,<br>FRANKLIN GROWTH ADVANTAGE<br>(FCGAX)                                                         | E                                       | Dividend                                      | O                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 9. A&B 401K & PROFIT SHARE, WELLS<br>FARGO ENHANCED STOCK MARKET<br>FD (ESMKTN)                                             | E                                       | Dividend                                      | O                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 10. IRA Account #1 (H)                                                                                                      |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 11. FIDELITY ADVISOR FINANCIAL SVCS<br>CL (FFSIX)                                                                           | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 04/25/18                | J                               |                                |                                                                   |
| 12. FIDELITY ADVISOR MATERIALS CL I<br>(FMFEX)                                                                              | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 04/25/18                | J                               |                                |                                                                   |
| 13. CULLEN EMERGING MKRT HIGH<br>DIVIDEND CL I (CEMFEX)                                                                     | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 04/25/18                | J                               |                                |                                                                   |
| 14. JPMORGAN LARGE CAP GROWTH<br>CLASS I (formerly Class C) (SEEGX)                                                         | D                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 15. PUTNAM EQUITY INCOME FUND CL Y<br>(PEIYX)                                                                               | C                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                       |                                                                             |                                                        |                                                            |                                                                |                         |
|-----------------------|-----------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------|----------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes: | A = \$1,000 or less<br>(See Columns B1 and D4)                              | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000    | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000           | E = \$15,001 - \$50,000 |
| 2. Value Codes        | F = \$50,001 - \$100,000<br>J = \$15,000 or less<br>(See Columns C1 and D3) | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000   |                         |
| 3. Value Method Codes | N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000               | Q = Appraisal<br>U = Book Value                        | R = Cost (Real Estate Only)<br>V = Other                   | P4 = More than \$50,000,000<br>S = Assessment<br>W = Estimated | T = Cash Market         |

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                                    |   |          |   |   |     |          |   |  |  |
|--------------------------------------------------------------------|---|----------|---|---|-----|----------|---|--|--|
| 16. Brokerage Account #1 (H)                                       |   |          |   |   |     |          |   |  |  |
| 17. FIDELITY ADVISOR EQUITY INCOME CL I (EQPIX)(X)                 | C | Dividend | K | T |     |          |   |  |  |
| 18. FIDELITY ADVISOR UTILITIES FUND CL I (FUGIX)                   | C | Dividend | K | T |     |          |   |  |  |
| 19. FIDELITY ADVISOR FINANCIAL SVCS CL I (FFSIX)                   | B | Dividend | K | T | Buy | 04/25/18 | K |  |  |
| 20. FIDELITY ADVISOR HEALTH CARE CL I (FHCIX)                      | B | Dividend | K | T |     |          |   |  |  |
| 21. FIDELITY ADVISOR MATERIALS CL I (FMFEX)                        | B | Dividend | K | T | Buy | 04/25/18 | K |  |  |
| 22. KOPERNIK INTERNATIONAL I (KGIIX)                               | A | Dividend | K | T | Buy | 04/25/18 | K |  |  |
| 23. AMERICAN CAPITAL INCOME BUILDER F3 (formerly F2 ) (CFIHGX)     | B | Dividend | L | T |     |          |   |  |  |
| 24. CULLEN EMERGING MKRT HIGH DIVIDEND CL I (CEMFEX)               | A | Dividend | K | T | Buy | 04/25/18 | K |  |  |
| 25. FEDERATED STRATEGIC VALUE DIVIDEND IS (SVAIX)                  | C | Dividend | L | T |     |          |   |  |  |
| 26. FEDERATED CAPITAL INCOME FUND CL IS (CAPSX)                    | D | Dividend | M | T |     |          |   |  |  |
| 27. POLEN GROWTH FUND INST'L SHARES (POLIX)                        | B | Dividend | K | T | Buy | 04/25/18 | K |  |  |
| 28. THE GROWTH FUND OF AMERICA F3 (GAFFX) (X)                      | B | Dividend | K | T |     |          |   |  |  |
| 29. HARTFORD GROWTH OPPT FUND CL Y (formerly C) (HGOYX)            | D | Dividend | K | T |     |          |   |  |  |
| 30. JPMORGAN INCOME BUILDER FUND CLASS (JNBXS)                     | B | Dividend | K | T |     |          |   |  |  |
| 31. MFS INTERNATIONAL DIVERSIFICATION FD A (formerly CL C) (MDIDX) | A | Dividend | J | T |     |          |   |  |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                            |   |          |   |   |     |          |   |  |  |
|------------------------------------------------------------|---|----------|---|---|-----|----------|---|--|--|
| 32. MFS GROWTH FUND CLASS I (MFEIX)                        | B | Dividend | L | T |     |          |   |  |  |
| 33. MAINSTAY MACKAY INTL OPPORTUNITIES FUND I (MYIIX)      | B | Dividend | K | T | Buy | 04/25/18 | K |  |  |
| 34. PUTNAM EQUITY INCOME FUND CL Y (formerly C) (PEIYX)    | D | Dividend | M | T |     |          |   |  |  |
| 35. VICTORY RS SMALL CAP GROWTH CL Y (RSYEX)               | D | Dividend | K | T |     |          |   |  |  |
| 36. FIDELITY ADVISOR STRATEGIC INC CL I (FSRIX)            | A | Dividend | K | T |     |          |   |  |  |
| 37. BLACKROCK MULTI - ASSET INCOME INSTL (BIICX)           | C | Dividend | L | T |     |          |   |  |  |
| 38. JPMORGAN CORE BOND CLASS I (WOBDX)                     | A | Dividend | J | T |     |          |   |  |  |
| 39. SPDR S&P MIDCAP 400 ETF TR UTSERI (MDY)                | A | Dividend | K | T | Buy | 04/26/18 | K |  |  |
| 40. VANGUARD INTL EQUITY INDEX FUND INC FTSE (VWO)         | A | Dividend | K | T | Buy | 04/26/18 | K |  |  |
| 41. IRA #2 (H)                                             |   |          |   |   |     |          |   |  |  |
| 42. FIDELITY ADVISOR FINANCIAL SVCS CL (FFSIX)             | A | Dividend | J | T | Buy | 04/25/18 | J |  |  |
| 43. FIDELITY ADVISOR MATERIALS CL I (FMFEX)                | A | Dividend | J | T | Buy | 04/25/18 | J |  |  |
| 44. CULLEN EMERGING MKRT HIGH DIVIDEND CL I (CEMFEX)       | A | Dividend | J | T | Buy | 04/25/18 | J |  |  |
| 45. JPMORGAN LARGE CAP GROWTH CLASS I (formerly C) (SEEGX) | D | Dividend | L | T |     |          |   |  |  |
| 46. PUTNAM EQUITY INCOME FUND CL Y (formerly C) (PEIYX)    | C | Dividend | L | T |     |          |   |  |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, lines 5-7: Policy values were inadvertently omitted from the prior report. Individual policies have been identified separately in this filing.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Part VII, lines 1, 2, 3, 4, and 16 of the nomination report have been consolidated in this report.

Part VII, lines 6 and 18 of the nomination report are not reportable in this cycle; there are no corresponding reportable transactions.

Part VII: In this reporting cycle, assets have been restructured in accordance with brokerage reporting. As a result, lines 14/45 and 34/46 of the 2018 report correspond with lines 17 and 15 of the prior report, respectively.

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Michael L. Brown**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
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Washington, D.C. 20544