

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Chan, Ashely M.	2. Court or Organization Eastern District of Pennsylvania	3. Date of Report 05/06/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address Robert N.C. Nix Sr. Federal Courthouse 900 Market Street Suite 204 Philadelphia, PA 19107		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 10/22/2012	Hangley Aronchick Segal Pudlin & Schiller 401k Plan - with former law firm, no control
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 3/12/18	Lexis Nexis - editing bankruptcy treatise	\$1,500.00
2. 11/19/18	Lexis Nexis - editing bankruptcy treatise	\$4,000.00
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. EDPA	7/10 - 7/13/18	Denver, CO	Judicial Conference	airfare, lodging, food
2. ABI	9/21/18	Washington, DC	ABI Conference	trainfare
3. EDPA	10/17 - 10/18/18	Wilmington, DE	Third Circuit Conference	lodging, food
4. Allegheny County Bar	12/6 - 12/7/18	Pittsburgh, PA	Bar Symposium	airfare, parking, taxi
5. ABI	12/17/18	Washington, DC	ABI Conference	trainfare

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Airlines AAdvantage	credit card	J
2.	Bank of America	credit card	K
3.	Target	credit card	J
4.	Macy's	credit card	J
5.	Chase	credit card	J
6.	Mastercard	credit card	J
7.			
8.			
9.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. PNC Bank		None	J	T					
2. TD Bank		None	J	T					
3. Fidelity 401k (H)									
4. -Spartan US Bond Index	A	Dividend	K	T					
5. -Spartan 500 Index	C	Dividend	M	T					
6. -Fidelity Freedom 2035 Fund	D	Dividend	L	T					
7. -Harbor International	C	Dividend	K	T					
8. Northwestern IRA (H)									
9. -American Century Real Estate Fund	C	Dividend	J	T					
10. -American Century Small Cap Growth	A	Dividend	J	T					
11. -Capital World Growth & Income Fund	A	Dividend	J	T					
12. -Dreyfus Insured Deposit (X)	A	Int./Div.	J	T					
13. -Fidelity Advisor Diversified International	A	Dividend	J	T					
14. -Fidelity Advior New Insights Fund	B	Dividend	J	T					
15. -Fidelity Advisor Strategic Fund	A	Dividend	J	T					
16. -Growth Fund of America.	B	Dividend	J	T					
17. -iShares Trust Russell 2000 Value Index	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -New World Fund	A	Dividend	J	T					
19. -PIMCO Commodity Real Return Strategy	A	Dividend	J	T					
20. -Prudential Jennison Natural Resources	A	Dividend	J	T					
21. -SPDR S&P 500 ETF Tr	A	Dividend	J	T					
22. -Vanguard GNMA Fund	A	Dividend	J	T					
23. -Vanguard Mid-Cap ETF	A	Dividend	J	T					
24. -Vanguard Primecap Fund	A	Dividend	J	T					
25. Northwestern Roth IRA (H)									
26. -American Century Diversified Bond	A	Dividend	J	T					
27. -American Century Heritage Fund	C	Dividend	J	T					
28. -American Heritage Strategic Allocation Moderate Fund	B	Dividend	K	T	Sold (part)	03/07/18	J	A	
29. -Fidelity Advisor New Insights Fund	B	Dividend	J	T					
30. Morgan Stanley IRA (H)									
31. -Bank of America 5.625% 7/1/2020	B	Interest	K	T					
32. -Capital One NZ 2.25% 6-7-2021	A	Int./Div.	K	T					
33. -Cisco Systems	B	Dividend	K	T					
34. -Conagra Foods	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -Covanta Holding Corp	A	Dividend	J	T					
36. -Crown Castle Intl Corp	A	Dividend	K	T					
37. -CSX Transportation 9.75% 6/15/2020	B	Interest	K	T					
38. -CVS Health Corp	A	Dividend	J	T					
39. -Digital Realty Trust	A	Dividend	K	T					
40. -Exxon Mobil	A	Dividend	J	T					
41. -Gilead Science	A	Dividend	J	T					
42. -Goldman Sachs 2.00% 5/21/2019	A	Interest	K	T					
43. -Harley Davidson	A	Dividend	J	T					
44. -Hewlett Packard 4.30% 6/1/2021	B	Interest	K	T					
45. -International Paper	A	Dividend	K	T					
46. -iShares Intl Select Div ETF	B	Dividend	K	T					
47. -iShares JP Morgan EM Bond Fund	B	Dividend	K	T					
48. -Jonesboro AK 3.00% 2/1/2022	A	Interest	K	T					
49. -JP Morgan Chase & Co	B	Dividend	K	T					
50. -JP Morgan Chase Bank 3.00% 9/18/2024	A	Interest	K	T	Buy	09/05/18	K		
51. -Kinder Morgan Inc	A	Dividend	J	T					

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52. -Lamb Weston Holdings	A	Dividend	J	T					
53. -Liberty Property 4.75% 10/1/2020	B	Interest	K	T					
54. -Medtronic PLC	A	Dividend	K	T					
55. -Morgan Stanley 5.50% 7/24/2020	B	Interest	K	T					
56. -Morgan Stanley Bank	A	Interest	L	T					
57. -Newell Brands	A	Dividend	J	T					
58. -Norfolk Southern 9.75% 6/15/2020	B	Interest	K	T					
59. -Oaktree Capital GP LLC UT	A	Distribution	J	T					
60. -Pentair PLC	A	Dividend			Sold	4/5/18	K		
61. -Pepsico	A	Dividend	K	T					
62. -Primerica Inc 4.75% 7/15/2022	B	Interest	K	T					
63. -Quest Diagnostics 4.75% 1/30/2020	B	Interest	K	T					
64. -Sallie Mae Bank CD 2.15% 10/30/2018	A	Interest			Sold	10/30/18	K		
65. -Simon Property Group	B	Dividend	K	T					
66. -Taiwan Semiconductor Mfg Co	A	Distribution	K	T	Buy	08/01/18	K		
67. -Unilever PLC	A	Dividend	J	T					
68. -Vanguard FTSE Developed Markets E	A	Dividend	K	T					

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69. -Virtus Multi Select Short Term Bond T	A	Dividend	J	T					
70. -Walt Disney Co Holding	A	Dividend	K	T					
71. -Wells Fargo Bank 3.15% 5/9/2023	A	Interest	K	T	Buy	04/24/18	K		
72. -Western Asset Short Term Bond C1	A	Dividend	J	T					
73. -Western Union Co 3.65% 8/22/2018	A	Interest			Sold	08/22/18	K		
74. Morgan Stanley xxx612 (H)									
75. -Hasbro Inc	A	Dividend	K	T					
76. -Microsoft Corp	A	Dividend	K	T					
77. -Pfizer Inc	A	Dividend	K	T					
78. -Verizon	A	Dividend	J	T					
79. -Morgan Stanley Bank	A	Interest	K	T					
80. Morgan Stanley xxx450 (H)									
81. -Alliance Resource Partners LP	B	Distribution	J	T					
82. -Apple Inc	A	Dividend	K	T					
83. -Brighthouse Financial		None	J	T					
84. -Bristol Myers Squibb	A	Dividend	J	T					
85. -Cardinal Health Inc	A	Dividend	J	T					

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86. -Chemours Co	A	Dividend	J	T					
87. -DowDuPont Inc	A	Dividend	J	T					
88. -Energy Transfer Partners LP	A	Distribution	J	T					
89. -Equity Residential	A	Dividend	K	T					
90. -General Electric	A	Dividend			Sold	10/09/18	J		
91. -Goldman Sachs 5.95% 1/18/2018	A	Dividend			Sold	01/18/18	K		
92. -H&R Block Inc	A	Dividend	J	T					
93. -Habersham County GA 4.00% 4/1/2019	A	Interest	K	T					
94. -HCP Incorporated	A	Dividend	J	T					
95. -Intel Corp	A	Dividend	K	T	Sold (part)	07/11/18	J	D	
96. -Macquarie Infrastructure Co	B	Dividend	J	T					
97. -McDonalds	A	Dividend	K	T					
98. -Metlife Inc	A	Dividend	K	T					
99. -Microsoft Corp	B	Dividend	L	T					
100. -Morgan Stanley Bank		None	K	T					
101. -Morgan Stanley 5.50% 7/24/2020	B	Interest	K	T					
102. -Morgan Stanley 5.75% 1/25/2021	B	Interest	K	T					

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103. -New York State Thruway Auth 5.00% 3/15/2025	B	Interest	K	T					
104. -NuStar Energy LP	B	Distribution	J	T					
105. -Pennsylvania State Genl Oblig 5.00% 1/15/2022	B	Interest	K	T					
106. -Pfizer Inc	A	Dividend	K	T					
107. -Quality Care Properties		None			Sold	07/27/18	J	B	
108. -Royal Dutch Shell PLC	A	Dividend			Sold	04/12/18	K	A	
109. -Sarasota County FL Sch Brd 5.00% 7/1/2021	B	Interest	K	T					
110. -Tri-County Metro Trans Dist of Oregon 3.00% 11/1/2019	A	Interest	J	T	Sold (part)	11/01/18	K		
111. -University of Cincinnati Gen Repts 4.00% 6/1/2025	A	Interest	K	T					
112. -Walgreens Boots Alliance Inc	A	Dividend	K	T					
113. -Washington State Genl Oblig 5.00% 7/1/2020	B	Interest	K	T					
114. -Washoe County NV Genl Oblig 3.00% 3/1/2023	A	Interest	K	T					
115. -Washoe County NV Genl Oblig 3/1/2024	A	Interest	K	T					
116. -Anthem Inc 3.30% 1/15/2023 (PK Wellpoint Inc.)	A	Interest	K	T					
117. Morgan Stanley xxx082 (H)									
118. -Alphabet Inc Cl A		None	K	T					
119. -American Express 2.25% 6-1-2021	A	Interest	K	T					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -American Express 2.40% 9-6-2022	A	Interest	K	T					
121. -Amex Centurion 2.10% 9/26/2018	A	Interest			Sold	09/26/18	K		
122. -Apple Inc	A	Dividend	K	T					
123. -AT&T Inc	B	Dividend	K	T					
124. -Blackstone Group LP	B	Distribution	K	T					
125. -Brighthouse Financial		None	J	T					
126. -Buckeye Partners	B	Distribution	J	T					
127. -CA Inc 5.375% 12/1/2019	B	Interest	K	T					
128. -Chemours Co	A	Dividend	J	T					
129. -Digital Realty Trust	A	Dividend	K	T	Buy	02/21/18	K		
130. -Digital Realty Trust LP 5.25% 3/15/2021	B	Interest	K	T					
131. -Discover Financial Services	A	Dividend	J	T					
132. -DowDuPont, Inc	A	Dividend	K	T					
133. -Energy Transfer Partners LP	B	Distribution	K	T					
134. -ExxonMobil Corp	A	Dividend	J	T					
135. -General Electric Cap Corp 5.30% 2/11/2021	B	Interest	K	T					
136. -iShares JP Morgan Em Bond FUND	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -JP Morgan Chase 2.00% 2/23/2028	A	Interest	K	T					
138. -Kansas State Dev Fin Auth 5.00% 12/1/2019	B	Interest	K	T					
139. -Macy's	A	Dividend			Sold	01/18/18	J		
140. -McDonalds Corp	A	Dividend	K	T					
141. -Metlife Inc	A	Dividend	J	T					
142. -Microsoft Corp	A	Dividend	K	T					
143. -Morgan Stanley Bank	A	Interest	K	T					
144. -New York State Mtg Agy Homeowner 3.619% 4/1/2023	A	Interest	K	T					
145. -Nvent Electric PLC	A	Dividend	J	T	Spinoff (from line 147)	05/03/18	J		
146. -Oaktree Capital GP LLC	A	Distribution	J	T					
147. -Pentair PLC	A	Dividend	J	T					
148. -Pfizer	A	Dividend	K	T					
149. -Plains All American Pipeline, LP	A	Distribution	K	T					
150. -Regional Trans Dist Colo Sales Tax Rev 5.00% 11/1/2027	A	Interest	K	T	Buy	04/26/18	K		
151. -San Antonio TX Pub Facs 3.00% 9/15/2027	A	Interest	K	T					
152. -Tanger Factory Outlet Centers	A	Dividend	J	T					
153. -Thomson Reuters Corp					Sold	01/30/18	K	D	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -UBS Bank USA Salt Lake City 3.45% 10/24/2023	A	Interest	K	T	Buy	10/17/18	K		
155. -United Technologies Corp	A	Dividend	K	T					
156. -University of Washington Univ Revs Gebn 5.00% 7/1/2034			K	T	Buy	10/09/18	K		
157. -Walt Disney Co Holding	A	Dividend	K	T					
158. -Wells Fargo 4.48% 1/16/2024	B	Interest	K	T					
159. Fidelity Investments (H)									
160. -Fidelity Contrafund	A	Dividend	J	T	Buy	07/20/18	J		
161. -Fidelity Government Money Market	A	Dividend	J	T					
162. -Fidelity Select Technology	A	Dividend	J	T	Buy	07/20/18	J		
163. -Invesco QQQ Trust Unit Ser 1	A	Dividend	J	T	Buy	07/23/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Chan, Ashely M.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ Ashely M. Chan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544