

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Clevenger, Raymond C.	2. Court or Organization United States Court of Appeals for the Federal Circuit	3. Date of Report 04/12/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Circuit Court Judge (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 717 Madison Place, N.W. Washington, DC 20439		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2018

self-employed photographer

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

Transportation, Meals

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America (C.S.)	G	Dividend	P2	T					
2. Morgan Stanley Managed Municipal Fund	F	Interest	P1	T					
3. PNC Bank Now Account	A	Interest	L	T					
4. Seminole Transportation Co. Mineral Contract	C	Royalty	J	W					
5. Coop Refining LLP Mineral Contract	A	Royalty	J	W					
6. Total Petroleum Co. Mineral Contract	B	Royalty	J	W					
7. Central Crude Co. Mineral Contract	B	Royalty	J	W					
8. Delaware Group Tax-Free Fund	F	Interest	O	T					
9. Morgan Stanley Daily Dividend Fund	E	Interest	N	T					
10. J.P. Morgan Chase & Co. (C.S.)	C	Dividend	M	T					
11. Pepsico Inc. (C.S.)	C	Dividend			Sold	06/08/18	M	E	
12. Chevron Corp. (C.S.)	A	Dividend	M	T					
13. L Brands, Inc. (C.S.)	A	Dividend			Sold	04/11/18	L	E	
14. Amgen, Inc. (C.S.)	A	Dividend			Sold	07/18/18	L	D	
15. Bahl & Gaynor Income Growth Fund	D	Dividend	O	T					
16. Crown Castle International Corp. (C.S.)	A	Dividend			Sold	01/12/18	L	E	
17. Honeywell International Inc. (C.S.)	B	Dividend			Sold	12/07/18	L	E	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$500,000.000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Nextera Energy Inc. (C.S.)	B	Dividend	L	T					
19. Microsoft Corp. (C.S.)	A	Dividend	M	T					
20. Boeing Co. (C.S.)	A	Dividend			Sold	04/10/18	M	F	
21. International Business Machines (C.S.)	B	Dividend	M	T					
22. Occidental Petroleum Corp. (C.S.)	A	Dividend	L	T					
23. Pfizer Inc. (C.S.)	B	Dividend	M	T					
24. Sempra Energy Co. (C.S.)	B	Dividend	L	T					
25. Wells Fargo Co. (C.S.)	A	Dividend	L	T					
26. Analog Devices, Inc. (C.S.)	A	Dividend			Sold	10/09/18	L	A	
27. Chubb Ltd. (C.S.)	A	Dividend	L	T					
28. Colgate Palmolive Company (C.S.)	A	Dividend	M	T					
29. Dow Dupont Inc. (C.S.)	B	Dividend	L	T					
30. Kraft Heinz Co. (C.S.)	B	Dividend	L	T					
31. Verizon Communications, Inc. (C.S.)	A	Dividend	L	T					
32. Wal Mart Stores, Inc. (C.S.)	B	Dividend	M	T					
33. Lockheed Martin Corp. (C.S.)	B	Dividend	L	T	Buy	01/12/18	L		
34. Lowes Companies Inc. (C.S.)	B	Dividend	L	T	Buy	01/22/18	L		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Cisco Systems Inc. (C.S.)	B	Dividend	L	T	Buy	03/16/18	L		
36. McDonalds Corp. (C.S.)	B	Dividend	L	T	Buy	04/11/18	L		
37. Bank of New York Mellon Corp. (C.S.)	B	Dividend	M	T	Buy	06/08/18	M		
38. United Technologies Corp. (C.S.)	A	Dividend	L	T	Buy	07/18/18	L		
39. ConocoPhillips (C.S.)	A	Dividend	L	T	Buy	08/29/18	L		
40. HP Inc. (C.S.)	A	Dividend	L	T	Buy	09/17/18	L		
41. A.T. & T. Inc. (C.S.)	A	Dividend	L	T	Buy	09/17/18	L		
42. Black Rock Inc. (C.S.)	A	Dividend	L	T	Buy	10/09/18	L		
43. Philip Morris Intl Inc. (C.S.)	A	Dividend	L	T	Buy	11/29/18	L		
44.									
45. Odd Lot Fund	A	Dividend	J	T					
46. Costco Companies, Inc. (C.S.)	A	Dividend	J	T					
47. Berkshire Hathaway Co. (C.S.)	A	Dividend	J	T					
48. General Electric Co.(C.S.)	A	Dividend	J	T					
49. Level 3 Communications Inc. (C.S.)	A	Dividend	J	T					
50. Echelon Corp.(C.S.)	A	Dividend	J	T					
51. TE Connectivity (C.S.)	A	Dividend	J	T					

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52. Walt Disney Co. (C.S.)	A	Dividend	J	T					
53. Europacific Growth Fund	A	Dividend	J	T					
54. Global High Income Dollar Fund	A	Dividend	J	T					
55. Blackrock Trust Co. (C.S.)	A	Dividend	J	T					
56. Canadian Oil Sands Trust Co. (C.S.)	A	Dividend	J	T					
57. Chevron-Texaco Co. (C.S.)	A	Dividend	J	T					
58. Cisco Corp. (C.S.)	A	Dividend	J	T					
59. Dominion Reserve Inc. (C.S.)	A	Dividend	J	T					
60. Exxon Mobil Corp. (C.S.)	A	Dividend	J	T					
61. J.P. Morgan Chase & Co. (C.S.)	A	Dividend	J	T					
62. Kayne Anderson Midstream Investment Co. (C.S.)	A	Dividend	J	T					
63. Lowe's Companies, Inc. (C.S.)	A	Dividend	J	T					
64. PHLO Corp. (C.S.)	A	Dividend	J	T					
65. Oppenheimer International Small Co. Fund	A	Dividend	J	T					
66. SnowCapital Managment Fund	A	Dividend	J	T					
67. Ishares DJ Select Dividend Fund	A	Dividend	J	T					
68. News Corp. (C.S.)	A	Dividend	J	T					

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69. Lazard Emerging Markets RBL Shares	A	Dividend	J	T					
70. Powershares Water Reserve Portfolio Shares	A	Dividend	K	T					
71. Apple, Inc. (C.S.)	A	Dividend	J	T					
72. Alphabet Inc. (C.S.)		None	K	T					
73. District of Columbia Series E BHAC Bond	C	Interest	M	T					
74. Beloit Wise Sch District Bond	B	Interest	K	T					
75. New York City TFA Rev Bond	B	Interest	K	T					
76. Columbia MO Spl Oblig Bond	B	Interest	K	T					
77. Rockwall County TX Series A Bond	B	Interest	K	T					
78. South Western City SD HO Bond	B	Interest	K	T					
79. Mercanolibre Inc. (C.S.)	A	Dividend	J	T					
80. AT&T Corp. (C.S.)	A	Dividend	J	T					
81. Clough Global Equity Fund (C.S.)	B	Dividend	K	T					
82. Clough Global Opportunity Fund (C.S.)	B	Dividend	J	T					
83. Proctor & Gamble Co. (C.S.)	A	Dividend	J	T					
84. IsharesFTSE Xinhua China Fund (C.S.)	A	Dividend	J	T					
85. Ishares MSCI Brazil Index Fund (C.S.)	A	Dividend	J	T					

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86. Fairpoint Communications Inc. (C.S.)	A	Dividend	J	T					
87. Frontier Communications, Inc. (C.S.)	A	Dividend	J	T					
88. American Capital World Fund (C.S.)	B	Dividend	L	T					
89. Heitmann REIT Fund (C.S.)	B	Dividend	L	T					
90. PIMCO Total Return Fund (C.S.)	B	Dividend	M	T					
91. Amazon.Com. Inc. (C.S.)	A	Dividend	J	T					
92. Harbor International Fund (C.S.)	A	Dividend	J	T					
93. Hartford Growth Opportunity Fund (C.S.)	A	Dividend	J	T					
94. Mainstay Large Capital Growth Fund (C.S.)	A	Dividend	J	T					
95. Nuveen Build America Fund (C.S.)	A	Dividend	J	T					
96. Oppenheimer Gold & Special Minerals Fund (C.S.)	A	Dividend	J	T					
97. Vale, S.A. (C.S.)	A	Dividend	J	T					
98. Unilever PLC (C.S.)	A	Dividend	J	T					
99. T.Rowe Price New Horizons Fund (C.S.)	A	Dividend	J	T					
100. Consumer Discretionary SPDR Fund	A	Dividend	J	T					
101. Emerging Global Shares Dow Jones Fund	A	Dividend	J	T					
102. First TR Exchange Traded FD Dow Jones Ind. Fund	A	Dividend	J	T					

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103. First TR ISE Cloud Complex IDX ETF Fund	A	Dividend			Sold	03/06/18	J	A	
104. Health Care Select SPDR Fund	A	Dividend	J	T					
105. Ishares IBOXX INVT Grade Corp. Bond	A	Dividend	J	T					
106. Ishares Inc Core MSCI Emerging Markets ETF Fund	A	Dividend	J	T					
107. Ishares MBS ETF Fund	A	Dividend	J	T					
108. Ishares MSCI CDA ETF Fund	A	Dividend			Sold	03/06/18	J	A	
109. Ishares MSCI Pacific Ex-Japan Fund	A	Dividend			Sold	03/06/18	J	A	
110. Ishares MSCI Sweden Fund	A	Dividend			Sold	03/06/18	J	A	
111. Ishares MSCI Switzerland Capped Fund	A	Dividend			Sold	03/06/18	J	A	
112. Ishares MSCI UK ETF Fund	A	Dividend			Sold	03/06/18	J	A	
113. Ishares NASDAQ Biotech ETF Fund	A	Dividend	J	T					
114. Ishares Tips Bond ETF Fund	A	Distribution	J	T					
115. Ishares 3-7 Year Treasury Bond ETF Fund	A	Dividend	J	T					
116. Market Vectors Emerg. Markets Local Currency Debt Fund	A	Dividend	J	T					
117. Powershares Global Exchange Traded FD TR Fund	A	Dividend	J	T					
118. Sector SPDR Financial Fund	A	Dividend	J	T					
119. SPDR Barclays High YieldBond ETF Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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Clevenger, Raymond C.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Vanguard Consumer Staples ETF Fund	A	Dividend	J	T					
121. Vanguard Industrial ETF Fund	A	Dividend	J	T					
122. Vanguard Information Tech ETF Fund	A	Dividend	J	T					
123. Vanguard Intermediate Term Bond ETF Fund	A	Dividend	J	T					
124. Vanguard Materials ETF Fund	A	Dividend	J	T					
125. Vanguard Natural Resources LLC Fund	A	Dividend	J	T					
126. Vanguard Short Term Bond Fund	A	Dividend	J	T					
127. Vanguard Telecomm Services ETF Fund	A	Dividend	J	T					
128. Wisdomtree Europe Hedged Equity Fund	A	Dividend	J	T					
129. Global Self Storage Inc. (C.S.)	A	Dividend	J	T					
130. Ishares MSCI Japan Fund	A	Dividend	J	T					
131. Real Estate Select Sector SPDR Fund	A	Dividend	J	T					
132. Salesforce.com, Inc. (C.S.)	A	Dividend	J	T					
133. Sector SPDR Energy Fund	A	Dividend	J	T					
134. Communications Services Select Sector Fund	A	Dividend	J	T	Buy	10/18/18	J		
135. Ishares TR Core MSCI EAF ETF Fund	A	Dividend	K	T	Buy	03/06/18	K		
136. Powershares EM Sovereign Bond USD ETF Fund	A	Dividend	J	T	Buy	03/06/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Clevenger, Raymond C.

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04/12/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Sector SPDR Utilities Fund	A	Dividend	J	T	Buy	03/06/18	J		
138. SPDR S&P Insurance ETF Fund	A	Dividend	J	T	Buy	03/06/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Clevenger, Raymond C.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Raymond C. Clevenger**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544