

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Cox, Susan E.	2. Court or Organization US District Court	3. Date of Report 08/08/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Magistrate Judge -Full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 219 South Dearborn Ave. Room 1334 Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 18

Name of Person Reporting

Cox, Susan E.

Date of Report

08/08/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 1/18-8/18	Baxalta Incorporated - Salary & Bonus
2. 9/18-12/18	Servier Pharmaceuticals LLC - Salary & Bonus
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 18

Name of Person Reporting

Cox, Susan E.

Date of Report

08/08/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Wells Fargo Bank	Mortgage on Rental Property #1 - Bloomingdale, MI (Sold property 8/31/18)	None
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 18

Name of Person Reporting

Cox, Susan E.

Date of Report

08/08/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. First National Bank of LaGrange Accounts	A	Interest	K	T					
2. Chase Bank	A	Interest	K	T					
3. Wells Fargo Bank Account	A	Interest	J	T					
4. US Employees Credit Union Account	A	Interest	J	T					
5. Baxter International - Exercisable Stock Options		None	O	T	Redeemed (part)	08/01/18	N	G	
6.					Redeemed (part)	10/30/18	N	G	
7. Baxter Int'l Common Stock	C	Dividend	L	T	Buy (add'l)	08/01/18	N		
8.					Sold (part)	08/01/18	N	A	
9.					Buy (add'l)	10/30/18	O		
10.					Sold (part)	10/30/18	O	A	
11. Shire PLC - Exercisable Stock Options		None			Redeemed (part)	02/27/18	K	E	
12.					Redeemed	04/18/18	L	F	
13. Shire PLC - Common Stock		None			Buy	02/27/18	M		
14.					Sold	02/27/18	M	A	
15.					Buy	04/18/18	M		
16.					Sold	04/18/18	M	A	
17. Shire PLC - Unvested Stock Options		None			Closed	12/31/18	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 18

Name of Person Reporting

Cox, Susan E.

Date of Report

08/08/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Shire PLC - Common Stock	A	Dividend	L	T					
19. Edward Lifesciences Common		None	K	T					
20. Farm - Wilson County, KS (1/12th Interest)	A	Rent	K	W					
21. Rental Property #1 -Bloomington, MI. Paid \$217,000 11/25/09. Owns 50%	A	Rent			Sold	08/31/18	M	A	
22. SHIRE PLC 401K PLAN									
23. Shire PLC Common Stock	A	Dividend	K	T					
24. FIAM Target Date 2030 Fund	D	Int./Div.	O	T					
25. SERVIER PHARMACEUTICALS 401K PLAN									
26. Vanguard Target Rmt 2030 Fund	A	Dividend	J	T	Buy	12/31/18	J		
27. BROKERAGE ACCOUNT #1									
28. Alibaba Group		None	J	T	Buy	05/11/18	J		
29. Amazon.com		None	K	T					
30. Apple Inc	A	Dividend	J	T	Buy	05/11/18	J		
31. Baidu Inc		None	J	T	Buy	05/11/18	J		
32. Berkshire Hathaway Inc C1 B		None	K	T					
33. Fidelity Cash Reserves Fund	A	Interest	J	T					
34. Fidelity Floating Rate High Income	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 18

Name of Person Reporting

Cox, Susan E.

Date of Report

08/08/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Fidelity Convertible Securities	A	Int./Div.	J	T	Buy	12/21/18	J		
36. Fidelity Short Term Bond Fund	A	Interest	J	T	Sold (part)	06/04/18	J	A	
37. General Motors Corp	B	Dividend			Sold	03/28/18	J	B	
38. Las Vegas Sands Corp	A	Dividend	K	T	Sold (part)	03/28/18	J	B	
39. Matthews Asia Dividend	A	Dividend	J	T					
40. Market Vectors Gaming ETF	A	Dividend	J	T	Buy	09/28/18	J		
41. Powershares QQQ ETF	A	Dividend			Sold	06/01/18	L	C	
42. Invesco QQQ ETF	A	Dividend	K	T	Buy	06/04/18	L		
43.					Sold	07/20/18	L	A	
44.					Buy	07/24/18	L		
45.					Sold	09/21/18	L	A	
46.					Buy	09/24/18	L		
47. QQQ Calls - 12/21/18		None			Open	11/07/18	J		
48.					Closed	11/20/18	J	A	
49. QQQ Calls - 01/25/19		None	J	T	Open	12/31/18	J		
50. QQQ Calls - 10/19/18		None			Open	09/26/18	J		
51.					Closed	10/12/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 18

Name of Person Reporting

Cox, Susan E.

Date of Report

08/08/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. QQQ Calls - 02/16/18		None			Open	01/19/18	J		
53.					Closed	02/09/18	J	A	
54. QQQ Calls - 04/20/18		None			Open	03/29/18	J		
55.					Closed	04/20/18	J	B	
56. QQQ Calls - 4/20/18		None			Open	02/09/18	J		
57.					Closed	03/27/18	J	A	
58. QQQ Calls - 7/20/18		None			Open	06/05/18	J		
59.					Closed	07/20/18	J	A	
60. QQQ Calls - 6/1/18		None			Open	04/27/18	J		
61.					Closed	06/01/18	J	A	
62. QQQ Calls - 9/21/18		None			Open	07/27/18	J		
63.					Closed	09/21/18	J	A	
64. Robotics & Automation ETF	A	Dividend	J	T					
65. S&P 500 Calls - 04/20/18		None			Open	03/29/18	J		
66.					Closed	04/20/18	J	B	
67. S&P 500 Calls - 04/20/18		None			Open	02/09/18	J		
68.					Closed	03/27/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 18

Name of Person Reporting

Cox, Susan E.

Date of Report

08/08/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. S&P 500 Calls - 12/31/18		None			Open	11/07/18	J		
70.					Closed	11/23/18	J	A	
71. S&P 500 Calls - 02/16/18		None			Open	01/19/18	J		
72.					Closed	02/09/18	J	A	
73. S&P 500 Calls - 01/25/19		None	J	T	Open	12/31/18	J		
74. S&P 500 Calls - 07/20/18		None			Open	06/05/18	J		
75.					Closed	07/20/18	J	A	
76. S&P 500 Calls - 06/01/18		None			Open	04/27/18	J		
77.					Closed	06/01/18	J	A	
78. S&P 500 Calls - 10/19/18		None			Open	09/26/18	J		
79.					Closed	10/12/18	J	A	
80. S&P 500 Calls - 09/21/18		None			Open	07/27/18	J		
81.					Closed	09/20/18	J	A	
82. S&P 500 ETF	A	Dividend	L	T	Sold (part)	06/01/18	K	B	
83.					Buy (add'l)	06/04/18	L		
84.					Sold (part)	07/20/18	L	A	
85.					Buy (add'l)	07/24/18	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 18

Name of Person Reporting

Cox, Susan E.

Date of Report

08/08/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86.					Sold (part)	09/20/18	L	B	
87.					Buy (add'l)	09/24/18	L		
88. Starbucks	A	Dividend	K	T	Sold (part)	09/28/18	L	C	
89. BROKERAGE ACCOUNT #2									
90. Alphabet		None	K	T					
91. Berkshire Hathaway Inc C1 B		None	K	T					
92. Boeing Bond 8.75% 8-15-21	A	Interest	J	T					
93. Caterpillar Inc	A	Dividend	J	T	Buy	12/26/18	J		
94. Disney Walt Co	A	Dividend	J	T	Buy	05/15/18	J		
95. FedEx Corp	A	Dividend	J	T	Buy	11/28/18	J		
96. Fidelity Blue Chip Value	A	Dividend	K	T	Buy	12/24/18	J		
97. Fidelity Emerging Markets	A	Dividend	J	T	Buy	11/27/18	J		
98. Fidelity Floating Rate High Income	A	Interest	J	T					
99. Fidelity International Growth	A	Dividend	J	T	Buy	11/27/18	J		
100. Fidelity Muni Money Market Fund	A	Interest	J	T					
101. Fidelity New Markets Income	A	Int./Div.	J	T	Sold (part)	07/19/18	J	A	
102.					Sold (part)	07/20/18	J	A	

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 10 of 18

Name of Person Reporting

Cox, Susan E.

Date of Report

08/08/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. Fidelity Select Healthcare	A	Dividend	K	T	Buy	11/27/18	K		
104. Fidelity Short Term Bond	A	Interest			Sold (part)	04/18/18	J	A	
105.					Sold	07/30/18	J	A	
106. Fidelity Utilities ETF	A	Dividend			Sold	05/11/18	J	A	
107. Ishares Treasury Inflation Protected	A	Interest	K	T	Buy (add'l)	11/28/18	J		
108.					Sold (part)	07/30/18	J	A	
109. Keycorp Note 2.9% 9-15-20	A	Interest	J	T					
110. Powershares ETF Int'l Dividend	A	Dividend	J	T					
111. S&P 500 Calls - 04/20/18		None			Open	03/29/18	J		
112.					Closed	04/20/18	J	B	
113. S&P 500 Calls - 04/20/18		None			Open	02/09/18	J		
114.					Closed	03/27/18	J	A	
115. S&P 500 Calls - 12/31/18		None			Open	11/07/18	J		
116.					Closed	11/23/18	J	A	
117. S&P 500 Calls - 02/16/18		None			Open	01/19/18	J		
118.					Closed	02/09/18	J	A	
119. S&P 500 Calls - 01/25/19		None	J	T	Open	12/31/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 18

Name of Person Reporting

Cox, Susan E.

Date of Report

08/08/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. S&P 500 Calls - 07/20/18		None			Open	06/05/18	J		
121.					Closed	07/23/18	J	A	
122. S&P 500 Calls - 06/01/18		None			Open	04/27/18	J		
123.					Closed	06/04/18	J	A	
124. S&P 500 Calls - 10/19/18		None			Open	09/26/18	J		
125.					Closed	10/12/18	J	A	
126. S&P 500 Calls - 09/21/18		None			Open	07/27/18	J		
127.					Closed	09/21/18	J	B	
128. S&P 500 ETF	A	Dividend	L	T					
129. SPDR Financial ETF	A	Dividend	K	T	Buy	11/28/18	K		
130. SPDR Gold Trust		None	J	T					
131. Target Corp	A	Dividend	J	T					
132. Vanguard Total Bond ETF	A	Interest			Sold	06/11/18	J	A	
133. Vanguard Wellesley Income	B	Int./Div.	K	T					
134. Visa Inc	A	Dividend	J	T	Buy	11/28/18	J		
135. Waste Management Inc	A	Dividend	J	T	Buy	12/26/18	J		
136. Wells Fargo Bond 2.55% 12-7-20	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 18

Name of Person Reporting

Cox, Susan E.

Date of Report

08/08/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Wells Fargo Bond 3% 1-22-21	A	Interest	J	T					
138. Yum Brands Inc	A	Dividend	J	T	Buy	12/26/18	J		
139. BROKERAGE ACCOUNT #3									
140. Apple Inc.	A	Dividend	J	T	Sold (part)	03/28/18	J	D	
141. Fidelity Blue Chip Growth	A	Dividend	J	T					
142. Fidelity Capital & Income	A	Int./Div.	J	T					
143. Fidelity Cash Fund	A	Interest	J	T					
144. Fidelity Conservative Income	A	Int./Div.	J	T					
145. Fidelity High Income	A	Int./Div.	J	T					
146. Fidelity New Markets Income	A	Int./Div.	J	T	Buy	05/14/18	J		
147. Fidelity Select Consumer Discr.	A	Int./Div.	J	T	Buy	05/14/18	J		
148. Fidelity Select Healthcare	A	Dividend	J	T					
149. Fidelity Short Term Bond	A	Interest	J	T					
150. Fidelity Utilities ETF	A	Dividend	J	T					
151. S&P Midcap 400	A	Dividend	J	T					
152. Vanguard Wellesley Income	A	Int./Div.	J	T					
153. BROKERAGE ACCOUNT #4									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 18

Name of Person Reporting

Cox, Susan E.

Date of Report

08/08/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Apple Inc.	A	Dividend	J	T	Sold (part)	03/28/18	J	D	
155. Fidelity Blue Chip Growth	A	Dividend	J	T					
156. Fidelity Capital & Income	A	Int./Div.	J	T					
157. Fidelity Cash Fund	A	Interest	J	T					
158. Fidelity Conservative Income	A	Int./Div.	J	T					
159. Fidelity High Income Fund	A	Int./Div.	J	T					
160. Fidelity New Markets Income	A	Int./Div.	J	T	Buy	05/14/18	J		
161. Fidelity Select Consumer Discr.	A	Dividend	J	T	Buy	05/14/18	J		
162. Fidelity Short Term Bond	A	Interest	J	T					
163. Fidelity Utilities ETF	A	Dividend	J	T					
164. Mainstay High Yield Muni	A	Interest	J	T					
165. S&P Midcap 400	A	Dividend	J	T					
166. BROKERAGE ACCOUNT #5									
167. Alphabet		None	K	T					
168. Amazon.com		None	K	T					
169. Amgen Inc	A	Dividend			Sold	09/28/18	J	C	
170. Caterpillar Inc	A	Dividend	J	T	Buy	12/26/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 18

Name of Person Reporting

Cox, Susan E.

Date of Report

08/08/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. China Mobile Ltd	A	Dividend	J	T	Buy	05/15/18	J		
172. Direxion Treasury Bear	A	Interest	J	T	Buy	10/10/18	J		
173. EQM Midstream Partners LP	A	Dividend			Buy	05/18/18	J		
174.					Sold	12/21/18	J	A	
175. Exxon Mobil Corp	A	Dividend	J	T					
176. Facebook		None	K	T					
177. FedEx Corp	A	Dividend	J	T					
178. FedEx Corp 8% 1/19 Bond	B	Interest			Redeemed	11/14/18	J	A	
179. Fidelity Blue Chip Value	A	Dividend	J	T	Buy	12/24/18	J		
180. Fidelity Cash Reserves	A	Interest	J	T					
181. Fidelity Japan Smaller	A	Dividend			Buy	05/14/18	J		
182.					Sold	09/28/18	J	A	
183. Fidelity New Markets Income	A	Int./Div.			Sold	10/23/18	J	A	
184. Fidelity Select Healthcare	A	Dividend	J	T	Buy	10/01/18	J		
185. Fidelity Total Emerging Markets	A	Dividend			Buy	05/14/18	J		
186.					Sold	10/23/18	J	A	
187. Fidelity Utilities ETF	A	Dividend			Sold	05/11/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 18

Name of Person Reporting

Cox, Susan E.

Date of Report

08/08/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Ford Motor Co	A	Dividend			Sold	03/28/18	J	A	
189. Invesco QQQ Trust	A	Dividend	J	T	Buy	03/29/18	J		
190. Ishares Treasury Inflation Protect	A	Interest	J	T	Buy	10/02/18	J		
191. Magellan Midstream Partners	A	Dividend	J	T	Buy	12/26/18	J		
192. Matthews Asia Growth Investor	A	Dividend	J	T	Buy	05/14/18	J		
193. Oakmark International	A	Dividend			Sold	10/23/18	K	A	
194. Powershares QQQ Trust	A	Dividend			Sold	03/28/18	J	B	
195. Procter & Gamble Co	A	Dividend	J	T					
196. Purefunds Cyber Security ETF	A	Dividend	J	T					
197. Southwest Airlines	A	Dividend	K	T					
198. SPDR Financial Sector ETF	A	Dividend	J	T					
199. Union Pacific Corp	A	Dividend			Sold	05/11/18	K	D	
200. Vanguard Total Bond ETF	A	Interest	K	T					
201. CUSTODIAL ACCOUNT #1									
202. First National Bank of LaGrange Account	A	Interest	J	T					
203. CUSTODIAL ACCOUNT #2									
204. First National Bank of LaGrange Account	A	Interest	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. IL Bright Directions 529 Plan #2 - Fund 60A	A	Int./Div.	J	T					

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q =Appraisal	R ~Cost (Real Estate Only)	S ~Assessment	T ~Cash Market	
(See Column C2)	U ~Book Value	V ~Other	W ~Estimated		

FINANCIAL DISCLOSURE REPORT

Page 17 of 18

Name of Person Reporting

Cox, Susan E.

Date of Report

08/08/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 18 of 18

Name of Person Reporting

Cox, Susan E.

Date of Report

08/08/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Susan E. Cox

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544